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M. Boucadair, Ed.  
France Telecom  
S. Matsushima  
Softbank Telecom  
Y. Lee  
Comcast  
O. Bonness  
Deutsche Telekom  
I. Borges  
Portugal Telecom  
G. Chen  
China Mobile  
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**Motivations for Stateless IPv4 over IPv6 Migration Solutions**  
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Abstract

IPv4 service continuity is one of the most pressing problems that must be resolved by Service Providers during the IPv6 transition period -especially after the exhaustion of the public IPv4 address space. Current standardization effort that addresses IPv4 service continuity focuses on stateful mechanisms. This document elaborates on the motivations for the need to undertake a companion effort to specify stateless IPv4 over IPv6 approaches.

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## 1. Introduction

When the global IPv4 address space is exhausted, Service Providers will be left with an address pool that cannot be increased anymore. Many services and network scenarios will be impacted by the lack of IPv4 public addresses. Providing access to the (still limited) IPv6 Internet only won't be sufficient to address the needs of customers, as most of them will continue to access legacy IPv4-only services. Service Providers must guarantee their customers that they can still access IPv4 contents although they will not be provisioned with a global IPv4 address anymore. Means to share IPv4 public addresses are unavoidable [[RFC6269](#)].

Identifying the most appropriate solution(s) to the IPv4 address exhaustion as well as IPv4 service continuity problems and deploying them in a real network with real customers is a very challenging and complex process for all Service Providers. There is nothing like a "One size fits all" solution or one target architecture that would work for all situations. Each Service Provider has to take into account its own context (e.g., service infrastructures), policies and marketing strategy (a document that informs Service Providers about the impact of the IPv4 address shortage, and provides some recommendations and guidelines, is available at [[EURESCOM](#)]).

Current standardization effort that is meant to address this IPv4 service continuity issue focuses mainly on stateful mechanisms that assume the sharing of any global IPv4 address that is left between several customers, based upon the deployment of NAT (Network Address Translation) capabilities in the network. Because of some caveats of such stateful approaches the Service Provider community feels that a companion effort is required to specify stateless IPv4 over IPv6 approaches. This document provides elaboration on such need.

More discussions about stateless vs. stateful can be found at [[RFC6144](#)].

## 2. Terminology

This document makes use of the following terms:

State: as used in [[RFC1958](#)].

Session state: refers to an information state as defined in [Section 2.3 of \[RFC2663\]](#). In particular, it refers to the state maintained by the NAT so that datagrams pertaining to a session are routed to the right node. Note, TCP/UDP sessions are



uniquely identified by the tuple of (source IP address, source TCP/UDP port, target IP address, target TCP/UDP port) while ICMP query sessions are identified by the tuple of (source IP address, ICMP query ID, target IP address).

User-session state: refers to session state belonging to a given user.

Stateful 4/6 solution (or stateful solution in short): denotes a solution where the network maintains user-session states relying on the activation of a NAT function in the Service Providers' network [[I-D.ietf-behave-lsn-requirements](#)]. The NAT function is responsible for sharing the same IPv4 address among several subscribers and to maintain user-session state.

Stateless 4/6 solution (or stateless solution in short): denotes a solution which does not require any per-user state (see [Section 2.3 of \[RFC1958\]](#)) to be maintained by any IP address sharing function in the Service Provider's network. This category of solutions assumes a dependency between an IPv6 prefix and IPv4 address. In an IPv4 address sharing context, dedicated functions are required to be enabled in the CPE router to restrict the source IPv4 port numbers. Within this document, "port set" and "port range" terms are used interchangeably.

### **3. Why Stateless IPv4 over IPv6 Solutions are Needed?**

Below is provided a list of motivations which justify the need for a stateless solution (in no particular order):

- (1) Minimizes impact on OSS and logging systems. Ideally, it does not require OSS & logging systems that wouldn't be there in a pure IPv6 network.
- (2) Does not require maintaining per-subscriber configuration on active data plane network elements.
- (3) Scales in terms of IP forwarding capacity, rather than amount of dynamic state, or new session creation rate.
- (4) Support a single architecture that allows 1:1 or N:1 (port range) NAT44 usage without additional extensions.



- (5) Preserves current engineering practices (e.g., anycast-based load-balancing)
- (6) Relies on IPv6 and supports transition to an IPv6-only network.
- (7) Supports asymmetric routing to/from the IPv4 Internet.
- (8) Maximizes the ease of deployment and redundancy of nodes.
- (9) Readily supports a multi vendor environment (including redundancy).
- (10) Allows direct user-user traffic flows (i.e., allows for no-tromboning)
- (11) Retains today's user experience (NAT on CPE) and supports today's operational model.
- (12) Does not require deployment of (additional) dynamic signaling protocols to the end-user CPE beyond those already used.
- (13) Minimizes required non-regression testing effort.
- (14) Does not require organizational changes.
- (15) Assumes a clear separation between the service and the network layer and therefore there is no interference between delivered services and underlying network transfer capabilities.

