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Manifests for the Resource Public Key Infrastructure (RPKI)

Abstract

This document defines a "manifest" for use in the Resource Public Key Infrastructure (RPKI). A manifest is a signed object (file) that contains a listing of all the signed objects (files) in the repository publication point (directory) associated with an authority responsible for publishing in the repository. For each certificate, Certificate Revocation List (CRL), or other type of signed objects issued by the authority that are published at this repository publication point, the manifest contains both the name of the file containing the object and a hash of the file content. Manifests are intended to enable a relying party (RP) to detect certain forms of attacks against a repository. Specifically, if an RP checks a manifest's contents against the signed objects retrieved from a repository publication point, then the RP can detect "stale" (valid) data and deletion of signed objects.

Status of This Memo

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1. Introduction

The Resource Public Key Infrastructure (RPKI) [[RFC6480](#)] makes use of a distributed repository system [[RFC6481](#)] to make available a variety of objects needed by relying parties (RPs). Because all of the objects stored in the repository system are digitally signed by the entities that created them, attacks that modify these published objects are detectable by RPs. However, digital signatures provide no protection against attacks that substitute "stale" versions of signed objects (i.e., objects that were valid and have not expired, but have since been superseded) or attacks that remove an object that should be present in the repository. To assist in the detection of such attacks, the RPKI repository system can make use of a signed object called a "manifest".

A manifest is a signed object that enumerates all the signed objects (files) in the repository publication point (directory) that are associated with an authority responsible for publishing at that publication point. Each manifest contains both the name of the file containing the object and a hash of the file content, for every signed object issued by an authority that is published at the authority's repository publication point. A manifest is intended to allow an RP to detect unauthorized object removal or the substitution of stale versions of objects at a publication point. A manifest also is intended to allow an RP to detect similar outcomes that may result from a man-in-the-middle attack on the retrieval of objects from the repository. Manifests are intended to be used in Certification Authority (CA) publication points in repositories (directories containing files that are subordinate certificates and Certificate Revocation Lists (CRLs) issued by this CA and other signed objects that are verified by end-entity (EE) certificates issued by this CA).

Manifests are modeled on CRLs, as the issues involved in detecting stale manifests and potential attacks using manifest replays, etc., are similar to those for CRLs. The syntax of the manifest payload differs from CRLs, since RPKI repositories contain objects not covered by CRLs, e.g., digitally signed objects, such as Route Origination Authorizations (ROAs).

1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2. Manifest Scope

A manifest associated with a CA's repository publication point contains a list of:

- *the set of (non-expired, non-revoked) certificates issued and published by this CA,
- *the most recent CRL issued by this CA, and
- *all published signed objects that are verifiable using EE certificates [[RFC6487](#)] issued by this CA (other than the manifest itself).

Every RPKI signed object includes, in the Cryptographic Message Syntax (CMS) [[RFC3370](#)] wrapper of the object, the EE certificate used to verify it [[RFC6488](#)]. Thus, there is no requirement to separately publish that EE certificate at the CA's repository publication point.

Where multiple CA instances share a common publication point, as can occur when a CA performs a key-rollover operation [[RFC6489](#)], the repository publication point will contain multiple manifests. In this case, each manifest describes only the collection of published products of its associated CA instance.

3. Manifest Signing

A CA's manifest is verified using an EE certificate. The SubjectInfoAccess (SIA) field of this EE certificate contains the access method OID of id-ad-signedObject.

The CA MUST sign only one manifest with each generated private key, and MUST generate a new key pair for each new version of the manifest. This form of use of the associated EE certificate is termed a "one-time-use" EE certificate [[RFC6487](#)]

4. Manifest Definition

A manifest is an RPKI signed object, as specified in [[RFC6488](#)]. The RPKI signed object template requires specification of the following data elements in the context of the manifest structure.

