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**Gap Analysis for Autonomic Networking  
draft-irtf-nmrg-an-gap-analysis-01**

**Abstract**

This document summarises a problem statement for an IP-based autonomic network that is mainly based on distributed network devices. The document reviews the history and current status of autonomic aspects of IP networks. It then reviews the current network management style, which is still heavily depending on human administrators. Finally the document describes the general gaps between the ideal autonomic network concept and the current network abilities.

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## [1.](#) Introduction

The general goals and relevant definitions for autonomic networking are discussed in [[I-D.irtf-nmrg-autonomic-network-definitions](#)]. In summary, the fundamental goal of an autonomic network is self-management, including self-configuration, self-optimization, self-healing and self-protection. Whereas interior gateway routing protocols such as OSPF and IS-IS largely exhibit these properties, most other aspects of networking require top-down configuration often involving human administrators and a considerable degree of centralisation. In essence Autonomous Networking is putting all network configurations onto the same footing as routing, limiting



manual or database-driven configuration to an essential minimum. It should be noted that this is highly unlikely to eliminate the need for human administrators, because many of their essential tasks will remain. The idea is to eliminate tedious and error-prone tasks, for example manual calculations, cross-checking between two different configuration files, or tedious data entry. Higher level operational tasks, and trouble-shooting, will remain to be done in any case.

## **2. Terminology**

The terminology defined in [\[I-D.irtf-nmrg-autonomic-network-definitions\]](#) is used in this document. Additional terms include:

- o Automatic: A process that occurs without human intervention, with step-by-step execution of rules. However it relies on humans defining the sequence of rules, so is not Autonomic in the full sense. For example, a start-up script is automatic but not autonomic.

## **3. Current Status of Autonomic Aspects of IP Networks**

This section discusses the history and current status of autonomy in various aspects of network configuration, in order to establish a baseline for the gap analysis. In one particular area, routing protocols, autonomic information exchange and decision is a well established mechanism. The question is how to extend autonomy to cover all kinds of network management objectives.

### **3.1. IP Address Management and DNS**

Originally there was no alternative to completely manual and static management of IP addresses. Once a site had received an IPv4 address assignment (usually a Class C /24 or Class B /16, and rarely a Class A /8) it was a matter of paper-and-pencil design of the subnet plan (if relevant) and the addressing plan itself. Subnet prefixes were manually configured into routers, and /32 addresses were assigned administratively to individual host computers, and configured manually by system administrators. Records were typically kept in a plain text file or a simple spreadsheet.

Clearly this method was clumsy and error-prone as soon as a site had more than a few tens of hosts, but it had to be used until DHCP [\[RFC2131\]](#) became a viable solution during the second half of the 1990s. DHCP made it possible to avoid manual configuration of individual hosts (except, in many deployments, for a small number of servers configured with static addresses).



In terms of management, it is difficult to separate IP address management from DNS management. At roughly the same time as DHCP came into widespread use, it became very laborious to manually maintain DNS source files in step with IP address assignments. Because of reverse DNS lookup, it also became necessary to synthesise DNS names even for hosts that only played the role of clients. Therefore, it became necessary to synchronise DHCP server tables with forward and reverse DNS. For this reason, Internet Protocol address management tools emerged. These are, however, a centralised and far from autonomic type of solution.

A related issue is prefix delegation, especially in IPv6 when more than one prefix may be delegated to the same physical subnet. DHCPv6 Prefix Delegation [[RFC3633](#)] is a useful solution, but how this topic is to be handled in home networks is still an open question. Still further away is automated assignment and delegation of IPv4 subnet prefixes.

Another complication is the possibility of Dynamic DNS Update [[RFC2136](#)]. With appropriate security, this is an autonomic approach, where no human intervention is required to create the DNS records for a host. Also, there are coexistence issues with a traditional DNS setup.

### **[3.2.](#) Routing**

Since a very early stage, it has been a goal that Internet routing should be self-healing when there is a failure of some kind in the routing system (i.e. a link or a router goes wrong). Also, the problem of finding optimal routes through a network was identified many years ago as a problem in mathematical graph theory, for which well known algorithms were discovered (the Dijkstra and Bellman-Ford algorithms). Thus routing protocols became largely autonomic in the 1980s, as soon as the network was big enough for manual configuration of routing tables to become difficult.

