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Session Initiation Protocol Call Control - Conferencing for User
Agents
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Abstract

This document defines conferencing call control features for the Session Initiation Protocol (SIP). This document builds on the Conferencing Requirements and Framework documents to define how a tightly coupled SIP conference works. The approach is explored from different user agent (UA) types perspective: conference-unaware, conference-aware and focus UAs. The use of URIs in conferencing, OPTIONS for capabilities discovery, and call control using REFER are covered in detail with example call flow diagrams.

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1. Introduction

This document uses the concepts and definitions from the high level requirements [8] and the SIP conferencing framework [9] documents.

The approach described in this document implements key functions in the conferencing framework using SIP primitives only. This allows for conducting simple conferences with defined functionalities using SIP mechanisms and conventions. Many other advanced functions can be implemented using additional means but they are not in the scope of this document.

This document presents the basic call control (dial-in and dial-out) conferencing building blocks from the UA perspective. Possible applications include ad-hoc conferences and scheduled conferences.

Note that a single conference can bridge participants having different capabilities and who potentially have joined the conference by different means (i.e. dial-in, dial-out, scheduled, and ad-hoc).

The call control and dialog manipulation approach is based on the multiparty framework [10] document. That document defines the basic approach of service design adopted for SIP which includes:

- Definition of primitives, not services
- Signaling model independent
- Invoker oriented
- Primitives make full use of URIs
- Include authentication, authorization, logging, etc. policies
- Define graceful fallback to baseline SIP.

The use of opaque URIs and the ability to communicate call control context information within a URI (as opposed to service-related header fields), as discussed in [RFC 3087](#) [11], is fundamental to this approach.

[2. Usage of the 'isfocus' Feature Parameter](#)

[2.1 General](#)

The main design guidelines for the development of SIP extensions and conventions for conferencing are to define the minimum number of extensions and to have seamless backwards compatibility with conference-unaware SIP UAs. The minimal requirement for SIP is being able to express that a dialog is a part of a certain conference referenced to by a URI. As a result of these extensions, it is possible to do the following using SIP:

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- Create a conference
- Join a conference
- Invite a user to a conference
- Expel a user by third party
- Discover if a URI is a conference URI

The approach taken is to use the feature parameter "isfocus" to express that a SIP dialog belongs to a conference. The use of feature parameters in Contact header fields to describe the characteristics and capabilities of a UA is described in the Caller Preferences and Callee Capabilities [7] document which includes the definition of the "isfocus" feature parameter.

[2.2 Session Establishment Usage](#)

In session establishment, a focus MUST include the "isfocus" feature parameter in the Contact header field unless the focus wishes to hide the fact that it is a focus. To a participant, the feature parameter will be associated with the remote target URI of the dialog. It is an indication to a conference-aware UA that the resulting dialog belongs to a conference identified by the URI in the Contact header field and that the call control conventions defined in this document can be applied.

[2.3 OPTIONS Usage](#)

Currently the only met requirement is: given an opaque URI, being able to recognize whether it belongs to a certain conference (i.e.

meaning that it is a conference URI) or not. As with any other OPTIONS request, it can be done either inside an active dialog or outside a dialog. A focus MUST include the "isfocus" feature parameter in a 200 OK response to an OPTIONS unless the focus wishes to hide the fact that it is a focus.

[3.](#) SIP User Agent Conferencing Capability Types

From a conferencing perspective, the framework document outlines a number of possible different SIP components such as conference-unaware participant, conference-aware participant, and focus.

This document applies the concepts above to the SIP call control part of the conferencing components. It defines normative behavior of the SIP UAs in various conferencing situations (referred later as "scenarios").

[3.1](#) Focus UA

A focus, as defined in the framework, hosts a SIP conference and maintains a SIP signaling relationship with each participant in the conference. A focus contains a conference-aware user agent that supports the conferencing call control conventions as defined in this document.

A focus SHOULD support the conference package [\[5\]](#) and indicate so in Allow-Events header fields in requests and responses. A focus MAY include information about the conference in SDP message bodies sent.

A user agent with focus capabilities could be implemented in end user equipment and would be used for the creation of ad-hoc conferences.

A dedicated conferencing server, whose primary task is to simultaneously host conferences of arbitrary type and size, may allocate and publish a conference factory URI (as defined in the next section) for creating an arbitrary number of ad-hoc conferences (and subsequently their focuses) using SIP call control means.

[3.2](#) Conference Factory URI

According to the framework, there are many ways in which a conference can be created. These are open to the conferencing server implementation policy and include non-automated means (such as IVR), SIP, and the conference policy control protocol.

In order to automatically create an arbitrary number of ad-hoc conferences (and subsequently their focuses) using SIP call control means, a globally routable Conference Factory URI can be allocated and published.

A successful attempt to establish a call to this URI would result in the automatic creation a new conference and its focus. As a result, note that the Conference Factory URI and the newly created focus URI MAY resolve to different physical devices.

A scenario showing the use of the conference factory URI is shown in [Section 4.5](#).

[3.3](#) Conference-Unaware UA

The simplest user agent can participate in a conference ignoring all SIP conferencing-related information. The simplest user agent is able to dial into a conference and to be invited to a conference. Any conferencing information is potentially conveyed to it using non-SIP

means. Such a user agent would not usually host a conference (at least, not using SIP explicitly). A conference-unaware UA needs only to support [RFC 3261](#) [2]. Call flows for conference-unaware UAs are not shown in general in this document as they would be identical to those in the SIP call flows [13] document.

[3.4](#) Conference-Aware UA

A conference-aware user agent supports SIP conferencing call control conventions defined in this document as a conference participant, in addition to support of [RFC 3261](#).

A conference-aware UA MUST recognize the "isfocus" feature parameter. A conference-aware UA SHOULD support REFER [3], SIP events [4], and

the conferencing package [5].

A conference-aware UA SHOULD subscribe to the conference package if the "isfocus" parameter is in the remote target URI of a dialog and if the conference package is listed by a focus in an Allow-Events header field.

A conference-aware UA MAY render to the user any information about the conference obtained from the SIP header fields and SDP fields from the focus.

4. SIP Conferencing Primitives

The SIP conferencing call control flows presented in this section are the call control building blocks for various SIP tight conferencing applications as described in the conferencing requirements [8] and framework [9] documents. The major design goal is that the same SIP conferencing primitives would be used by user agents having different conferencing capabilities and comprising different applications.

