Usage Limits on AEAD Algorithms

Abstract

An Authenticated Encryption with Associated Data (AEAD) algorithm
provides confidentiality and integrity. Excessive use of the same
key can give an attacker advantages in breaking these properties.
This document provides simple guidance for users of common AEAD
functions about how to limit the use of keys in order to bound the
advantage given to an attacker. It considers limits in both single-
and multi-key settings.

Discussion Venues

This note is to be removed before publishing as an RFC.

Discussion of this document takes place on the Crypto Forum Research
Group mailing list (cfrg@ietf.org), which is archived at https://
mailarchive.ietf.org/arch/search/?email_list=cfrg.

Source for this draft and an issue tracker can be found at https://
github.com/cfrg/draft-irtf-cfrg-aead-limits.

Status of This Memo

This Internet-Draft is submitted in full conformance with the
provisions of BCP 78 and BCP 79.

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1. Introduction

An Authenticated Encryption with Associated Data (AEAD) algorithm
provides confidentiality and integrity. [RFC5116] specifies an AEAD
as a function with four inputs -- secret key, nonce, plaintext,
associated data (of which plaintext and associated data can
optionally be zero-length) -- that produces ciphertext output and an
error code indicating success or failure. The ciphertext is
typically composed of the encrypted plaintext bytes and an
authentication tag.

The generic AEAD interface does not describe usage limits. Each AEAD
algorithm does describe limits on its inputs, but these are
formulated as strict functional limits, such as the maximum length
of inputs, which are determined by the properties of the underlying
AEAD composition. Degradation of the security of the AEAD as a
single key is used multiple times is not given the same thorough
treatment.

Effective limits can be influenced by the number of "users" of a
given key. In the traditional setting, there is one key shared
between two parties. Any limits on the maximum length of inputs or
encryption operations apply to that single key. The attacker's goal
is to break security (confidentiality or integrity) of that specific
key. However, in practice, there are often many users with
independent keys. This multi-key security setting, often referred to
as the multi-user setting in the academic literature, considers an
attacker's advantage in breaking security of any of these many keys,
further assuming the attacker may have done some offline work to
help break security. As a result, AEAD algorithm limits may depend
on offline work and the number of keys. However, given that a multi-
key attacker does not target any specific key, acceptable advantages
may differ from that of the single-key setting.

The number of times a single pair of key and nonce can be used might
also be relevant to security. For some algorithms, such as
AEAD_AES_128_GCM or AEAD_AES_256_GCM, this limit is 1 and using the
same pair of key and nonce has serious consequences for both
confidentiality and integrity; see [NonceDisrespecting]. Nonce-reuse
resistant algorithms like AEAD_AES_128_GCM_SIV can tolerate a
limited amount of nonce reuse.

It is good practice to have limits on how many times the same key
(or pair of key and nonce) are used. Setting a limit based on some
measurable property of the usage, such as number of protected
messages or amount of data transferred, ensures that it is easy to
apply limits. This might require the application of simplifying
assumptions. For example, TLS 1.3 and QUIC both specify limits on
the number of records that can be protected, using the simplifying

assumption that records are the same size; see Section 5.5 of [TLS] and Section 6.6 of [RFC9001].

Exceeding the determined usage limit can be avoided using rekeying. Rekeying uses a lightweight transform to produce new keys. Rekeying effectively resets progress toward single-key limits, allowing a session to be extended without degrading security. Rekeying can also provide a measure of forward and backward (post-compromise) security. [RFC8645] contains a thorough survey of rekeying and the consequences of different design choices.

Currently, AEAD limits and usage requirements are scattered among peer-reviewed papers, standards documents, and other RFCs. Determining the correct limits for a given setting is challenging as papers do not use consistent labels or conventions, and rarely apply any simplifications that might aid in reaching a simple limit.

The intent of this document is to collate all relevant information about the proper usage and limits of AEAD algorithms in one place. This may serve as a standard reference when considering which AEAD algorithm to use, and how to use it.

