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Recommendations for Secure Use of TLS and DTLS draft-ietf-uta-tls-bcp-07

Abstract

Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS) are widely used to protect data exchanged over application protocols such as HTTP, SMTP, IMAP, POP, SIP, and XMPP. Over the last few years, several serious attacks on TLS have emerged, including attacks on its most commonly used cipher suites and modes of operation. This document provides recommendations for improving the security of deployed services that use TLS and DTLS. The recommendations are applicable to the majority of use cases.

Status of This Memo

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1. Introduction

Transport Layer Security (TLS) [RFC5246] and Datagram Transport Security Layer (DTLS) [RFC6347] are widely used to protect data exchanged over application protocols such as HTTP, SMTP, IMAP, POP, SIP, and XMPP. Over the last few years, several serious attacks on TLS have emerged, including attacks on its most commonly used cipher suites and modes of operation. For instance, both the AES-CBC [RFC3602] and RC4 [I-D.ietf-tls-prohibiting-rc4] encryption algorithms, which together are the most widely deployed ciphers, have been attacked in the context of TLS. A companion document [I-D.ietf-uta-tls-attacks] provides detailed information about these attacks.

Because of these attacks, those who implement and deploy TLS and DTLS need updated guidance on how TLS can be used securely. This document provides guidance for deployed services as well as for software implementations, assuming the implementer expects his or her code to be deployed in environments defined in the following section. In fact, this document calls for the deployment of algorithms that are widely implemented but not yet widely deployed. Concerning deployment, this document targets a wide audience, namely all deployers who wish to add authentication (be it one-way only or mutual), confidentiality, and data integrity protection to their communications.

The recommendations herein take into consideration the security of various mechanisms, their technical maturity and interoperability, and their prevalence in implementations at the time of writing. Unless it is explicitly called out that a recommendation applies to TLS alone or to DTLS alone, each recommendation applies to both TLS and DTLS.

It is expected that the TLS 1.3 specification will resolve many of the vulnerabilities listed in this document. A system that deploys TLS 1.3 will have fewer vulnerabilities than TLS 1.2 or below. This document is likely to be updated after TLS 1.3 gets noticeable deployment.

These are minimum recommendations for the use of TLS in the vast majority of implementation and deployment scenarios, with the exception of unauthenticated TLS (see Section 5). Other specifications that reference this document can have stricter requirements related to one or more aspects of the protocol, based on their particular circumstances (e.g., for use with a particular application protocol); when that is the case, implementers are advised to adhere to those stricter requirements.

Community knowledge about the strength of various algorithms and feasible attacks can change quickly, and experience shows that a security BCP is a point-in-time statement. Readers are advised to seek out any errata or updates that apply to this document.

2. Terminology

A number of security-related terms in this document are used in the sense defined in [RFC4949].

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. General Recommendations

This section provides general recommendations on the secure use of TLS. Recommendations related to cipher suites are discussed in the following section.

3.1. Protocol Versions

3.1.1. SSL/TLS Protocol Versions

It is important both to stop using old, less secure versions of SSL/TLS and to start using modern, more secure versions; therefore, the following are the recommendations concerning TLS/SSL protocol versions:

o Implementations MUST NOT negotiate SSL version 2.

Rationale: Today, SSLv2 is considered insecure [RFC6176].

o Implementations MUST NOT negotiate SSL version 3.

Rationale: SSLv3 [RFC6101] was an improvement over SSLv2 and plugged some significant security holes, but did not support strong cipher suites. SSLv3 does not support TLS extensions, some of which (e.g., renegotiation_info) are security-critical. In

addition, with the emergence of the POODLE attack [POODLE], SSLv3 is now widely recognized as fundamentally insecure.

o Implementations SHOULD NOT negotiate TLS version 1.0 [RFC2246].

Rationale: TLS 1.0 (published in 1999) does not support many modern, strong cipher suites.

o Implementations MAY negotiate TLS version 1.1 [RFC4346].

Rationale: TLS 1.1 (published in 2006) is a security improvement over TLS 1.0, but still does not support certain stronger cipher suites.

o Implementations MUST support, and prefer to negotiate, TLS version 1.2 [RFC5246].