4.1 Joining a Conference using the Conference URI - Dial In

In this section a user knows the conference URI and "dials in" to join this conference.

If the UA is the first participant of the conference to dial in, it is likely that this INVITE will create the focus and hence the conference. However, the conference URI must have been reserved prior to its use.

If the conference is up and running already, the dialing-in participant is joined to the conference by its focus.

To join an existing specific conference a UA SHOULD send an INVITE

with the Request-URI set to the conference URI. The focus MUST include the "isfocus" feature parameter in the Contact header field of the 200 OK response to the INVITE.

An example call flow is shown in Figure 1.

Alice

Focus

Bob

Carol



Figure 1. A Participant Joins a Conference using the Conference URI.

[4.2](#) Adding a Participant by the Focus - Dial Out

To directly add a participant to a conference, a focus SHOULD send an INVITE to the participant containing a Contact header field with the conference URI and the "isfocus" feature parameter.

Note that a conference-unaware UA would simply ignore the conferencing information and treat the session (from a SIP perspective) as a point to point session.

An example call flow is shown in Figure 2. It is assumed that Alice is already a participant of the conference. The focus invites Carol to the conference by sending an INVITE. After the session is established, Carol subscribes to the conference URI. It is important to note that there is no dependency on Carol's SUBSCRIBE (F5) and the NOTIFY to Alice (F9) - they occur asynchronously and independently.

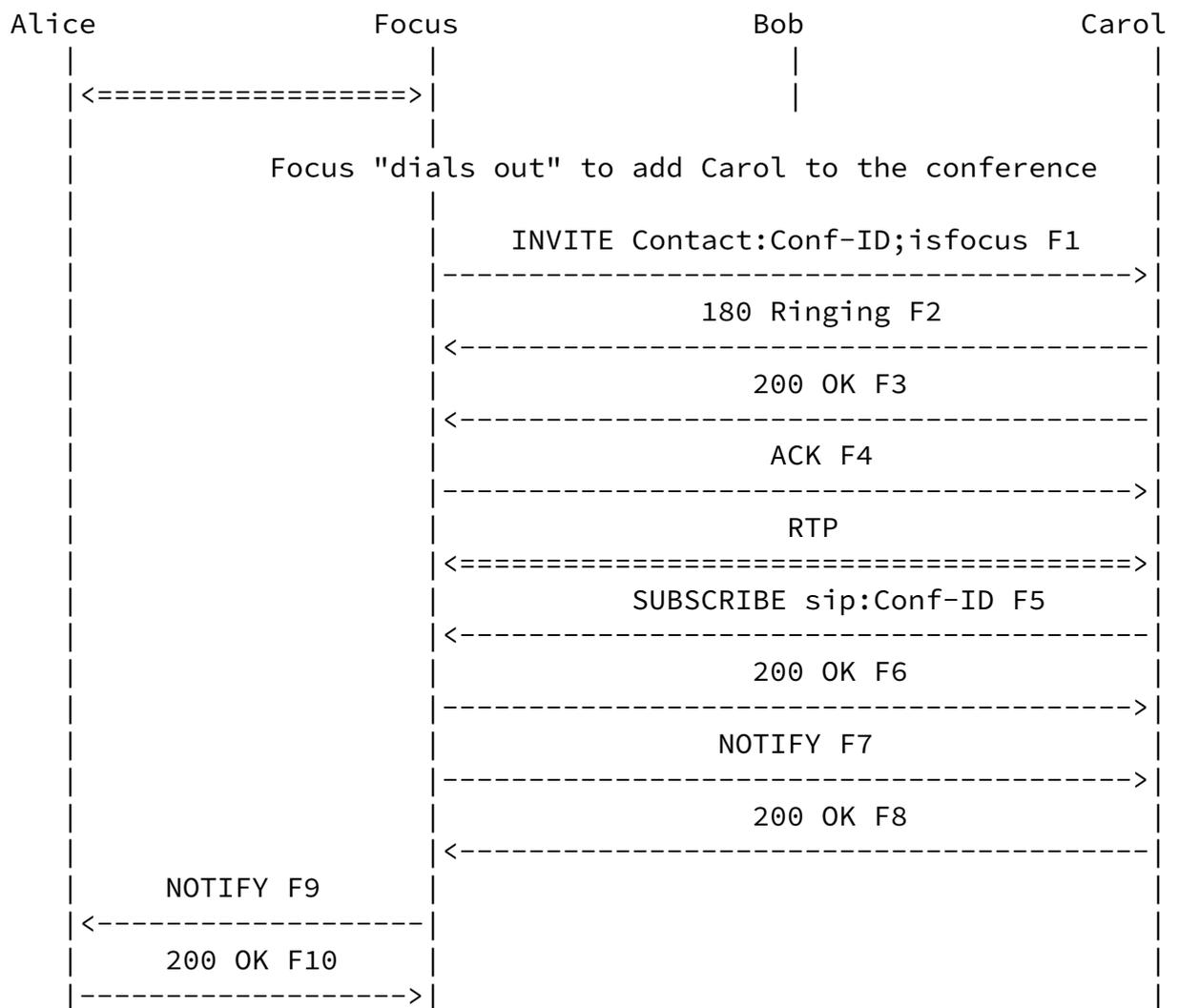


Figure 2. A Focus "dials out" to Add a Participant to the Conference.

4.3 Manually Creating a Conference by Dialing into a Conferencing Application

In this section, a user sends an INVITE to a conference server application. The application (such as an IVR system or a web page) is implemented because the system requires additional input from the user before it is able to create a conference. After a normal dialog is established, additional information is received and the conference together with its focus are created. At this point the conference server MUST re-INVITE the user with the conference URI in Contact with the "isfocus" feature parameter.