2. Requirements Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

3. Notation

This document defines limitations in part using the quantities in Table 1 below.

Symbol	Description
n	AEAD block length (in bits)
k	AEAD key length (in bits)
r	AEAD nonce length (in bits)
t	Size of the authentication tag (in bits)
l	Maximum length of each message (in blocks)
s	Total plaintext length in all messages (in blocks)
q	Number of protected messages (AEAD encryption invocations)
v	Number of attacker forgery attempts (failed AEAD decryption invocations)
p	Upper bound on adversary attack probability
o	Offline adversary work (in number of encryption and decryption queries; multi-key setting only)

Symbol	Description
u	Number of keys (multi-key setting only)
B	Maximum number of blocks encrypted by any key (multi-key setting only)

Table 1: Notation

For each AEAD algorithm, we define the (passive) confidentiality and (active) integrity advantage roughly as the advantage an attacker has in breaking the corresponding classical security property for the algorithm. A passive attacker can query ciphertexts for arbitrary plaintexts. An active attacker can additionally query plaintexts for arbitrary ciphertexts. Moreover, we define the combined authenticated encryption advantage guaranteeing both confidentiality and integrity against an active attacker. Specifically:

  *Confidentiality advantage (CA): The probability of a passive attacker succeeding in breaking the confidentiality properties (IND-CPA) of the AEAD scheme. In this document, the definition of confidentiality advantage roughly is the probability that an attacker successfully distinguishes the ciphertext outputs of the AEAD scheme from the outputs of a random function.

  *Integrity advantage (IA): The probability of an active attacker succeeding in breaking the integrity properties (INT-CTXT) of the AEAD scheme. In this document, the definition of integrity advantage roughly is the probability that an attacker is able to forge a ciphertext that will be accepted as valid.

  *Authenticated Encryption advantage (AEA): The probability of an active attacker succeeding in breaking the authenticated-encryption properties of the AEAD scheme. In this document, the definition of authenticated encryption advantage roughly is the probability that an attacker successfully distinguishes the ciphertext outputs of the AEAD scheme from the outputs of a random function or is able to forge a ciphertext that will be accepted as valid.

See [AEComposition], [AEAD] for the formal definitions of and relations between passive confidentiality (IND-CPA), ciphertext integrity (INT-CTXT), and authenticated encryption security (AE). The authenticated encryption advantage subsumes, and can be derived as the combination of, both CA and IA:

CA <= AEA
IA <= AEA
AEA <= CA + IA

Each application requires an individual determination of limits in order to keep CA and IA sufficiently small. For instance, TLS aims to keep CA below 2^{-60} and IA below 2^{-57} in the single-key setting; see [Section 5.5](#) of [[TLS](#)].

4. Calculating Limits

Once upper bounds on CA, IA, or AEA are determined, this document defines a process for determining three overall operational limits:

  *Confidentiality limit (CL): The number of messages an application can encrypt before giving the adversary a confidentiality advantage higher than CA.

  *Integrity limit (IL): The number ciphertexts an application can decrypt, either successfully or not, before giving the adversary an integrity advantage higher than IA.

  *Authenticated encryption limit (AEL): The combined number of messages and number of ciphertexts an application can encrypt or decrypt before giving the adversary an authenticated encryption advantage higher than AEA.

When limits are expressed as a number of messages an application can encrypt or decrypt, this requires assumptions about the size of messages and any authenticated additional data (AAD). Limits can instead be expressed in terms of the number of bytes, or blocks, of plaintext and maybe AAD in total.

To aid in translating between message-based and byte/block-based limits, a formulation of limits that includes a maximum message size (l) and the AEAD schemes' block length in bits (n) is provided.

All limits are based on the total number of messages, either the number of protected messages (q) or the number of forgery attempts (v); which correspond to CL and IL respectively.

Limits are then derived from those bounds using a target attacker probability. For example, given an integrity advantage of IA = v * (8l / 2^106) and a targeted maximum attacker success probability of IA = p, the algorithm remains secure, i.e., the adversary's advantage does not exceed the targeted probability of success, provided that v <= (p * 2^106) / 8l. In turn, this implies that v <= (p * 2^103) / l is the corresponding limit.

