

SIDR
Internet-Draft
Obsoletes: [6490](#) (if approved)
Intended status: Standards Track
Expires: March 23, 2015

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September 19, 2014

Resource Certificate PKI (RPKI) Trust Anchor Locator
draft-ietf-sidr-rfc6490-bis-01

Abstract

This document defines a Trust Anchor Locator (TAL) for the Resource Certificate Public Key Infrastructure (RPKI).

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1. Introduction

This document defines a Trust Anchor Locator (TAL) for the Resource Certificate Public Key Infrastructure (RPKI) [[RFC6480](#)]. This format may be used to distribute trust anchor material using a mix of out-of-band and online means. Procedures used by Relying Parties (RPs) to verify RPKI signed objects SHOULD support this format to facilitate interoperability between creators of trust anchor material and RPs.

1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

2. Trust Anchor Locator

2.1. Trust Anchor Locator Format

This document does not propose a new format for trust anchor material. A trust anchor in the RPKI is represented by a self-signed X.509 Certificate Authority (CA), a format commonly used in PKIs and widely supported by RP software. This document specifies a format for data used to retrieve and verify the authenticity of a trust anchor in a very simple fashion. That data is referred to as the TAL.

The motivation for defining the TAL is to enable selected data in the trust anchor to change, without needing to effect re-distribution of the trust anchor per se. In the RPKI, certificates contain extensions that represent Internet Number Resources (INRs) [[RFC3779](#)]. The set of INRs associated with an entity acting as a trust anchor is likely to change over time. Thus, if one were to use the common PKI convention of distributing a trust anchor to RPs in a secure fashion, this procedure would need to be repeated whenever the INR set for the entity acting as a trust anchor changed. By distributing the TAL (in a secure fashion), instead of the trust anchor, this problem is avoided, i.e., the TAL is constant so long as the TA's public key and its location does not change.

The TAL is analogous to the TrustAnchorInfo data structure [[RFC5914](#)] adopted as a PKIX standard. That standard could be used to represent the TAL, if one defined an rsync URI extension for that data structure. However, the TAL format was adopted by RPKI implementors prior to the PKIX trust anchor work, and the RPKI implementer community has elected to utilize the TAL format, rather than define

the requisite extension. The community also prefers the simplicity of the ASCII encoding of the TAL, vs. the binary (ASN.1) encoding for TrustAnchorInfo.

The TAL is an ordered sequence of:

- 1) a URI section,
- 2) a <CRLF> or <LF> line break,
- 3) a subjectPublicKeyInfo [[RFC5280](#)] in DER format [[X.509](#)], encoded in Base64 (see [Section 4 of \[RFC4648\]](#)).

where the URI section is comprised of one or more of the ordered sequence of:

- 1.1) an rsync URI [[RFC5781](#)],
- 1.2) a <CRLF> or <LF> line break.

2.2. TAL and Trust Anchor Certificate Considerations

Each rsync URI in the TAL MUST reference a single object. It MUST NOT reference a directory or any other form of collection of objects.

The referenced object MUST be a self-signed CA certificate that conforms to the RPKI certificate profile [[RFC6487](#)]. This certificate is the trust anchor in certification path discovery [[RFC4158](#)] and validation [[RFC5280](#)][[RFC3779](#)].

The validity interval of this trust anchor SHOULD reflect the anticipated period of stability the particular set of Internet Number Resources (INRs) that are associated with the putative TA.

The INR extension(s) of this trust anchor MUST contain a non-empty set of number resources. It MUST NOT use the "inherit" form of the INR extension(s). The INR set described in this certificate is the set of number resources for which the issuing entity is offering itself as a putative trust anchor in the RPKI [[RFC6480](#)].

The public key used to verify the trust anchor MUST be the same as the subjectPublicKeyInfo in the CA certificate and in the TAL.

The trust anchor MUST contain a stable key. This key MUST NOT change when the certificate is reissued due to changes in the INR extension(s), when the certificate is renewed prior to expiration or

for any reason other than a key change.

Because the public key in the TAL and the trust anchor MUST be stable, this motivates operation of that CA in an off-line mode. Thus the entity that issues the trust anchor SHOULD issue a subordinate CA certificate that contains the same INRs (via the use of the "inherit" option in the INR extensions of the subordinate certificate). This allows the entity that issues the trust anchor to keep the corresponding private key of this certificate off-line, while issuing all relevant child certificates under the immediate subordinate CA. This measure also allows the CRL issued by that entity to be used to revoke the subordinate (CA) certificate in the event of suspected key compromise of this potentially more vulnerable online operational key pair.