Rationale: Several stronger cipher suites are available only with TLS 1.2 (published in 2008). In fact, the cipher suites recommended by this document (<u>Section 4.2</u> below) are only available in TLS 1.2.

This BCP applies to TLS 1.2. It is not safe for readers to assume that the recommendations in this BCP apply to any future version of TLS.

3.1.2. DTLS Protocol Versions

DTLS, an adaptation of TLS for UDP datagrams, was introduced when TLS 1.1 was published. The following are the recommendations with respect to DTLS:

o Implementations MAY negotiate DTLS version 1.0 [RFC4347].

Version 1.0 of DTLS correlates to version 1.1 of TLS (see above).

o Implementations MUST support, and prefer to negotiate, DTLS version 1.2 [RFC6347].

Version 1.2 of DTLS correlates to Version 1.2 of TLS 1.2 (see above). (There is no Version 1.1 of DTLS.)

3.1.3. Fallback to Lower Versions

Clients that "fallback" to lower versions of the protocol after the server rejects higher versions of the protocol MUST NOT fallback to SSLv3.

Rationale: Some client implementations revert to lower versions of TLS or even to SSLv3 if the server rejected higher versions of the protocol. This fallback can be forced by a man in the middle (MITM) attacker. TLS 1.0 and SSLv3 are significantly less secure than TLS 1.2, the version recommended by this document. While TLS 1.0-only servers are still quite common, IP scans show that SSLv3-only servers amount to only about 3% of the current Web server population.

3.2. Strict TLS

To prevent SSL Stripping:

- o In cases where an application protocol allows implementations or deployments a choice between strict TLS configuration and dynamic upgrade from unencrypted to TLS-protected traffic (such as STARTTLS), clients and servers SHOULD prefer strict TLS configuration.
- o In many application protocols, clients can be configured to use TLS no matter whether the server offers TLS during a protocol exchange or advertises support for TLS (e.g., through a flag indicating that TLS is required). Application clients SHOULD use TLS by default, and disable this default only through explicit configuration by the user.
- o HTTP client and server implementations MUST support the HTTP Strict Transport Security (HSTS) header [RFC6797], in order to allow Web servers to advertise that they are willing to accept TLS-only clients.
- o When applicable, Web servers SHOULD use HSTS to indicate that they are willing to accept TLS-only clients.

Rationale: Combining unprotected and TLS-protected communication opens the way to SSL Stripping and similar attacks, since an initial part of the communication is not integrity protected and therefore can be manipulated by an attacker whose goal is to keep the communication in the clear.

3.3. Compression

Implementations and deployments SHOULD disable TLS-level compression ([RFC5246], Section 6.2.2).

Rationale: TLS compression has been subject to security attacks, such as the CRIME attack.

Implementers should note that compression at higher protocol levels can allow an active attacker to extract cleartext information from the connection. The BREACH attack is one such case. These issues can only be mitigated outside of TLS and are thus out of scope of the current document. See Section 2.5 of [I-D.ietf-uta-tls-attacks] for further details.

3.4. TLS Session Resumption

If TLS session resumption is used, care ought to be taken to do so safely. In particular, when using session tickets [RFC5077], the resumption information MUST be authenticated and encrypted to prevent modification or eavesdropping by an attacker. Further recommendations apply to session tickets:

- o A strong cipher suite MUST be used when encrypting the ticket (as least as strong as the main TLS cipher suite).
- o Ticket keys MUST be changed regularly, e.g., once every week, so as not to negate the benefits of forward secrecy (see <u>Section 7.3</u> for details on forward secrecy).
- o Session ticket validity SHOULD be limited to a reasonable duration (e.g., 1 day), for similar reasons.

Rationale: session resumption is another kind of TLS handshake, and therefore must be as secure as the initial handshake. This document (Section 4) recommends the use of cipher suites that provide forward secrecy, i.e. that prevent an attacker who gains momentary access to the TLS endpoint (either client or server) and its secrets from reading either past or future communication. The tickets must be managed so as not to negate this security property.

3.5. TLS Renegotiation

Where handshake renegotiation is implemented, both clients and servers MUST implement the renegotiation_info extension, as defined in [RFC5746].