4.1. eContentType

The eContentType for a manifest is defined as id-ct-rpkiManifest and has the numerical value of 1.2.840.113549.1.9.16.1.26.

```
id-smime OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840)
                                     rsadsi(113549) pkcs(1) pkcs9(9) 16 }
```

```
id-ct OBJECT IDENTIFIER ::= { id-smime 1 }
```

```
id-ct-rpkiManifest OBJECT IDENTIFIER ::= { id-ct 26 }
```

4.2. eContent

The content of a manifest is ASN.1 encoded using the Distinguished Encoding Rules (DER) [[X.690](#)]. The content of a manifest is defined as follows:

```
Manifest ::= SEQUENCE {
    version      [0] INTEGER DEFAULT 0,
    manifestNumber  INTEGER (0..MAX),
    thisUpdate    GeneralizedTime,
    nextUpdate    GeneralizedTime,
    fileHashAlg   OBJECT IDENTIFIER,
    fileList      SEQUENCE SIZE (0..MAX) OF FileAndHash
}
```

```
FileAndHash ::= SEQUENCE {
    file      IA5String,
    hash      BIT STRING
}
```

4.2.1. Manifest

The manifestNumber, thisUpdate, and nextUpdate fields are modeled after the corresponding fields in X.509 CRLs (see [[RFC5280](#)]). Analogous to CRLs, a manifest is nominally current until the time specified in nextUpdate or until a manifest is issued with a greater manifest number, whichever comes first.

Because a "one-time-use" EE certificate is employed to verify a manifest, it is RECOMMENDED that the EE certificate have a validity period that coincides with the interval from thisUpdate to nextUpdate in the manifest, to prevent needless growth of the CA's CRL.

The data elements of the manifest structure are defined as follows:

version:

The version number of this version of the manifest specification MUST be 0.

manifestNumber:

This field is an integer that is incremented (by 1) each time a new manifest is issued for a given publication point. This field allows an RP to detect gaps in a sequence of published manifests.

As the manifest is modeled on the CRL specification, the ManifestNumber is analogous to the CRLNumber, and the guidance in [\[RFC5280\]](#) for CRLNumber values is appropriate as to the range of number values that can be used for the manifestNumber. Manifest numbers can be expected to contain long integers. Manifest verifiers MUST be able to process number values up to 20 octets. Conforming manifest issuers MUST NOT use number values longer than 20 octets. The issuer MUST increase the value of this field monotonically for each newly-generated Manifest. Each RP MUST verify that a purported "new" Manifest contains a higher manifestNumber than previously-validated Manifests.

thisUpdate:

This field contains the time when the manifest was created. This field has the same format constraints as specified in [\[RFC5280\]](#) for the CRL field of the same name. The issuer MUST ensure that the value of this field is more recent any previously-generated Manifest. Each RP MUST verify that this field value is greater (more recent) than the most recent Manifest it has validated.

nextUpdate:

This field contains the time at which the next scheduled manifest will be issued. The value of nextUpdate MUST be later than the value of thisUpdate. The specification of the GeneralizedTime value is the same as required for the thisUpdate field.

If the authority alters any of the items that it has published in the repository publication point, then the authority MUST issue a new manifest. Even if no changes are made to objects at a publication point, a new manifest MUST be issued before the nextUpdate time. Each manifest encompasses a CRL, and the nextUpdate field of the manifest SHOULD match that of the CRL's nextUpdate field, as the manifest will be re-issued when a new CRL is published. When a new manifest is issued before the time specified in nextUpdate of the current manifest, the CA MUST also

issue a new CRL that revokes the EE certificate corresponding to the old manifest.

fileHashAlg:

This field contains the OID of the hash algorithm used to hash the files that the authority has placed into the repository. The hash algorithm used MUST conform to the RPKI Algorithms and Key Size Profile specification [[RFC6485](#)].

fileList:

This field is a sequence of FileAndHash objects. There is one FileAndHash entry for each currently valid signed object that has been published by the authority (at this publication point). Each FileAndHash is an ordered pair consisting of the name of the file in the repository publication point (directory) that contains the object in question and a hash of the file's contents.

4.2.2. Names in FileAndHash objects

Names that appear in the fileList MUST consist of one or more characters chosen from the set a-z, A-Z, 0-9, - (HYPHEN), or _ (UNDERSCORE), followed by a single . (DOT), followed by a three-letter extension. The extension MUST be one of those enumerated in the "RPKI Repository Naming Scheme" registry maintained by IANA [[IANA-NAMING](#)].

As an example, 'vixxBTS_TVXQ-2pmGOT7.cer' is a valid filename.

The example above contains a mix of uppercase and lowercase characters in the filename. CAs and RPs MUST be able to perform filesystem operations in a case-sensitive, case-preserving manner.

4.3. Content-Type Attribute

The mandatory content-type attribute MUST have its attrValues field set to the same OID as eContentType. This OID is id-ct-rpkiManifest and has the numerical value of 1.2.840.113549.1.9.16.1.26.

