SIPREC Internet-Draft

Intended status: Standards Track

Expires: February 13, 2016

R. Ravindranath Cisco Systems Parthasarathi. Ravindran Nokia Networks Paul. Kyzivat Huawei August 12, 2015

# Session Initiation Protocol (SIP) Recording Metadata draft-ietf-siprec-metadata-18

#### Abstract

Session recording is a critical requirement in many communications environments such as call centers and financial trading. In some of these environments, all calls must be recorded for regulatory, compliance, and consumer protection reasons. Recording of a session is typically performed by sending a copy of a media stream to a recording device. This document describes the metadata model as viewed by Session Recording Server(SRS) and the Recording metadata format.

#### Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of  $\underline{\mathsf{BCP}}$  78 and  $\underline{\mathsf{BCP}}$  79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <a href="http://datatracker.ietf.org/drafts/current/">http://datatracker.ietf.org/drafts/current/</a>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on February 13, 2016.

# Copyright Notice

Copyright (c) 2015 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to <u>BCP 78</u> and the IETF Trust's Legal Provisions Relating to IETF Documents (<a href="http://trustee.ietf.org/license-info">http://trustee.ietf.org/license-info</a>) in effect on the date of

publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

# Table of Contents

<u>1</u> .	Introdu	ction														<u>3</u>
<u>2</u> .	Termino.	logy														<u>3</u>
<u>3</u> .	Definit:	ions														<u>3</u>
<u>4</u> .	Metadata	a Model														<u>4</u>
<u>5</u> .	Recordi	ng Metadata Fo	rma	ιt												<u>6</u>
<u>5</u>	<u>.1</u> . XML	data format .														<u>6</u>
	<u>5.1.1</u> .	Namespace														7
	<u>5.1.2</u> .	recording														<u>7</u>
<u>6</u> .	Recordi	ng Metadata cla	ass	ses	6											7
<u>6</u>	<u>.1</u> . Rec	ording Session														<u>7</u>
	<u>6.1.1</u> .	Attributes .														8
	<u>6.1.2</u> .	Linkages														8
	<u>6.1.3</u> .	XML element .														8
6	<u>.2</u> . Com	munication Ses	sic	n	Gr	ou	р									8
	<u>6.2.1</u> .	Attributes .														9
	<u>6.2.2</u> .	Linkages														9
	<u>6.2.3</u> .	XML element .														<u>10</u>
6	<u>.3</u> . Com	munication Ses	sic	n												<u>10</u>
	<u>6.3.1</u> .	Attributes .														<u>11</u>
	<u>6.3.2</u> .	Linkages														<u>11</u>
	<u>6.3.3</u> .	XML element .														<u>12</u>
<u>6</u>	<u>.4</u> . CSRS	SAssociation .														<u>13</u>
	<u>6.4.1</u> .	Attributes .														<u>13</u>
	<u>6.4.2</u> .	Linkages														<u>14</u>
	<u>6.4.3</u> .	XML element .														<u>14</u>
<u>6</u>	<u>.5</u> . Par	ticipant														<u>14</u>
	<u>6.5.1</u> .	Attributes .														<u>14</u>
	<u>6.5.2</u> .	Linkages														<u>15</u>
	<u>6.5.3</u> .	XML element .														<u>15</u>
<u>6</u>	<u>.6</u> . Par	ticipantCSAsso	cia	ıti	Lor	1										<u>16</u>
	<u>6.6.1</u> .	Attributes .														<u>16</u>
	<u>6.6.2</u> .	Linkages														<u>17</u>
	<u>6.6.3</u> .	XML element .														<u>17</u>
<u>6</u>	<u>.7</u> . Med:	ia Stream														<u>17</u>
	<u>6.7.1</u> .	Attributes .														<u>17</u>
	<u>6.7.2</u> .	Linkages														<u>18</u>
	<u>6.7.3</u> .	XML element .														<u>18</u>
<u>6</u>	<u>.8</u> . Par	ticipantStream	As	ssc	сі	Lat	ic	n								<u>18</u>
	6.8.1.	Attributes .														19

<u>6.8.</u>	<u>2</u> . Linkages				<u>19</u>
6.8.	3. XML element				<u>19</u>
<u>6.9</u> .	associate-time/disassociate-time				<u>20</u>
<u>6.10</u> .	Unique ID format				<u>20</u>
<u>6.11</u> .	Metadata version Indicator				<u>20</u>
<u>7</u> . Reco	rding Metadata snapshot Request format				<u>20</u>
<u>8</u> . SIP	Recording Metadata Example				<u>21</u>
<u>8.1</u> .	Complete SIP Recording Metadata Example				<u>21</u>
<u>8.2</u> .	Partial Update of Recording metadata XML body				<u>23</u>
9. XML	Schema definition for Recording metadata				<u>23</u>
<u>10</u> . Secu	rity Considerations				<u>27</u>
<u>10.1</u> .	Connection Security				<u>28</u>
<u>11</u> . IANA	Considerations				<u>28</u>
<u>11.1</u> .	$\operatorname{SIP}$ recording metadata $\operatorname{Schema}$ Registration .				<u>28</u>
<u>12</u> . Ackn	owledgement				<u>29</u>
<u>13</u> . Refe	rences				<u>29</u>
<u>13.1</u> .	Normative References				<u>29</u>
<u>13.2</u> .	Informative References				<u>30</u>
Authors'	Addresses				31

#### 1. Introduction

Session recording is a critical requirement in many communications environments such as call centers and financial trading. In some of these environments, all calls must be recorded for regulatory, compliance, and consumer protection reasons. Recording of a session is typically performed by sending a copy of a media stream to a recording device. This document focuses on the Recording metadata which describes the communication session. The document describes a metadata model as viewed by Session Recording Server and the Recording metadata format, the requirements for which are described in [RFC6341] and the architecture for which is described in [RFC7245].

# 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119]. This document only uses these key words when referencing normative statements in existing RFCs."

## 3. Definitions

Metadata Model: An abstract representation of metadata using a Unified Modelling Language(UML) class diagram.

