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A Usage for Shared Resources in RELOAD (ShaRe) draft-knauf-p2psip-share-02

#### Abstract

This document defines a RELOAD Usage for managing shared write access to RELOAD Resources. Shared Resources in RELOAD (ShaRe) form a basic primitive for enabling various coordination and notification schemes among distributed peers. Access in ShaRe is controlled by a hierarchical trust delegation scheme maintained within an access list. A new USER-CHAIN-ACL access policy allows authorized peers to write a Shared Resource without owning its corresponding certificate. This specification also adds mechanisms to store Resources with a variable name which is useful whenever peer-independent rendezvous processes are required.

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#### 1. Introduction

This document defines a RELOAD Usage for managing shared write access to RELOAD Resources and a mechanism to store Resources with a variable name. The Usage for Shared Resources in RELOAD (ShaRe) enables overlay users to share their exclusive write access to specific Resource/Kind pairs with others. Shared Resources form a basic primitive for enabling various coordination and notification schemes among distributed peers. Write permission is controlled by an Access Control List (ACL) Kind that maintains a chain of Authorized Peers for a particular Shared Resource. A newly defined USER-CHAIN-ACL access control policy enables shared write access in RELOAD.

The Usage for Shared Resources in RELOAD is designed for jointly coordinated group applications among distributed peers (c.f. [I-D.knauf-p2psip-disco]). Of particular interest are rendezvous processes, where a single identifier is linked to multiple, dynamic instances of a distributed cooperative service. Shared write access is based on a trust delegation mechanism. It transfers the authorization to write a specific Kind data by storing logical Access Control Lists. An ACL contains the ID of the Kind to be shared and contains trust delegations from one authorized to another (previously unauthorized) user.

Shared write access extends the RELOAD security model, which is based on the restriction that peers are only allowed to write resources at a small set of well defined locations (Resource-IDs) in the overlay. Using the standard access control rules in RELOAD, these locations are bound to the username or Node-ID in the peer's certificate. This document extends the base policies to enable a controlled write access for multiple users to a common Resource Id.

Additionally, this specification defines an optional mechanism to store Resources with a variable Resource Name. It enables the storage of Resources whose name complies to a specific pattern. Definition of the pattern is arbitrary, but must contain the username of the Resource creator.

### 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

This document uses the terminology and definitions from the RELOAD base <a href="I-D.ietf-p2psip-base">[I-D.ietf-p2psip-base</a>] and the peer-to-peer SIP concepts draft <a href="I-D.ietf-p2psip-concepts">[I-D.ietf-p2psip-concepts</a>]. Additionally, the following terms are used:

Shared Resource: The term Shared Resource in this document defines a RELOAD Resource with its associated Kinds, that can be written or overwritten by multiple RELOAD users following the specifications in this document.

Access Control List: The term Access Control List in this document defines a logical list of RELOAD users allowed to write a specific RELOAD Resource/Kind pair by following the specifications in this document. The list items are stored as Access Control List Kinds that map trust delegations from user A to user B, where A is allowed to write a Shared Resource and the Access Control List, while B is a user that obtains write access to specified Kinds from A.

Resource Owner: The term Resource Owner in this document defines a RELOAD peer that initially stored a Resource to be shared. The Resource Owner possesses the RELOAD certificate that grants write access to a specific Resource/Kind pair using the RELOAD certificate-based access control policies.

**Authorized Peer:** The term Authorized Peer in this document defines a RELOAD peer that was granted write access to a Shared Resource by permission of the Resource Owner or another Authorized Peer.

# 3. Shared Resources in RELOAD

A RELOAD user that owns a certificate for writing at a specific overlay location can maintain one or more RELOAD Kinds that are designated for a non-exclusive write access shared with other RELOAD users. The mechanism to share those Resource/Kind pairs with a group of users consists of two basic steps.

- 1. Storage of the Resource/Kind pairs to be shared.
- 2. Storage of an Access Control List (ACL) associated with those Kinds.