Alternatively, the additional information MAY be provided by the user during an early dialog established. This could be accomplished by a 183 Session Progress response sent by the conferencing application. After the conference is created, the conference URI MUST then be

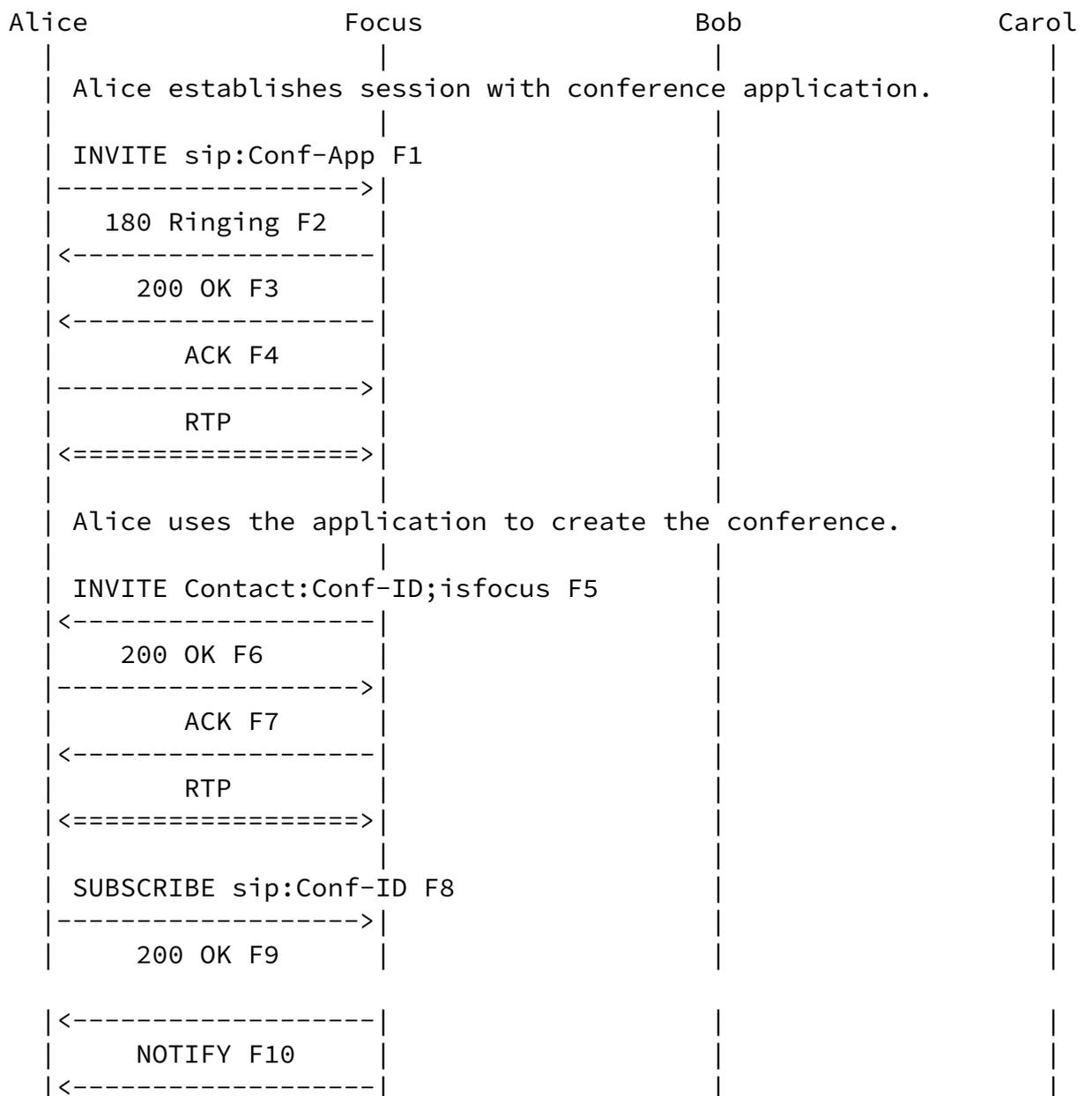
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returned in a Contact in the 200 OK.

An example call flow is shown in Figure 3. In this example, Alice uses a conference application which is triggered when Alice sends an INVITE to the conference application. In this example, Conf-App is used to represent the conference application URI. Alice's conference-aware UA learns of the existence of the conference from the "isfocus" feature parameter and subscribes to the conference package to receive notifications of the conference state.



```
|      200 OK F11      |           |           |
|----->|           |           |
```

Figure 3. A Participant Creates a Conference using an Application.

[4.4](#) Creating a Conference by a Conference-Unaware UA

It is a requirement that a user (human) be able to use a conference-unaware UA to create and add participants to a conference.

A user (human) would choose a conference URI according to system rules and insert it into the Request-URI of the INVITE. This same URI is echoed by a focus adhering to certain addressing conventions (discussed below) in the Contact header by the focus. Additional participants could be added by non-SIP means (publication of the chosen conference URI using web pages, email, IM, etc.). Alternatively, the conference-unaware UA could then add other participants to the conference using SIP call control by establishing a session with them, then transferring [\[16\]](#) them to the conference URI. Note that in this scenario only the user (human) is aware of the conferencing application, and the conference-unaware UA only need support [RFC 3261](#) and optionally call transfer.

Making this work does impose certain addressing conventions on a system. As a service/implementation choice, a system could allow the creator of the conference to choose the user portion of the conference URI. However, this requires the URI format to be agreed upon between a user and the system.

For example, a service provider might reserve the domain `conf.example.com` for all conference URIs. Any URI in the domain of `conf.example.com` would resolve to the focus. The focus could be configured to interpret an unknown user part in the `conf.example.com` domain as a request for a conference to be created with the conference URI as the Request-URI. For example, an INVITE sent with a Request-URI of `sip:k32934208ds72@conf.example.com` could be routed to the focus that would then create the conference. This conference URI should be registered by the newly created focus to become routable as a conference URI within the `conf.example.com` domain. The returned Contact would look as follows:

Contact: <sip:k32934208ds72@conf.example.com>;isfocus

Note, however, that this approach relies on conventions adopted between the user (human) and the focus. Also, the approach is not robust against collisions in the conference names. If a second user wishing to create a new conference happened to choose the same user part as an existing conference, the result would be that the second user would be added into the existing conference instead of creating a new one.

As a result, methods of conference creation in which the conference URI is an opaque URI generated by the focus are preferred.

An example call flow is shown in Figure 4. The participant Alice creates the conference URI (using some convention agreed to with the focus domain) and sends an INVITE to that URI which creates the focus. The focus creates the conference and returns the same conference URI in the 200 OK answer to the INVITE (which is ignored by the conference-unaware UA).