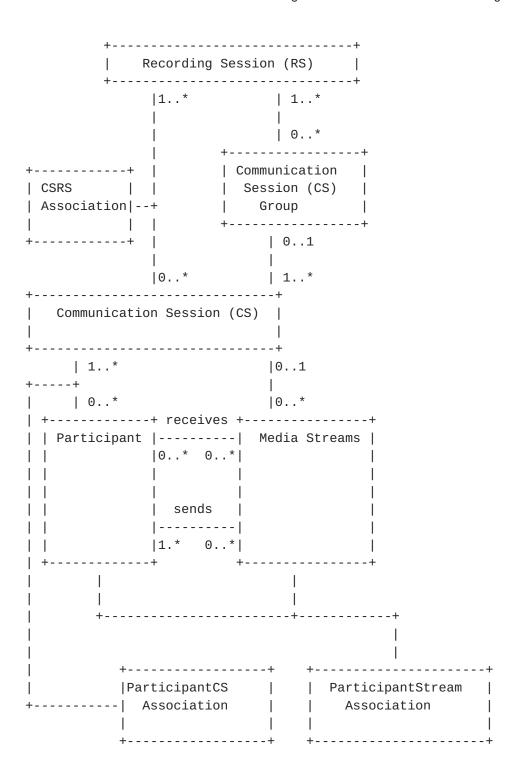
Metadata classes: Each block in the model represents a class. A class is a construct that is used as a blueprint to create instances(called objects) of itself. The description of each class also has representation of its attributes in a second compartment below the class name.

Attributes: Attributes represent the elements listed in each of the classes. The attributes of a class are listed in the second compartment below the class name. Each instance of class conveys values for these attributes which adds to the recording's Metadata.

Linkages: Linkages represent the relationship between the classes in the model. Each represents a logical connection between classes(or objects) in class diagrams/ object diagrams. The linkages used in the Metadata model of this document are associations.

## 4. Metadata Model

Metadata is the information that describes recorded media and the CS to which they relate. The diagram below shows a model for Metadata as viewed by a Session Recording Server (SRS).



The Metadata model is a class diagram in Unified Modelling Language(UML). The model describes the structure of a metadata in general by showing the classes, their attributes, and the relationships among the classes. Each block in the model above represents a class. The linkages between the classes represents the relationships which can be associations or Composition. The metadata is conveyed from SRC to SRS.

The model allows the capture of a snapshot of a recording's Metadata at a given instant in time. Metadata changes to reflect changes in what is being recorded. For example, if a participant joins a conference, then the SRC sends the SRS a snapshot of metadata having that participant information (with attributes like name/AoR pair and associate-time.)

Some of the metadata is not required to be conveyed explicitly from the SRC to the SRS, if it can be obtained contextually by the SRS(e.g., from SIP or SDP signalling).

## 5. Recording Metadata Format

This section gives an overview of the Recording Metadata Format. Some data from the metadata model is assumed to be made available to the SRS through Session Description Protocol (SDP)[RFC4566], and therefore this data is not represented in the XML document format specified in this document. SDP attributes describe different media formats like audio, video. The other metadata attributes, such as participant details, are represented in a new Recording specific XML document of type 'application/rs-metadata+xml'. The SDP label attribute [RFC4574] provides an identifier by which a metadata XML document can refer to a specific media description in the SDP sent from the SRC to the SRS.

The XML document format can be used to represent either the complete metadata or a partial update to the metadata. The latter includes only elements that have changed compared to the previously reported metadata.

# 5.1. XML data format

Every recording metadata XML document MUST contain a <recording> element. The <recording> element acts as a container for all other elements in this XML document.

A recording object is a XML document. It MUST have the XML declaration and it SHOULD contain an encoding declaration in the XML declaration, e.g., "<?xml version='1.0' encoding='UTF-8'?>". If the charset parameter of the MIME content type declaration is present and it is different from the encoding declaration, the charset parameter takes precedence.

Every application conforming to this specification MUST accept the UTF-8 character encoding to ensure the minimal interoperability.

Syntax and semantic errors in an XML document should be reported to the originator using application specific mechanisms.

## 5.1.1. Namespace

The namespace URI for elements defined by this specification is a Uniform Resource Namespace (URN) [RFC2141], using the namespace identifier 'ietf' defined by [RFC2648] and extended by [RFC3688].

The URN is: urn:ietf:params:xml:ns:recording

## 5.1.2. recording

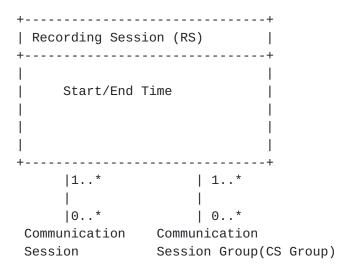
The <recording> element MUST contain an xmlns namespace attribute with value as urn:ietf:params:xml:ns:recording. One recording element MUST be present in every recording metadata XML document.

A recording element MAY contain a <dataMode> element indicating whether the XML document is a complete document or a partial update. If no <dataMode> element is present then the default value is "complete".

## 6. Recording Metadata classes

This section describes each class of the metadata model, and the attributes of each class. This section also describes how different classes are linked and the XML element for each of them.

## 6.1. Recording Session



Each instance of a Recording Session class (namely the Recording Session Object) represents a SIP session created between an SRC and SRS for the purpose of recording a Communication Session.

#### 6.1.1. Attributes

A Recording Session class has the following attributes:

o Start/End Time - Represents the Start/End time of a Recording Session object.

## 6.1.2. Linkages

Each instance of Recording Session has:

- o Zero or more instances of Communication Session Group (CSG).
- o Zero or more instances of Communication Session objects.

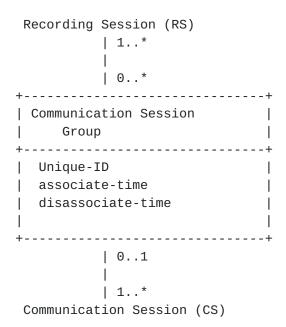
CSs and CSGs are optional to accommodate persistent recording, where there may sometimes be none.

## 6.1.3. XML element

A Recording Session object is represented by a <recording> XML element. That in turn relies on the SIP/SDP session with which the XML document is associated to provide some of the attributes of the Recording Session element.

