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**A Profile for Autonomous System Provider Authorization**

## Abstract

This document defines a Cryptographic Message Syntax (CMS) protected content type for Autonomous System Provider Authorization (ASPA) objects for use with the Resource Public Key Infrastructure (RPKI). An ASPA is a digitally signed object through which the issuer (the holder of an Autonomous System identifier), can authorize one or more other Autonomous Systems (ASes) as its upstream providers. When validated, an ASPA's eContent can be used for detection and mitigation of route leaks.

## Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

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## 1. Introduction

The primary purpose of the Resource Public Key Infrastructure (RPKI) is to improve routing security [[RFC6480](#)]. As part of this infrastructure, a mechanism is needed to facilitate holders of Autonomous System (AS) identifiers in their capacity as Customer to

authorize other ASes as their Provider(s). A Provider AS (PAS) is a network that:

- a. offers its customers outbound (customer to Internet) data traffic connectivity and/or
- b. further propagates in all directions (towards providers, lateral peers, and customers) any BGP Updates that the customer may send.

The digitally signed Autonomous System Provider Authorization (ASPA) object described in this document provides the above-mentioned authorization mechanism.

An ASPA object is a cryptographically verifiable attestation signed by the holder of an Autonomous System identifier (hereafter called the "Customer AS", or CAS). An ASPA contains a list of one or more ASes, each listing meaning the listed AS is authorized to act as Provider network. When the CAS has multiple Providers, all Provider ASes are listed in the ASPA, including any non-transparent Internet Exchange Point (IXP) Route Server (RS) ASes. The common case for RS ASes at IXPs is to operate transparently (see Section 2.2.2.1 [RFC7947]), and in those instances the ASNs of IXP Route Servers are not listed as PAS in ASPAs.

The BGP Roles that an Autonomous System (AS) may have in its peering relationships with eBGP neighbors are discussed in [I-D.ietf-sidrops-aspa-verification]. The details of ASPA registration requirements for ASes in different scenarios are also specified in that document. In addition, the procedures for verifying AS\_PATHs in BGP UPDATE messages using Validated ASPA Payloads (VAPs) are described in that document.

This CMS [RFC5652] protected content type definition conforms to the [RFC6488] template for RPKI signed objects. In accordance with Section 4 of [RFC6488], this document defines:

1. The object identifier (OID) that identifies the ASPA signed object. This OID appears in the eContentType field of the encapContentInfo object as well as the content-type signed attribute within the signerInfo structure.
2. The ASN.1 syntax for the ASPA content, which is the payload signed by the CAS. The ASPA content is encoded using the ASN.1 [X.680] Distinguished Encoding Rules (DER) [X.690].
3. The steps required to validate an ASPA beyond the validation steps specified in [RFC6488].

## 2. ASPA Content Type

The content-type for an ASPA is defined as id-ct-ASPA, which has the numerical value of 1.2.840.113549.1.9.16.1.49. This OID MUST appear both within the eContentType in the encapContentInfo structure as well as the content-type signed attribute within the signerInfo structure (see [[RFC6488](#)]).

## 3. ASPA eContent

The content of an ASPA identifies the Customer AS (CAS) as well as the Set of Provider ASes (SPAS) that are authorized by the CAS to be its Providers.

A user registering ASPA(s) must be cognizant of Sections 2, 3, and 4 of [[I-D.ietf-sidrops-aspa-verification](#)] and the user (or their software tool) must comply with the ASPA registration recommendations in Section 4 of that document.

It is highly recommended that for a given Customer AS, a single ASPA object be maintained which contains all providers, including any non-transparent RS ASes. Such a practice helps prevent race conditions during ASPA updates. Otherwise, said race conditions might affect route propagation. The software that provides hosting for ASPA records SHOULD support enforcement of this recommendation. In the case of the transition process between different CA registries, the ASPA records SHOULD be kept identical in all registries in terms of their authorization contents.

The eContent of an ASPA is an instance of ASProviderAttestation, formally defined by the following ASN.1 [[X.680](#)] module:

```

RPKI-ASPA-2023
{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
  pkcs-9(9) smime(16) modules(0) id-mod-rpki-aspa-2023(TBD) }

DEFINITIONS EXPLICIT TAGS ::=
BEGIN

IMPORTS
  CONTENT-TYPE
  FROM CryptographicMessageSyntax-2010 -- RFC 6268
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
    pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) } ;

id-ct-ASPA OBJECT IDENTIFIER ::=
  { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
    pkcs-9(9) id-smime(16) id-ct(1) aspa(49) }

ct-ASPA CONTENT-TYPE ::=
  { TYPE ASProviderAttestation IDENTIFIED BY id-ct-ASPA }

ASProviderAttestation ::= SEQUENCE {
  version [0]    INTEGER DEFAULT 0,
  customerASID   ASID,
  providers      ProviderASSet }

ProviderASSet ::= SEQUENCE (SIZE(1..MAX)) OF ASID

ASID ::= INTEGER (0..4294967295)

END

```

Note that this content appears as the eContent within the encapContentInfo as specified in [[RFC6488](#)].

