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Network Configuration Negotiation Problem Statement and Requirements
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Abstract

This document describes a problem statement and general requirements for distributed autonomic configuration of multiple aspects of networks, in particular carrier networks. The basic model is that network elements need to negotiate configuration settings with each other to meet overall goals. The document describes a generic negotiation behavior model. The document also reviews whether existing management and configuration protocols may be suitable for autonomic networks.

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[1.](#) Introduction

The success of IP and the Internet has made the network model very complicated, and networks have become larger and larger. The network of a large ISP typically contains more than a hundred thousand network devices which play many roles. The initial setup configuration, dynamic management and maintenance, troubleshooting and recovery of these devices have become a huge outlay for network operators. Particularly, these devices are managed by many different staff requiring very detailed training and skills. The coordination of these staff is also difficult and often inefficient. There are therefore increased requirements for autonomy in the networks. [\[I-D.boucadair-network-automation-requirements\]](#) is one of the attempts to describe such requirements. It listed a "requirement for a protocol to convey configuration information towards the managed entities". However, this document is going further by requiring a configuration negotiation protocol rather than only unidirectional

provisioning.

Autonomic operation means network devices could decide configurations by themselves. More background on autonomic networking is given in [[I-D.irtf-nmrg-autonomic-network-definitions](#)] and

[[I-D.irtf-nmrg-an-gap-analysis](#)]. There are already many existing internal implementations or algorithms for a network device to decide or compute its configuration according to its own status, often referred to as device intelligence. In one particular area, routing protocols, distributed autonomic configuration is a well established mechanism. The question is how to extend autonomy to cover all kinds of configuration, not just routing tables.

However, in order to make right or good decisions, the network devices need to know more information than just routes from the relevant or neighbor devices. There are dependencies between such information and configurations. Currently, most of these configurations currently require centralised manual coordination. The basic model for this document is that in an autonomic network, devices will need to negotiate directly with one another to provide this coordination.

Today, there is no generic negotiation protocol that can be used to control decision processes among distributed devices or between networks. Proprietary network management systems are widely used but tend to be hierarchical systems ultimately relying on a console operator and a central database. An autonomic system needs to be less hierarchical and with less dependence on an operator. This requires network elements to negotiate directly with each other, with an absolute minimum or zero configuration data at the installation stage.

This document analyzes the requirements for a generic negotiation protocol in view of various application use cases, then gives considerations for detailed technical requirements for designing such a protocol. Some existing protocols are also reviewed as part of the analysis. A protocol behavior model, which may be used to define such a negotiation protocol, is also described.

Note in draft: the requirements analysis will need to be reviewed and completed after a number of use cases for autonomic networking have

been documented.

[2.](#) Requirements and Application Scenarios for Network Devices Negotiation

Routing protocols are a typical autonomic model based on distributed devices. But routing is mainly one-way information announcement (in both directions), rather than bi-directional negotiation. Its only focus is reachability. The future networks need to be able to manage many more dimensions of the network, such as power saving, load balancing, etc. The current routing protocols only show simple link status, as up or down. More information, such as latency,

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congestion, capacity, and particularly available throughput, is very helpful to get better path selection and utilization rate.

A negotiation model with no human intervention is needed when the coordination of multiple devices can provide better overall network performance.

A negotiation model provides a possibility for forecasting. A "dry run" becomes possible before the concrete configuration takes place.

Another area is tunnel management, with automatic setup, maintenance, and removal. A related area is ad hoc routes, without encapsulation, to handle specific traffic flows (which might be regarded as a form of software defined networking).

Negotiation of security mechanisms, for example to determine the strongest possible protection for a given link, is another example.

When a new user or device comes online, it might be necessary to set up resources on multiple relevant devices, coordinated and matched to each other so that there is no wasted resource. Security settings might also be needed to allow for the new user/device.

Status information and traffic metrics need to be shared between nodes for dynamic adjustment of resources.

Troubleshooting should be as automatic as possible. Although it is far from trivial, there is a need to detect the "real" breakdown amongst many alerts, and then take action to reconfigure the relevant

devices. Again, routing protocols have done this for many years, but in an autonomic network it is not just routing that needs to reconfigure itself after a failure.

