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Abstract

This document describes an architecture based on the Host Identity Protocol (HIP), for active RFIDs, i.e. Radio Frequency Identifiers including tamper resistant computing resources, as specified for example in the ISO 14443 or 15693 standards. HIP-RFIDs never expose their identity in clear text, but hide this value (typically an EPC-Code) by a particular equation that can be only solved by a dedicated entity, referred as the portal. HIP exchanges occur between HIP-RFIDs and portals; they are transported by IP packets, through the Internet cloud.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

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Table of Contents

	stract <u>1</u>
	quirements Language $\underline{1}$
St	atus of this Memo
Co	pyright Notice <u>2</u>
Та	ble of Contents <u>3</u>
<u>1</u>	Overview <u>5</u>
	<u>1.1</u> Motivation <u>5</u>
	1.2 Passive and active RFIDs 5
	1.3 About the Internet of Things (IoT)
	1.4 HIP-RFIDs
	1.5 Main differences between HIP-RFID and HIP
2	Basic Exchange
∠.	2.1 I1-T 9
	2.2 R1-T
	<u>2.3</u> I2-T
	2.4 R2-T
	<u>2.5</u> HIT format <u>10</u>
	<u>2.6</u> State Machine <u>11</u>
	<u>2.6.1</u> Unassociated <u>11</u>
	<u>2.6.2</u> I1-Sent <u>11</u>
	<u>2.6.3</u> R1-Sent <u>11</u>
	<u>2.6.4</u> I2-Sent <u>11</u>
	<u>2.6.5</u> R2-Sent <u>11</u>
	<u>2.6.6</u> Established <u>11</u>
<u>3</u> .	Formats
	<u>3.1</u> Payload
	3.2 Packet types <u>13</u>
	3.3 Summary of HIP parameters <u>14</u>
	3.4 R-T
	3.5 HIP-T-Transform
	3.6 F-T
	3.7 MAC-T
	3.8 ESP-Transform
	3.9 ESP-Info
1	BEX Example
Ξ.	4.1 Generic example
	4.1.1 I1-T
	
	4.1.2 R1-T
	<u>4.1.3</u> I2-T <u>18</u>
	<u>4.1.4</u> R2-T <u>19</u>
	<u>4.2</u> HIP-T Transform 0x0001, HMAC <u>19</u>
	<u>4.2.1</u> I1-T <u>19</u>
	<u>4.2.2</u> R1-T <u>19</u>
	<u>4.2.3</u> I2-T <u>20</u>
<u>5</u> .	HIP-T-Transforms Definition
	<u>5.1</u> Type 0x0001, HMAC
	5.1.1 Suite-ID 20

	<u>5.1.2</u> F-T computing (f function)	
	5.1.3 K-Auth-Key computing (g function)	<u>21</u>
Urien	Expires April 2013	[Page 3]

<u>5.1.4</u> MAC-T computing <u>21</u>
<u>5.2</u> Type 0x0002, Keys-Tree
<u>5.2.1</u> Suite-ID <u>21</u>
<u>5.2.2</u> F-T computing (f function) <u>21</u>
$\underline{5.2.3}$ K-Auth-Key computing (g function) $\underline{22}$
<u>5.2.4</u> MAC-T computing
$\underline{6}$. Security Considerations
<u>7</u> . IANA Considerations
$\underline{8}$ References
8.1 Normative references
8.2 Informative references
$\underline{9}$ Annex I
9.1 Binary Interface with HIP RFIDs
<u>9.3</u> Exchanged data
<u>9.3</u> Javacard code sample
Author's Addresses

HIP support for RFIDs October 2012

1 Overview

1.1 Motivation

RFIDs are electronic devices, associated to things or computers, which transmit their identifier (usually a serial number) via radio links. The Host Identity Protocol [HIP] is a security protocol based on the use of cryptographic identifiers, and specified for IP-based networks [HIP].

The first motivation for designing HIP support for RFIDs is to enforce a strong privacy for the Internet of Things, e.g. identity is protected by cryptographic procedures compatible with RFID computing resources. As an illustration, EPC codes or IP addresses are today transmitted in the clear.

The second motivation is to define an identity layer for RFIDs logically independent from the transport facilities, which may optionally support IP stacks.

In other words, we believe that the Internet of Things will be Identity oriented; RFIDs will act as electronic ID for objects to which they are linked. In this context, privacy is a major challenge.

1.2 Passive and active RFIDs

An RFID is a slice of silicon whose area is about 1 mm2 for components used as cheap electronic RFIDs, and around 25 mm2 for chips like contact-less smart cards inserted in passports and mobile phones.

RFIDs are divided into two classes, the first includes devices that embed CPU and memory (RAM, ROM, E2PROM) such as contact-less smart cards, and the second comprises electronic chips based on cabled logic circuits.

There are multiple standards relative to RFIDs. The ISO 14443 standard introduces components dealing with the 13.56 MHz frequency that embed a CPU and consume about 10mW; data throughput is about 100 Kbits/s and the maximum working distance (from the reader) is around 10cm.

The ISO 15693 standard also uses the same 13.56 MHz frequency, but enables working distances as high as one meter, with a data throughput of a few Kbits/s.

The ISO 18000 standard defines parameters for air interface communications associated with frequency such as 135 KHz, 13.56 MHz, 2.45 GHz, 5.8 GHz, 860 to 960 MHz and 433 MHz. The ISO 18000-6 standard uses the 860-960 MHz range and is the basis for the Class-1 Generation-2 UHF RFID, introduced by the EPCglobal [EPCGLOBAL] consortium.