To counter the Triple Handshake attack, we adopt the recommendation from [triple-handshake]: TLS clients SHOULD ensure that all certificates received over a connection are valid for the current server endpoint, and abort the handshake if they are not. In some usages, it may be simplest to refuse any change of certificates during renegotiation.

3.6. Server Name Indication

TLS implementations MUST support the Server Name Indication (SNI) extension for those higher level protocols which would benefit from it, including HTTPS. However, unlike implementation, the use of SNI in particular circumstances is a matter of local policy.

Rationale: SNI supports deployment of multiple TLS-protected virtual servers on a single address, and therefore enables fine-grained security for these virtual servers, by allowing each one to have its own certificate.

4. Recommendations: Cipher Suites

TLS and its implementations provide considerable flexibility in the selection of cipher suites. Unfortunately, some available cipher suites are insecure, some do not provide the targeted security services, and some no longer provide enough security. Incorrectly configuring a server leads to no or reduced security. This section includes recommendations on the selection and negotiation of cipher suites.

4.1. General Guidelines

Cryptographic algorithms weaken over time as cryptanalysis improves. In other words, as time progresses, algorithms that were once considered strong but are now weak, need to be phased out over time and replaced with more secure cipher suites to ensure that desired security properties still hold. SSL/TLS has been in existence for almost 20 years at this point and this section provides some much needed recommendations concerning cipher suite selection:

o Implementations MUST NOT negotiate the cipher suites with NULL encryption.

Rationale: The NULL cipher suites do not encrypt traffic and so provide no confidentiality services. Any entity in the network with access to the connection can view the plaintext of contents being exchanged by the client and server.

o Implementations MUST NOT negotiate RC4 cipher suites.

Rationale: The RC4 stream cipher has a variety of cryptographic weaknesses, as documented in [I-D.ietf-tls-prohibiting-rc4]. We note that this guideline does not apply to DTLS, which specifically forbids the use of RC4.

o Implementations MUST NOT negotiate cipher suites offering less than 112 bits of security, including the so-called "export-level" encryption (which provide 40 or 56 bits of security).

Rationale: Based on [RFC3766], at least 112 bits of security is needed. 40-bit and 56-bit security are considered insecure today. TLS 1.1 and 1.2 never negotiate 40-bit or 56-bit export ciphers.

o Implementations SHOULD NOT negotiate cipher suites that use algorithms offering less than 128 bits of security.

Rationale: Cipher suites that offer between 112-bits and 128-bits of security are not considered weak at this time, however it is expected that their useful lifespan is short enough to justify supporting stronger cipher suites at this time. 128-bit ciphers are expected to remain secure for at least several years, and 256-bit ciphers "until the next fundamental technology breakthrough". Note that some legacy cipher suites (e.g., 168-bit 3DES) have an effective key length which is smaller than their nominal key length (112 bits in the case of 3DES). Such cipher suites should be evaluated according to their effective key length.

o Implementations MUST support, and SHOULD prefer to negotiate, cipher suites offering forward secrecy, such as those in the Ephemeral Diffie-Hellman and Elliptic Curve Ephemeral Diffie-Hellman ("DHE" and "ECDHE") families.

Rationale: Forward secrecy (sometimes called "perfect forward secrecy") prevents the recovery of information that was encrypted with older session keys, thus limiting the amount of time during which attacks can be successful. See <u>Section 7.3</u> for a detailed discussion.

4.2. Recommended Cipher Suites

Given the foregoing considerations, implementation and deployment of the following cipher suites is RECOMMENDED:

- o TLS_DHE_RSA_WITH_AES_128_GCM_SHA256
- o TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256
- o TLS_DHE_RSA_WITH_AES_256_GCM_SHA384
- o TLS_ECDHE_RSA_WITH_AES_256_GCM_SHA384

These cipher suites are supported only in TLS 1.2 since they are authenticated encryption (AEAD) algorithms [RFC5116].

Typically, in order to prefer these suites, the order of suites needs to be explicitly configured in server software.

4.2.1. Implementation Details

Clients SHOULD include TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256 as the first proposal to any server, unless they have prior knowledge that the server cannot respond to a TLS 1.2 client_hello message.

Servers SHOULD prefer this cipher suite whenever it is proposed, even if it is not the first proposal.