### 3.1. version

The version number of the ASProviderAttestation that complies with this specification MUST be 1 and MUST be explicitly encoded.

### 3.2. customerASID

The customerASID field contains the AS number of the Customer Autonomous System that is the authorizing entity.

### 3.3. providers

The providers field contains the listing of ASes that are authorized as providers.

Each element contained in the providers field is an instance of ASID. Each ASID element contains the AS number of an AS that has been authorized by the customer AS as its provider or RS.

In addition to the constraints described by the formal ASN.1 definition, the contents of the providers field MUST satisfy the following constraints:

- \*The CustomerASID value MUST NOT appear in any ASID in the providers field.
- \*The elements of providers MUST be ordered in ascending numerical order.
- \*Each value of ASID MUST be unique (with respect to the other elements of providers).

#### 4. ASPA Validation

Before a relying party can use an ASPA to validate a routing announcement, the relying party MUST first validate the ASPA object itself. To validate an ASPA, the relying party MUST perform all the validation checks specified in [\[RFC6488\]](#) as well as the following additional ASPA-specific validation steps.

- \*The Autonomous System Identifier Delegation Extension [\[RFC3779\]](#) MUST be present in the end-entity (EE) certificate (contained within the ASPA), and the Customer ASID in the ASPA eContent MUST be contained within the set of AS numbers specified by the EE certificate's Autonomous System Identifier Delegation Extension.
- \*The EE certificate's Autonomous System Identifier Delegation Extension MUST NOT contain any "inherit" elements.
- \*The IP Address Delegation Extension [\[RFC3779\]](#) MUST be absent.

#### 5. IANA Considerations

##### 5.1. SMI Security for S/MIME Module Identifier registry

Please add the id-mod-rpki-aspa-2023 to the SMI Security for S/MIME Module Identifier (1.2.840.113549.1.9.16.0) registry (<https://www.iana.org/assignments/smi-numbers/smi-numbers.xml#security-smime-0>) as follows:

Decimal	Description	Specification
TBD2	id-mod-rpki-aspa-2023	[RFC-to-be]

## 5.2. SMI Security for S/MIME CMS Content Type registry

Please add the ASPA to the SMI Security for S/MIME CMS Content Type (1.2.840.113549.1.9.16.1) registry (<https://www.iana.org/assignments/smi-numbers/smi-numbers.xml#security-smime-1>) as follows:

Decimal	Description	Specification
49	id-ct-ASPA	[RFC-to-be]

## 5.3. RPKI Signed Object registry

Please add Autonomous System Provider Authorization to the RPKI Signed Object registry (<https://www.iana.org/assignments/rpki/rpki.xhtml#signed-objects>) as follows:

Name	OID
Autonomous System Provider Authorization	1.2.840.113549.1.9.16.1.4

## 5.4. RPKI Repository Name Scheme registry

Please add an item for the Autonomous System Provider Authorization file extension to the "RPKI Repository Name Scheme" registry created by [\[RFC6481\]](#) as follows:

Filename Extension	RPKI Object	Reference
.asa	Autonomous System Provider Authorization	[RFC-to-be]

## 5.5. Media Type registry

The IANA is requested to register the media type application/rpki-aspa in the "Media Type" registry as follows:

Type name: application  
Subtype name: rpki-aspa  
Required parameters: N/A  
Optional parameters: N/A  
Encoding considerations: binary  
Security considerations: Carries an RPKI ASPA [RFC-to-be].  
    This media type contains no active content. See  
    Section 4 of [RFC-to-be] for further information.  
Interoperability considerations: None  
Published specification: [RFC-to-be]  
Applications that use this media type: RPKI operators  
Additional information:  
    Content: This media type is a signed object, as defined  
        in [RFC6488], which contains a payload of a list of  
        AS identifiers as defined in [RFC-to-be].  
    Magic number(s): None  
    File extension(s): .asa  
    Macintosh file type code(s):  
Person & email address to contact for further information:  
    Job Snijders <job@fastly.com>  
Intended usage: COMMON  
Restrictions on usage: None  
Change controller: IETF

## 6. Security Considerations

The security considerations of [[RFC6481](#)], [[RFC6485](#)], and [[RFC6488](#)] also apply to ASPAs.