Start and End time value are derivable from Date header(if present in SIP message) in RS. In cases where Date header is not present, Start/End time are derivable from the time at which SRS receives the notification of SIP message to setup RS / disconnect RS.

# 6.2. Communication Session Group



One instance of a Communication Session Group class (namely the Communication Session Group object) provides association or linking of Communication Sessions.

## **6.2.1.** Attributes

A CS Group has the following attributes:

- o Unique-ID This Unique-ID is to group different CSs that are related. SRC (or SRS) is responsible for ensuring the uniqueness of Unique-ID in case multiple SRC interacts with the same SRS. The mechanism by which SRC groups the CS is outside the scope of SIPREC.
- o Associate-time Associate-time for CS-Group shall be calculated by SRC as the time when a grouping is formed. The rules that determine how a grouping of different Communication Session objects is done by SRC is outside the scope of SIPREC.
- o Disassociate-time Disassociate-time for CS-Group shall be calculated by SRC as the time when the grouping ends

# 6.2.2. Linkages

The linkages between Communication Session Group class and other classes are associations. A communication Session Group is associated with RS and CS in the following manner:

- o There are one or more Recording Session objects per Communication Session Group.
- o Each Communication Session Group object has to be associated with one or more RS [Here each RS can be setup by the potentially different SRCs]
- o There are one or more Communication Sessions per CS Group [e.g. Consult Transfer]

## 6.2.3. XML element

The <group> element is an optional element provides the information about the communication session group

Each communication session group (CSG)object is represented using one group element. Each <group> element has a unique 'group-id' attribute which uniquely identifies the CSG.

## **6.3.** Communication Session

Recording	Communication								
Session	Session	Group)							
1*		01							
1		I							
0*		1*							
+		+							
Communication	Session	(CS)							
İ		Ì							
+		+							
CS Identifier		I							
sip Session-ID		I							
Termination Rea	ason	I							
Start-time		- 1							
Stop-time									
+		+							
0*		01							
0*		0*							
Participant	Me	Media Stream							

A Communication Session class and its object in the metadata model represents a Communication Session and its properties needed as seen by the SRC.

#### 6.3.1. Attributes

A communication Session class has the following attributes:

- o Termination Reason This represents the reason why a CS was terminated. The communication session MAY contain a Call Termination Reason. This MAY be derived from SIP Reason header [RFC3326] of CS.
- o CS Identifier This attribute is used to uniquely identify a CS.
- o sip Session-ID This attribute carries sip Session-ID defined in [I-D.ietf-insipid-session-id].
- o Start-time This optional attribute represents start time of CS as seen by SRC
- o Stop-time This optional attribute represents stop time of CS as seen by SRC

This document does not specify attributes relating to what should happen to a recording of a CS after it has been delivered to the SRS. (E.g., how long to retain the recording, what access controls to apply.) The SRS is assumed to behave in accordance with policy. The ability for the SRC to influence this policy is outside the scope of this document. However if there are implementations where SRC has enough information, this could be sent as Extension Data attached to the CS

## 6.3.2. Linkages

A Communication Session is linked to CS-Group, Participant, Media Stream and Recording Session classes using the association relationship. Association between CS and Participant allows:

- o CS to have zero or more participants
- o Participant is associated with zero or more CSs. This includes participants who are not directly part of any CS. An example of such a case is participants in a premixed media stream. The SRC may have knowledge of such Participants, yet not have any signaling relationship with them. This might arise if one participant in CS is a conference focus. To summarize, even if the SRC does not have direct signalling relationships with all

participants in a CS, it should nevertheless create a Participant object for each participant that it knows about.

o The model also allows participants in CS that are not participants in the media. An example is the identity of a 3pcc controller that has initiated a CS to two or more participants of the CS. Another example is the identity of a conference focus. Of course a focus is probably in the media, but since it may only be there as a mixer, it may not report itself as a participant in any of the media streams.

Association between CS and Media Stream allows:

- o A CS to have zero or more Streams
- o A stream can be associated with at most one CS. Stream in persistent RS is not required to be associated with any CS before CS is created and hence the zero association is allowed.

Association between CS and RS allows:

- o Each instance of RS has Zero or more instances of Communication Session objects.
- o Each CS has to be associated with one more RS [ Here each RS can be potentially setup by different SRCs]

# 6.3.3. XML element

The <session> element provides the information about the Communication Session

Each communication session(CS) object is represented by one session element. Each session element has unique 'session\_id' attribute which helps to uniquely identify the CS.

Each Communication Session (CS) element MAY have zero or more <sipSessionID> XML elements. More than one sipSession-ID element may be present in a session element for Conference flows. For e.g., Three participants A, B and C are in conference that has a Focus(F) acting as SRC. The metadata sent from SRC to SRS will likely have three sipSession-Id elements that correspond to the SIP dialog's the Focus has with each of the three participants.

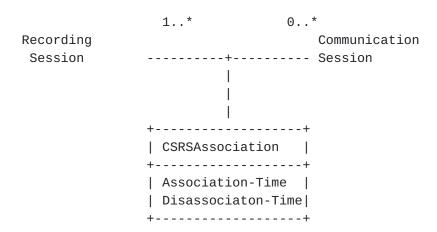
The sipSessionID XML element MUST follow the ABNF format of sessionid-value defined in section 5 of  $[\underline{\text{I-D.ietf-insipid-session-id}}]$ . If there are changes in CS, the same will be indicated in the metadata snapshot from SRC to SRS and this MAY include any sipSessionID

changes as well. For e.g., Assume A and B are two participants in a CS having a B2BUA acting as SRC. The SRC (B2BUA) reports the session id snapshot as A;remote=B at time t1 to SRS. At a later time if there is transfer in the same CS and B drops and C joins, then the SRC(B2BUA) reports the session id as A;remote=C at time t2 to SRS.

The XML <reason> element MAY be included in metadata to represent a CS Termination Reason. There MAY be multiple instances of the XML <reason> element inside a session element. The <reason> XML element has 'protocol' as an attribute, which indicates the protocol from which the reason string is derived. The default value for protocol attribute is "SIP". The <reason> element can be derived from a SIP Reason header in the CS.