To apply these limits, implementations can count the number of messages that are protected or rejected against the determined limits (q and v respectively). This requires that messages cannot exceed the maximum message size (l) that is chosen.

This analysis assumes a message-based approach to setting limits. Implementations that use byte counting rather than message counting could use a maximum message size (l) of one to determine a limit for q that can be applied with byte counting. This results in attributing per-message overheads to every byte, so the resulting limit could be significantly lower than necessary. Actions, like rekeying, that are taken to avoid the limit might occur more often as a result.

5. Single-Key AEAD Limits

This section summarizes the confidentiality and integrity bounds and limits for modern AEAD algorithms used in IETF protocols, including: AEAD_AES_128_GCM [RFC5116], AEAD_AES_256_GCM [RFC5116], AEAD_AES_128_CCM [RFC5116], AEAD_CHACHA20_POLY1305 [RFC8439], AEAD_AES_128_CCM_8 [RFC6655]. The limits in this section apply to using these schemes with a single key; for settings where multiple keys are deployed (for example, when rekeying within a connection), see Section 6.

These algorithms, as cited, all define a nonce length (r) of 96 bits. Some definitions of these AEAD algorithms allow for other nonce lengths, but the analyses in this document all fix the nonce length to r = 96. Using other nonce lengths might result in different bounds; for example, [GCMProofs] shows that using a variable-length nonce for AES-GCM results in worse security bounds.

The CL and IL values bound the total number of encryption and forgery queries (q and v). Alongside each advantage value, we also specify these bounds.

5.1. AEAD_AES_128_GCM and AEAD_AES_256_GCM

The CL and IL values for AES-GCM are derived in [AEBounds] and summarized below. For this AEAD, n = 128 and t = 128 [GCM]. In this example, the length s is the sum of AAD and plaintext (in blocks of 128 bits), as described in [GCMProofs].

5.1.1. Confidentiality Limit

$$CA \le ((s + q + 1)^2) / 2^{129}$$

This implies the following usage limit:

$$q + s \le p^{1/2} * 2^{129/2} - 1$$

Which, for a message-based protocol with $s \le q * l$, if we assume that every packet is size l (in blocks of 128 bits), produces the limit:

```
q <= (p^(1/2) * 2^(129/2) - 1) / (l + 1)
```

5.1.2. Integrity Limit

```
IA <= 2 * (v * (l + 1)) / 2^128
```

This implies the following limit:

```
v <= (p * 2^127) / (l + 1)
```

5.2. AEAD_CHACHA20_POLY1305

The known single-user analyses for AEAD_CHACHA20_POLY1305
[ChaCha20Poly1305-SU], [ChaCha20Poly1305-MU] combine the
confidentiality and integrity limits into a single expression,
covered below. For this AEAD, n = 512, k = 256, and t = 128; the
length l is the sum of AAD and plaintext (in blocks of 128 bits),
see [ChaCha20Poly1305-MU].

```
AEA <= (v * (l + 1)) / 2^103
```

This advantage is a tight reduction based on the underlying Poly1305
PRF [Poly1305]. It implies the following limit:

```
v <= (p * 2^103) / (l + 1)
```

5.3. AEAD_AES_128_CCM

The CL and IL values for AEAD_AES_128_CCM are derived from [CCM-
ANALYSIS] and specified in the QUIC-TLS mapping specification
[RFC9001]. This analysis uses the total number of underlying block
cipher operations to derive its bound. For CCM, this number is the
sum of: the length of the associated data in blocks, the length of
the ciphertext in blocks, the length of the plaintext in blocks,
plus 1.

In the following limits, this is simplified to a value of twice the
length of the packet in blocks, i.e., 2l represents the effective
length, in number of block cipher operations, of a message with l
blocks. This simplification is based on the observation that common
applications of this AEAD carry only a small amount of associated
data compared to ciphertext. For example, QUIC has 1 to 3 blocks of
AAD.