1.3 About the Internet of Things (IoT)

The term "Internet of Thing (IoT)" was invented by the MIT Auto-ID Center, in 2001, and refers to an architecture that comprises four levels,

- Passive RFIDs, such as Class-1 Generation-2 UHF RFIDs, introduced by the EPC Global consortium and operating in the 860-960 MHz range.
- Readers plugged to a local (computing) system, which read the Electronic Product Code [EPC].
- A local system, offering IP connectivity, which collects information pointed by the EPC thanks to a protocol called Object Naming Service (ONS)
- EPCIS (EPC Information Services) servers, which process incoming ONS requests and returns PML (Physical Markup Language) files [PML], e.g. XML documents that carry meaningful information linked to RFIDs.

1.4 HIP-RFIDs

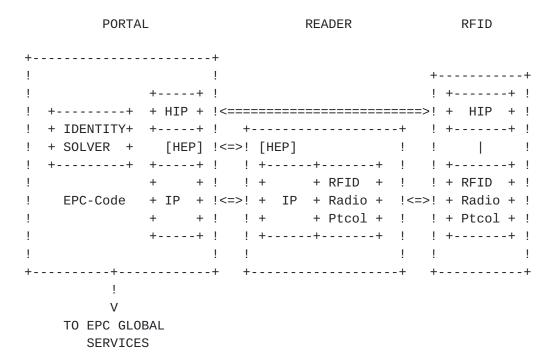


Figure 1. HIP-RFID Architecture

This document suggests embedding a modified version of a HIP-enabled stack in active RFIDs, named HIP-RFIDs. It assumes that such devices would not support an IP stack, but should be rather identity

oriented, i.e. will use readers' IP resources in order to unveil
Urien Expires April 2013 [Page 6]

their EPC-Code only to trusted entities (called portals in the architecture shown by Figure 1). Privacy, e.g. identity protection seems a key prerequisite [SEC] before the effective massive deployment of these devices.

The HIP-RFID architecture includes three functional entities: HIP RFIDs, RFID readers, and portals, and defines a new HIP encapsulation protocol (HEP):

- HIP RFIDs. HIP, as defined in [HIP], is transported by IP packets. HIP-RFIDs support a modified version of this protocol but do not require end-to-end IP transport.
- RFID readers. These provide IP connectivity and communicate with RFIDs through radio links either defined by EPC Global or ISO standards. The IP layer transports HIP messages between RFIDs and other HIP entities. According to HIP, an SPI (Security Parameter Index) associated to an IPsec tunnel MAY be used by the IP host (e.g. a reader) in order to route HIP packets to/from the right software identity.
- HEP, HIP Encapsulation Protocol. HIP messages MAY be encapsulated by protocols such as UDP or TCP in order to facilitate HIP transport in existing software and networking architectures. The HEP does not modify the content of an HIP packet. This class of protocol is not specified by this document.
- PORTAL entity. This device manages a set of readers; it is a HIP entity that includes a full IP stack. Communications between portal and RFIDs logically work as peer to peer HIP exchanges. RFID identifier (HIT) is hidden and appears as a pseudo random value; within the portal a software block called the IDENTITY SOLVER resolves an equation f, whose solution is an EPC Code. The portal accesses EPCIS services; when required privacy may be enforced by legacy protocol such as SSL or IPsec.
- The portal maintains a table linking HIT and EPC-Code. It acts as a router for that purpose it MUST provide an identity resolution mechanism, i.e. a relation between HIT and EPC-Code.

1.5 Main differences between HIP-RFID and HIP

In HIP [HIP], the HIT (Host Identifier Tag) is a fixed value obtained from the hash of an RSA public key. This parameter is therefore linked to a unique identity, and can be used for traceability purposes; in other words HIP does not natively include privacy features.

In [BLIND], it is proposed to hide the HIT with a random number thanks to a hash function, i.e.

Urien

B-HIT = sha1(HIT || N), with N a random value and || the concatenation operation.

The case in which only one HIT (either initiator or responder) is blinded looks similar to the HIP-RFID protocol described in this draft working with a particular transform (HMAC Transform, 0x0001).

2. Basic Exchange

The HIP-RFID base exchange (T-BEX) is derived from the "classical" base exchange (BEX), introduced in [HIP]. It is a four way handshake illustrated by Figure 2.

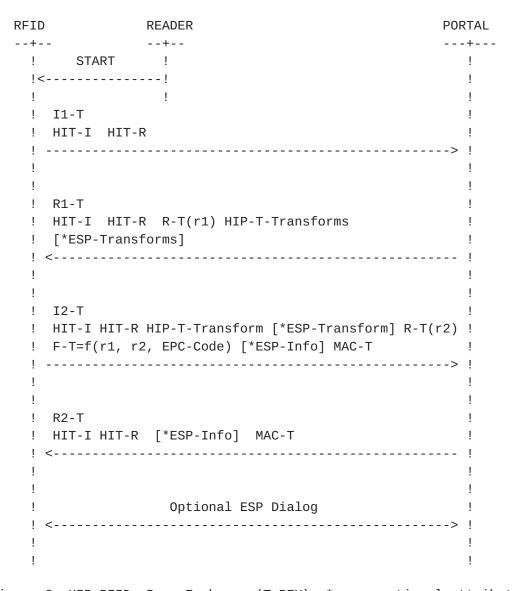


Figure 2. HIP-RFIDs Base Exchange (T-BEX), *means optional attributes

A HEP layer MAY be used to transport HIP messages in a non-IP context, but this optional facility is out of scope for this

document.