IGP routers do need some initial configuration data to start up the autonomic routing protocol. Also, BGP-4 routers need static configuration of routing policy data. So far, this policy configuration has not been made autonomic at all.

### **[3.3.](#) Configuration of Default Router**

Originally this was a manual operation. Since the deployment of DHCP, this has been automatic as far as most IPv4 end systems are concerned, but the DHCP server must be appropriately configured. In simple environments such as a home network, the DHCP server resides in the same box as the default router, so this configuration is also



automatic. In more complex environments, where an independent DHCP server or a local DHCP relay is used, configuration is more complex and not automatic.

In IPv6 networks, the default router is provided by Router Advertisement messages [[RFC4861](#)] from the router itself, and all IPv6 hosts make use of it. The router may also provide more complex Route Information Options. The process is automatic as far as all IPv6 end systems are concerned, and DHCPv6 is not involved. However, there are still open issues when more than one prefix is in use on a subnet and more than one first-hop router may be available as a result.

### **[3.4.](#) Hostname Lookup**

Originally host names were looked up in a static table, often referred to as /etc/hosts from its traditional file path in Unix systems. When the DNS was deployed during the 1980s, all hosts needed DNS resolver code, and needed to be configured with the IP addresses (not the names) of suitable DNS servers. Like the default router, these were originally manually configured. Today, they are provided automatically via DHCP or DHCPv6 [[RFC3315](#)]. For IPv6 end systems, there is also a way for them to be provided automatically via a Router Advertisement option. However, the DHCP or DHCPv6 server, or the IPv6 router, need to be configured with the appropriate DNS server addresses.

### **[3.5.](#) User Authentication and Accounting**

Originally, user authentication and accounting are mainly based on the physical connectivities. Network operators charged based on the set up of dedicated physical links with users. Autonomic user authentication are introduced by Point-to-Point Protocol [[RFC1661](#)], [[RFC1994](#)] and RADIUS protocol [[RFC2865](#)], [[RFC2866](#)] in early 1990s. As long as a user complete online authentication through RADIUS protocol, the accounting for that user starts on AAA server autonomically. This mechanism enables charging business model based on the usage of users, either traffic based or time based. However, the management for user authentication information remains manual by network administrators.

### **[3.6.](#) Security**

Security has many aspects that need configuration and are therefore candidates to become autonomic. On the other hand, it is essential that a network's central policy should be applied strictly for all security configurations. As a result security has largely been based on centrally imposed configurations.





Many aspects of security depend on policy, for example firewall policies. Policies are by definition human made and will therefore also persist in an autonomic environment. However, policies are becoming more high-level, abstracting for example addressing, and focusing on the user or application. The methods to manage, distribute and apply policy, and to monitor compliance and violations could be autonomic.

Today, many security mechanisms show some autonomic properties. For example user authentication via 802.1x allows automatic mapping of users after authentication into logical contexts (typically VLANs). While today configuration is still very important, the overall mechanism displays signs of self-adaption to changing situations.

BGP Flowspec [[RFC5575](#)] allows a partially autonomic threat defense mechanism, where threats are identified, the flow information is automatically distributed, and counter-actions can be applied. Today typically a human operator is still in the loop to check correctness, but over time such mechanisms can become more autonomic.

Negotiation capabilities, present in many security protocols, also display simple autonomic behaviours. In this case a security policy about algorithm strength can be configured into servers but will propagate automatically to clients. A proposal has been made recently for automatic bootstrapping of trust in a network [[I-D.behringer-default-secure](#)]. Solutions for opportunistic encryption have been defined [[RFC4322](#)], [[I-D.farrelll-mpis-opportunistic-encrypt](#)], but these do not adhere to a central policy.

### **3.7. Miscellaneous**

There are innumerable other properties of network devices and end systems that today need to be configured either manually or using a management protocol such as SNMP [[RFC1157](#)] or NETCONF [[RFC6241](#)]. In a truly autonomic network, all of these would need to either have satisfactory default values or be configured automatically. Some examples are parameters for tunnels of various kinds, flows (in an SDN context), quality of service, service function chaining, energy management, system identification, NTP configuration etc. Even one undefined parameter would be sufficient to prevent fully autonomic operation.