This section elaborates further on the aforementioned motivations.

The technical and operational benefits of the stateless solutions are possible because no per-user state [[RFC1958](#)] is maintained in the Service Providers networks.

### **3.1. Network Architecture Simplification**

The activation of the stateless function in the Service Provider's network does not introduce any major constraint on the network architecture and its engineering. The following sub-sections elaborate on these aspects.

#### **3.1.1. Network Dimensioning**

Because no per-user state [[RFC1958](#)] is required, a stateless solution does not need to take into account the maximum number of simultaneous user-sessions and the maximum number of new user-sessions per second to dimension its networking equipment. Like current network dimensioning practices, only considerations related to the customer number, traffic trends and the bandwidth usage need be taken into account for dimensioning purposes.

#### **3.1.2. No Intra-domain Constraint**

Stateless IPv4/IPv6 interconnection functions can be ideally located at the boundaries of an Autonomous System (e.g., ASBR routers that peer with external IPv4 domains); in such case:





Intra-domain paths are not altered: there is no need to force IP packets to cross a given node for instance; intra-domain routing processes are not tweaked to direct the traffic to dedicated nodes. In particular, stateless solutions optimize CPE-to-CPE communication in that packets don't go through the interconnection function since the address and port mapping has been realized based on a well defined mapping schema that is known to all involved devices.

### **3.1.3. Logging - No Need for Dynamic Binding Notifications**

Network abuse reporting requires traceability [[RFC6269](#)]. To provide such traceability, prior to IPv4 address sharing, logging the IPv4 address assigned to a user was sufficient and generates relatively small logs. The advent of stateful IPv4 address allows dynamic port assignment, which then requires port assignment logging. This logging of port assignments can be considerable.

In contrast, static port assignments do not require such considerable logging. The volume of the logging file may not be seen as an important criterion for privileging a stateless approach because stateful approaches can also be configured (or designed) to assign port ranges and therefore lead to acceptable log volumes.

If a dynamic port assignment mode is used, dedicated interfaces and protocols must be supported to forward binding data records towards dedicated platforms. The activation of these dynamic notifications may impact the performance of the dedicated device. For stateless solutions, there is no need for dynamic procedures (e.g., using SYSLOG) to notify a mediation platform about assigned bindings.

Some Service Providers have a requirement to use only existing logging systems and to avoid introducing new ones (mainly because of CAPEX considerations). This requirement is easily met with stateless solutions.

### **3.1.4. No Additional Protocol for Port Control is Required**

The deployment of stateless solution does not require the deployment of new dynamic signaling protocols to the end-user CPE in addition to those already used. In particular, existing protocols (e.g., UPnP IGD:2 [[UPnP-IGD](#)]) can be used to control the NAT mapping in the CPE.

## **3.2. Operational Tasks and Network Maintenance Efficiency**



### **3.2.1. Preserve Current Practices**

Service Providers require as much as possible to preserve the same operations as for current IP networking environments.

If stateless solutions are deployed, common practices are preserved. In particular, the maintenance and operation of the network do not require any additional constraints such as: path optimization practices, enforcing traffic engineering policies, issues related to traffic oscillation between stateful devices, load-balancing the traffic or load sharing the traffic among egress/ingress points can be used, etc. In particular:

- o anycast-based schemes can be used for load-balancing and redundancy purposes.
- o asymmetric routing to/from the IPv4 Internet is natively supported and no path-pinning mechanisms have to be additionally implemented.

### **3.2.2. Planned Maintenance Operations**

Since no state is maintained by stateless IPv4/IPv6 interconnection nodes, no additional constraint needs to be taken into account when upgrading these nodes (e.g., adding a new service card, upgrading hardware, periodic reboot of the devices, etc.). In particular, current practices that are enforced to (gracefully) reboot or to shutdown routers can be maintained.

### **3.2.3. Reliability and Robustness**

Compared to current practices (i.e., without a CGN in place), no additional capabilities are required to ensure reliability and robustness in the context of stateless solutions. Since no state is maintained in the Service Provider's network, state synchronization procedures are not required.

High availability (including failure recovery) is ensured owing to best current practices in the field.

### **3.2.4. Support of Multi-Vendor Redundancy**

Deploying stateful techniques, especially when used in the Service Providers networks, constrain severely deploying multi-vendor redundancy since very often proprietary vendor-specific protocols are used to synchronize state. This is not an issue for the stateless case. Concretely, the activation of the stateless IPv4/IPv6 interconnection function does not prevent nor complicate deploying



devices from different vendors.

This criterion is very important for Service Providers having a sourcing policy to avoid mono-vendor deployments and to operate highly-available networks composed on multi-vendors equipment.