Urien

Expires April 2013

[Page 8]

2.1 I1-T

When a reader detects an RFID, it realizes all low level operations in order to set up a radio communication link. Finally the reader delivers a START message that triggers the RFID.

The HIP-RFID sends the I1-T packet (I suffix meaning initiator), in which HIT-I is a pseudorandom value internally generated by the HIP-RFID.

If the RFID doesn't known the portal HIT it sets the HIT-R value to zero; in that case the reader MAY modify this field in order to identify the appropriate entity.

The I1-T message is not MACed.

2.2 R1-T

The portal produces the R1-T (R suffix meaning responder) packet, which includes a nonce r1 and optional parameters. These fields indicate a list of supported authentication schemes (HIP-T-TRANSFORMs) and a list of ESP-TRANSFORMs, i.e. secure channels that could be opened between portal and RFIDs.

This message includes the following fields:

- HIT-I, a random number which identifies a RFID
- HIT-R, the portal HIP, either a null or fixed value.
- HIT-T-TRANSFORMs, a list of authentication schemes
- ESP-T-TRANSFORMs, an optional list of ESP secure channels

The R1-T message is not MACed.

2.3 I2-T

The HIP-RFID builds the I2-T message, which contains

- The selected HIP-T-TRANSFORM (the current authentication scheme).
- An optional ESP-TRANSFORM (a class of secure channel between RFID and portal).
- A nonce r2, included in the R-T attribute.
- An equation f(r1, r2, EPC-Code), whose solution, according to the selected HIP-T-TRANSFORM, unveils the EPC-Code value.
- An optional ESP-Info attribute that gives information about the secure (ESP) channel, and which includes the SPI-I value.
- A keyed MAC (MAC-T), which works with a KI-Auth-key deduced from r1, r2 and the hidden EPC-Code value.

KI-Auth-key = g(r1, r2, EPC-Code)

The keyed MAC is by default computed over the complete I2-T message,

the content of MAC-T resulting from this calculation is initially set

Urien Expires April 2013 [Page 9]

to a null value. Particular HIP-T-TRANSFORMs MAY work with different rules (see <u>section 6</u>).

The portal and the RFID shares secret keys. The meaning of these keys are dependent upon the f equation.

In some cases the EPC-Code is the only shared key. The portal knows a list of EPC-Code and tries all solutions for solving f, according to brute force techniques. As an illustration a hash function may be used for f:

 $f= sha1(r1 \mid\mid r2 \mid\mid EPC-Code)$, where $\mid\mid$ is the concatenation operation.

In other cases a set of keys is shared between portal and RFIDs. For example a binary tree of HMAC procedure MAY be used, each HMAC beeing associated to a particular key. A binary tree of depth n may identify 2**n RFIDs, each of them stores n keys (ki:j). The f function is a list of n values such as

Where ki:j is a secret key, and j the bit value (either 0 or 1) at the rank i (ranging between 0 and n-1) for the EPC-Code (or the RFID index).

2.4 R2-T

The fourth and last R2-T packet is optional. It includes

- A keyed MAC (MAC-T) computed with the KI-Auth-key deduced from r1, r2 and the hidden EPC-Code value.

```
KI-Auth-key = g(r1, r2, EPC-Code)
```

- An optional ESP-Info attribute that gives information about the secure (ESP) channel, and which includes the SPI-R value.

The R2-T packet is mandatory when an ESP channel has been previously negotiated. ESP channel is required if the portal intends to perform read or write operations with the RFIDs.

2.5 HIT format

HIT-R MAY be a fixed value embedded in the RFID during the manufacturing process or a null value if no specific portal is required.

HIT-I MAY comprise an optional header given coded according to various hierarchical rules and MUST include a trailer, which is a

true random number.

Urien Expires April 2013 [Page 10]

2.6 State Machine

The state machine is similar to the one described in [RFC 5201]. No retry operations are performed, because the communication with the RFID may be lost at any time. Furthermore RFIDs are generally not equipped with timers.

2.6.1 Unassociated.

The state machine starts.

2.6.2 I1-Sent

The RFID has been reset by the reader, and has sent the I1-T message.

2.6.3 R1-Sent

The responder has received the I1-T message and has sent the R1-T packet.

2.6.4 I2-Sent

The RFID has received the R1-T packet, and has sent the I2-T message.

2.6.5 R2-Sent

The responder has received the I2-T message and has sent the optional R2-T packet.

2.6.6 Established

The RFID has received the R2-T message. A secure channel is established.

3. Formats

3.1 Payload

The payload format is imported from the $[\underline{HIP}]$ specification.

```
0
\begin{smallmatrix} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 0 & 1 \\ \end{smallmatrix}
| Next Header | Header Length | 0 | Packet Type | VER. | RES. | 1 |
Controls
                 Sender's Host Identity RFID (HIT)
Receiver's Host Identity RFID (HIT)
HIP Parameters
```

Next Header: normal value is decimal 59, IPPROTO_NONE.

Header Length: the length of the HIP Header and HIP parameters in 8 bytes units, excluding the first 8 bytes

Packet Type: Detailed in <u>section 4.2</u>

VER: 0001

RES: 000

Checksum: This checksum covers the source and destination addresses in the IP header.

HIP-RFIDs always deliver HIP packets with the null value for the checksum field. The reader MUST compute the checksum.

HIP-RFIDs do not check the checksum of received packets.