For this AEAD, n = 128 and t = 128.

5.3.1. Confidentiality Limit

```
CA <= (2l * q)^2 / 2^n
   <= (2l * q)^2 / 2^128
```

This implies the following limit:

q <= sqrt((p * 2^126) / l^2)

5.3.2. Integrity Limit

IA <= v / 2^t + (2l * (v + q))^2 / 2^n
   <= v / 2^128 + (2l * (v + q))^2 / 2^128

This implies the following limit:

v + (2l * (v + q))^2 <= p * 2^128

In a setting where v or q is sufficiently large, v is negligible
compared to (2l * (v + q))^2, so this this can be simplified to:

v + q <= sqrt(p) * 2^63 / l

5.4. AEAD_AES_128_CCM_8

The analysis in [CCM-ANALYSIS] also applies to this AEAD, but the
reduced tag length of 64 bits changes the integrity limit
calculation considerably.

IA <= v / 2^t + (2l * (v + q))^2 / 2^n
   <= v / 2^64 + (2l * (v + q))^2 / 2^128

This results in reducing the limit on v by a factor of 2^64.

v * 2^64 + (2l * (v + q))^2 <= p * 2^128

5.5. Single-Key Examples

An example protocol might choose to aim for a single-key CA and IA
that is at most 2^{-50}. If the messages exchanged in the protocol are
at most a common Internet MTU of around 1500 bytes, then a value for
l might be set to 2^7. Table 2 shows limits for q and v that might be
chosen under these conditions.

AEAD	Maximum q	Maximum v
AEAD_AES_128_GCM	$2^{32.5}$	2^{71}
AEAD_AES_256_GCM	$2^{32.5}$	2^{71}
AEAD_CHACHA20_POLY1305	n/a	2^{46}
AEAD_AES_128_CCM	2^{30}	2^{30}
AEAD_AES_128_CCM_8	$2^{30.9}$	2^{13}

Table 2: Example single-key limits

AEAD_CHACHA20_POLY1305 provides no limit to q based on the provided
single-user analyses.

The limit for q on AEAD_AES_128_CCM and AEAD_AES_128_CCM_8 is reduced due to a need to reduce the value of q to ensure that IA does not exceed the target. This assumes equal proportions for q and v for AEAD_AES_128_CCM. AEAD_AES_128_CCM_8 permits a much smaller value of v due to the shorter tag, which permits a higher limit for q.

Some protocols naturally limit v to 1, such as TCP-based variants of TLS, which terminate sessions on decryption failure. If v is limited to 1, q can be increased to 2^{31} for both CCM AEADs.

6. Multi-Key AEAD Limits

In the multi-key setting, each user is assumed to have an independent and uniformly distributed key, though nonces may be re-used across users with some very small probability. The success probability in attacking one of these many independent keys can be generically bounded by the success probability of attacking a single key multiplied by the number of keys present [MUSecurity], [GCM-MU]. Absent concrete multi-key bounds, this means the attacker advantage in the multi-key setting is the product of the single-key advantage and the number of keys.

This section summarizes the confidentiality and integrity bounds and limits for the same algorithms as in Section 5 for the multi-key setting. The CL and IL values bound the total number of encryption and forgery queries (q and v). Alongside each value, we also specify these bounds.

6.1. AEAD_AES_128_GCM and AEAD_AES_256_GCM

Concrete multi-key bounds for AEAD_AES_128_GCM and AEAD_AES_256_GCM exist due to Theorem 4.3 in [GCM-MU2], which covers protocols with nonce randomization, like TLS 1.3 [TLS] and QUIC [RFC9001]. Here, the full nonce is XORed with a secret, random offset. The bound for nonce randomization was further improved in [ChaCha20Poly1305-MU].

Results for AES-GCM with random, partially implicit nonces [RFC5288] are captured by Theorem 5.3 in [GCM-MU2], which apply to protocols such as TLS 1.2 [RFC5246]. Here, the implicit part of the nonce is a random value, of length at least 32 bits and fixed per key, while the explicit part of the nonce is a non-repeating counter. The full nonce is the concatenation of the two parts. This produces similar limits under most conditions.