[2.1.](#) Negotiation between downstream and upstream network devices

The typical scenario is that there is a new access gateway, which could be a wireless base station, WiFi hot spot, Data Center switch, VPN site switch, enterprise CE, home gateway, etc. When it is plugged into the network, bi-direction configuration/control is needed. The upstream network needs to configure the device, its delegated prefix(es), DNS server, etc. For this direction, DHCP might be suitable and sufficient. However, there is another direction: the connection of downstream devices also needs to trigger the upstream devices, for example the provider edge, to create a corresponding configuration, by setting up a new tunnel, service, authentication, etc.

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Furthermore, after the communication between gateway and provider has been established, the devices would like to optimize their configurations interactively according to dynamic link status or performance measurements, power consumption, etc. For dynamic management and maintenance, there are many other network events that downstream network devices may need to report to upstream network devices and then initiate some configuration change on these upstream devices. Currently, these kinds of synchronizing operations require the involvement of human operators.

Similar requirements can also appear between other types of downstream and upstream network devices.

[2.2.](#) Negotiation between peer network devices

Within a large network, in many segments, there are network devices that are in equivalent positions. They have a peer rather than hierarchical relationship. There may be many horizontal traffic flows or tunnels between them. In order to make these connections efficient, their configurations (for example, quality of service parameters) have to match each other. Any change of a device's configuration may require synchronizing with its peer network

devices.

However, in many cases the peer network devices may not be able to make the exact changes as requested. Instead, another slightly different change may be the best choice for optimal performance. In order to decide on this best choice, multiple rounds of information exchange between peers may be necessary. This should be done without requiring the involvement of human operators. To provide this ability, a mechanism for network devices to be able to negotiate with each other is needed.

[2.3.](#) Negotiation between networks

A network may announce some information about its internal capabilities to connected peer networks, so that the peer networks can react accordingly. BGP routing information is a simple example.

Beyond reachability, more information may enable better coordination among networks. Examples include traffic engineering among multiple connections between two networks, particularly when these connections are geographically distributed; dynamic capacity adjustment to match changing traffic from a peer network; dynamic establishment and adjustment of differentiated service classes to support Service Level Agreements; and so on.

[2.4.](#) Information and status queries among devices

In distributed routers, many data such as status indicators or traffic measurements are dynamically changing. These may be the triggers for subsequent negotiation. For example, assume there are two routers A and B sharing traffic load. Router A may request the traffic situation of router B, then start negotiation, such as requesting router B to handle all the traffic so that router A can enter power-saving mode. Another example is that a device may request its neighbor to send a forecast or dry-run result based on a given potential configuration change. Then, the initiating router can evaluate whether the potential configuration change would meet its original target.

[2.5.](#) Unavoidable configuration

Even with autonomic negotiation, some initial configuration data cannot be avoided in some devices. A design goal is to reduce this to an absolute minimum. This information may have to be pre-configured on the device before it has been deployed physically, and is typically static. A preliminary list of unavoidable configuration data is:

- o Authentic identity for each device. This may be a public key or a signed certification. This is necessary to protect the infrastructure against unauthorized replacement of equipment.
- o The role and function and capability of the device. The role and function may depend on the network planning. The capability is typically decided by the hardware.
- o On the network edge, the routers may need to be configured with the identity of each peer provider, and their entitlements to service.

Ideally, everything else (topology, link capacity, address prefixes, shared resources, customer authentication and authority, etc.) will be discovered or negotiated autonomously according to general policy for various negotiated objective.

3. Existing protocols

Routing protocols are mainly one-way information announcements. The receiver makes independent decisions based on the received information and there is no direct feedback information to the announcing peer. This remains true even though the protocol is used in both directions between peer routers; there is no negotiation, and each peer runs its route calculations independently.

Simple Network Management Protocol (SNMP) [[RFC3416](#)] uses a command/response model not well suited for peer negotiation. Network Configuration Protocol (NETCONF) [[RFC6241](#)] uses an RPC model that does allow positive or negative responses from the target system, but this is still not adequate for negotiation.

There are various existing protocols that have elementary negotiation abilities, such as Dynamic Host Configure Protocol for IPv6 (DHCPv6)

[[RFC3315](#)], Neighbor Discovery (ND) [[RFC4861](#)], Port Control Protocol (PCP) [[RFC6887](#)], Remote Authentication Dial In User Service (RADIUS) [[RFC2865](#)], Diameter [[RFC6733](#)], etc. Most of them are configuration or management protocols. However, they either provide only a simple request/response model in a master/slave context or very limited negotiation abilities.