## **4. Current Non-Autonomic Behaviors**

In the current networks, many operations are still heavily depending on human intelligence and decision, or on centralised top-down network management systems. These operations are the targets of



Autonomic Network technologies. The ultimate goal of Autonomic Network is to replace tedious human operations by autonomic functions, so that the networks can independently run without having to ask human support for routine details, while it remains possible to restore human intervention when unavoidable. Of course, there would still be the absolute minimum of human input required, particularly during the network establishment stage, and during difficult trouble-shooting.

This section analyzes the existing human and central dependencies in the current networks.

#### **4.1. Network Establishment**

Network establishment requires network operators to analyze the requirements of the new network, design a network architecture and topology, decide device locations and capacities, set up hardware, design network services, choose and enable required protocols, configure each device and each protocol, set up user authentication and accounting policies and databases, design and deploy security mechanisms, etc.

Overall, these jobs are quite complex work that cannot become fully autonomic in the foreseeable future. However, part of these jobs may be able to become autonomic, such as device and protocol configurations and database population. The initial network management policies/behaviors may also be transplanted from other networks and automatically localized.

#### **4.2. Network Maintenance & Management**

The network maintenance and management are very different for ISP networks and enterprise networks. ISP networks have to change much more frequently than enterprise networks, given the fact that ISP networks have to serve a large number of customers who have very diversified requirements. The current rigid model is that network administrators design a limited number of services for customers to order. New requirements of network services may not be able to be met quickly by human management. Given a real-time request, the response must be autonomic, in order to be flexible and quickly deployed. However, behind the interface, describing abstracted network information and user authorization management may have to depend on human intelligence from network administrators in the foreseeable future. User identification integration/consolidation among networks or network services is another challenge for autonomic network access. Currently, the end users have to manually manage their user accounts and authentication information when they switch among networks or network services.



Classical network maintenance and management mainly manages the configuration of network devices. Tools have developed to enable remote management and make the management easier. However, the decision of each configuration depends either on human intelligence or rigid templates. This is the source of most network configuration errors. It is also the barrier to increase the utility of network resources because the human management cannot respond quickly enough to network events, such as traffic bursts, etc. For example, currently, a light load is normally assumed in network design because there is no mechanism to properly handle a sudden traffic flood. It is actually normal to avoid network crashes caused by traffic overload by wasting a huge amount of resources.

Autonomic decision processes of configuration would enable dynamic management of network resources (by managing resource relevant configuration). Self-adapting network configuration would adjust the network into the best possible situation, which also prevents configuration errors from having lasting impact.

#### **4.3. Troubleshooting and Recovery**

Current networks suffer difficulties in locating the cause of network failures. Although network devices may issue many warnings while running, most of them are not sufficiently precise to be identified as errors. Some of them are early warnings that would not develop into real errors. Others are in effect random noise. During a major failure, many different devices will issue multiple warnings within a short time, causing overload for the NMS and the operators. However, for many scenarios, human experience is still vital to identify real issues and locate them. This situation may be improved by automatically associating warnings from multiple network devices together. Also, introducing automated learning techniques (comparing current warnings with historical relationships between warnings and actual faults) could increase the possibility and success rate of autonomic network diagnoses and troubleshooting.

Depending on the network errors, some of them may always require human interventions, particularly for hardware failures. However, autonomic network management behavior may help to reduce the impact of errors, for example by switching traffic flows around. Today this is usually manual (except for classical routing updates). Fixing software failures and configuration errors currently depends on humans, and may even involve rolling back software versions and rebooting hardware. Such problems could be autonomically corrected if there were diagnostics and recovery functions defined in advance for them. This would fulfill the concept of self-healing.



Another possible autonomic function is predicting device failures or overloads before they occur. A device could predict its own failure and warn its neighbors; or a device could predict its neighbor's failure. In either case, an autonomic network could respond as if the failure had already occurred by routing round the problem and reporting the failure, with no disturbance to users. The criteria for predicting failure could be temperature, battery status, bit error rates, etc. The criteria for predicting overload could be increasing load factor, latency, jitter, congestion loss, etc.

## **5. Approach toward Autonomy**

The task of autonomic networking is to build up individual autonomic decision processes that could properly combine to respond to every type of network event. This section (when complete) will outline what needs to be developed.

### **5.1. More Coordination among Devices or Network Partitions**

Events in networks are normally not independent. They are associated with each other. But most of current response functions are based on independent processes. The network events that may naturally happen distributed should be associated in the autonomic processes.