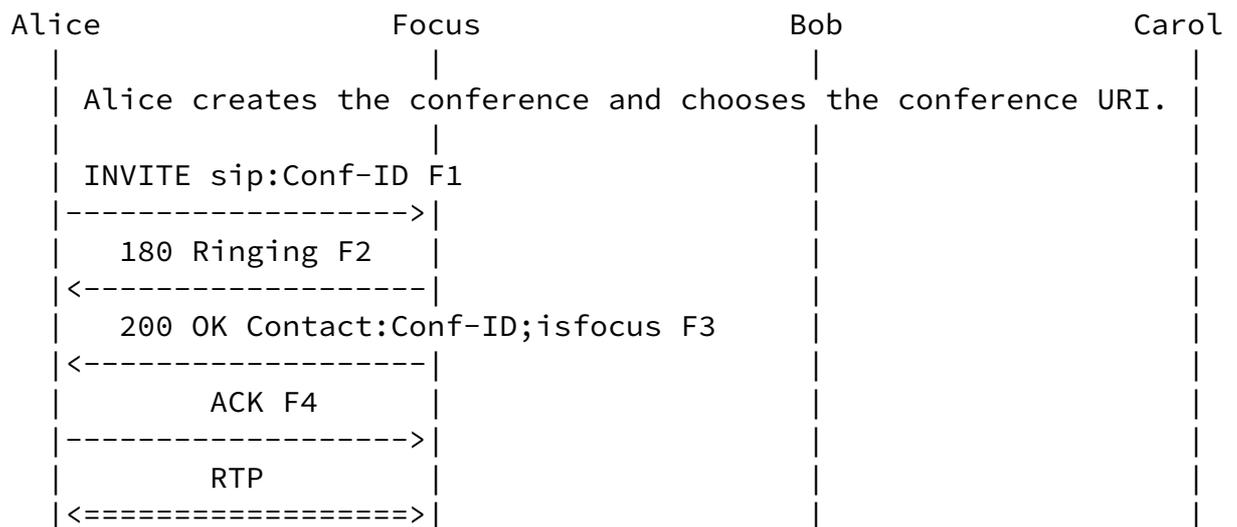


Figure 4. A Conferencing Unaware Participant Creates a Conference

[4.5](#) Creating a Conference using Ad-Hoc SIP Methods

This section addresses creating a conference by using ad-hoc SIP means. The conference factory URI (as defined in [Section 2.4](#)) is

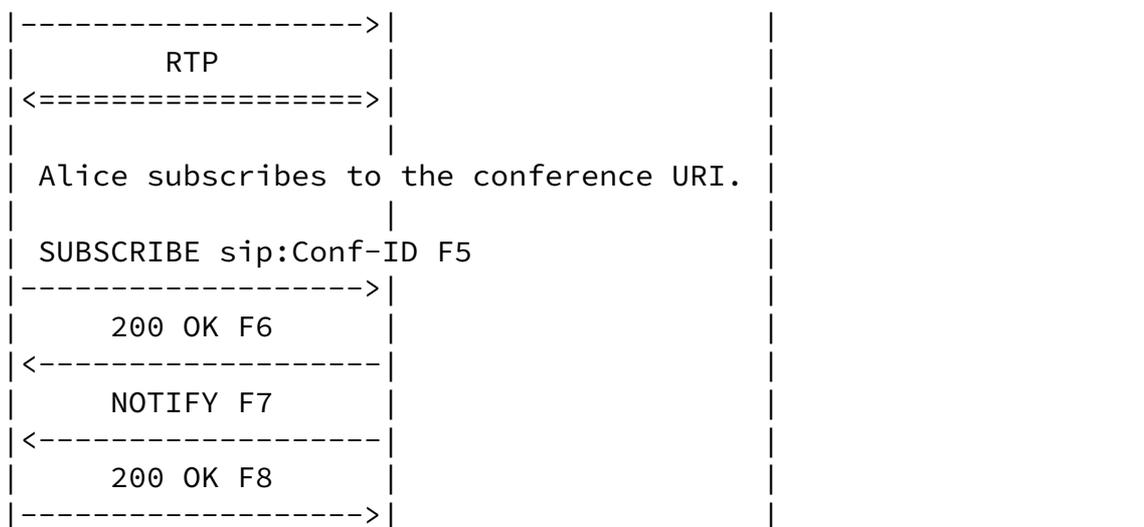


Figure 5. Creation of a Conference using SIP Ad-Hoc Methods.

4.6 Requesting the Focus Add a New Resource to a Conference

A SIP conference URI can be used to inject different kinds of information into the conference. Examples include new participants, new real-time media sources, new IM messages, and pointers to passive information references (such as HTTP URIs).

To request the focus add a new information resource to the specified

conference, any SIP UA can send a REFER to the conference URI with a Refer-To containing the URI of the new resource. Since this REFER is sent to the conference URI and not the conference factory URI, the semantics to the focus are to bring the resource into the conference and make it visible to the conference participants. The resultant focus procedures are dependant both on the nature of the new resource (as expressed by its URI) and the own focus policies regarding IM, central vs. distributed real time media processing, etc.