A <group-ref> element MAY be present to indicate the group to which the enclosing session belongs.

#### 6.4. CSRSAssociation



The CSRS Association class describes the association of a CS to an RS for a period of time. A single CS may be associated with different RSs (perhaps by different SRCs) and may be associated and dissociated several times.

## 6.4.1. Attributes

CSRS association class has the following attributes:

o Associate-time - associate-time is calculated by SRC as the time it sees a CS is associated to a RS

o Disassociate-time- Disassociate-time is calculated by SRC as the time it see a CS disassociate from a RS.

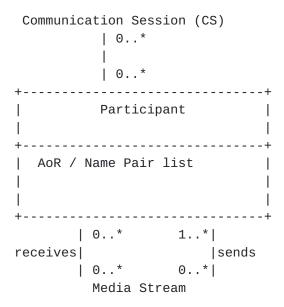
# 6.4.2. Linkages

CSRS association class is linked to CS and RS classes.

## 6.4.3. XML element

The <sessionrecordingassoc> XML element represents the CSRS association object. The 'session\_id' attribute is used to uniquely identify this element and link with a specific session. The recording object is implicitly defined by the enclosing <recording> element.

# 6.5. Participant



A Participant class and its objects has information about a device that is part of a CS and/or contributes/consumes media stream(s) belonging to a CS.

# 6.5.1. Attributes

Participant has a single defined attribute:

o AoR / Name pair list - This attribute is a list of Name/AoR tuples. An AoR MAY be a SIP/SIPS/TEL URI. Name represents Participant name(SIP display name) or dialed number (DN) (when known). Multiple tuples are allowed for cases where a participant has more than one AoR. (For example a P-Asserted-identity header [RFC3325] can have both SIP and TEL URIs.)

This document does not specify other attributes relating to participant e.g. Participant Role, Participant type. An SRC which has information of these attributes can indicate the same as part of extension data to Participant from SRC to SRS.

## 6.5.2. Linkages

The participant class is linked to MS and CS class using association relationship. The association between participant and Media Stream allows:

- o Participant to receive zero or more media streams
- o Participant to send zero or more media streams. (Same participant provides multiple streams e.g. audio and video)
- o Media stream to be received by zero or more participants. Its possible, though perhaps unlikely, that a stream is generated but sent only to the SRC and SRS, not to any participant. E.g. In conferencing where all participants are on hold and the SRC is collocated with the focus. Also a media stream may be received by multiple participants (e.g. Whisper calls, side conversations).
- o Media stream to be sent by one or more participants (pre-mixed streams).

Example of a case where a participant receives zero or more streams - a supervisor may have side conversation with agent, while agent converses with customer.

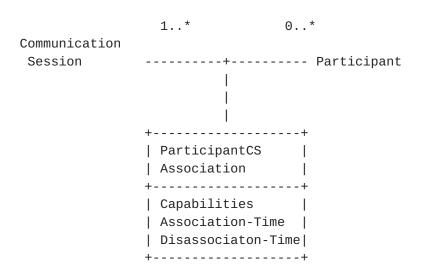
#### 6.5.3. XML element

A <participant> element represents a Participant object.

Participant MUST have a NameID complex element which contains AoR as attribute and Name as element. AOR element is SIP/SIPS URI FQDN or IP address which represents the user. name is an optional element to represent display name.

Each participant has a unique 'participant\_id' attribute. This MUST be used for all references to a participant within a CSG, and MAY be used to reference the same participant more globally. (The decision to use a participant\_id across multiple CSGs or recording sessions is at the discretion of the implementer.)

# 6.6. ParticipantCSAssociation



The Participant CS Association class describes the association of a Participant to an CS for a period of time. A participant may be associated and dissociated from a CS several times. (For example, connecting to a conference, then disconnecting, then connecting again.)

# 6.6.1. Attributes

ParticipantCS association class has the following attributes:

- o Associate-time associate-time is calculated by SRC as the time it sees a participant is associated to CS
- o Disassociate-time- Disassociate-time is calculated by the SRC as the time it sees a participant disassociate from a CS. It is possible that a given participant can have multiple associate/ disassociate times within given communication session.
- o Capabilities An optional attribute describing the capabilities of a participant in a CS, as defined in [RFC3840]. Each participant may have zero or more capabilities. A participant may use different capabilities depending on the role it plays at a particular instance. For example if a participant moves across different CSs (e.g., due to transfer) or is simultaneously present in different CSs its role may be different and hence the capability used.

# **6.6.2**. Linkages

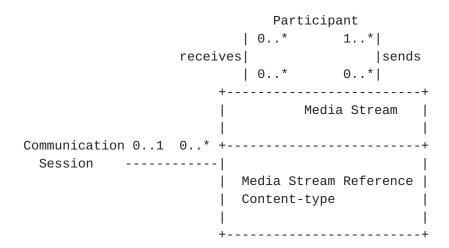
The participantCS association class is linked to participant and CS classes.

#### 6.6.3. XML element

The <participantsessionassoc> XML element represents a participantCS association object. The 'participant\_id' and 'session\_id' attributes are used to uniquely identify this element.

NOTE: RFC 4235 encoding shall be used to represent capabilities attribute in XML.

#### 6.7. Media Stream



A Media Stream class (and its objects) has the properties of media as seen by SRC and sent to SRS. Different snapshots of a media stream object may be sent whenever there is a change in media (e.g. direction change like pause/resume and/or codec change and/or participant change.).

# 6.7.1. Attributes

A Media Stream class has the the following attributes:

- o Media Stream Reference In implementations this references an m-line
- o Content The content of an MS element will be described in terms of value from the [RFC4796] registry.

The metadata model should include media streams that are not being delivered to the SRS. Examples include cases where SRC offered certain media types but SRS chooses to accept only a subset of them OR an SRC may not even offer a certain media type due it its restrictions to record

# 6.7.2. Linkages

A Media Stream is linked to participant and CS classes using the association relationship. The details of association with the Participant are described in the Participant class section. The details of association with CS is mentioned in the CS section.