There are also signalling protocols with an element of negotiation. For example Resource ReSerVation Protocol (RSVP) [[RFC2205](#)] was designed for negotiating quality of service parameters along the path of a unicast or multicast flow. RSVP is a very specialised protocol aimed at end-to-end flows. However, it has some flexibility, having been extended for MPLS label distribution [[RFC3209](#)]. A more generic design is General Internet Signalling Transport (GIST) [[RFC5971](#)], but it is complex, tries to solve many problems, and is also aimed at per-flow signalling across many hops rather than at device-to-device signalling. However, we cannot yet exclude extended RSVP or GIST as a negotiation protocol.

It is worth noting that some of the above protocols have either an explicit information model describing their messages, or at least a flexible and extensible message format. A negotiation protocol will require such capabilities. One design consideration is whether to adopt an existing information model or to design a new one. Another consideration is whether to be able to carry some or all of the message formats used by the above protocols.

We now consider two protocols that are works in progress at the time of this writing. Firstly, RESTCONF [[I-D.ietf-netconf-restconf](#)] is a protocol intended to convey NETCONF information expressed in the YANG language via HTTP, including the ability to transit HTML intermediaries. While this is a powerful approach in the context of centralised configuration of a complex network, it is not well adapted to efficient interactive negotiation between peer devices, especially simple ones that are unlikely to include YANG processing already.

Secondly, we consider HomeNet Control Protocol (HNCP) [[I-D.ietf-homenet-hncp](#)]. This is defined as "a minimalist state

are:

- o Every participating node has a unique node identifier.
- o "HNCP is designed to operate between directly connected neighbors on a shared link using link-local IPv6 addresses."
- o Currency of state is maintained by spontaneous link-local multicast messages.
- o HNCP discovers and tracks link-local neighbours.
- o HNCP messages are encoded as a sequence of TLV objects, sent over UDP.
- o Authentication depends on a signature TLV (assuming public keys are associated with node identifiers).
- o The functionality covered initially includes: site border discovery, prefix assignment, DNS namespace discovery, and routing protocol selection.

Clearly HNCP does not completely meet the needs of a general negotiation protocol, especially due to its limitation to link-local messages and its strict dependency on IPv6, but at the minimum it is a very interesting test case for this style of interaction between devices without needing a central authority.

[4.](#) A Behavior Model of a Generic Negotiation Protocol

This section describes a behavior model and some considerations for designing a generic negotiation protocol, which would act as a platform for different negotiation objectives.

- o A generic platform

The design of the network device protocol is desired to be a generic platform, which is independent from the negotiation contents. It should only take care of the general intercommunication between negotiation counterparts. The negotiation contents will vary according to the various negotiation objectives and the different pairs of negotiating counterparts.

- o Security infrastructure and trust relationship

Because this negotiation protocol may directly cause changes to device configurations and bring significant impacts to a running network, this protocol must be based on a restrictive security infrastructure. It should be carefully managed and monitored so that every device in this negotiation system behaves well and remains well protected.

On the other hand, a limited negotiation model might be deployed based on a limited trust relationship. For example, between two administrative domains, devices might also exchange limited information and negotiate some particular configurations based on a limited conventional or contractual trust relationship.

- o A uniform pattern for negotiation contents

The negotiation contents should be defined according to a uniform pattern. They could be carried either in TLV (Type, Length and Value) format or in payloads described by a flexible language, like XML. A protocol design should choose one of these two. The format must be extensible for unknown future requirements. As noted above, an existing information model and existing message format(s) should be considered.

- o A simple initiator/responder model

Multi-party negotiations are too complicated to be modeled and there may be too many dependencies among the parties to converge efficiently. A simple initiator/responder model is more feasible and could actually complete multiple-party negotiations by indirect steps. Naturally this process must be guaranteed to terminate and must contain tie-breaking rules.

- o Organizing of negotiation content

Naturally, the negotiation content should be organized according to the relevant function or service. The content from different functions or services should be kept independent from each other. They should not be combined into a single option or single session because these contents may be negotiated with different counterparts or may be different in response time.

- o Topology neighbor device discovery

Every network device that supports the negotiation protocol is a responder and always listens to a well-known (UDP?) port. A well-known link-local multicast address should be defined for discovery purposes. Upon receiving a discovery or request message, the recipient device should return a message in which it either indicates itself as a proper negotiation counterpart or diverts the initiator towards another more suitable device.