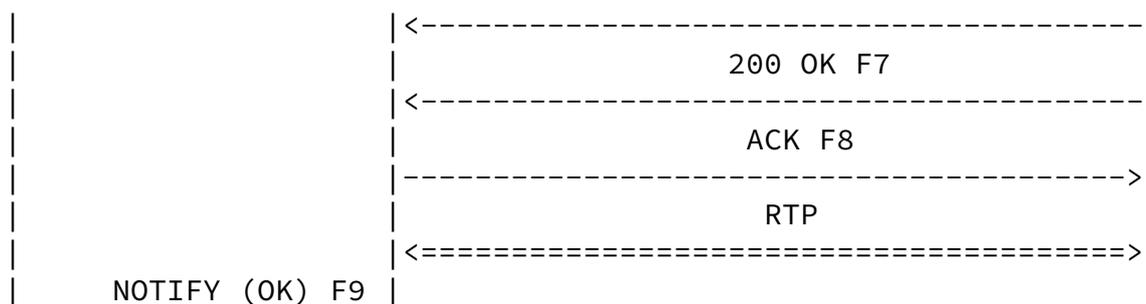
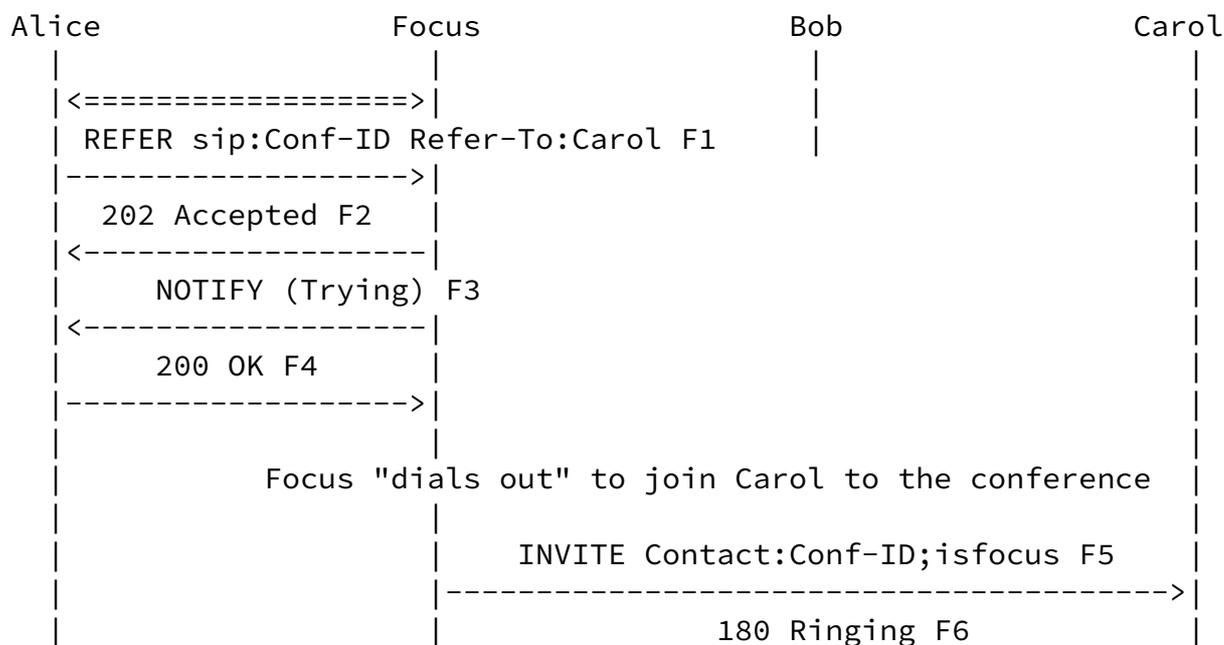
The scenario for adding a new UA participant is important to support because it works even if the new participant does not support REFER and transfer call control - only the requesting participant and the focus need to support the REFER and transfer call control.

Upon receipt of the REFER containing a Refer-To header with a SIP

URI, the focus SHOULD send an INVITE to the new participant identified by the Refer-To SIP URI containing a Contact header field with the conference URI and the "isfocus" feature parameter.

A conference-unaware UA would simply ignore the conferencing information and treat the session (from a SIP perspective) as a point to point session.

An example call flow is shown in Figure 6. It is assumed that Alice is already a participant of the conference. Alice sends a REFER to the conference URI. The focus invites Carol to the conference by sending an INVITE. After the session is established, Carol subscribes to the conference URI. It is important to note that there is no dependency on Carol's SUBSCRIBE (F11) and the NOTIFY to Alice (F15) - they occur asynchronously and independently.



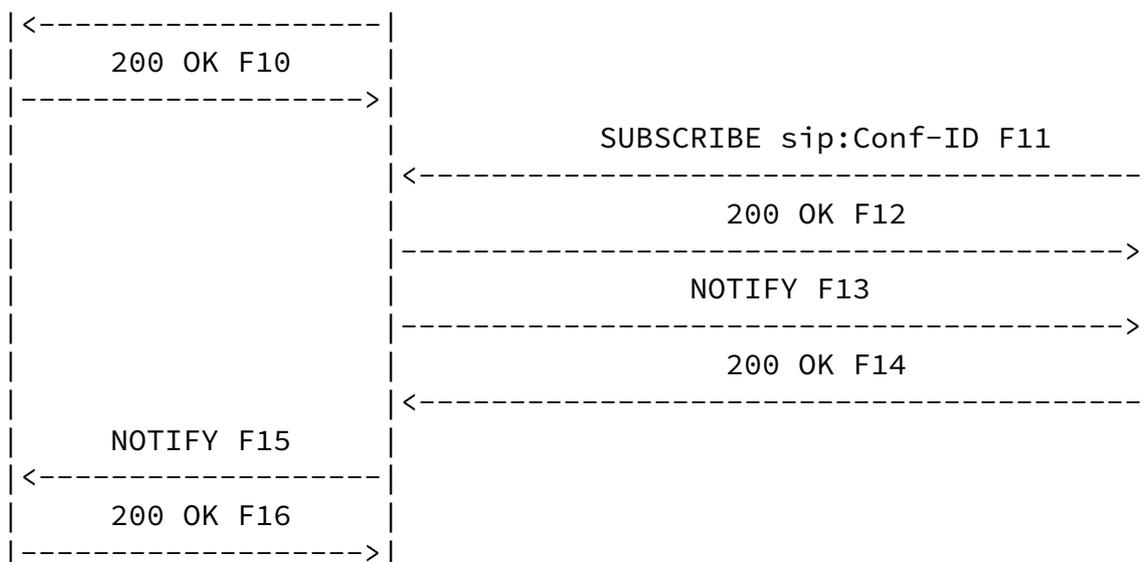


Figure 6. Participant Requests Focus add a Participant to the Conference.

[4.7](#) Adding a 3rd Party Using Conference URI

A participant wishing to add a new participant will request this participant to send an INVITE to the conference URI. This can be done using a non-SIP means (such as passing or publishing the conference URI in an email, IM, or web page). If a non-SIP means is used, then the flow and requirements are identical to [Section 4.1](#).

The SIP mechanism to do this utilizes the REFER method.

A UA wishing to add a new participant SHOULD send a REFER request to the participant with a Refer-To header containing the conference URI and the "isfocus" feature parameter.