#### 6.7.3. XML element

The <stream> element represents a Media Stream object. Stream element indicates SDP media lines associated with the session and participants.

The <label> element within the <stream> element references an SDP "a=label" attribute that identifies an m-line within the RS SDP. That m-line carries the media stream from the SRC to the SRS.

Each stream element has unique 'stream\_id' attribute which helps to uniquely identify stream and 'session\_id' attribute to associate the stream with specific session element.

If the SRC wishes to convey the Content-type to the SRS, it does so by including an 'a=content' attribute with the m-line in the RS SDP.

# 6.8. ParticipantStream Association

+		+			
	ParticipantSteam	1			
	Association				
+		+	+	Partici	.pant
	Association-Time			0*  1*	1
	Disassociaton-Time		-+	recv	sends
	Recv			0*  0*	1
	Send			I	
+		+		I	
			+	Media S	stream

A ParticipantStream association class describes the association of a Participant to a Media Stream for a period of time, as a sender or as a receiver, or both.

#### 6.8.1. Attributes

A participantStream association class has the following attributes:

- o Associate-Time: This attribute indicates the time a Participant started contributing to a Media Stream
- o Disassociate-Time: This attribute indicates the time a Participant stopped contributing to a Media Stream
- o Recv: This attribute indicates whether a Participant is receiving a media stream or not. This attribute has a value which points to a stream represented by its Unique\_id. The presence of this attribute indicates that a participant is receiving a stream represented by the Unique\_id. If due to changes in CS(like hold) the participants stops receiving a stream, a snapshot MUST be sent from SRC to SRS with no Recv element for that stream.
- o Send: This attribute indicates whether a participant is contributing to a stream or not. This attribute has a value which points to stream represented by its unique\_id. The presence of this attribute indicates that a participant is contributing to a stream represented by the Unique\_id. If due to changes in CS if a participant stops contributing to a stream, a snapshot MUST be sent from SRC to SRS with no Send element for that stream.

# **6.8.2**. Linkages

The participantStream association class is linked to participant and Stream classes.

# 6.8.3. XML element

The <participantstreamassoc> XML element represents the participant to stream association object. This XML element is used to represent a snapshot of a participant association with a stream. The send and recv XML elements MUST be used to indicate whether a participant is contributing to a stream or receiving a stream. There MAY be multiple instances of the send and recv XML elements inside a participantstreamassoc element. If a metadata snapshot is sent with a participantstreamassoc that does not have any send and recv elements, it means that participant is neither contributing to any streams nor receiving any streams.

## 6.9. associate-time/disassociate-time

The XML <associate-time> and <disassociate-time> elements contain strings indicating the date and time of the status change of this tuple. The value of these elements MUST follow the IMPP datetime format [RFC3339]. Timestamps that contain 'T' or 'Z' MUST use the capitalized forms. At a time, any of the time tuple associate-time or disassociate-time MAY exist in the element namely group, session, participant and not both timestamp at the same time.

As a security measure, the timestamp element SHOULD be included in all tuples unless the exact time of the status change cannot be determined.

# 6.10. Unique ID format

A Unique id is generated in two steps:

- o the UUID is created using [RFC4122]
- o the UUID is encoded using base64 as defined in [RFC4648]

The above mentioned unique-id mechanism SHOULD be used for each metadata element. Multiple SRCs can refer to the same element/UUID (how each SRC learns the UUID here is out of scope of SIPREC)

# 6.11. Metadata version Indicator

This section defines a version indicator for metadata XML.

This version value allows the SRS to know the exact metadata XML schema used by the SRC. This document describes version 1. Implementations may not interoperate if the version implemented by the sender is not known by the receiver. No negotiation of versions is provided. There is no significance to the version number although documents which update or obsolete this document (possibly including drafts of such documents) should include a higher version number if the metadata XML schema changes.

# 7. Recording Metadata snapshot Request format

This section gives an details of metadata snapshot request format. When SRS wishes to request metadata snapshot from SRC it MUST follow the syntax described in this section. The SRS requests metadata snapshot in a request message and SHOULD insert a XML document having the namespace urn:ietf:params:xml:ns:recording:1. The Request can have the following elements.

A <requestsnapshot> XML element MUST be present as the top level element in the XML document. A <requestreason> XML element that indicates the reason for requesting snapshot as a string MAY be present as a child XML element of <requestsnapshot>.