Controls: this field is reserved for future use (RFU)

Sender's Host Identity RFID: 16 bytes HIT

Urien Expires April 2013 [Page 12]

Receiver's Host Identity RFID: 16 bytes HIT

HIP Parameters: a list of attributes encoded in the TLV format

3.2 Packet types

+	
Packet type	Packet name
0x40	I1-T - The HIP-RFID Initiator Packet
0x41	R1-T - The HIP-RFID Responder Packet
0x42	 I2-T - The Second HIP-RFID Initiator Packet
0x43	R2-T - The Second HIP-RFID Responder Packet
+	 ++

3.3 Summary of HIP parameters

TLV	Type	Length	Data
R-T	0x400	variable	Random value r1 or r
HIP-T-TRANSFORM	 0x402	variable	 HIP-RFID transform(s
F-T	 0x404	variable	 f function value
MAC-T	 0x406	variable	 Keyed MAC
ESP-Transform	 0x408	variable	 ESP transform(s)
ESP-Info	 0x40A	variable	 ESP parameter(s)
			<u> </u>

3.4 R-T

0	1		2	3					
0 1 2	3 4 5 6 7 8 9 0 1 2	3 4 5 6 7 8	9 0 1 2 3 4 5 6	7 8 9 0 1					
+-+-+	+-+-+-+-+-	+-+-+-+-+-+	+-+-+-+-+-	+-+-+-+-+					
1	Type		Length	1					
+-+-+	+-+-+-+-+-	+-+-+-+-+-+	+-+-+-+-+-	+-+-+-+-+					
1	Padding-Length		value	/					
+-+-+	+-								
/	value		Padding	1					
+-+-+-		+-+-+-+-+-+	+-+-+-+-+-	+-+-+-+-+					

Type 0x400

Length total length in bytes Value random value

Padding-Length padding length in bytes

padding bytes Padding

3.5 HIP-T-Transform

Θ					1								2								3
0 1	2 3 4	5 6	7	8	9 0	1	2 3	3 4	5	6 7	8	9	0 1	2	3	4 !	5	6	7 8	9	0 1
+-+-+	+-+-+-+-+-+-+-+-+-+-+-+-								+	- - + -	+	+-+	-+-	+	+-+	-+	-+	+	-+-	+-+	+-+
		٦	Гур	е										I	Len	gtl	h				
+-+-+	+-+-+	+-+	 	+	-+-	+	+-+-	+-	+	+ - + -	+	+-+	-+-	+	+-+	-+	-+	-+	-+-	+-+	+-+
	Pad	ldinç	g - L	en	gth									Sı	uit	e - :	ΙD	#1			
+-+-+	+-+-+	+-+	⊢ – +	+	-+-	+	+-+-	+-	+	- + -	+	+-+	-+-	+	+-+	-+	-+	-+	-+-	+-+	+-+
+	Lengt	:h-of	f - S	Sui	te-	ΙDŧ	#1								va	lu	е				+
+-+-+	+-+-+	+-+	⊢ – +	+	-+-	+	+-+-	+	+	- + -	+	+-+	-+-	+	+-+	-+	-+	-+	-+-	+-+	+-+
/		va]	Lue	è										Sı	uit	e - :	ΙD	#2			- 1
+-+-+	+-+	+-+	 	+	-+-	+	+-+-	+-	+	+ - + -	+	+-+	-+-	+	+-+	-+	-+	-+	-+-	+-+	+-+
														F	Pad	di	ng	1			
+-+-+	+-+-+	+-+	⊢ – +	+	-+-	+	+-+-	+	+	- - + -	+	+-+	-+-	+	+-+	-+	-+	-+	-+-	+-+	+-+
	Туре						0>	(40)	2												
	Length	1					To	ota.	1]	Leng	th										
	Paddin	ıg - Le	enç	jth			Nι	ımb	er	of	pa	ddi	ng	by	tes						
	Suite-	ID					De	efi	nes	s th	e I	HIP	Ci	phe	er	Su:	it	е	to	be	used
	Length	ı-of-	-Sı	iit	e-II	D	De	efi	nes	s th	е .	len	gth	0	fо	pt:	io	na.	1 d	ata	a
	Paddin	ıg					Pa	add:	inç	g by	te	S	-								

3.6 F-T

0	1		2	3					
0 1 2 3	4 5 6 7 8 9 0 1 2	2 3 4 5 6 7 8 9	0 1 2 3 4 5 6 7	7 8 9 0 1					
+-+-+-	+-+-+-+-+-+-+-+	-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+					
	Type	1	Length						
+-+-+-	+-+-+-+-+-+-+-+	-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+					
1	Padding-Length	1	value						
+-+-+-	+-								
1		1	Padding						
+-+-+-	+-+-+-+-+-	-+-+-+-+-+-+-+	-+-+-+-+-	+-+-+-+					

Туре 0x404

Length total length, in bytes Padding-Length padding length in bytes

the f value with a variable length Value

Padding padding bytes

3.7 MAC-T

0	1		2	3				
0 1 2 3 4	5 6 7 8 9 0 1 2 3	4 5 6 7 8 9	0 1 2 3 4 5 6 7	8901				
+-+-+-+-+	-+-+-+-	+-+-+-+-+-	+-+-+-+-+-	+-+-+-+				
1	Туре	1	Length	1				
+-+-+-+-+	+-							
	Padding-Length	1	MAC	/				
+-+-+-+-+	+-							
/		1	Padding					
+-+-+-+-+	+ - + - + - + - + - + - + - + -	+-+-+-+-+-	+-+-+-+-+-+-	+-+-+-+				

Type 0x406

Length total length, in bytes Padding-Length padding length, in bytes

Value Keyed MAC value Padding padding bytes

A MAC procedure works with the K-Auth-Key and is computed over the whole HIP message according to the following rules

- The checksum field of the HIP header is set to a null value.
- The MAC field of the MAC-T attribute is set to a null value

3.8 ESP-Transform

Details of the attribute will be specified by another document.