ACLs are created by the Resource Owner and contain ACL items, each delegating the permission of writing the shared Kind to a specific user called Authorized Peer. For each shared Kind data, its Resource owner stores a root item that initiates an Access Control List. Trust

delegation to the Authorized Peer can include the right to further delegate the write permission, enabling a tree of trust delegations with the Resource Owner as trust anchor at its root.

The Resource/Kind pair to be shared can be any RELOAD Kind that complies to the following specifications:

Isolated Data Storage: To prevent concurrent writing from race conditions, each data item stored within a Shared Resource SHALL be exclusively maintained by the RELOAD user who created it. Hence, Usages that allow the storage of Shared Resources are REQUIRED to use either the array or dictionary data model and apply additional mechanisms for isolating data as described in <a href="Section 3.1">Section 3.1</a>.

Access Control Policy: To ensure write access to Shared Resource by Authorized Peers, each Usage MUST use the USER-CHAIN-ACL access policy as described in <a href="Section 6.6">Section 6.6</a>.

Resource Name Extension: To enable Shared Resources to be stored using a variable resource name, this document defines an optional ResourceNameExtension structure. It contains the Resource Name of the Kind data to be stored and allows any receiver of a shared data to validate whether the Resource Name hashes to the Resource-ID. The ResourceNameExtension is made optional by configuration. The ResourceNameExtension field is only present in the Kind data structure when configured in the corresponding kind-block of the overlay configuration document (for more details see <a href="Section 5.3">Section 5.3</a>). If the configuration allows variable resource names, a Kind using the USER-CHAIN-ACL policy MUST use the ResourceNameExtension as initial field within the Kind data structure definition. Otherwise the Kind data structure does not contain the ResourceNameExtension structure.

### 3.1. Mechanisms for Isolating Stored Data

This Section defines mechanisms to avoid race conditions while concurrently writing an array or dictionary of a Shared Resource. If a dictionary is used in the Shared Resource, the dictionary key MUST be the Node-ID of the certificate that will be used to sign the stored data. Thus data access is bound to the unique ID-holder. If the data model of the Shared Resource is an array, the following algorithm will generate an array index that avoids collisions.

- 1. Obtain the Node-ID of the certificate that will be used to sign the stored data
- 2. Take the least significant 24 bits of that Node-ID
- 3. Concatenate an 8 bit long short individual index value to those 24 bit of the Node-TD

The resulting 32 bits long integer MUST be used as the index for storing an array entry in a Shared Resource. The 8 bit individual index can be incremented individually for further array entries and allows for 256 distinct entries per Peer.

The mechanism to create the array index is related to the pseudo-random algorithm to generate an SSRC identifier in RTP, see Section 8.1 in <a href="https://recommons.org/length/nc/recommons.org/len

### 4. Access Control List Definition

### 4.1. Overview

An Access Control List (ACL) is a (self-managed) shared resource that contains a list of AccessControlListItem structures as defined in Section 4.2. Each entry delegates write access for a specific Kind data to a single RELOAD user. An ACL enables the RELOAD user who is authorized to write a specific Resource-ID to delegate his exclusive write access to a specific Kind to further users of a RELOAD instance. Each Access Control List data structure therefore carries the information about who obtains write access, the Kind-ID of the Resource to be shared, and whether delegation includes write access to the ACL itself. The latter condition grants the right to delegate write access further for the Authorized Peer. Access Control Lists are stored at the same overlay location as the Shared Resource and use the RELOAD array data model. They are initially created by the Resource Owner. Figure 1 shows an example of an Access Control List. We omit the res\_name\_ext field to simplify illustration. The array entry at index 0x123abc001 displays the initial creation of an ACL for a Shared Resource of Kind-ID 1234 at the same Resource-ID. It represents the root item of the trust delegation tree for this shared RELOAD Kind. The root entry MUST contain the username of the Resource owner in the "to\_user" field and can only be written by the owner of the public key certificate associated with this Resource-ID. The allow\_delegation (ad) flag for a root ACL item is set to 1 by default. The array index is generated by using the mechanism for isolating stored data as described in Section 3.1. Hence, the most significant 24 bits of the array index (0x123abc) are the least significant 24 bits of the Node-ID of the Resource Owner.