# 8. SIP Recording Metadata Example

#### **8.1**. Complete SIP Recording Metadata Example

The following example provides all the tuples involved in Recording Metadata XML body.

```
<?xml version="1.0" encoding="UTF-8"?>
<recording xmlns='urn:ietf:params:xml:ns:recording:1'>
  <datamode>complete</datamode>
        <group group_id="7+OTCyoxTmqmqyA/1weDAg==">
                <associate-time>2010-12-16T23:41:07Z</associate-time>
                <!-- Standardized extension -->
                <call-center xmlns='urn:ietf:params:xml:ns:callcenter'>
                        <supervisor>sip:alice@atlanta.com</supervisor>
                </call-center>
                <mydata xmlns='http://example.com/my'>
                        <structure>F00!</structure>
                        <whatever>bar</whatever>
                </mydata>
        </group>
        <session session_id="hVpd7YQgRW2nD22h7q60JQ==">
                <sipSessionID>ab30317f1a784dc48ff824d0d3715d86;
                  remote=47755a9de7794ba387653f2099600ef2</sipSessionID>
                <group-ref>7+OTCyoxTmqmqyA/1weDAg==
                </group-ref>
                <!-- Standardized extension -->
                <mydata xmlns='http://example.com/my'>
                        <structure>F00!</structure>
                        <whatever>bar</whatever>
                </mydata>
        </session>
        <participant</pre>
              participant_id="srfBElmCRp2QB23b7Mpk0w==">
                <nameID aor="sip:bob@biloxi.com">
                        <name xml:lang="it">Bob B</name>
                </nameTD>
                <!-- Standardized extension -->
                <mydata xmlns='http://example.com/my'>
                        <structure>F00!</structure>
                        <whatever>bar</whatever>
                </mydata>
```

```
</participant>
<participant</pre>
       participant_id="zSfPoSvdSDCmU3A3TRDxAw==">
        <nameID aor="sip:Paul@biloxy.com">
                <name xml:lang="it">Paul</name>
        </nameID>
        <!-- Standardized extension -->
        <mydata xmlns='http://example.com/my'>
                <structure>F00!</structure>
                <whatever>bar</whatever>
        </mydata>
</participant>
<stream stream_id="UAAMm5GRQKSCMVvLy14rFw=="</pre>
       session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <label>96</label>
</stream>
<stream stream_id="i1Pz3to5hGk8fuXl+PbwCw=="</pre>
       session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <label>97</label>
</stream>
<stream stream_id="8zc6e0lYTlWIINA6GR+3ag=="</pre>
       session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <label>98</label>
</stream>
<stream stream id="EiXGlc+4TruggoDaNE76ag=="</pre>
       session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <label>99</label>
</stream>
<sessionrecordingassoc session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <associate-time>2010-12-16T23:41:07Z</associate-time>
</sessionrecordingassoc>
<participantsessionassoc</pre>
      participant_id="srfBElmCRp2QB23b7Mpk0w=="
      session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <associate-time>2010-12-16T23:41:07Z</associate-time>
</participantsessionassoc>
<participantsessionassoc</pre>
       participant_id="zSfPoSvdSDCmU3A3TRDxAw=="
       session_id="hVpd7YQgRW2nD22h7q60JQ==">
        <associate-time>2010-12-16T23:41:07Z</associate-time>
</participantsessionassoc>
<participantstreamassoc</pre>
      participant_id="srfBElmCRp2QB23b7Mpk0w==">
        <send>i1Pz3to5hGk8fuXl+PbwCw==</send>
        <send>UAAMm5GRQKSCMVvLy14rFw==</send>
        <recv>8zc6e0lYTlWIINA6GR+3ag==</recv>
        <recv>EiXGlc+4TruqqoDaNE76ag==</recv>
</participantstreamassoc>
```

SIP Recording Metadata Example XML body

#### 8.2. Partial Update of Recording metadata XML body

The following example provides partial update in Recording Metadata XML body for the above example. The example has a snapshot that carries the disassociate-time for a participant from a session.

```
<?xml version="1.0" encoding="UTF-8"?>
   <recording xmlns='urn:ietf:params:xml:ns:recording:1'>
     <dataMode>partial</dataMode>
     <participant</pre>
        participant_id="srfBElmCRp2QB23b7Mpk0w==">
        <name ID="sip:bob@biloxi.com">
                  <name xml:lang="it">Bob R</name>
                </nameID>
         <structure>F00!</structure>
         <whatever>bar</whatever>
     </participant>
     <participantsessionassoc</pre>
           participant_id="srfBElmCRp2QB23b7Mpk0w=="
           session id="hVpd7Y0qRW2nD22h7q60J0==">
         <disassociate-time>2010-12-16T23:41:07Z</disassociate-time>
      </participantsessionassoc>
   </recording>
```