The requirements are then identical to the "dial in" case of [Section 4.1](#). The inviting participant MAY receive notification through the REFER action that the new participant has been added in addition to the notification received through the conference package.

An example is shown in Figure 7. In this call flow, it is assumed that Alice is already a participant of the conference. Alice sends

established dialog. Should Bob reject the REFER, Alice might try sending an INVITE to Bob to establish a session first, then send a REFER within the dialog, effectively transferring Bob into the conference [16].

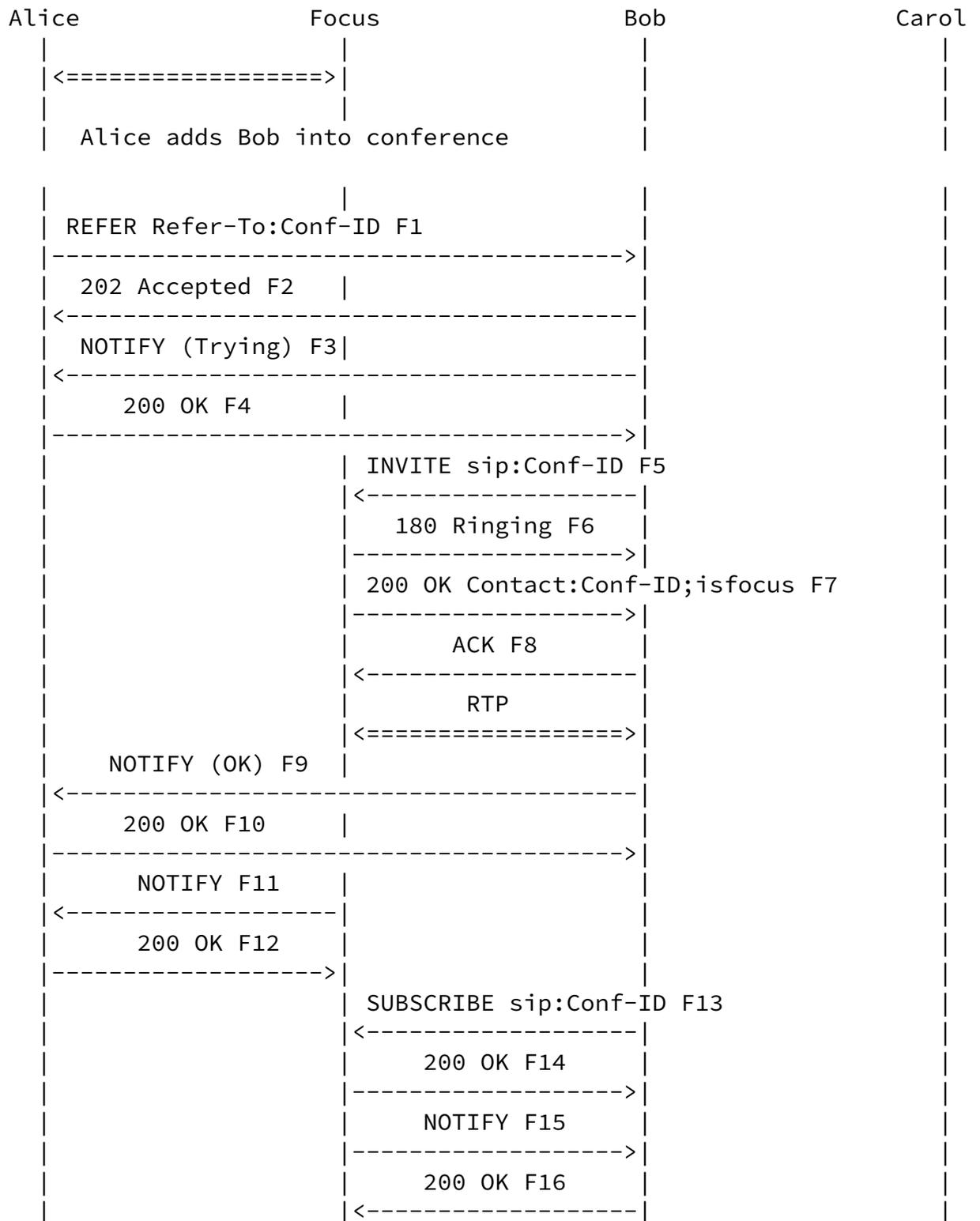


Figure 7. Adding a Participant to an Existing Conference.

[4.8](#) Adding a 3rd Party Using a Dialog Identifier

Under some circumstances, a participant wanting to join a conference may only know a dialog identifier of one of the legs of the conference and the conference factory URI, instead of the conference URI. The information may have been learned using the dialog package [\[17\]](#) or some non-SIP means to retrieve this information from a conference participant.

A UA can request to be added to a conference by sending a request to the focus containing a Join [\[6\]](#) header field containing a dialog ID of one leg of the conference (a dialog between a participant and the focus).

There are other scenarios in which a UA can use the Join header for certain conferencing call control scenarios. See [\[6\]](#) for further examples and details.

An example is shown in Figure 8. It is assumed that Alice is a participant of the conference. The dialog identifier between Alice and the focus is abbreviated as A-F and is known by Bob. Bob requests to be added to the conference by sending an INVITE message F1 to the focus containing a Join header which contains the dialog identifier A-F. Note that this dialog identifier could be learned through some non-SIP mechanism, or by use of SUBSCRIBE/NOTIFY and the dialog event package [\[17\]](#). Bob is added into the conference by the focus.