## 7. Implementation status

This section is to be removed before publishing as an RFC.

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in RFC 7942. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to RFC 7942, "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of



running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

\*A validator implementation [[rpki-client](#)] (version 8.5 and higher), written in C was provided by Job Snijders from Fastly.

\*A validator implementation [[routinator](#)], written in Rust was provided by Martin Hoffman from NLnet Labs.

\*A validator implementation [[rpki-prover](#)], written in Haskell was provided by Mikhail Puzanov.

\*A Signer implementation [[rpki-aspa-demo](#)] in Perl was reported on Tom Harrison from APNIC.

\*A signer implementation [[rpki-commons](#)] in Java was reported on by Ties de Kock from RIPE NCC.

\*A signer implementation [[krill](#)] in Rust was reported on by Tim Bruijnzeels from NLnet Labs.

## 8. Acknowledgments

The authors would like to thank Keyur Patel for helping kick-start the ASPA profile project, Ties de Kock & Tim Bruijnzeels for suggesting that the ProviderASSet be in a canonical form, and Claudio Jeker & Martin Hoffman for review and several suggestions for improvements.

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## Appendix A. Example ASPA eContent Payload

Below an example of a DER encoded ASPA eContent is provided with annotation following the '#' character.

```
$ echo 301da00302010102023cca301202020b620202205b020300c790020303259e \
| xxd -r -ps | openssl asn1parse -inform DER -dump -i
 0:d=0  hl=2 l= 29 cons: SEQUENCE
 2:d=1  hl=2 l=  3 cons: cont [ 0 ]
 4:d=2  hl=2 l=  1 prim:  INTEGER           :01
 7:d=1  hl=2 l=  2 prim:  INTEGER           :3CCA    # Customer ASID
11:d=1  hl=2 l= 18 cons: SEQUENCE
13:d=2  hl=2 l=  2 prim:  INTEGER           :0B62    # ProviderAS 29
17:d=2  hl=2 l=  2 prim:  INTEGER           :205B    # ProviderAS 82
21:d=2  hl=2 l=  3 prim:  INTEGER           :C790    # ProviderAS 51
26:d=2  hl=2 l=  3 prim:  INTEGER           :03259E  # ProviderAS 20
```

Below is a complete [Base64](#) [[RFC4648](#)] encoded RPKI ASPA Signed Object.