For this AEAD, n = 128, t = 128, and r = 96; the key length is k = 128 or k = 256 for AEAD_AES_128_GCM and AEAD_AES_128_GCM respectively.

6.1.1. Authenticated Encryption Security Limit

Protocols with nonce randomization have a limit of:

AEA <= (q+v)*l*B / 2^127

This implies the following limit:

q + v <= p * 2^127 / (l * B)

This assumes that B is much larger than 100; that is, each user
enciphers significantly more than 1600 bytes of data. Otherwise, B
should be increased by 161 for AEAD_AES_128_GCM and by 97 for
AEAD_AES_256_GCM.

Protocols with random, partially implicit nonces have the following
limit, which is similar to that for nonce randomization:

AEA <= (((q+v)*o + (q+v)^2) / 2^(k+26)) + ((q+v)*l*B / 2^127)

The first term is negligible if k = 256; this implies the following
simplified limits:

AEA <= (q+v)*l*B / 2^127
q + v <= p * 2^127 / (l * B)

For k = 128, assuming o <= q+v (i.e., that the attacker does not
spend more work than all legitimate protocol users together), the
limits are:

AEA <= (((q+v)*o + (q+v)^2) / 2^154) + ((q+v)*l*B / 2^127)
q + v <= min(sqrt(p) * 2^76, p * 2^126 / (l * B))

6.1.2. Confidentiality Limit

The confidentiality advantage is essentially dominated by the same
term as the AE advantage for protocols with nonce randomization:

CA <= q*l*B / 2^127

This implies the following limit:

q <= p * 2^127 / (l * B)

Similarly, the limits for protocols with random, partially implicit
nonces are:

CA <= ((q*o + q^2) / 2^(k+26)) + (q*l*B / 2^127)
q <= min(sqrt(p) * 2^76, p * 2^126 / (l * B))

6.1.3. Integrity Limit

There is currently no dedicated integrity multi-key bound available
for AEAD_AES_128_GCM and AEAD_AES_256_GCM. The AE limit can be used
to derive an integrity limit as:

IA <= AEA

Section 6.1.1 therefore contains the integrity limits.

6.2. AEAD_CHACHA20_POLY1305

Concrete multi-key bounds for AEAD_CHACHA20_POLY1305 are given in
Theorem 7.8 in [ChaCha20Poly1305-MU], covering protocols with nonce
randomization like TLS 1.3 [TLS] and QUIC [RFC9001].

For this AEAD, n = 512, k = 256, t = 128, and r = 96; the length l
is the sum of AAD and plaintext (in blocks of 128 bits).

6.2.1. Authenticated Encryption Security Limit

Protocols with nonce randomization have a limit of:

AEA <= (v * (l + 1)) / 2^103

It implies the following limit:

v <= (p * 2^103) / (l + 1)

Note that this is the same limit as in the single-user case except
that the total number of forgery attempts v and maximum message
length in blocks l is calculated across all used keys.

6.2.2. Confidentiality Limit

While the AE advantage is dominated by the number of forgery
attempts v, those are irrelevant for the confidentiality advantage.
The relevant limit for protocols with nonce randomization becomes
dominated, at a very low level, by the adversary's offline work o,
number of protected messages q and maximum blocks encrypted B,
across all used keys:

CA <= ((o + q) / 2^247) + ((B + q)^2 / 2^513)

It implies the following simplified limit, which for most reasonable
values of p is dominated by a technical limitation around q = 2^100:

q <= min(p * 2^246 - o, sqrt(p) * 2^256 - B, 2^100)

6.2.3. Integrity Limit

The AE limit for AEAD_CHACHA20_POLY1305 essentially is the integrity (multi-key) bound. The former hence also applies to the latter:

IA <= AEA

Section 6.2.1 therefore contains the integrity limits.