4.4. Manifest Validation

To determine whether a manifest is valid, the RP MUST perform the following checks in addition to those specified in [[RFC6488](#)]:

1. The eContentType in the EncapsulatedContentInfo is id-ad-rpkiManifest (OID 1.2.840.113549.1.9.16.1.26).
2. The version of the rpkiManifest is 0.
3. In the rpkiManifest, thisUpdate precedes nextUpdate.

Note: Although it is RECOMMENDED that the thisUpdate and nextUpdate fields in the manifest match the corresponding fields in the CRL associated with the manifest, RPs SHOULD NOT reject a manifest if these fields do not match.

If the above procedure indicates that the manifest is invalid, then the manifest MUST be discarded and treated as though no manifest were present.

5. Manifest Generation

5.1. Manifest Generation Procedure

For a CA publication point in the RPKI repository system, a CA MUST perform the following steps to generate a manifest:

1. Generate a new key pair for use in a "one-time-use" EE certificate.
2. Issue an EE certificate for this key pair. The CA MUST revoke the EE certificate used for the manifest being replaced.

This EE certificate MUST have an SIA extension access description field with an accessMethod OID value of id-ad-signedobject, where the associated accessLocation references the publication point of the manifest as an object URL. (RPs are required to verify both of these syntactic constraints.)

This EE certificate MUST describe its Internet Number Resources (INRs) using the "inherit" attribute, rather than explicit description of a resource set (see [[RFC3779](#)]). (RPs are required to verify this.)

It is RECOMMENDED that the validity interval of the EE certificate exactly match the thisUpdate and nextUpdate times of the manifest.

Note: An RP MUST verify all mandated syntactic constraints, i.e., constraints imposed on a CA via a "MUST".

3. The EE certificate MUST NOT be published in the authority's repository publication point.
4. Construct the manifest content.

The manifest content is described in [Section 4.2.1](#). The manifest's fileList includes the file name and hash pair for each object issued by this CA that has been published at this repository publication point (directory). The collection of objects to be included in the manifest includes all

certificates issued by this CA that are published at the CA's repository publication point, the most recent CRL issued by the CA, and all objects verified by EE certificates that were issued by this CA that are published at this repository publication point. (Sections 6.1-5 describes the checks that an RP MUST perform in support of the manifest content noted here.)

Note that the manifest does not include a self reference (i.e., its own file name and hash), since it would be impossible to compute the hash of the manifest itself prior to it being signed.

5. Encapsulate the manifest content using the CMS SignedData content type (as specified [Section 4](#)), sign the manifest using the private key corresponding to the subject key contained in the EE certificate, and publish the manifest in the repository system publication point that is described by the manifest. (RPs are required to verify the CMS signature.)
6. Because the key pair is to be used only once, the private key associated with this key pair MUST now be destroyed.

5.2. Considerations for Manifest Generation

A new manifest MUST be issued and published before the nextUpdate time.

An authority MUST issue a new manifest in conjunction with the finalization of changes made to objects in the publication point. If any named objects in the publication point are replaced, the authority MUST ensure that the file hash for each replaced object is updated accordingly in the new manifest. Additionally, the authority MUST revoke the certificate associated with each replaced object (other than a CRL), if it is not expired. An authority MAY perform a number of object operations on a publication repository within the scope of a repository change before issuing a single manifest that covers all the operations within the scope of this change. Repository operators MUST implement some form of repository update procedure that mitigates, to the extent possible, the risk that RPs that are performing retrieval operations on the repository are exposed to inconsistent, transient, intermediate states during updates to the repository publication point (directory) and the associated manifest.

Since the manifest object URL is included in the SIA of issued certificates, a new manifest MUST NOT invalidate the manifest object URL of previously issued certificates. This implies that the manifest's publication name in the repository, in the form of an object URL, is unchanged across manifest generation cycles.

When a CA entity is performing a key rollover, the entity MAY choose to have two CA instances simultaneously publishing into the same repository publication point. In this case, there will be one manifest associated with each active CA instance that is publishing into the common repository publication point (directory).

6. Relying Party Processing of Manifests

Each RP MUST use the current manifest of a CA to control addition of listed files to the set of signed objects the RP employs for validating basic RPKI objects: certificates, ROAs, and CRLs. Any files not listed on the manifest MUST NOT be used for validation of these objects. However, files not listed on a manifest MAY be employed to validate other signed objects, if the profile of the object type explicitly states that such behavior is allowed (or required). Note that relying on files not listed in a manifest may allow an attacker to effect substitution attacks against such objects.