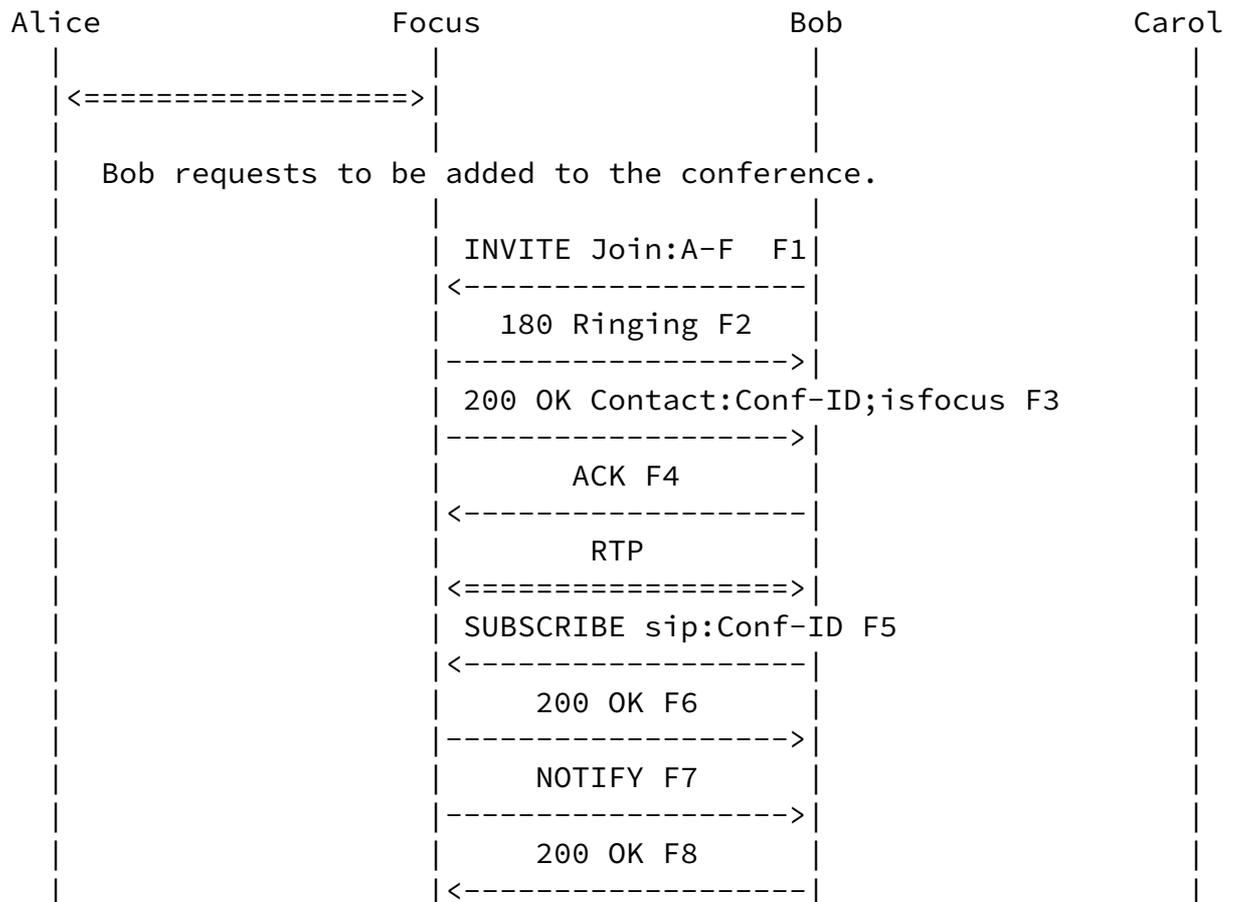


Figure 8. Adding a Participant to an Existing Conference using Join.

[4.9](#) Bringing a Point-to-Point Dialog into a Conference

A focus is capable of bringing an existing point-to-point dialog with another UA to a conference that the focus hosts. The focus would do it by sending re-INVITE changing the Contact URI to the conference URI with the "isfocus" feature parameter. By doing this, the focus signals to the UA that it becomes a participant of the conference, specified in the Contact header.

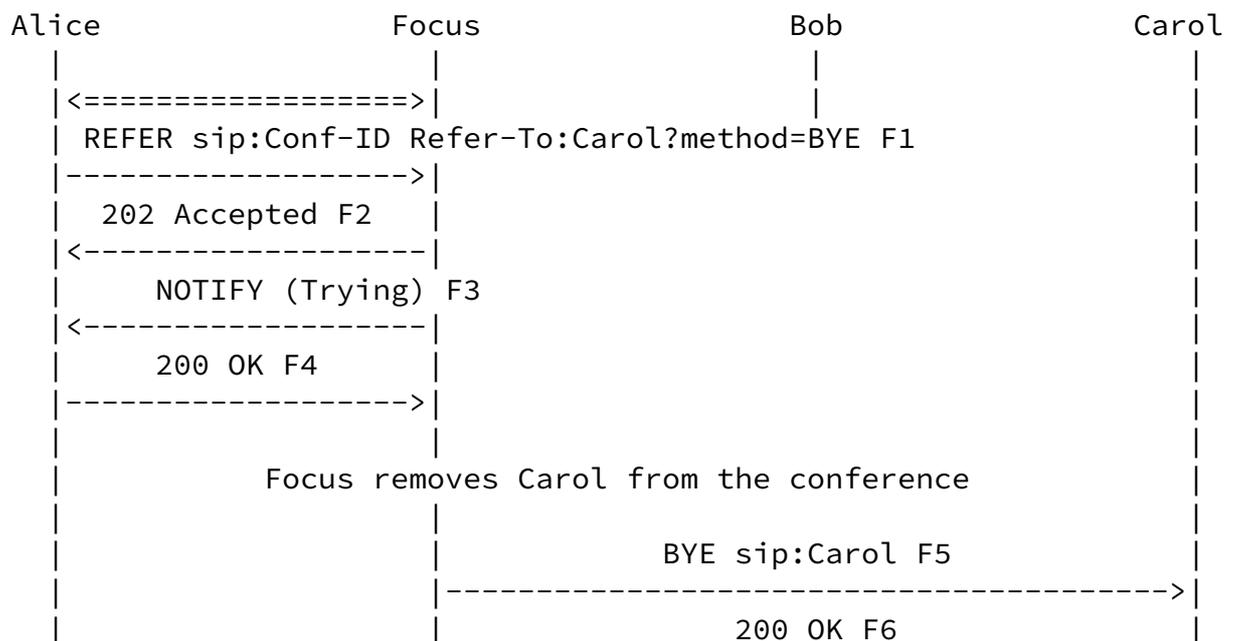
Currently, there is no way for a UA, being in an active point-to-point call with a focus, to express by SIP call control

means a request to bridge its dialog with a specific conference or to create a new conference and include the dialog in this conference. Instead, a new dialog will need to be created. Even if the UA discovers that the other side has focus capabilities, the UA needs to close the old session and to establish a new session/dialog with the focus.