Clients are of course free to offer stronger cipher suites, e.g., using AES-256; when they do, the server SHOULD prefer the stronger cipher suite unless there are compelling reasons (e.g., seriously degraded performance) to choose otherwise.

This document is not an application profile standard, in the sense of <u>Section 9 of [RFC5246]</u>. As a result, clients and servers are still REQUIRED to support the mandatory TLS cipher suite, TLS_RSA_WITH_AES_128_CBC_SHA.

Note that some profiles of TLS 1.2 use different cipher suites. For example, [RFC6460] defines a profile that uses the TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 and TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 cipher suites.

[RFC4492] allows clients and servers to negotiate ECDH parameters (curves). Both clients and servers SHOULD include the "Supported Elliptic Curves" extension [RFC4492]. For interoperability, clients and servers SHOULD support the NIST P-256 (secp256r1) curve [RFC4492]. In addition, clients SHOULD send an ec_point_formats extension with a single element, "uncompressed".

4.3. Public Key Length

When using the cipher suites recommended in this document, two public keys are normally used in the TLS handshake: one for the Diffie-Hellman key agreement and one for server authentication. Where a client certificate is used, a third public key is added.

With a key exchange based on modular Diffie-Hellman ("DHE" cipher suites), DH key lengths of at least 2048 bits are RECOMMENDED.

Rationale: For various reasons, in practice DH keys are typically generated in lengths that are powers of two (e.g., $2^10 = 1024$ bits, $2^11 = 2048$ bits, $2^12 = 4096$ bits). Because a DH key of 1228 bits would be roughly equivalent to only an 80-bit symmetric key [RFC3766], it is better to use keys longer than that for the "DHE" family of cipher suites. A DH key of 1926 bits would be roughly equivalent to a 100-bit symmetric key [RFC3766] and a DH key of 2048 bits might be sufficient for at least the next 10 years. See Section 4.4 for additional information on the use of modular Diffie-Hellman in TLS.

As noted in [RFC3766], correcting for the emergence of a TWIRL machine would imply that 1024-bit DH keys yield about 65 bits of equivalent strength and that a 2048-bit DH key would yield about 92 bits of equivalent strength.

Servers SHOULD authenticate using at least 2048-bit certificates. In addition, the use of SHA-256 fingerprints is RECOMMENDED (see [CAB-Baseline] for more details). Clients SHOULD indicate to servers that they request SHA-256, by using the "Signature Algorithms" extension defined in TLS 1.2.

<u>4.4</u>. Modular vs. Elliptic Curve DH Cipher Suites

Not all TLS implementations support both modular and EC Diffie-Hellman groups, as required by <u>Section 4.2</u>. Some implementations are severely limited in the length of DH values. When such implementations need to be accommodated, we recommend using (in priority order):

- 1. Elliptic Curve DHE with negotiated parameters [RFC5289]
- 2. TLS_DHE_RSA_WITH_AES_128_GCM_SHA256 [RFC5288], with 2048-bit Diffie-Hellman parameters
- 3. TLS_DHE_RSA_WITH_AES_128_GCM_SHA256, with 1024-bit parameters.

Rationale: Elliptic Curve Cryptography is not universally deployed for several reasons, including its complexity compared to modular arithmetic and longstanding perceptions of IPR concerns (which, for the most part, have now been resolved [RFC6090]). On the other hand, there are two related issues hindering effective use of modular Diffie-Hellman cipher suites in TLS:

o There are no protocol mechanisms to negotiate the DH groups or parameter lengths supported by client and server.

o There are widely deployed client implementations that reject received DH parameters if they are longer than 1024 bits.

We note that with DHE and ECDHE cipher suites, the TLS master key only depends on the Diffie-Hellman parameters and not on the strength of the RSA certificate; moreover, 1024 bit modular DH parameters are generally considered insufficient at this time.

With modular ephemeral DH, deployers SHOULD carefully evaluate interoperability vs. security considerations when configuring their TLS endpoints.

4.5. Truncated HMAC

Implementations MUST NOT use the Truncated HMAC extension, defined in Section 7 of [RFC6066].