MIIGoQYJKoZIhvcNAQcCoIIGkjCCBo4CAQMxDALBgIghkgBZQMEAgEwMAYLkoZIhvcNAQkQ  
ATGgIQQfMFB2gAwIBAQICPMowEgICC2ICAiBbAgMAx5ACAwMlnqCCBJgwggSUMIIDfKADAgEC  
AgoAocd1L/ix0uAfMA0GCSqGSib3DQEBCwUAMDMxMTAvBgNVBAMTKGNhYTgwNWRiYWZnNjQ3  
NDliOWIxMTU1OTBhYjZlZjBmOTcwY2RiZDgwHhcNMjMwNjA3MDkwODE0WhcNMjQwNjA2MDkw  
ODE0WjAVMRMwEQYDVQDDAoXnjg2MTI4MDA5MIIBIjANBgkqhkiG9w0BAQEFAAOCAQ8AMIIB  
CgKCAQEA9YsEEF6Mb6Rhj7W35W9F8vT90nGpMopJDL9y05Tms49iQ5hnZKXiabmwPKEn9Uat  
QU4Klff/2XkFXrjnmGcA/jb5C/22JlM1WRZcFfKwJXGWBf9HW2qlz9KTKT07vkFFp8+H6NTu  
MPX/nuEFFMlgwVv/dS5x5gjFuGmhBpXiKhIiNahTqFdXQwJoI3BCngt4G4rLhu0zHsAH9/E1  
s4Xwk57HoKScj2mKAoHMWrlJx9C9BRiqVXFz7xAbuYDnrHFuGpZKp+BCB4mVJIT/a5LnUH/kp  
6Dih5833FbWZ0Au9pKqUBYD7J0QT/LGqvHSTX0zS9xGr5z3vg8glCecoA0IylQIDAQAB04IB  
xjCCAcIwDgYDVR0PAQH/BAQDAgeAMB0GA1UdDgQWBBTmbzR/BjCz/cWIUPsmJCMcpnVFhDAf  
BgNVHSMEGDAWgBTkqAXbrDZHSbmxFVkkTu8Plwzb2DAYBgNVHSABAF8EDjAMMAoGCCsGAQUF  
Bw4CMBkGCCsGAQUFBwEIAQH/BAowCKAGMAQCAjzKMGQGccsGAQUFBwEBBFgwVjBUBggrBgEF  
BQcwAoZicnN5bmM6Ly9ycGtpLnJpcGUubmV0L3JlcG9zaXRvcnkREVGQVVMVC95cWdGMjZ3  
MlIwbTVzUlZaQ3JidkQ1Y00yOWcuY2VYMGQGA1UdHwRdMFswWABXoFWGU3Jzew5j0i8vY2hs  
b2Uuc29ib3Jub3N0Lm5ldC9ycGtpL1JJUEUtbmxqb2JzbmlqZGVycy95cWdGMjZ3MlIwbTVz  
UlZaQ3JidkQ1Y00yOWcuY3JsMG8GCCsGAQUFBwELBGMwYTBfBggrBgEFBQcwC4ZTcnN5bmM6  
Ly9jaGxvZS5zb2JvcM5vc3QubmV0L3Jwa2kvUklQRS1ubGpvYnNuaWpkZXJzLzVtODBmd1l3  
c18zRmlGRDdKaVFqQXFaMVJZUS5hc2EwDQYJKoZIhvcNAQELBQADggEBADMA9gmyYb+tw623  
Y0hiwMkfh8UIWBL18TzuE/oV1+1V1vMmoZN2DZvS0DTBGHyDJosSxCfFIVgiBxyZ4Hz+5Kz3  
p+SCiv+W4Xm4/2IR9KZpd4XFldvz0m82rtjadiD9pP2pEoQ7hvp/QjJwWA2Lo8BgSUTF6x/E  
1nIhvLqmQTnyW/McSiYt3zctekg2lJVYUhIgMd07HI0gzDKY8iPcTTGa9hzQBt5r0j1ukfgy  
9mRnLB6u1v6qa1VKIgxsc05r4X4ClvQeFdhgx1XqZ2YAB0fhfK+ouIk52gIXnfDD6T301wU7  
3bNDRqNBPb3B6fGV+XtAszI4lzQcgmWz1Ve17EEExggGqMIIBpgIBA4AU5m80fwYws/3FiFD7  
JiQjAqZ1RYQwCwYJYIZIAWUDBAIBoGswGgYJKoZIhvcNAQkDMQ0GCyqGSib3DQJEAEExMBwG  
CSqGSib3DQEBTEPFw0yMzA2MDcwOTA4NDFaMC8GCSqGSib3DQEBDEiBCAJcXvBATD7chRb  
oBj7Kghjf+uaiuybzdAcFPCzBXweYDANBgkqhkiG9w0BAQEFAASCAQDRbk4QaP0AdYgtgxdS  
3T/qgz0+m0RT2ue/5vqnhqCIqJBUjjrV0i2kgR3xhXFJfwz0pMuvUD6ikMdb90sjvkgGqprN  
xepbslSGf20rrYHa36qF38KsXrPNASslNDCn7eN/TBo0V+8tac0FcPEyC7stuFw5GtvL37RS  
/ZvyDm8NMo06JynhZ2me3sTJVpqTopv0vqVQi0VLCNEq+CQidPEdqGEVDT9y2dVIVZ3J54Lq  
v76sXvhswo7CpMzTJyEx2VcIXwADMKZF/nWciTrkNzLfahVsL6Uzf1vMqNo3nVYJIsnF6U3  
03Niq7v005r1PyS/pZqe+uwbV2gGQMcXwrvt

The above should decode as following:

Object SHA256 hash: s25yLaks30XBzJcW3Zgv1LDiPUpyZbQk2jDHaPDgn1w  
EE Subject key identifier: E6:6F:34:7F:06:30:B3:FD:C5:88:50:FB:26:24:2  
EE Certificate issuer: /CN=caa805dbac364749b9b115590ab6ef0f970cddb  
EE Certificate serial: A1C7752FF8B1D2E01F  
EE Authority key identifier: CA:A8:05:DB:AC:36:47:49:B9:B1:15:59:0A:B6:E  
EE Authority info access: rsync://rpki.ripe.net/repository/DEFAULT/yq  
EE Subject info access: rsync://chloe.sobornost.net/rpki/RIPE-nljob  
CMS Signing time: Wed 07 Jun 2023 09:08:41 +0000  
EE notBefore: Wed 07 Jun 2023 09:08:14 +0000  
EE notAfter: Thu 06 Jun 2024 09:08:14 +0000

ASPA eContent:  
Customer AS: 15562  
Provider Set:  
1: AS: 2914  
2: AS: 8283  
3: AS: 51088  
4: AS: 206238

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