The array item at index 0x123abc002 represents the first trust delegation to an Authorized Peer that is thus permitted to write to the Shared Resource of Kind-ID 1234. Additionally, the Authorized peer Alice is also granted (limited) write access to the ACL as indicated by the allow\_delegation flag (ad) set to 1. This configuration authorizes Alice to store further trust delegations to the Shared Resource, i.e., add items to the ACL. On the contrary, index 0x456def001 illustrates trust delegation for Kind-ID 1234, in which the Authorized Peer Bob is not allowed to grant access to further peers (ad = 0). Each Authorized Peer signs its ACL items with its own private key, which makes the item ownership transparent.

To manage Shared Resource access of multiple Kinds at a single location, the Resource Owner can create new ACL entries that refer to another Kind-ID as shown in array entry index 0x123abc003. Note that overwriting existing items in an Access Control List that reference a different Kind-ID revokes all trust delegations in the corresponding subtree (see <a href="Section 6.2">Section 6.2</a>). Authorized Peers are only enabled to overwrite existing ACL item they own. The Resource Owner is allowed to overwrite any existing ACL item, but should be aware of its consequences.

```
-----+
     Access Control List
+----+
| #Index | Array Entries
+----+
| 123abc001 | to_user:Owner Kind:1234 ad:1 | Owner |
+----+
| 123abc002 | to_user:Alice Kind:1234 ad:1 | Owner |
+----+
| 123abc003 | to_user:Owner Kind:4321 ad:1 | Owner |
+----+
| 123abc004 | to_user:Carol Kind:4321 ad:0 | Owner |
+-----+
        . . .
+----+
+----+
        . . .
+----+
```

Implementations of ShaRe should be aware that the trust delegation in an Access Control List need not be loop free. Self-contained circular trust delegation from A to B and B to A are syntactically possible, even though not very meaningful.

# 4.2. Data Structure

The Kind data structure for the Access Control List is defined as follows:

```
struct {
    /* res_name_ext is optional, see documentation */
    ResourceNameExtension res_name_ext;
    opaque to_user<0..2^16-1>;
    KindId kind;
    Boolean allow_delegation;
} AccessControlListItem;
```

The AccessControlListItem structure is composed of:

res\_name\_ext: This optional field contains the Resource Name of a
 ResourceNameExtension (see <u>Section 5.2</u>) to be used by a Shared
 Resource with variable resource name. This name serves the storing
 peer for validating, whether a variable resources name matches one
 of the predefined naming pattern from the configuration document for
 this Kind. The presence of this field is bound to a variable
 resource name element in the corresponding kind-block of the
 configuration document whose "enable" attribute is set to true (see
 <u>Section 5.3</u>). Otherwise, if the "enable" attribute is false, the
 res\_name\_ext field SHALL NOT be present in the Kind data structure.

**to\_user:** This field contains the username of the RELOAD peer that obtains write permission to the Shared Resource.

kind: This field contains the Kind-ID of the Shared Resource.

allow\_delegation: If true, this Boolean flag indicates that the
 Authorized Peer in the 'to\_user' field is allowed to add additional
 entries to the ACL for the specified Kind-ID.

### 5. Extension for Variable Resource Names

#### 5.1. Overview

In certain use cases such as conferencing (c.f. [I-D.knauf-p2psip-<u>disco</u>]) it is desirable to increase the flexibility of a peer in using Resource Names beyond those defined by the username or Node-ID fields in its certificate. For this purpose, this document presents the concept for variable Resources Names that enables providers of RELOAD instances to define relaxed naming schemes for overlay Resources. Each RELOAD node uses a certificate to identify itself using its user name (or Node-ID) while storing data under a specific Resource-ID. The specifications in this document scheme adhere to this paradigm, but enable a RELOAD peer to store values of Resource Names that are derived from the username in its certificate. This is done by using a Resource Name with a variable substring that still matches the username in the certificate using a pattern defined in the overlay configuration document. Thus despite being variable, an allowable Resource Name remains tied to the Owner's certificate. A sample pattern might be formed as follows.