As noted earlier, manifests are designed to allow an RP to detect manipulation of repository data, errors by a CA or repository manager, and/or active attacks on the communication channel between an RP and a repository. Unless all of the files enumerated in a manifest can be obtained by an RP during a fetch operation, the fetch is considered to have failed and the RP MUST retry the fetch later.

[[RFC6480](#)] suggests (but does not mandate) that the RPKI model employ fetches that are incremental, e.g., an RP transfers files from a publication point only if they are new/changed since the previous, successful, fetch represented in the RP's local cache. This document avoids language that relies on details of the underlying file transfer mechanism employed by an RP and a publication point to effect this operation. Thus the term "fetch" refers to an operation that attempts to acquire the full set of files at a publication point, consistent with the id-ad-rpkiManifest URI extracted from a CA certificate's SIA (see below).

If a fetch fails, it is assumed that a subsequent fetch will resolve problems encountered during the fetch. Until such time as a successful fetch is executed, an RP SHOULD use cached data from a previous, successful fetch. This response is intended to prevent an RP from misinterpreting data associated with a publication point, and thus possibly treating invalid routes as valid, or vice versa.

The processing described below is designed to cause all RPs with access to the same local cache and RPKI repository data to acquire the same set of validated repository files. It does not ensure that the RPs will achieve the same results with regard to validation of

RPKI data, since that depends on how each RP resolves any conflicts that may arise in processing the retrieved files. Moreover, in operation, different RPs will access repositories at different times, and some RPs may experience local cache failures, so there is no guarantee that all RPs will achieve the same results with regard to acquisition or validation of RPKI data.

Note also that there is a "chicken and egg" relationship between the manifest and the CRL for a given CA instance. If the EE certificate for the current manifest is revoked, i.e., it appears in the current CRL, then the CA or publication point manager has made a serious error. In this case the fetch has failed; proceed to [Section 6.6](#). Similarly, if the CRL is not listed on a valid, current manifest, acquired during a fetch, the fetch has failed; proceed to [Section 6.6](#), because the CRL is considered missing.

6.1. Manifest Processing Overview

For a given publication point, an RP MUST perform a series of tests to determine which signed object files at the publication point are acceptable. The tests described below ([Section 6.2](#) to [Section 6.5](#)) are to be performed using the manifest identified by the id-ad-rpkiManifest URI extracted from a CA certificate's SIA. All of the files referenced by the manifest MUST be located at the publication point specified by the id-ad-caRepository URI from the (same) CA certificate's SIA. The manifest and the files it references MUST reside at the same publication point. If an RP encounters any files that appear on a manifest but do not reside at the same publication point as the manifest the RP MUST treat the fetch as failed, and a warning MUST be issued (see [Section 6.6](#) below).

Note that, during CA key rollover [[RFC6489](#)], signed objects for two or more different CA instances will appear at the same publication point. Manifest processing is to be performed separately for each CA instance, guided by the SIA id-ad-rpkiManifest URI in each CA certificate.

6.2. Acquiring a Manifest for a CA

The RP MUST fetch the manifest identified by the SIA id-ad-rpkiManifest URI in the CA certificate. If an RP cannot retrieve a manifest using this URI, or if the manifest is not valid ([Section 4.4](#)), an RP MUST treat this as a failed fetch and proceed to [Section 6.6](#); otherwise proceed to [Section 6.3](#).

6.3. Detecting Stale and or Prematurely-issued Manifests

The RP MUST check that the current time (translated to UTC) is between thisUpdate and nextUpdate. If the current time lies within this interval, proceed to [Section 6.4](#). If the current time is

earlier than thisUpdate, the CA may have made an error or the RP's local notion of time may be in error; the RP MUST treat this as a failed fetch and proceed to [Section 6.6](#). If the current time is later than nextUpdate, then the manifest is stale; this is a failed fetch and RP MUST proceed to [Section 6.6](#); otherwise proceed to [Section 6.4](#).

6.4. Acquiring Files Referenced by a Manifest

The RP MUST acquire all of the files enumerated in the manifest (fileList) from the publication point. If there are files listed in the manifest that cannot be retrieved from the publication point, the fetch has failed and the RP MUST proceed to [Section 6.6](#); otherwise, proceed to [Section 6.5](#).