### **3.2.5. Simplification of Qualification Procedures**

The introduction of new functions and nodes into operational networks follows strict procedures elaborated by Service Providers. These procedures include in-lab testing and field trials. Because of their nature, stateless implementations optimize testing times and procedures:

- o The specification of test suites to be conducted should be shorter;
- o The required testing resources (in terms of manpower) are likely to be less solicited than they are for stateful approaches.

One of the privileged approaches to integrate stateless IPv4/IPv6 interconnection function consists in embedding stateless capabilities in existing operational nodes (e.g., IP router). In this case, any software or hardware update would require to execute non-regression testing activities. In the context of the stateless solutions, the non-regression testing load due to an update of the stateless code is expected to be minimal.

For the stateless case, testing effort and non-regression testing are to be taken into account for the CPE side. This effort is likely to be lightweight compared to the testing effort, including the non-regression testing, of a stateful function which is co-located with other routing functions for instance.

## **3.3. Facilitating Service Evolution**

### **3.3.1. Implicit Host Identification**

Service Providers do not offer only IP connectivity services but also added value services (a.k.a., internal services). Upgrading these services to be IPv6-enabled is not sufficient because of legacy devices. In some deployments, the delivery of these added-value services relies on implicit identification mechanism based on the source IPv4 address. Due to address sharing, implicit identification will fail [[RFC6269](#)]; replacing implicit identification with explicit authentication will be seen as a non acceptable service regression by the end users (less Quality of Experience (QoE)).



When a stateless solution is deployed, implicit identification for internal services is likely to be easier to implement: the implicit identification should be updated to take into account the port range and the IPv4 address. Techniques as those analyzed in [[I-D.boucadair-intarea-nat-reveal-analysis](#)] are not required for the delivery of these internal services if a stateless solution is deployed.

Note stateful approaches configured to assign port ranges allows also to support implicit host identification.

### **3.3.2. No Organizational Impact**

Stateless solutions adopts a clear separation between the IP/transport layers and the service layers; no service interference is to be observed when a stateless solution is deployed. This clear separation:

Facilitates service evolution: Since the payload of IPv4 packets is not altered in the path, services can evolve without requiring any specific function (e.g., Application Level Gateway (ALG)) in the Service Provider's network;

Limits vendor dependency: The upgrade of value-added services does not involve any particular action from vendors that provide devices embedding the stateless IPv4/IPv6 interconnection function.

No service-related skills are required for network operators who manage devices that embed the IPv4/IPv6 interconnection function: IP teams can be in charge of these devices; there is a priori no need to create a dedicated team to manage and to operate devices embedding the stateless IPv4/IPv6 interconnection function. The introduction of stateless capabilities in the network are unlikely to degrade management costs.

### **3.4. Cost Minimization Opportunities**

To make decision for which solution is to be adopted, service providers usually undertake comparative studies about viable technical solutions. It is not only about technical aspects but also economical optimization (both CAPEX and OPEX considerations).

From a Service Provider perspective, stateless solutions are more attractive because they do less impact the current network operations and maintenance model that is widely based on stateless approaches. Table 1 shows the general correspondence between technical benefits and potential economic reduction opportunities.





While not all Service Providers environments are the same, a detailed case study from one Service Provider

[[I-D.matsushima-v6ops-transition-experience](#)] reports that stateless transition solutions can be considerably less expensive than stateful transition solutions.

Section	Technical and Operation Benefit	Cost Area
<a href="#">Section 3.1.1</a>	Network dimensioning	Network
<a href="#">Section 3.1.2</a>	No Intra-domain constraint	Network
<a href="#">Section 3.1.3</a>	Logging	Network & Ops
<a href="#">Section 3.1.4</a>	No additional control protocol	Network
<a href="#">Section 3.2.1</a>	Preserve current practices	Ops
<a href="#">Section 3.2.2</a>	Planned maintenance	Ops
<a href="#">Section 3.2.3</a>	Reliability and robustness	Network & Ops
<a href="#">Section 3.2.4</a>	Multi-Vendor Redundancy	Network
<a href="#">Section 3.2.5</a>	Simple qualification	Ops
<a href="#">Section 3.3.1</a>	Implicit Host Identification for internal services	Ops
<a href="#">Section 3.3.2</a>	Organizational Impact	Ops

Table 1: Cost minimization considerations

#### 4. Discussion

Issues common to all address sharing solutions are documented in [[RFC6269](#)]. The following sub-sections enumerates some open questions for a CPE-based stateless solution. There are no universal answers to these open questions since each Service Provider has its own constraints (e.g., available address pool, address sharing ratio, etc.).