Partial update of SIP Recording Example XML body

## 9. XML Schema definition for Recording metadata

This section defines XML schema for Recording metadata document

```
<?xml version="1.0" encoding="UTF-8"?>
  <xs:schema targetNamespace="urn:ietf:params:xml:ns:recording:1"
    xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
```

```
xmlns:tns="urn:ietf:params:xml:ns:recording"
elementFormDefault="gualified"
attributeFormDefault="unqualified">
<!-- This import brings in the XML language attribute xml:lang-->
<xs:import namespace="http://www.w3.org/XML/1998/namespace"/>
<xs:element name="recording" type="tns:recording"/>
<xs:complexType name="recording">
    <xs:sequence>
        <xs:element name="datamode" type="tns:dataMode"</pre>
             minOccurs="0"/>
      <xs:element name="group" type="tns:group"</pre>
             minOccurs="0" maxOccurs="unbounded"/>
        <xs:element name="session" type="tns:session"</pre>
             minOccurs="0" maxOccurs="unbounded"/>
        <xs:element name="participant" type="tns:participant"</pre>
             minOccurs="0" maxOccurs="unbounded"/>
        <xs:element name="stream" type="tns:stream"</pre>
            minOccurs="0" maxOccurs="unbounded"/>
       <xs:element name="sessionrecordingassoc"</pre>
            type="tns:sessionrecordingassoc"
            minOccurs="0" maxOccurs="unbounded"/>
       <xs:element name="participantsessionassoc"</pre>
            type="tns:participantsessionassoc"
            minOccurs="0" maxOccurs="unbounded"/>
       <xs:element name="participantstreamassoc"</pre>
            type="tns:participantstreamassoc"
            minOccurs="0" maxOccurs="unbounded"/>
       <xs:any namespace='##other'</pre>
                minOccurs='0'
                max0ccurs='unbounded'
                processContents='lax'/>
    </xs:sequence>
</xs:complexType>
<xs:complexType name="group">
    <xs:sequence>
      <xs:element name="associate-time" type="xs:dateTime"</pre>
         minOccurs="0"/>
      <xs:element name="disassociate-time" type="xs:dateTime"</pre>
         minOccurs="0"/>
     <xs:any namespace='##other'</pre>
                minOccurs='0'
                maxOccurs='unbounded'
                processContents='lax'/>
    </xs:sequence>
    <xs:attribute name="group_id" type="xs:base64Binary"</pre>
         use="required"/>
</xs:complexType>
<xs:complexType name="session">
```

```
<xs:sequence>
      <xs:element name="sipSessionID" type="xs:string" minOccurs="0"</pre>
              max0ccurs="unbounded"/>
      <xs:element name="reason" type="tns:reason" min0ccurs="0"</pre>
              max0ccurs="unbounded"/>
      <xs:element name="group-ref" type="xs:base64Binary"</pre>
             minOccurs="0" maxOccurs="1"/>
      <xs:element name="start-time" type="xs:dateTime"</pre>
             minOccurs="0" maxOccurs="1"/>
      <xs:element name="stop-time" type="xs:dateTime"</pre>
             minOccurs="0" maxOccurs="1"/>
         <xs:any namespace='##other'</pre>
                minOccurs='0'
                maxOccurs='unbounded'
                processContents='lax'/>
    </xs:sequence>
    <xs:attribute name="session_id" type="xs:base64Binary"</pre>
         use="required"/>
</xs:complexType>
<xs:complexType name="sessionrecordingassoc">
    <xs:sequence>
      <xs:element name="associate-time" type="xs:dateTime"</pre>
             minOccurs="0"/>
      <xs:element name="disassociate-time" type="xs:dateTime"</pre>
             minOccurs="0"/>
      <xs:anv namespace='##other'</pre>
                minOccurs='0'
                max0ccurs='unbounded'
                processContents='lax'/>
    </xs:sequence>
    <xs:attribute name="session_id" type="xs:base64Binary"</pre>
         use="required"/>
</xs:complexType>
<xs:complexType name="participant">
    <xs:sequence>
        <xs:element name="nameID" type="tns:nameID"</pre>
                max0ccurs='unbounded'/>
        <xs:element name="param" min0ccurs="0" max0ccurs="unbounded">
          <xs:complexType>
             <xs:attribute name="pname" type="xs:string" use="required"/>
             <xs:attribute name="pval" type="xs:string" use="required"/>
           </xs:complexType>
        </xs:element>
     <xs:any namespace='##other'</pre>
                minOccurs='0'
                max0ccurs='unbounded'
                processContents='lax'/>
    </xs:sequence>
```

```
<xs:attribute name="participant_id" type="xs:base64Binary"</pre>
             use="required"/>
</xs:complexType>
   <xs:complexType name="participantsessionassoc">
       <xs:sequence>
       <xs:element name="associate-time" type="xs:dateTime"</pre>
                 minOccurs="0"/>
       <xs:element name="disassociate-time" type="xs:dateTime"</pre>
                 minOccurs="0"/>
         <xs:any namespace='##other'</pre>
                    minOccurs='0'
                    max0ccurs='unbounded'
                    processContents='lax'/>
       </xs:sequence>
       <xs:attribute name="participant_id" type="xs:base64Binary"</pre>
            use="required"/>
       <xs:attribute name="session_id" type="xs:base64Binary"</pre>
            use="required"/>
   </xs:complexType>
   <xs:complexType name="participantstreamassoc">
       <xs:sequence>
           <xs:element name="send" type="xs:base64Binary"</pre>
              minOccurs="0" maxOccurs="unbounded"/>
           <xs:element name="recv" type="xs:base64Binary"</pre>
              minOccurs="0" maxOccurs="unbounded"/>
              <xs:element name="associate-time" type="xs:dateTime"</pre>
                 minOccurs="0"/>
          <xs:element name="disassociate-time" type="xs:dateTime"</pre>
                 minOccurs="0"/>
              <xs:any namespace='##other'</pre>
                    minOccurs='0'
                    maxOccurs='unbounded'
                    processContents='lax'/>
       </xs:sequence>
       <xs:attribute name="participant_id" type="xs:base64Binary"</pre>
            use="required"/>
   </xs:complexType>
   <xs:complexType name="stream">
       <xs:sequence>
         <xs:element name="label" type="xs:string"</pre>
              minOccurs="0" maxOccurs="1"/>
          <xs:any namespace='##other'</pre>
                    minOccurs='0'
                    maxOccurs='unbounded'
                    processContents='lax'/>
       </xs:sequence>
       <xs:attribute name="stream_id" type="xs:base64Binary"</pre>
             use="required"/>
```

```
<xs:attribute name="session_id" type="xs:base64Binary"/>
     </xs:complexType>
       <xs:simpleType name="dataMode">
           <xs:restriction base="xs:string">
              <xs:enumeration value="complete"/>
                      <xs:enumeration value="partial"/>
           </xs:restriction>
     </xs:simpleType>
     <xs:complexType name="nameID">
      <xs:sequence>
         <xs:element name="name" type ="tns:name" min0ccurs="0"</pre>
                            max0ccurs="1"/>
      </xs:sequence>
      <xs:attribute name="aor" type="xs:anyURI" use="required"/>
    </xs:complexType>
   <xs:complexType name="name">
   <xs:simpleContent>
          <xs:extension base="xs:string">
           <xs:attribute ref="xml:lang" use="optional"/>
          </xs:extension>
         </xs:simpleContent>
    </xs:complexType>
    <xs:complexType name="reason">
      <xs:simpleContent>
       <xs:extension base="xs:string">
          <xs:attribute type="xs:short" name="cause" use="required"/>
          <xs:attribute type="xs:string" name="protocol" default="SIP"/>
       </xs:extension>
      </xs:simpleContent>
    </xs:complexType>
    <xs:element name="requestsnapshot" type="tns:requestsnapshot"/>
     <xs:complexType name="requestsnapshot">
       <xs:sequence>
          <xs:element name="requestreason" type="tns:name" min0ccurs="0"/>
          <xs:any namespace='##other'</pre>
            minOccurs='0'
            maxOccurs='unbounded'
            processContents='lax'/>
       </xs:sequence>
     </xs:complexType>
</xs:schema>
```

# 10. Security Considerations

The metadata information sent from SRC to SRS MAY reveal sensitive information about different participants in a session. For this reason, it is RECOMMENDED that a SRC use a strong means for

authentication and metadata information protection and that it apply comprehensive authorization rules when using the metadata format defined in this document. The below section discusses each of these aspects in more detail.

# 10.1. Connection Security

It is RECOMMENDED that a SRC authenticate the SRS using the normal SIP authentication mechanisms, such as Digest as defined in Section 22 of [RFC3261]. The mechanism used for conveying the metadata information MUST ensure integrity and SHOULD ensure confidentially of the information. In order to achieve these, an end-to-end SIP encryption mechanism, such as S/MIME described in [RFC3261], SHOULD be used.

If a strong end-to-end security means (such as above) is not available, it is RECOMMENDED that a SRC use mutual hop-by-hop Transport Layer Security (TLS) authentication and encryption mechanisms described in "SIPS URI Scheme" and "Interdomain Requests" of [RFC3261].