Example Pattern:

.\*-conf-\$USER@\$DOMAIN

When defining the pattern, care must be taken to avoid conflicts arising from two usernames of witch one is a substring of the other. In

such cases, the holder of the shorter name could threaten to block the resources of the longer-named peer by choosing the variable part of a Resource Name to contain the entire longer username. This problem can easily be mitigated by delimiting the variable part of the pattern from the username part by some fixed string, that by convention is not part of a username (e.g. the "-conf-" in the above Example).

#### 5.2. Data Structure

This section defines the optional ResourceNameExtension structure for every Kind that uses the USER-CHAIN-ACL access control policy.

The content of the ResourceNameExtension consist of

length: This field contains the length of the remaining data structure. It is only used to allow for further extensions to this data structure.

The content of the rest of the data structure depends of the ResourceNameType. Currently, the only defined type is "pattern". If the type is "pattern", then the following data structure contains an opaque <0..2^16-1> field containing the Resource Name of the Kind being stored. The type "pattern" further indicates that the Resource Name MUST match to one of the variable resource name pattern defined for this Kind in the configuration document.

The ResourceNameType enum and the ResourceNameExtension structure can be extended by further Usages to define other naming schemes.

# 5.3. Overlay Configuration Document Extension

This section extends the overlay configuration document by defining new elements for patterns relating resource names to user names. The <variable-resource-names> element serves as a container for one or multiple <pattern> sub-elements. It is an additional parameter within the kind block and has a boolean "enable" attribute that indicates, if

true, that the overlay provider allows variable resource names for this Kind. The default value of the "enable" attribute is "false". In the absence of a <variable-resource-names> element for a Kind using the USER-CHAIN-ACL access policy (see <a href="Section 6.6">Section 6.6</a>), implementors SHOULD assume this default value.

A <pattern> element MUST be present if the "enabled" attribute of its parent element is set to true. Each <pattern> element defines a pattern for constructing extended resource names for a single Kind. It is of type xsd:string and interpreted as a regular expression. In this regular expression, \$USER and \$DOMAIN are used as variables for the corresponding parts of the string in the certificate username field (with \$USER preceding and \$DOMAIN succeeding the '@'). Both variables MUST be present in any given pattern definition. If no pattern is defined for a Kind or the "enabled" attribute is false, allowable Resource Names are restricted to the username of the signer for Shared Resource.

The Relax NG Grammar for the Variable Resource Names Extension reads:

```
<!-- VARIABLE RESOURCE URN SUB-NAMESPACE -->
namespace share = "urn:ietf:params:xml:ns:p2p:config-base:share"
<!-- VARIABLE RESOURCE NAMES ELEMENT -->
kind-parameter &= element share:variable-resource-names {
   attribute enable { xsd:boolean }
   <!-- PATTERN ELEMENT -->
   element pattern { xsd:string }*
}?
```

# 6. Access Control to Shared Resources

#### 6.1. Granting Write Access

Write access to a Kind that is intended to be shared with other RELOAD users can solely be issued by the Resource Owner. A Resource Owner can share RELOAD Kinds by using the following procedure.

\*The Resource Owner stores an ACL root item at the Resource-ID of the Shared Resource. The root item contains the resource name extension field (see <u>Section 5.2</u>), the username of the Resource Owner and Kind-ID of the Shared Resource. The allow\_delegation flag is set to 1. The index of array data structure MUST be generated as described in <u>Section 3.1</u>

\*Further ACL items for this Kind-ID stored by the Resource Owner will delegate write access to Authorized Peers. These ACL items contain the same resource name extension field, the username of the Authorized Peer and the Kind-Id of the Shared Resource. Optionally, the Resource Owner sets the "ad" to 1 (the default equals 0) to enable the Authorized Peer to further delegate write access. Each succeeding ACL item created by the Resource Owner can be stored in the numerical order of the array index starting with the index of the root item incremented by one.