3.9 ESP-Info

Details of the attribute will be specified by another document.

4. BEX Example

4.1 Generic example

4.1.1 I1-T

Next Header: 0x3B Header Length: 0x4 0x40 Packet Type: Version: 0x1 Reserved: 0x1 Control: 0x0 Checksum: 0x0000

Sender's HIT (RFID) : 0x0123456789ABCDEF

0123456789ABCDEF

Receiver's HIT (Portal) : 0×00000000000000000

0000000000000000

The checksum is computed by portal and reader according to rules specified in [HIP]; it covers the source and destination IP addresses.

4.1.2 R1-T

Next Header: 0x3B Header Length: 0xB Packet Type: 0x41 Version: 0x1 Reserved: 0x1 Control: 0x0 Checksum: 0xabcd

Sender's HIT (Portal) 0xA5A5A5A5A5A5A5A5

5A5A5A5A5A5A5A

Receiver's HIT (RFID) 0x0123456789ABCDEF

0123456789ABCDEF

R-T 0x040000280002rrrr

> rrrrrrrrrrrrrrrrr rrrrrrrrrrrrrrr rrrrrrrrrrrrrrr rrrrrrrrrpppp

HIP-T-Transforms 0x0402001000020001

000000020000pppp

r1 is a 128 bits value

Transforms 1, 2 are supported by the reader.

4.1.3 I2-T

 Next Header:
 0x3B

 Header Length:
 0x14

 Packet Type:
 0x42

 Version:
 0x1

 Reserved:
 0x1

 Control:
 0x0

 Checksum:
 0x0000

Sender's HIT (RFID) : 0x0123456789ABCDEF

0123456789ABCDEF

Sender's HIT (Portal) : 0xA5A5A5A5A5A5A5A5A5

5A5A5A5A5A5A5A

HIP-T-Transform 0x0402001000060001

R-T 0x040000280002rrrr

F-T 0x040400280002ffff

MAC-T 0x040600040006ssss

The RFID selects the HIP-Transform number one. It produces an r2 nonce and computes a f value. It appends a 20 bytes keyed MAC.

4.1.4 R2-T

Next Header: 0x3B Header Length: 0x08 0x40 Packet Type: Version: 0x1 Reserved: 0x1 Control: 0×0 Checksum: 0xabcd

Sender's HIT (RFID) : 0x0123456789ABCDEF

0123456789ABCDEF

Sender's HIT (Portal) : 0xA5A5A5A5A5A5A5A5

5A5A5A5A5A5A5A

MAC-T 0x040600040006ssss

> SSSSSSSSSSSSS SSSSSSSSSSSSS

Reader ends the BEX-T.

4.2 HIP-T Transform 0x0001, HMAC

EPC = 0123456789abcdefcdab

4.2.1 I1-T

<< 3B 04 40 11 00 00 00 00 6A 68 2E 53 51 6B 51 6F 2F 58 CE 60 25 42 1A E6 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

HEAD 3b04401100000000

sHIT 6a682e53516b516f2f58ce6025421ae6

4.2.2 R1-T

00 00 00 00 00 00 00 00 6A 68 2E 53 51 6B 51 6F 2F 58 CE 60 25 42 1A E6 04 00 00 20 00 06 27 6D 03 4D DD 2D 52 79 3B 17 2C B9 5B CD 02 97 E2 DF 61 15 00 00 00 00 00 00 04 02 00 10 00 06 00 02 00 00 00 00 00 00 00 00

HEAD 3b0a411100000000

dHIT 6a682e53516b516f2f58ce6025421ae6

ATT 0400 20 bytes 276d034ddd2d52793b172cb95bcd0297e2df6115

ATT 0402 04 bytes 00020000

4.2.3 I2-T

<< 3B 13 40 11 00 00 00 00 6A 68 2E 53 51 6B 51 6F 2F 58 CE 60 25 42 1A E6 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 04 02 00 10 00 06 00 01 00 00 00 00 00 00 00 00 04 00 00 20 00 06 C5 95 8B 23 6B 9B 0E AA 7A BB 25 F2 7D 24 C5 04 6E 89 19 9E 00 00 00 00 00 00 04 04 00 20 00 06 80 1D BC 55 C5 F3 97 89 F8 3C 6C BA 14 50 18 7D 83 83 3C AF 00 00 00 00 00 00 04 06 00 20 00 06 2A 23 68 93 2B F7 3A BE C4 6B DD B8 3F 1B 3F 7F 9D ED 8B 83 00 00 00 00 00 00

HEAD 3b13401100000000

sHIT 6a682e53516b516f2f58ce6025421ae6

ATT 0402 04 bytes 00010000

ATT 0400 20 bytes c5958b236b9b0eaa7abb25f27d24c5046e89199e ATT 0404 20 bytes 801dbc55c5f39789f83c6cba1450187d83833caf ATT 0406 20 bytes 2a2368932bf73abec46bddb83f1b3f7f9ded8b83

5. HIP-T-Transforms Definition

5.1 Type 0x0001, HMAC

5.1.1 Suite-TD

Suite-ID: 0x0001 Length-of-Suite-ID: 0x0000

5.1.2 F-T computing (f function)

The F-T function produces a 20 bytes result, according to the relation:

K = HMAC-SHA1(r1 | r2, EPC-Code)

Y = f(r1, r2, EPC-Code) = HMAC-SHA1(K, CT1 | "Type 0001 key")

Where:

- SHA1 is the SHA1 digest function
- EPC-Code is the RFID identity
- HMAC-SHA1 is the keyed MAC algorithm based on the SHA1 digest procedure.
- CT1 is a 32 bits string, whose value is equal to 0x00000001

- r1 and r2 are the two random values exchanged by the BEX

5.1.3 K-Auth-Key computing (g function)

The K-Auth-Key is computing according to the relation:

K = HMAC-SHA1(r1 | r2, EPC-Code)

Y = HMAC-SHA1(K, CT2 | "Type 0001 key")

Where:

- SHA1 is the SHA1 digest function
- EPC-Code is the RFID identity
- HMAC-SHA1 is the keyed MAC algorithm based on the SHA1 digest procedure.
- CT2 is a 32 bits string, whose value is equal to 0x000000002
- r1 and r2 are the two random values exchanged by the BEX

5.1.4 MAC-T computing

The HMAC-SHA1 function is used with the K-Auth-Key secret value:

MAC-T(HIT-T packet) = HMAC-SHA1(K-Auth-Key, HIP-T packet)

5.2 Type 0x0002, Keys-Tree

5.2.1 Suite-ID

Suite-ID: 0x0002 Length-of-Suite-ID: 0x0006

Value1: an index, a two bytes number, identifying a HASH function (H), which produces h bytes.

Value2: n, the depth of the tree, a two bytes number.

Value3: p, the maximum number of child nodes, for each node, a two bytes number.

The maximum elements of a keys-tree is therefore p^{**n}

5.2.2 F-T computing (f function)

The F-T function produces a list of Hi, 1<= i <= n, of nh bytes results, according to the relation:

$$Y = f(r1, r2, EPC-Code) = H1 | H2 | Hi | Hn$$

With

Hi = HMAC-SHA1(r1 | r2, Ki:j)

Where:

- H is digest function producing t bytes
- Ki:j is a set of pn secret keys.

Each EPC-Code is associated with an index, whose value is written as:

RFID-Index = an
$$p^{**}(n-1) + an-1 p^{**}(n-2) + a1$$

Each ai digit(ai $p^{**}(i-1)$)whose value ranges between 0 and p-1, is associated with a key Ki:j (i.e. the tree is made with pn keys, but only n values are stored in a given RFID), with j=ai

- HMAC-H is the keyed MAC algorithm based on the H digest procedure.
- r1 and r2 are the two random values exchanged by the BEX.
- 5.2.3 K-Auth-Key computing (g function)

The K-Auth-Key is computing according to the relation:

K-Auth-Key = HMAC-H(r1 | r2, RFID-Index)

Where:

- H is a digest function producing t bytes
- HMAC-H is the keyed MAC algorithm based on the H digest procedure.
- RFID INDEX is the RFID index.
- r1 and r2 are the two random values exchanged by the BEX.

5.2.4 MAC-T computing

The HMAC-H function is used with the K-Auth-Key secret value:

MAC-T(HIT-T packet) = HMAC-H(K-Auth-Key, HIP-T packet)

6. Security Considerations

In this section we only discuss the case where no ESP channel is negotiated, i.e. a three ways handshake is performed thanks to the I1-T, R1-T and I2-T packets.

The HIP-RFID infrastructure comprises a set readers establishing sessions with a PORTAL. The exchanged packets MUST be protected by

secure tunnels such as IPSEC or any appropriate means. Readers feed RFIDs and consequently deliver information about their position. Without security association between readers and PORTALs rogue devices can inject malicious packets such as I1-T and I2-T whose goal is to forward a fake f equation that could not be solved by the IDENTITY-SOLVER entity. This class of attack targets a Denial of Service (DoS) threat; computing resources will be consumed by the PORTAL that will stop its solving process after a given timeout.

Malicious RFIDs can also perform DoS attacks. However upon detection, they could be discarded by their associated reader.

The I1-T packet includes no security feature. It may be forged by any entity.

The R1-T packet includes no security feature. It may be forged by any entity. A rogue portal SHOULD NOT expect to retrieve the HIP-RFID identity thanks to cryptographic weaknesses of the f equation.

Nerveless hardware or software implementation of the HIP-RFID protocol MUST be aware that the R1-T packet MUST be carefully parsed and checked.

The I2-T packet includes a pseudo unique value r2, the f equation and is MACed. The MAC field proves this packet integrity and optionally the whole dialog integrity (dealing with I1-T, R1-T and I2-T). Although HIP-T-TRANSFORMs detailed in this document only deal with I2-T integrity, other transforms MAY use different schemes.

The two main classes of the f(r1,r2,EPC-Code) equation are bijections (such as cipher algorithms) and surjections (such as digest procedures). In the first case the solution (EPC-Code) is unique; its correctness is checked via the keyed MAC. In the second case there are multiples solutions, with very low probability of collisions; the correctness of the highly probable solution is checked by the keyed MAC.

7. IANA Considerations

This draft does not require any action from IANA.

8 References

8.1 Normative references

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8.2 Informative references

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[PML] Brock, D.L - The Physical Markup Language, MIT AUTO-ID CENTER, 2001.