4.10 Requesting the Focus Remove a Participant from a Conference

To request the focus remove a participant from the specified conference, a properly authorized SIP UA (typically the conference owner) can send a REFER to the conference URI with a Refer-To containing the URI of the participant and with the method set to BYE. The requestor does not need to know the dialog information about the dialog between the focus and the participant who will be removed - the focus knows this information and fills it when it generates the BYE request.

An example call flow is shown in Figure 9. It is assumed that Alice and Carol are already participants of the conference and that Alice is authorized to remove members from the conference. Alice sends a REFER to the conference URI with a Refer-To header containing a URI of the form `< sip:carol@chicago.example.com&method=BYE >`.



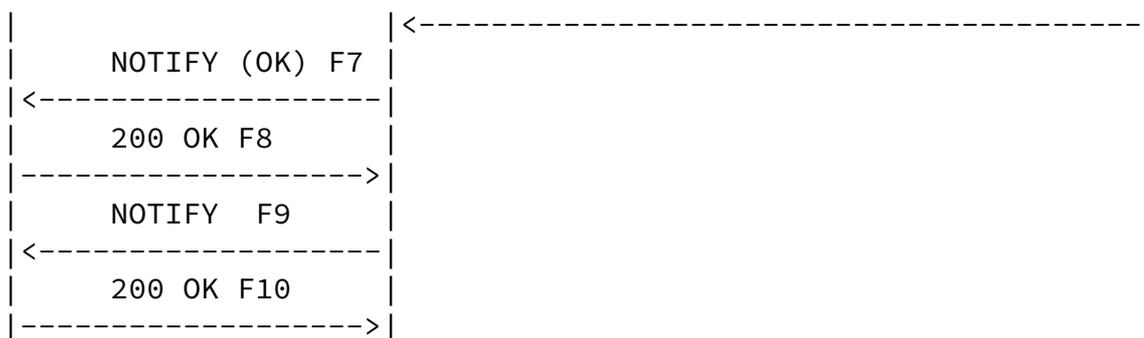


Figure 9. Participant Requests Focus Remove a Participant from the Conference

4.11 Discovery of Conferencing Capabilities using OPTIONS

A UA MAY send an OPTIONS request to discover if an opaque URI is a

conference URI (resolves to a focus). In addition, the reply to the OPTIONS request can also indicate support for various SIP call control extensions used in this document.

Note that the Allow, Accept, Allow-Events, and Supported header fields should be present in an INVITE from a focus or a 200 OK answer from the focus to an INVITE as a part of a normal dialog establishment process.

An example is shown in Figure 10 where Alice sends an OPTIONS to a URI which resolves to a focus.

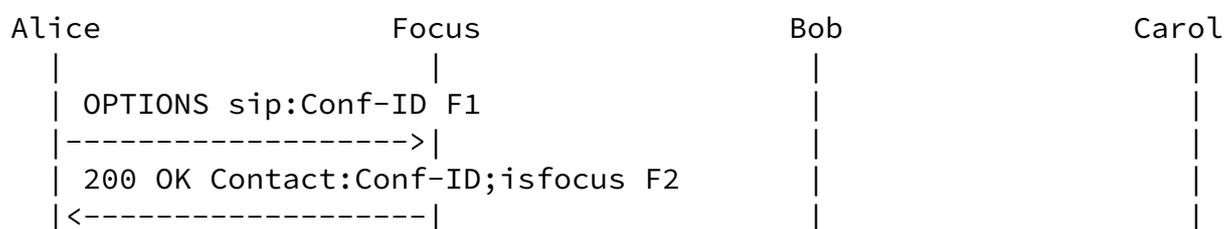


Figure 10. Participant Queries Capabilities of URI which resolves to a Focus

Following is an example message detail of message F2 in Figure 10. Based on the response, Alice's UA learns that the URI is a conference URI and that the responding UA is focus that supports a number of SIP call control extensions.

The response details are as follows:

```
SIP/2.0 200 OK
Via: SIP/2.0/UDP pc33.atlanta.com;branch=z9hG4bKhjhs8ass877
    ;received=192.0.2.4
To: <sip:23944852902@chicago.com>;tag=93810874
From: Alice <sip:alice@atlanta.com>;tag=1928301774
Call-ID: a84b4c76e66710
CSeq: 63104 OPTIONS
Contact: <sip:23944852902@chicago.com>;isfocus
Allow: INVITE, ACK, CANCEL, OPTIONS, BYE, REFER,
    SUBSCRIBE, NOTIFY
Allow-Events: refer, conference
Accept: application/sdp, application/conference-info+xml,
    message/sipfrag
Accept-Language: en
Supported: replaces
Content-Type: application/sdp
Content-Length: 274
```

(SDP not shown)

Useful information from each of these headers is detailed in the next sections.

Allow. The support of methods such as REFER, SUBSCRIBE, and NOTIFY indicate that the user agent supports call control and SIP Events.

Accept. The support of bodies such as message/sipfrag [12], application/conference-info+xml [5] also indicates support of call control and conferencing.

Allow-Events. The support of event packages such as refer [3], conference [5].

Supported. The support of extensions such as replaces [15].

Contact. The presence of the "isfocus" feature parameter in the Contact header indicates that the URI is a conference URI and that the UA is a focus.

5. Security Considerations

This document discusses call control for SIP conferencing. Both call control and conferencing have specific security requirements which will be summarized here. Conferences generally have authorization rules about who may or may not join a conference, what type of media may or may not be used, etc. This information is used by the Focus to admit or deny participation in a conference. It is recommended that these types of authorization rules be used to provide security for a SIP conference. For this authorization information to be used, the focus needs to be able to authenticate potential participants. Normal SIP mechanisms including Digest authentication and certificates can be used. These conference specific security requirements are discussed further in the requirements and framework documents.

For call control security, a user agent must maintain local policy on who is permitted to perform call control operations, initiate REFERs, and replace dialogs. Normal SIP authentication mechanisms are also appropriate here. The specific authentication and authorization schemes are described in the multiparty call control framework document.

6. Contributors

We would like to thank Rohan Mahy, Jonathan Rosenberg, Roni Even, Petri Koskelainen, Brian Rosen, Paul Kyzivat, Eric Burger, and others in list discussions.

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