An Authorized Peer with delegation allowance ("ad"=1) can extend the access to an existing Shared Resource as follows.

\*An Authorized Peer can store additional ACL items at the Resource-ID of the Shared Resource. These ACL items contain the resource name extension field, the username of the newly Authorized Peer, and the Kind-Id of the Shared Resource.

Optionally, the "ad" flag is set to 1 for allowing the Authorized Peer to further delegate write access. The array index MUST be generated as described in <a href="Section 3.1">Section 3.1</a>. Each succeeding ACL item can be stored in the numerical order of the array index.

A store request by an Authorized Peer that attempts to overwrite any ACL item signed by another Peer is unauthorized and causes an Error\_Forbidden response from the Storing Peer. Such access conflicts could be caused by an array index collision. However, the probability of a collision of two or more identical array indices will be negligibly low using the mechanism for isolating stored data (see Section 3.1)

### 6.2. Revoking Write Access

Write permissions are revoked by storing a non-existent value [I-D.ietf-p2psip-base] at the corresponding item of the Access Control List. Revoking a permission automatically invalidates all delegations performed by that user including all subsequent delegations. This allows to invalidate entire subtrees of the delegations tree with only a single operation. Overwriting the root item with a non-existent value of an Access List invalidates the entire delegations tree. An existing ACL item MUST only be overwritten by the user who initially stored the corresponding entry, or by the Resource Owner that is allowed to overwrite all ACL items for revoking write access.

### 6.3. Validating Write Access through an ACL

Access Control Lists are used to transparently validate authorization of peers for writing a data value at a Shared Resource. Thereby it is assumed that the validating peer is in possession of the complete and most recent ACL for a specific Resource/Kind pair. The corresponding

procedure consists of recursively traversing the trust delegation tree and proceeds as follows.

- 1. Obtain the username of the certificate used for signing the data stored at the Shared Resource.
- 2. Validate that an item of the corresponding ACL (i.e., for this Resource/Kind pair) contains a "to\_user" field whose value equals the username obtained in step 1. If the Shared Resource under examination is an Access Control List Kind, further validate if the "ad" flag is set to 1.
- 3. Select the username of the certificate that was used to sign the ACL item obtained in step 2.
- 4. Validate that an item of the corresponding ACL contains a "to\_user" field whose value equals the username obtained in step 3. Additionally validate that the "ad" flag is set to 1.
- 5. Repeat steps 3 and 4 until the "to\_user" value is equal to the username of the signer of the previously selected ACL item. This final ACL item is expected to be the root item of this ACL which SHALL be further validated by verifying that the root item was signed by the owner of the ACL Resource.

The trust delegation chain is valid if and only if all verification steps succeed. In this case, the creator of the data value of the Shared Resource is an Authorized Peer.

Note that the ACL validation procedure can be omitted whenever the creator of data at a Shared Resource is the Resource Owner itself. The latter can be verified by its public key certificate as defined in Section 6.6.

# 6.4. Operations of Storing Peers

Storing peers, i.e., peers at which Shared Resource and ACL are physically stored, are responsible for controlling storage attempts to a Shared Resource and its corresponding Access Control List. To assert the USER-CHAIN-ACL access policy (see Section 6.4), a storing peer MUST perform the access validation procedure described in <a href="Section 6.3">Section 6.3</a> on any incoming store request using the most recent Access Control List for every Kind that uses the USER-CHAIN-ACL policy. It has further to ensure that only the Resource Owner stores new ACL root items for Shared Resources.

### 6.5. Operations of Accessing Peers

Accessing peers, i.e., peers that fetch a Shared Resource, MAY validate that the originator of a Shared Resource was authorized to store data at this Resource-ID by processing the corresponding ACL. To enable an

accessing peer to perform the access validation procedure described in <u>Section 6.3</u>, it first needs to obtain the most recent Access Control List in the following way.