Rationale: the extension does not apply to the AEAD cipher suites recommended above. However it does apply to most other TLS cipher suites. Its use has been shown to be insecure in [PatersonRS11].

5. Applicability Statement

The deployment recommendations of this document address the operators of application layer services that are most commonly used on the Internet, including, but not limited to:

- o Operators of web servers that wish to protect HTTP with TLS.
- o Operators of email servers who wish to protect the application-layer protocols with TLS (e.g., IMAP, POP3 or SMTP).
- o Operators of instant-messaging services who wish to protect their application-layer protocols with TLS (e.g., XMPP or IRC).

<u>5.1</u>. Security Services

This document provides recommendations for an audience that wishes to secure their communication with TLS to achieve the following:

- o Confidentiality: all application-layer communication is encrypted with the goal that no party should be able to decrypt it except the intended receiver.
- o Data integrity: any changes made to the communication in transit are detectable by the receiver.

o Authentication: an end-point of the TLS communication is authenticated as the intended entity to communicate with.

With regard to authentication, TLS enables authentication of one or both end-points in the communication. Although some TLS usage scenarios do not require authentication, those scenarios are not in scope for this document (a rationale for this decision is provided under Section 5.2).

If deployers deviate from the recommendations given in this document, they MUST verify that they do not need one of the foregoing security services.

This document applies only to environments where confidentiality is required. It recommends algorithms and configuration options that enforce secrecy of the data-in-transit.

This document also assumes that data integrity protection is always one of the goals of a deployment. In cases where integrity is not required, it does not make sense to employ TLS in the first place. There are attacks against confidentiality-only protection that utilize the lack of integrity to also break confidentiality (see for instance [DegabrieleP07] in the context of IPsec).

The intended audience covers those services that are most commonly used on the Internet. Typically, all communication between TLS clients and TLS servers requires all three of the above security services. This is particularly true where TLS clients are user agents like Web browsers or email software.

This document does not address the rarer deployment scenarios where one of the above three properties is not desired, such as the use case described under <u>Section 5.2</u> below. Another example of an audience not needing confidentiality is the following: a monitored network where the authorities in charge of the respective traffic domain require full access to unencrypted (plaintext) traffic, and where users collaborate and send their traffic in the clear.

<u>5.2</u>. Unauthenticated TLS and Opportunistic Encryption

Several important applications use TLS to protect data between a TLS client and a TLS server, but do so without the TLS client necessarily verifying the server's certificate. This practice is often called "unauthenticated TLS". The reader is referred to [I-D.ietf-dane-smtp-with-dane] for an example and an explanation of why this less secure practice will likely remain common in the context of SMTP (especially for MTA-to-MTA communications). The practice is also encountered in similar contexts such as server-to-

server traffic on the XMPP network (where multi-tenant hosting environments make it difficult for operators to obtain proper certificates for all of the domains they service).

Furthermore, in some scenarios the use of TLS itself is optional, i.e. the client decides dynamically ("opportunistically") whether to use TLS with a particular server or to connect in the clear. This practice, often called "opportunistic encryption", and is described at length in Section 2 of [I-D.farrelll-mpls-opportunistic-encrypt].

It can be argued that the recommendations provided in this document ought to apply equally to unauthenticated TLS as well as authenticated TLS. That would keep TLS implementations and deployments in sync, which is a desirable property given that servers can be used simultaneously for unauthenticated TLS and for authenticated TLS (indeed, a server cannot know whether a client might attempt authenticated or unauthenticated TLS). On the other hand, it has been argued that some of the recommendations in this document might be too strict for unauthenticated scenarios and that any security is better than no security at all (i.e., sending traffic in the clear), even if it means deploying outdated protocol versions and ciphers in unauthenticated scenarios. The sense of the UTA Working Group was to complete work on this document about authenticated TLS and to initiate work on a separate document about unauthenticated TLS.

In summary: this document does not apply to unauthenticated TLS use cases.

6. IANA Considerations

This document requests no actions of IANA. [Note to RFC Editor: please remove this whole section before publication.]

7. Security Considerations

This entire document discusses the security practices directly affecting applications using the TLS protocol. This section contains broader security considerations related to technologies used in conjunction with or by TLS.