In order to make right or good decisions autonomically, the network devices need to know more information than just reachability (routing) information from the relevant or neighbor devices. There are dependencies between such information and configurations. Currently, most of these configurations currently require manual coordination by network administrators.

There are therefore increased requirements for horizontal information exchanging in the networks. Particularly, negotiations among network devices are needed for autonomic decision.

[[I-D.jiang-config-negotiation-ps](#)] analyzes such requirements.

Although there are many existing protocols with negotiation ability, each of them only serves a specific and narrow purpose.

[[I-D.jiang-config-negotiation-protocol](#)] is one of the attempts to create a generic negotiation platform, which would support different negotiation objectives.

### **5.2. Reusable Common Components**

Elements of autonomic functions already exist today, within many different protocols. However, all such functions have their own discovery, transport, messaging and security mechanisms as well as non-autonomic management interfaces. Each protocol has its own version of the above-mentioned functions to serve specific and narrow





purposes. It is often difficult to extend an existing protocol to serve different purposes. So, it is desirable to develop a set of reusable common components for Autonomic Networks. These components should be:

- o Able to manage any type of information and information flows
- o Able to discover counterparts for various autonomic service agents (or autonomic functions)
- o Able to support closed-loop operations when needed to provide self-managing functions involving more than one device
- o Little dependency: independent from the specific autonomic service agents (or autonomic functions)
- o Reusable by other autonomic functions

### **5.3. Less Configuration**

Most existing protocols have been defined to be as flexible as possible. Consequently, these protocols need many initial configurations to start operationse. There are many choices and options that are unnecessary in any particular case. A large portion of these configurations target corner cases. Furthermore, in many protocols that already exist for years, some design considerations are no longer valid since the hardware technologies have made dramatic progress in recent years. There is much scope for simplifying the protocols and the operation of protocols.

From another perspective, the deep reason why human decisions are often needed mainly resulst from the lack of information. When a device can collect enough information horizontally from other devices, it should be able to decide many parameters by itself, instead of receiving them from top-down configuration.

It is desired that the top-down management is reduced in the Autonomic Networking. Ideally, only the abstract intent is needed from the human administrators. The detailed parameters should be decided by distributed Autonomic Nodes themselves, either from historic knowledge, analytics of current conditions, closed logical decision loops, or a combination of all.

### **5.4. Forecasting and Dry Runs**

In a conventional network, there is no mechanism for trying something out. That means that configuration changes have to be designed in the abstract and their probable effects have to be estimated



theoretically. The only alternative to this would be to test them on a complete and realistic network simulator, which is unlikely to be possible for a network of any size. In any case, there is a risk that applying the changes to the running network will cause a failure of some kind. An autonomic network could fill this gap by supporting a "dry run" mode in which a configuration change could be tested out in the control plane without actually affecting the data plane. If the results are satisfactory, the change could be made live; if there is a problem, the change could be rolled back with no effect on users.

#### **5.5. Benefit from Knowledge**

The more knowledge we have, the more intelligent we are. It is the same for networks and network management. It is when one component in the network lacks knowledge that affects what it should do, and another component has that knowledge, that we usually rely on a human operator or a centralised management tool to convey the knowledge.

Up to now, most available network knowledge is only the current network status, either inside a device or relevant data from other devices.

However, historic knowledge is very helpful to make correct decisions, in particular to reduce network oscillation or to manage network resources over time. Transplantable knowledge from other networks can be helpful to initially set up a new network or new network devices. Knowledge of relationships between network events and network configuration may help a network to decide the best parameters according to real performance feedback.

In addition to such historic knowledge, powerful data analytics of current network conditions may also be a valuable source of knowledge that can be exploited directly by autonomic nodes.

### **6. Security Considerations**

This document is focused on what is missing to allow autonomic network configuration, including of course security settings. Therefore, it does not itself create any new security issues. It is worth underlining that autonomic technology must be designed with strong security properties from the start, since a network with vulnerable autonomic functions would be at great risk.



## **7. IANA Considerations**

This memo includes no request to IANA.

## **8. Acknowledgements**

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## **9. Change log [RFC Editor: Please remove]**

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[draft-irtf-nmrg-an-gap-analysis-00](#): RG comments added, 2014-04-02.

[draft-jiang-nmrg-an-gap-analysis-00](#): original version, 2014-02-14.

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