The trust anchor MUST be published at a stable URI. When the trust anchor is re-issued for any reason, the replacement CA certificate MUST be accessible using the same URI.

Because the trust anchor is a self-signed certificate, there is no corresponding Certificate Revocation List that can be used to revoke it, nor is there a manifest [[RFC6486](#)] that lists this certificate.

If an entity wishes to withdraw a self-signed CA certificate as a putative Trust Anchor, for any reason, including key rollover, the entity MUST remove the object from the location referenced in the TAL.

Where the TAL contains two or more rsync URIs, then the same self-signed CA certificate MUST be found at each referenced location. In order to operational increase resilience, it is RECOMMENDED that the domain name parts of each of these URIs resolve to distinct IP addresses that are used by a diverse set of repository publication points, and these IP addresses be included in distinct Route Origination Authorizations (ROAs) objects signed by different CAs.

2.3. Example

rsync://rpki.example.org/rpki/hedgehog/root.cer

```
MIIBIjANBgkqhkiG9w0BAQEFAAOCAQ8AMIIBCgKCAQEAvWQL2lh6knDx
GUG5hbtCXvvh4A0zjhDKSHlj22gn/1oiM9IeDATIwP44vhQ6L/xvuk7W6
Kfa5ygmqQ+x0Z0wTWPcUrUbqaQyPNxokuivzyvqVZVDec0Eqs78q58mSp9
nbtxmLRW7B67SJCBSzf5XpVyXYEgYAJkk3fpmefU+AcxtxvvhB50VPIa
BfPcs80ICMgHQX+fphvute9XLxjfJKJWkhZqZ0v7pZm2uhkcPx1PMGcrG
ee0WSDC3fr3erLueagpiLsFjwwpX6F+Ms8vqz45H+DKmYKvPSstZjCCq9
aJ0qANT90tnfSDOS+aLRPjZryCNyvvBHxZXqj5YCGKtwIDAQAB
```


3. Relying Party Use

In order to use the TAL to retrieve and validate a (putative) TA, an RP SHOULD:

1. Retrieve the object referenced by (one of) the URI(s) contained in the TAL.
2. Confirm that the retrieved object is a current, self-signed RPKI CA certificate that conforms to the profile as specified in [\[RFC6487\]](#).
3. Confirm that the public key in the TAL matches the public key in the retrieved object.
4. Perform other checks, as deemed appropriate (locally), to ensure that the RP is willing to accept the entity publishing this self-signed CA certificate to be a trust anchor, relating to the validity of attestations made in the context of the RPKI (relating to all resources described in the INR extension of this certificate).

An RP SHOULD perform these functions for each instance of TAL that it is holding for this purpose every time the RP performs a re-synchronization across the local repository cache. In any case, an RP also SHOULD perform these functions prior to the expiration of the locally cached copy of the retrieved trust anchor referenced by the TAL.

In the case where a TAL contains multiple URIs, an RP MAY use a locally defined preference rule to select the URI to retrieve the self-signed RPKI CA certificate that is to be used as a trust anchor. Some examples are:

- o Using the order provided in the TAL
- o Selecting the URI randomly from the available list
- o Creating a prioritized list of URIs based on RP-specific parameters, such as connection establishment delay

If the connection to the preferred URI fails, or the retrieved CA certificate public key does not match the TAL public key, the RP SHOULD retrieve the CA certificate from the next URI, according to the local preference ranking of URIs.

4. Security Considerations

Compromise of a trust anchor private key permits unauthorized parties to masquerade as a trust anchor, with potentially severe

consequences. Reliance on an inappropriate or incorrect trust anchor has similar potentially severe consequences.

This trust anchor locator does not directly provide a list of resources covered by the referenced self-signed CA certificate. Instead, the RP is referred to the trust anchor itself and the INR extension(s) within this certificate. This provides necessary operational flexibility, but it also allows the certificate issuer to claim to be authoritative for any resource. Relying parties should either have great confidence in the issuers of such certificates that they are configuring as trust anchors, or they should issue their own self-signed certificate as a trust anchor and, in doing so, impose constraints on the subordinate certificates.

5. IANA Considerations

[This document specifies no IANA actions.]

6. Acknowledgments

This approach to TA material was originally described by Robert Kistelevki.

The authors acknowledge the contributions of Rob Austein and Randy Bush, who assisted with earlier versions of this document and with helpful review comments.

The authors acknowledge with work of Roque Gagliano, Terry Manderson and Carola Martinez Cagnazzo in developing the ideas behind the inclusion of multiple URIs in the TAL.

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