[EPCGLOBAL] EPCglobal, EPC Radio Frequency Identity Protocols Class 1 1516 Generation 2 UHF RFID Protocol for Communications at 860 MHz-960 MHz Version 1517 1.0.9, EPCglobal Standard, January 2005.

[NIST-800-108] NIST Special Publication 800-108, Recommendation for Key Derivation Using Pseudorandom Functions.

[SEC] S. Weis, S. Sarma, R. Rivest and D. Engels. "Security and privacy aspects of low-cost radio frequency identification systems" In D. Hutter, G. Muller, W. Stephan and M. Ullman, editors, International Conference on Security in Pervasive Computing - SPC 2003, volume 2802 of Lecture Notes in computer Science, pages 454-469. Springer-Verlag, 2003.

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9 Annex I

This annex provides a sample code, for NFC RFIDs working at 13.56 Mhz and implementing a Java Virtual Machine.

9.1 Binary Interface with HIP RFIDs

According to the ISO 7816 standards, embedded RFID applications are identified by an AID attribute (Application IDentifier) whose size ranges between 5 and 16 bytes.

Commands exchanged between RFIDs and readers are named APDUs and are associated with a short prefix, whose size is usually 5 bytes referred as CLA, INS, P1, P2, P3.

In our sample we choose an arbitrary value for the AID (11223344556601, in hexadecimal representation) and a unique command CLA=00, INS=C2, P1=00, P2=00. The P3 byte is set to null in order to trig the RFID (which resets its state machine and returns the I1 packet, or a non null value when it pushes the R1 packet.

9.3 Exchanged data

The reader selects the embedded HIP-RFID application. >> 00 A4 04 00 07 11 22 33 44 55 66 01 << 90 00

The reader trigs the first packet I1-T.

>> 00 C2 00 00 00

The RFID delivers the R1-T packet.

The reader forwards the R1-T packet to the HIP RFID.

The RFID produces the I2-T packet.

9.3 Javacard code sample

```
package hiprfid;
// Author Pascal Urien
import javacard.framework.*;
import javacard.security.*;
public class rfid extends Applet
 final static byte SELECT
                                  = (byte)0xA4 ;
final static byte INS-HIP
                                  = (byte)0xC2 ;
 final static short R-T
                                   = (short)0x400 ;
 final static short HIP-T-TRANSFORM = (short)0x402 ;
 final static short F-T
                                   = (short)0x404 ;
 final static short Signature-T = (short)0x406;
 final static short ESP-Transform = (short)0x408;
 final static short ESP-Info = (short)0x40A;
 final static short ALIGN = 8;
 final static short len-r2 =(short)20;
 final byte[] algo1 = \{(byte)0x00, (byte)0x01, (byte)0x00, (byte)0x00 \};
 final byte[] ct1 = {
 (byte)0x00, (byte)0x00, (byte)0x00, (byte)0x01,
 (byte)'T',(byte)'y', (byte)'p',(byte)'e',
 (byte)' ',(byte)'0',(byte)'0',(byte)'0',(byte)'1',
 (byte)' ',(byte)'k',(byte)'e',(byte)'y' };
 final byte[] ct2 = \{
 (byte)0x00, (byte)0x00, (byte)0x00, (byte)0x02,
 (byte)'T', (byte)'y', (byte)'p', (byte)'e',
 (byte)' ',(byte)'0',(byte)'0',(byte)'0',(byte)'1',
 (byte)' ',(byte)'k',(byte)'e',(byte)'y' };
 MessageDigest sha1=null;
 RandomData rnd=null;
 byte[] DB =null;
 final static short DBSIZE=(short)200;
 final static short off-myHIT = (short)0
 final static short off-rHIT = (short)16 ;
 final static short off-R1 = (short)32 ;
 final static short off-R2 = (short)64
 final static short off-kaut = (short)96 ;
 final static short off-k = (short)128;
 final static short off-FT = (short)160;
```

```
final byte[] HEADER= {
 (byte)0x3b,(byte)0x04,(byte)0x40,(byte)0x11,
 (byte)0x00, (byte)0x00, (byte)0x00, (byte)0x00 };
 final byte[] MyEPCCODE = {
 (byte)0x01, (byte)0x23, (byte)0x45, (byte)0x67, (byte)0x89,
 (byte)0xab, (byte)0xcd, (byte)0xef, (byte)0xcd, (byte)0xab };
public void init(){
 try { sha1=MessageDigest.getInstance(MessageDigest.ALG-SHA, false);}
 catch (CryptoException e){sha1=null;}
 try { rnd = RandomData.getInstance(RandomData.ALG-SECURE-RANDOM);}
 catch (CryptoException e){rnd=null;}
DB = JCSystem.makeTransientByteArray(DBSIZE,
                                       JCSystem.CLEAR-ON-DESELECT);
}
public short GetAttOffset(byte[] pkt, short off, short len, short att)
{ boolean more=true;
  short type=(short)0;
 short tl=(short)0;
 if (len <= (short)40) return (short)-1;
 while (more)
  { type = Util.getShort(pkt,off)
   tl = Util.getShort(pkt,(short)(off+2));
   if (type == att) return off
   off =(short)(off+tl);
   if (off >= (short)(off+len))more=false;
 }
return -1;
}
public static short GetPadLength(short size)
{
if ( (short)(size % ALIGN) == (short)0) return (short)0;
 return (short)(ALIGN - size % ALIGN );
 }
public static short Set_Att(short att, byte[] ref-att, short off-att,
                            short len-att, byte[] pkt, short off)
{
```

```
short tp = GetPadLength(tl)
  tl= (short) (tp+tl);
 Util.setShort(pkt,off,att)
 Util.setShort(pkt,(short)(off+2),tl);
 Util.setShort(pkt,(short)(off+4),tp);
  if (ref_att != null)
 Util.arrayCopy(ref-att, off-att, pkt, (short)(off+6), len-att);
  else
 Util.arrayFillNonAtomic(pkt,(short)(off+6),len-att,(byte)0);
 if (tp != (short)0)
 Util.arrayFillNonAtomic(pkt,(short)(off+6+len-att),tp,(byte)0);
 return tl ;
 }
public void process(APDU apdu) throws ISOException
 short len=(short)0, readCount=(short)0;
 short off=(short)0,pad=(short)0,len-r1=(short)0;
short size=(short)0;
 byte[] buffer = apdu.getBuffer(); // CLA INS P1 P2 P3
 byte cla = buffer[IS07816.0FFSET_CLA];
byte ins = buffer[IS07816.0FFSET_INS];
 byte P1 = buffer[IS07816.0FFSET_P1] ;
 byte P2 = buffer[IS07816.0FFSET_P2] ;
 byte P3 = buffer[IS07816.0FFSET_LC] ;
 switch (ins)
 case SELECT:
 size = apdu.setIncomingAndReceive();
 return;
case INS_HIP:
 if (P3 == (byte)0)
  rnd.generateData(DB, off_myHIT, (short)16);
  Util.arrayCopy(HEADER, (short)0, buffer, (short)0, (short)8);
  Util.arrayCopy(DB, off-myHIT, buffer, (short)8, (short)16) ;
  Util.arrayFillNonAtomic(DB,(short)24,(short)16,(byte)0);
  apdu.setOutgoingAndSend((short)0,(short)40)
  break;
```