7.1. Host Name Validation

Application authors should take note that TLS implementations frequently do not validate host names and must therefore determine if the TLS implementation they are using does and, if not, write their own validation code or consider changing the TLS implementation.

It is noted that the requirements regarding host name validation (and in general, binding between the TLS layer and the protocol that runs above it) vary between different protocols. For HTTPS, these requirements are defined by Section 3 of [RFC2818].

Readers are referred to [RFC6125] for further details regarding generic host name validation in the TLS context. In addition, the RFC contains a long list of example protocols, some of which implement a policy very different from HTTPS.

If the host name is discovered indirectly and in an insecure manner (e.g., by an insecure DNS query for an MX or SRV record), it SHOULD NOT be used as a reference identifier [RFC6125] even when it matches the presented certificate. This proviso does not apply if the host name is discovered securely (for further discussion, see for example [I-D.ietf-dane-srv] and [I-D.ietf-dane-smtp-with-dane]).

Host name validation typically applies only to the leaf "end entity" certificate. Naturally, in order to ensure proper authentication in the context of the PKI, application clients need to verify the entire certification path in accordance with [RFC5280] (see also [RFC6125]).

7.2. AES-GCM

<u>Section 4.2</u> above recommends the use of the AES-GCM authenticated encryption algorithm. Please refer to <u>[RFC5246]</u>, <u>Section 11</u> for general security considerations when using TLS 1.2, and to <u>[RFC5288]</u>, <u>Section 6</u> for security considerations that apply specifically to AES-GCM when used with TLS.

7.3. Forward Secrecy

Forward secrecy (also often called Perfect Forward Secrecy or "PFS" and defined in [RFC4949]) is a defense against an attacker who records encrypted conversations where the session keys are only encrypted with the communicating parties' long-term keys. Should the attacker be able to obtain these long-term keys at some point later in time, he will be able to decrypt the session keys and thus the entire conversation. In the context of TLS and DTLS, such compromise of long-term keys is not entirely implausible. It can happen, for example, due to:

- o A client or server being attacked by some other attack vector, and the private key retrieved.
- o A long-term key retrieved from a device that has been sold or otherwise decommissioned without prior wiping.

- o A long-term key used on a device as a default key [Heninger2012].
- o A key generated by a Trusted Third Party like a CA, and later retrieved from it either by extortion or compromise [Soghoian2011].
- o A cryptographic break-through, or the use of asymmetric keys with insufficient length [Kleinjung2010].

Forward secrecy ensures in such cases that the session keys cannot be determined even by an attacker who obtains the long-term keys some time after the conversation. It also protects against an attacker who is in possession of the long-term keys, but remains passive during the conversation.

Forward secrecy is generally achieved by using the Diffie-Hellman scheme to derive session keys. The Diffie-Hellman scheme has both parties maintain private secrets and send parameters over the network as modular powers over certain cyclic groups. The properties of the so-called Discrete Logarithm Problem (DLP) allow to derive the session keys without an eavesdropper being able to do so. There is currently no known attack against DLP if sufficiently large parameters are chosen. A variant of the Diffie-Hellman scheme uses Elliptic Curves instead of the originally proposed modular arithmetics.

Unfortunately, many TLS/DTLS cipher suites were defined that do not feature forward secrecy, e.g., TLS_RSA_WITH_AES_256_CBC_SHA256. We thus advocate strict use of forward-secrecy-only ciphers.

7.4. Diffie-Hellman Exponent Reuse

For performance reasons, many TLS implementations reuse Diffie-Hellman and Elliptic Curve Diffie-Hellman exponents across multiple connections. Such reuse can result in major security issues:

- o If exponents are reused for a long time (e.g., more than a few hours), an attacker who gains access to the host can decrypt previous connections. In other words, exponent reuse negates the effects of forward secrecy.
- o TLS implementations that reuse exponents should test the DH public key they receive for group membership, in order to avoid some known attacks. These tests are not standardized in TLS at the time of writing. See [RFC6989] for recipient tests required of IKEv2 implementations that reuse DH exponents.