This document describes an extensive set of metadata that may be recorded by the SRS. Most of the metadata could be considered private data. Some implementations may have SRC choose parts of Metadata that can be sent to SRS. In other cases, SRCs may send metadata that is not appropriate for the SRS to record. What of this metadata is actually recorded by the SRS must be carefully considered (a "retention policy") to balance privacy concerns with usability. Implementations MUST control what metadata is recorded, and MUST NOT save metadata sent by the SRC that does not conform to the retention policy of the SRS.

#### 11. IANA Considerations

This specification registers a new XML namespace, and a new XML schema.

# 11.1. SIP recording metadata Schema Registration

URI: urn:ietf:params:xml:ns:recording

Registrant Contact: IETF SIPREC working group, Ram mohan R(rmohanr@cisco.com)

XML: the XML schema to be registered is contained in Section 8.

Its first line is <?xml version="1.0" encoding="UTF-8"?> and its last line is </xs:schema>

# 12. Acknowledgement

Thanks to John Elwell, Henry Lum, Leon Portman, De Villers, Andrew Hutton, Deepanshu Gautam, Charles Eckel, Muthu Arul Mozhi, Michael Benenson, Hadriel Kaplan, Brian Rosen, Scott Orton, Ofir Roth, Mary Barnes, Ken Rehor, Gonzalo Salgueiro, Yaron Pdut for their valuable comments and inputs.

Thanks to Joe Hildebrand, Peter Saint-Andre, Matt Miller for helping in writing the XML schema and Martin Thompson for validating the XML schema and providing comments on the same.

#### 13. References

## 13.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate
  Requirement Levels", BCP 14, RFC 2119,
  DOI 10.17487/RFC2119, March 1997,
  <a href="http://www.rfc-editor.org/info/rfc2119">http://www.rfc-editor.org/info/rfc2119</a>.
- [RFC2141] Moats, R., "URN Syntax", <u>RFC 2141</u>, DOI 10.17487/RFC2141, May 1997, <a href="http://www.rfc-editor.org/info/rfc2141">http://www.rfc-editor.org/info/rfc2141</a>.
- [RFC3261] Rosenberg, J., Schulzrinne, H., Camarillo, G., Johnston,
  A., Peterson, J., Sparks, R., Handley, M., and E.
  Schooler, "SIP: Session Initiation Protocol", RFC 3261,
  DOI 10.17487/RFC3261, June 2002,
  <a href="http://www.rfc-editor.org/info/rfc3261">http://www.rfc-editor.org/info/rfc3261</a>>.
- [RFC3339] Klyne, G. and C. Newman, "Date and Time on the Internet: Timestamps", RFC 3339, DOI 10.17487/RFC3339, July 2002, <a href="http://www.rfc-editor.org/info/rfc3339">http://www.rfc-editor.org/info/rfc3339</a>.
- [RFC4566] Handley, M., Jacobson, V., and C. Perkins, "SDP: Session
  Description Protocol", RFC 4566, DOI 10.17487/RFC4566,
  July 2006, <a href="http://www.rfc-editor.org/info/rfc4566">http://www.rfc-editor.org/info/rfc4566</a>>.
- [RFC4574] Levin, 0. and G. Camarillo, "The Session Description
  Protocol (SDP) Label Attribute", RFC 4574,
  DOI 10.17487/RFC4574, August 2006,
  <a href="http://www.rfc-editor.org/info/rfc4574">http://www.rfc-editor.org/info/rfc4574</a>.

- [RFC4796] Hautakorpi, J. and G. Camarillo, "The Session Description
  Protocol (SDP) Content Attribute", RFC 4796,
  DOI 10.17487/RFC4796, February 2007,
  <a href="http://www.rfc-editor.org/info/rfc4796">http://www.rfc-editor.org/info/rfc4796</a>.
- [RFC3840] Rosenberg, J., Schulzrinne, H., and P. Kyzivat,
   "Indicating User Agent Capabilities in the Session
   Initiation Protocol (SIP)", RFC 3840,
   DOI 10.17487/RFC3840, August 2004,
   <a href="http://www.rfc-editor.org/info/rfc3840">http://www.rfc-editor.org/info/rfc3840</a>>.
- [RFC4122] Leach, P., Mealling, M., and R. Salz, "A Universally
  Unique IDentifier (UUID) URN Namespace", RFC 4122,
  DOI 10.17487/RFC4122, July 2005,
  <a href="http://www.rfc-editor.org/info/rfc4122">http://www.rfc-editor.org/info/rfc4122</a>.
- [RFC4648] Josefsson, S., "The Base16, Base32, and Base64 Data Encodings", RFC 4648, DOI 10.17487/RFC4648, October 2006, <a href="http://www.rfc-editor.org/info/rfc4648">http://www.rfc-editor.org/info/rfc4648</a>>.
- [I-D.ietf-insipid-session-id]
  Jones, P., Polk, J., Salgueiro, G., and C. Pearce, "End-to-End Session Identification in IP-Based Multimedia
  Communication Networks", <u>draft-ietf-insipid-session-id-14</u>
  (work in progress), March 2015.

## 13.2. Informative References

- [RFC7245] Hutton, A., Ed., Portman, L., Ed., Jain, R., and K. Rehor,
   "An Architecture for Media Recording Using the Session
   Initiation Protocol", RFC 7245, DOI 10.17487/RFC7245, May
   2014, <a href="http://www.rfc-editor.org/info/rfc7245">http://www.rfc-editor.org/info/rfc7245</a>.

[RFC3325] Jennings, C., Peterson, J., and M. Watson, "Private
Extensions to the Session Initiation Protocol (SIP) for
Asserted Identity within Trusted Networks", RFC 3325,
DOI 10.17487/RFC3325, November 2002,
<http://www.rfc-editor.org/info/rfc3325>.

# Authors' Addresses

Ram Mohan Ravindranath Cisco Systems Cessna Business Park Bangalore, Karnataka India

Email: rmohanr@cisco.com

Parthasarathi Ravindran Nokia Networks Bangalore, Karnataka India

Email: partha@parthasarathi.co.in

Paul Kyzivat Huawei Hudson, MA USA

Email: pkyzivat@alum.mit.edu