6.5. Matching File Names and Hashes

The RP MUST verify that the hash value of each file listed in the manifest matches the value obtained by hashing the file acquired from the publication point. If the computed hash value of a file listed on the manifest does not match the hash value contained in the manifest, then the fetch has failed and the RP MUST proceed to [Section 6.6](#); otherwise proceed to [Section 6.6](#).

6.6. Failed Fetches

If a fetch fails for any of the reasons cited in 6.2-6.5, the RP MUST issue a warning indicating the reason(s) for termination of processing with regard to this CA instance. It is RECOMMENDED that a human operator be notified of this warning.

Termination of processing means that the RP SHOULD continue to use cached versions of the objects associated with this CA instance, until such time as they become stale or they can be replaced by objects from a successful fetch. This implies that the RP MUST not try to acquire and validate subordinate signed objects, e.g., subordinate CA certificates, until the next interval when the RP is scheduled to fetch and process data for this CA instance.

7. Publication Repositories

The RPKI publication system model requires that every publication point be associated with one or more CAs, and be non-empty. Upon creation of the publication point associated with a CA, the CA MUST create and publish a manifest as well as a CRL. A CA's manifest will always contain at least one entry, i.e., a CRL issued by the CA [[RFC6481](#)], corresponding to the scope of this manifest.

Every published signed object in the RPKI [[RFC6488](#)] is published in the repository publication point of the CA that issued the EE

certificate, and is listed in the manifest associated with that CA certificate.

8. Security Considerations

Manifests provide an additional level of protection for RPKI RPs. Manifests can assist an RP to determine if a repository object has been deleted, occluded, or otherwise removed from view, or if a publication of a newer version of an object has been suppressed (and an older version of the object has been substituted).

Manifests cannot repair the effects of such forms of corruption of repository retrieval operations. However, a manifest enables an RP to determine if a locally maintained copy of a repository is a complete and up-to-date copy, even when the repository retrieval operation is conducted over an insecure channel. In cases where the manifest and the retrieved repository contents differ, the manifest can assist in determining which repository objects form the difference set in terms of missing, extraneous, or superseded objects.

The signing structure of a manifest and the use of the nextUpdate value allows an RP to determine if the manifest itself is the subject of attempted alteration. The requirement for every repository publication point to contain at least one manifest allows an RP to determine if the manifest itself has been occluded from view. Such attacks against the manifest are detectable within the time frame of the regular schedule of manifest updates. Forms of replay attack within finer-grained time frames are not necessarily detectable by the manifest structure.

9. IANA Considerations

As [\[RFC6488\]](#) created and populated the registries "RPKI Signed Object" and three-letter filename extensions for "RPKI Repository Name Schemes," no new action is requested of the IANA.

10. Acknowledgements

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Appendix A. ASN.1 Module

```
RPKIManifest { iso(1) member-body(2) us(840) rsadsi(113549)
                pkcs(1) pkcs9(9) smime(16) mod(0) TBD }
```

```

DEFINITIONS EXPLICIT TAGS ::=
    BEGIN

    -- EXPORTS ALL --

    IMPORTS

        CONTENT-TYPE
        FROM CryptographicMessageSyntax-2010 -- in [RFC6268]
        { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
          pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) } ;

    -- Manifest Content Type

    ct-rpkiManifest CONTENT-TYPE ::=
        { TYPE Manifest IDENTIFIED BY id-ct-rpkiManifest }

    id-smime OBJECT IDENTIFIER ::= { iso(1) member-body(2)
        us(840) rsadsi(113549) pkcs(1) pkcs9(9) 16 }

    id-ct OBJECT IDENTIFIER ::= { id-smime 1 }

    id-ct-rpkiManifest OBJECT IDENTIFIER ::= { id-ct 26 }

    Manifest ::= SEQUENCE {
        version          [0] INTEGER DEFAULT 0,
        manifestNumber    INTEGER (0..MAX),
        thisUpdate        GeneralizedTime,
        nextUpdate        GeneralizedTime,
        fileHashAlg       OBJECT IDENTIFIER,
        fileList          SEQUENCE SIZE (0..MAX) OF FileAndHash
    }

    FileAndHash ::= SEQUENCE {
        file IA5String,
        hash BIT STRING
    }

    END

```

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