#### **4.1. Dependency Between IPv4 and IPv6 Address Assignments**

Complete stateless mapping implies that the IPv4 address and the significant bits that are used to encode the set of assigned ports can be retrieved from the IPv6 prefix assigned to the CPE. This requirement can be addressed by either using the IPv6 prefix also used to forward IPv6 traffic natively, or allocating two prefixes to the CPE (one that will be used to forward IPv6 traffic natively, and the other one to forward IPv4 traffic).

- o Providing two IPv6 prefixes avoids the complexity that may be related to the adaptation of the IPv6 addressing scheme to the IPv4 addressing scheme. The drawback is the need to allocate two prefixes instead of one to each CPE and to announce them accordingly, possibly at the cost of jeopardizing the routing and forwarding efficiencies.
- o The use of a single prefix to cover both the forwarding of IPv6 and IPv4-in-IPv6 traffic avoids the need to maintain a double information (e.g., for customer identification and management purposes and for forwarding table maintenance purposes). This scheme somewhat links strongly the IPv4 addressing scheme to the allocated IPv6 prefixes. For Service Providers requiring to apply specific policies on per Address-Family (e.g., IPv4, IPv6), some provisioning tools (e.g., DHCPv6 option) may be required to derive in a deterministic way the IPv6 address to be used for the IPv4 traffic based on the IPv6 prefix delegated to the home network.

#### **4.2. IPv4 Port Utilisation Efficiency**

CGN-based solutions, because they can dynamically assign ports, provide better IPv4 address sharing ratio than stateless solutions (i.e., can share the same IP address among a larger number of customers). For Service Providers who desire an aggressive IPv4 address sharing, a CGN-based solution is more suitable than the stateless. However

- 1: When port overloading is used, some applications are likely to be broken.
- 2: in case a CGN pre-allocates port ranges, for instance to alleviate traceability complexity (see [Section 3.1.3](#)) it also reduces its port utilization efficiency.



### **4.3. IPv4 Port Randomization**

Preserving port randomization [[RFC6056](#)] may be more or less difficult depending on the address sharing ratio (i.e., the size of the port space assigned to a CPE). Port randomization may be more difficult to achieve with a stateless solution than stateful solution. The CPE can only randomize the ports inside a fixed port range.

More discussion to improve the robustness of TCP against Blind In-Window Attacks can be found at [[RFC5961](#)].

Other means than the (IPv4) source port randomization to provide protection against attacks should be used (e.g., use [[I-D.vixie-dnsextd-dns0x20](#)] to protect against DNS attacks, [[RFC5961](#)] to improve the robustness of TCP against Blind In-Window Attacks, use IPv6).

## **5. Conclusion**

As discussed in [Section 3](#), stateless solutions provide several interesting features. Trade-off between the positive vs. negative aspects of stateless solutions is left to Service Providers. Each Service Provider will have to select the appropriate solution (stateless, stateful or even both) meeting its requirements.

This document recommends to undertake as soon as possible the appropriate standardization effort to specify a stateless IPv4 over IPv6 solution.

## **6. IANA Considerations**

No action is required from IANA.

## **7. Security Considerations**

Except for the less efficient port randomization of and routing loops [[RFC6324](#)], stateless 4/6 solutions are expected to introduce no more security vulnerabilities than stateful ones. Because of their stateless nature, they may in addition reduce denial of service opportunities.

## **8. Contributors**

The following individuals have contributed to this document:



Christian Jacquenet  
France Telecom

Email: christian.jacquenet@orange-ftgroup.com

Pierre Levis  
France Telecom

Email: pierre.levis@orange-ftgroup.com

Masato Yamanishi  
SoftBank BB

Email: myamanis@bb.softbank.co.jp

Yuji Yamazaki  
Softbank Mobile

Email: yuyamaza@bb.softbank.co.jp

Hui Deng  
China Mobile  
53A, Xibianmennei Ave.  
Beijing 100053  
P.R.China

Phone: +86-13910750201  
Email: denghui02@gmail.com

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X. Deng	W. Dec	D. Wing	A. Baudot	
E. Burgey	L. Cittadini	R. Despres	J. Zorz	
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#### Authors' Addresses

Mohamed Boucadair (editor)  
France Telecom  
Rennes, 35000  
France

Email: mohamed.boucadair@orange-ftgroup.com

Satoru Matsushima  
Softbank Telecom  
Tokyo  
Japan

Email: satoru.matsushima@tm.softbank.co.jp

Yiu Lee  
Comcast  
US

Email: Yiu\_Lee@Cable.Comcast.com



Olaf Bonness  
Deutsche Telekom  
Germany

Email: Olaf.Bonness@telekom.de

Isabel Borges  
Portugal Telecom  
Portugal

Email: Isabel@ptinovacao.pt

Gang Chen  
China Mobile  
53A,Xibianmennei Ave.  
Beijing, Xuanwu District 100053  
China

Email: chengang@chinamobile.com