6.3. AEAD_AES_128_CCM and AEAD_AES_128_CCM_8

There are currently no concrete multi-key bounds for AEAD_AES_128_CCM or AEAD_AES_128_CCM_8. Thus, to account for the additional factor u, i.e., the number of keys, each p term in the confidentiality and integrity limits is replaced with p / u.

The multi-key integrity limit for AEAD_AES_128_CCM is as follows.

v + q <= sqrt(p / u) * 2^63 / l

Likewise, the multi-key integrity limit for AEAD_AES_128_CCM_8 is as follows.

v * 2^64 + (2l * (v + q))^2 <= (p / u) * 2^128

6.4. Multi-Key Examples

An example protocol might choose to aim for a multi-key AEA, CA, and IA that is at most 2^{-50}. If the messages exchanged in the protocol are at most a common Internet MTU of around 1500 bytes, then a value for l might be set to 2^7. Table 3 shows limits for q and v across all keys that might be chosen under these conditions.

AEAD	Maximum q	Maximum v
AEAD_AES_128_GCM	2^{69}/B	2^{69}/B
AEAD_AES_256_GCM	2^{69}/B	2^{69}/B
AEAD_CHACHA20_POLY1305	2^{100}	2^{46}
AEAD_AES_128_CCM	2^{30}/sqrt(u)	2^{30}/sqrt(u)
AEAD_AES_128_CCM_8	$2^{30.9}$/sqrt(u)	2^{13}/u

Table 3: Example multi-key limits

The limits for AEAD_AES_128_GCM, AEAD_AES_256_GCM, AEAD_AES_128_CCM, and AEAD_AES_128_CCM_8 assume equal proportions for q and v. The limits for AEAD_AES_128_GCM, AEAD_AES_256_GCM and AEAD_CHACHA20_POLY1305 assume the use of nonce randomization, like in TLS 1.3 [TLS] and QUIC [RFC9001].

The limits for AEAD_AES_128_GCM and AEAD_AES_256_GCM further depend on the maximum number B of 128-bit blocks encrypted by any single

key. For example, limiting the number of messages (of size <= 2^7 blocks) to at most 2^20 (about a million) per key results in B = 2^27, which limits both q and v to 2^42 messages.

Only the limits for AEAD_AES_128_CCM and AEAD_AES_128_CCM_8 depend on the number of used keys u, which further reduces them considerably. If v is limited to 1, q can be increased to 2^{31}/sqrt(u) for both CCM AEADs.

7. Security Considerations

The different analyses of AEAD functions that this work is based upon generally assume that the underlying primitives are ideal. For example, that a pseudorandom function (PRF) used by the AEAD is indistinguishable from a truly random function or that a pseudorandom permutation (PRP) is indistinguishable from a truly random permutation. Thus, the advantage estimates assume that the attacker is not able to exploit a weakness in an underlying primitive.

Many of the formulae in this document depend on simplifying assumptions, from differing models, which means that results are not universally applicable. When using this document to set limits, it is necessary to validate all these assumptions for the setting in which the limits might apply. In most cases, the goal is to use assumptions that result in setting a more conservative limit, but this is not always the case. As an example of one such simplification, this document defines v as the total number of failed decryption queries (that is, failed forgery attempts), whereas models usually count in v all forgery attempts.

The CA, IA, and AEA values defined in this document are upper bounds based on existing cryptographic research. Future analysis may introduce tighter bounds. Applications SHOULD NOT assume these bounds are rigid, and SHOULD accommodate changes. In particular, in two-party communication, one participant cannot regard apparent overuse of a key by other participants as being in error, when it could be that the other participant has better information about bounds.

Note that the limits in this document apply to the adversary's ability to conduct a single successful forgery. For some algorithms and in some cases, an adversary's success probability in repeating forgeries may be noticeably larger than that of the first forgery. As an example, [MF05] describes such multiple forgery attacks in the context of AES-GCM in more detail.

8. IANA Considerations

This document does not make any request of IANA.

9. References

9.1. Normative References

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