7.5. Certificate Revocation

Unfortunately, no mechanism exists at this time that we can recommend as a complete and efficient solution for the problem of checking the revocation status of common public key certificates (a.k.a. PKIX certificates, [RFC5280]). The current state of the art is as follows:

- o Certificate Revocation Lists (CRLs) are not scalable and therefore rarely used.
- o The On-Line Certification Status Protocol (OCSP) presents both scaling and privacy issues when used for heavy traffic Web servers. In addition, clients typically "soft-fail", meaning they do not abort the TLS connection if the OCSP server does not respond.
- o OCSP stapling (<u>Section 8 of [RFC6066]</u>) resolves the operational issues with OCSP, but is still ineffective in the presence of a MITM attacker because the attacker can simply ignore the client's request for a stapled OCSP response.
- o OCSP stapling as defined in [RFC6066] does not extend to intermediate certificates used in a certificate chain. [RFC6961] addresses this shortcoming, but is a recent addition without much deployment.
- o Proprietary mechanisms that embed revocation lists in the Web browser's configuration database cannot scale beyond a small number of the most heavily used Web servers.

With regard to PKIX certificates, servers SHOULD support OCSP and OCSP stapling, including the OCSP stapling extension defined in [RFC6961], as a best practice given the current state of the art and as a foundation for a possible future solution.

The foregoing considerations do not apply to scenarios where the DANE-TLSA resource record $\left[\frac{RFC6698}{C}\right]$ is used to signal to a client which certificate a server considers valid and good to use for TLS connections.

8. Acknowledgments

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Appendix A. Change Log

Note to RFC Editor: please remove this section before publication.

A.1. draft-ietf-uta-tls-bcp-07

o WGLC feedback.

A.2. draft-ietf-uta-tls-bcp-06

o Undo unauthenticated TLS, following another long thread on the list.

A.3. draft-ietf-uta-tls-bcp-05

- o Lots of comments by Sean Turner.
- o Unauthenticated TLS, following a long thread on the list.

A.4 draft-ietf-uta-tls-bcp-04

o Some cleanup, and input from TLS WG discussion on applicability.

A.5. draft-ietf-uta-tls-bcp-03

- o Disallow truncated HMAC.
- o Applicability to DTLS.
- o Some more text restructuring.
- o Host name validation is sometimes irrelevant.
- o HSTS: MUST implement, SHOULD deploy.
- o Session identities are not protected, only tickets are.
- o Clarified the target audience.

A.6. draft-ietf-uta-tls-bcp-02

- o Rearranged some sections for clarity and re-styled the text so that normative text is followed by rationale where possible.
- o Removed the recommendation to use Brainpool curves.
- o Triple Handshake mitigation.
- o MUST NOT negotiate algorithms lower than 112 bits of security.
- o MUST implement SNI, but use per local policy.
- o Changed SHOULD NOT negotiate or fall back to SSLv3 to MUST NOT.
- o Added hostname validation.
- o Non-normative discussion of DH exponent reuse.

A.7. draft-ietf-tls-bcp-01

- o Clarified that specific TLS-using protocols may have stricter requirements.
- o Changed TLS 1.0 from MAY to SHOULD NOT.
- o Added discussion of "optional TLS" and HSTS.

- o Recommended use of the Signature Algorithm and Renegotiation Info extensions.
- o Use of a strong cipher for a resumption ticket: changed SHOULD to MUST.
- o Added an informational discussion of certificate revocation, but no recommendations.

A.8. draft-ietf-tls-bcp-00

o Initial WG version, with only updated references.

A.9. draft-sheffer-tls-bcp-02

- o Reorganized the content to focus on recommendations.
- o Moved description of attacks to a separate document (<u>draft-sheffer-uta-tls-attacks</u>).
- o Strengthened recommendations regarding session resumption.

A.10. draft-sheffer-tls-bcp-01

- o Clarified our motivation in the introduction.
- o Added a section justifying the need for forward secrecy.
- o Added recommendations for RSA and DH parameter lengths. Moved from DHE to ECDHE, with a discussion on whether/when DHE is appropriate.
- o Recommendation to avoid fallback to SSLv3.
- o Initial information about browser support more still needed!
- o More clarity on compression.
- o Client can offer stronger cipher suites.
- o Discussion of the regular TLS mandatory cipher suite.

A.11. draft-sheffer-tls-bcp-00

o Initial version.

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