}

Expires April 2013 [Page 28] Urien

```
else
size = apdu.setIncomingAndReceive();
len = Util.makeShort((byte)0, buffer[6]);
len = (short)(len << 3);
len = (short)(len+(short)8) ;
if (len != size) ISOException.throwIt(ISO7816.SW-DATA-INVALID) ;
size = (short)(len-(short)40);
// HEADER 00...<u>08</u>
// HIT-S 08...24
// HIT-D 24...40
Util.arrayCopy(buffer,(short)13,DB,off_rHIT,(short)16);
off= GetAttOffset(buffer,(short)45,size,R-T);
if (off==(short)-1) ISOException.throwIt(ISO7816.SW-DATA-INVALID) ;
len = Util.getShort(buffer,(short)(off+2));
pad = Util.getShort(buffer,(short)(off+4));
len = (short)(len-pad-6);
len-r1=len;
Util.arrayCopy(buffer,(short)(off+6),DB,off-R1,len);
off= GetAttOffset(buffer,(short)45, size, HIP-T-TRANSFORM)
if (off==(short)-1) ISOException.throwIt(ISO7816.SW-DATA-INVALID) ;
len = Util.getShort(buffer,(short)(off+2));
pad = Util.getShort(buffer,(short)(off+4));
len = (short)(len-pad-6);
// algo=Util.getShort(buffer,(short)(off+6)
rnd.generateData(DB,(short)(off-R1+len-r1),len-r2); // r1 || r2
Util.arrayCopy(MyEPCCODE, (short)0, buffer,
                         (short)0, (short)MyEPCCODE.length);
hmac(DB, off_R1, (short)(len-r1 + len-r2),
     buffer, (short)0, (short) MyEPCCODE.length,
     sha1,
     DB, off-k);
Util.arrayCopy(ct1,(short)0,buffer,(short)0,(short)ct1.length);
hmac(DB, off_k, (short)20,
     buffer, (short)0, (short)ct1.length,
     sha1,
     DB, off-FT);
```

Util.arrayCopy(ct2,(short)0,buffer,(short)0,(short)ct2.length);

Urien Expires April 2013 [Page 29]

```
hmac(DB, off-k, (short)20,
       buffer, (short)0, (short)ct2.length,
       sha1,
       DB, off-kaut);
  Util.arrayCopy(HEADER,(short)0,buffer,
                (short)0,(short)HEADER.length);
  Util.arrayCopy(DB, off-myHIT, buffer, (short)8, (short)16);
  Util.arrayCopy(DB, off-rHIT, buffer,(short)24,(short)16);
  off=(short)40;
  len = Set-Att(HIP-T-TRANSFORM, algo1,
                 (short)0, (short)algo1.length, buffer, off);
  off = (short)(off+len);
  len = Set-Att(R-T, DB, (short)(off-R1+len-r1), len-r2, buffer, off);
  off = (short)(off+len);
  len = Set-Att(F-T, DB, off-FT, (short)20, buffer, off);
  off = (short)(off+len);
  len = Set-Att(Signature-T, null, (short)0, (short)20, buffer, off);
  size= (short)(off+len);
  buffer[1] = (byte) (size >>3);
 hmac(DB, off-kaut, (short)20,
      buffer, (short)0, size,
      sha1,
      buffer,(short)(off+6));
apdu.setOutgoingAndSend((short)0, size);
  break:
 default:
 ISOException.throwIt(ISO7816.SW-INS-NOT-SUPPORTED);
  }
protected rfid(byte[] bArray, short bOffset, byte bLength)
{init();
register();
public static void install( byte[] bArray, short bOffset, byte
bLength )
new rfid(bArray, bOffset, bLength);
```

}

}

}

}

```
public boolean select()
   return true;
   }
   public void deselect()
   {
   }
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