- o Self aware network device

Every network device should be pre-configured with its role and functions and be aware of its own capabilities. The roles may be only distinguished because of network behaviors, which may include forwarding behaviors, aggregation properties, topology location, bandwidth, tunnel or translation properties, etc. The role and functions may depend on the network planning. The capability is typically decided by the hardware or firmware. These parameters are the foundation of the negotiation behavior of a specific device.

- o Requests and responses in negotiation procedures

The initiator should be able to negotiate with its relevant negotiation counterpart devices, which may be different according to the negotiation objective. It may request relevant information from the negotiation counterpart so that it can decide its local configuration to give the most coordinated performance. It may request the negotiation counterpart to make a matching configuration in order to set up a successful communication with it. It may request certain simulation or forecast results by sending some dry run conditions.

Beyond the traditional yes/no answer, the responder should be able to reply with a suggested alternative if its answer is 'no'. This would start a bi-directional negotiation ending in a compromise between the two devices.

- o Convergence of negotiation procedures

The negotiation procedure should move towards convergent results. It means that when a responder makes a suggestion of a changed condition in a negative reply, it should be as close as possible to the original request or previous suggestion. The suggested value of the third or later negotiation steps should be chosen between the suggested values from the last two negotiation steps.

In any case there must be a mechanism to guarantee rapid convergence in a small number of steps.

- o Dependencies of negotiation

In order to decide a configuration on a device, the device may need information from neighbors. This can be reached through the above negotiation procedure. However, a given item in a neighbor may depend on other information from its own neighbors, which may need another negotiation procedure to obtain or decide. Therefore, there are dependencies among negotiation procedures. There need to be clear boundaries and convergence mechanisms for these negotiation dependencies. Also some mechanisms are needed to avoid loop dependencies.

- o End of negotiation

A single negotiation procedure also needs ending conditions if it does not converge. A limited number of rounds, for example three, should be set on the devices. It may be an implementation choice or a pre-configurable parameter. However, the protocol design needs to clearly specify this, so that the negotiation can be terminated properly. In some cases, a timeout might be needed to end a negotiation.

- o Failed negotiation

There must be a well-defined procedure for concluding that a negotiation cannot succeed, and if so deciding what happens next

(deadlock resolution, tie-breaking, or revert to best-effort service).

- o Policy constraints

There must be provision for general policy rules to be applied by all devices in the network (e.g., security rules, prefix length, resource sharing rules). However, policy distribution might not use the negotiation protocol itself.

- o Management monitoring, alerts and intervention

Devices should be able to report to a monitoring system. Some events must be able to generate operator alerts and some provision

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for emergency intervention must be possible (e.g. to freeze negotiation in a mis-behaving device). These features may not use the negotiation protocol itself.

[5.](#) Security Considerations

This document does not include a detailed threat analysis for autonomic configuration, but it is obvious that a successful attack on autonomic nodes would be extremely harmful, as such nodes might end up with a completely undesirable configuration. A concrete protocol proposal will therefore require a threat analysis, and some form of strong authentication and, if possible, built-in protection against denial of service attacks.

Separation of network devices and user devices may become very helpful in this kind of scenario.

Also, security configuration itself should become autonomic whenever possible. However, in the security area at least, operator override of autonomic configuration must be possible for emergency use.

As noted earlier, a cryptographically authenticated identity for each device is needed in an autonomic network. It is not safe to assume that a large network is physically secured against interference or that all personnel are trustworthy. Each autonomic device should be

capable of proving its identity and authenticating its messages. One approach would be to use a private/public key pair and sufficiently strong cryptography. Each device would generate its own private key, which is never exported from the device. The device identity and public key would be recorded in a network-wide database. The alternative of using symmetric keys (shared secrets) is less attractive, since it creates a risk of key leakage as well as a key management problem when devices are installed or removed.

Generally speaking, no personal information is expected to be involved in the negotiation protocol, so there should be no direct impact on personal privacy. Nevertheless, traffic flow paths, VPNs, etc. may be negotiated, which could be of interest for traffic analysis. Also, carriers generally want to conceal details of their network topology and traffic density from outsiders. Therefore, since insider attacks cannot be prevented in a large carrier network, the security mechanism for the negotiation protocol needs to provide message confidentiality.

[6.](#) IANA Considerations

This draft does not request any IANA action.

[7.](#) Acknowledgements

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