- 1. Send a Stat request to the Resource-ID of the Shared Resource to obtain all array indexes of stored ACL Kinds.
- 2. Fetch all indexes of existing ACL items at this Resource-ID by using the array ranges retrieved in the Stat request answer.

Peers can cache previously fetched Access Control Lists up to the maximum lifetime of an individual item. Since stored values could have been modified or invalidated prior to their expiration, an accessing peer SHOULD use a Stat request to check for updates prior to using the data cache.

# 6.6. USER-CHAIN-ACL Access Policy

This document specifies an additional access control policy to the RELOAD base draft [I-D.ietf-p2psip-base]. The USER-CHAIN-ACL policy allows Authorized Peers to write a Shared Resource, even though they do not own the corresponding certificate. Additionally, the USER-CHAIN-ACL allows the storage of Kinds with a variable resource name that are following one of the specified naming pattern. Hence, on an inbound store request on a Kind that uses the USER-CHAIN-ACL access policy, the following rules MUST be applied:

In the USER-CHAIN-ACL policy, a given value MUST be written or overwritten, if either one of USER-MATCH or USER-NODE-MATCH (mandatory if the data model is dictionary) access policies of the base document <a href="I-D.ietf-p2psip-base">[I-D.ietf-p2psip-base</a>] applies.

Otherwise, the value MUST be written if the certificate of the signer contains a username that matches to one of the variable resource name pattern (c.f. Section 5) specified in the configuration document and, additionally, the hashed Resource Name matches the Resource-ID. The Resource Name of the Kind to be stored MUST be taken from the mandatory ResourceNameExtension field in the corresponding Kind data structure. Otherwise, the value MUST be written if the ACL validation procedure described in Section 6.3 has been successfully applied.

# 7. ACL Kind Definition

This section defines the ACCESS-CONTROL-LIST Kind previously described in this document.

Name: ACCESS-CONTROL-LIST

**Kind IDs:** The Resource Name for ACCESS-CONTROL-LIST Kind-ID is the Resource Name of the Kind that will be shared by using the ACCESS-CONTROL-LIST Kind.

#### Data Model:

The data model for the ACCESS-CONTROL-LIST Kind-ID is array. The array indexes are formed by using the mechanism for isolated stored data as described in <u>Section 3.1</u>

Access Control: USER-CHAIN-ACL (see <u>Section 6.6</u>)

# 8. Security Considerations

In this section we discuss security issues that are relevant to the usage of shared resources in RELOAD.

#### 8.1. Resource Exhaustion

Joining a RELOAD overlay inherently poses a certain resource load on a peer, because it has to store and forward data for other peers. In common RELOAD semantics, each Resource ID and thus position in the overlay may only be written by a limited set of peers - often even only a single peer, which limits this burden. In the case of Shared Resources, a single resource may be written by multiple peers, who may even write an arbitrary number of entries (e.g., delegations in the ACL). This leads to an enhanced use of resources at individual overlay nodes. The problem of resource exhaustion can easily be mitigated for Usages based on the ShaRe-Usage by imposing restrictions on size, i.e., <max-size> element for a certain Kind in the configuration document.

# <u>8.2.</u> Malicious or Misbehaving Storing Peer

The RELOAD overlay is designed to operate despite the presence of a small set of misbehaving peers. This is not different for Shared Resources since a small set of malicious peers does not disrupt the functionality of the overlay in general, but may have implications for the peers needing to store or access information at the specific locations in the ID space controlled by a malicious peer. A storing peer could withhold stored data which results in a denial of service to the group using the specific resource. But it could not return forged data, since the validity of any stored data can be independently verified using the attached signatures.

#### 8.3. Privacy Issues

All data stored in the Shared Resource is publicly readable, thus applications requiring privacy need to encrypt the data. The ACL needs to be stored unencrypted, thus the list members of a group using a Shared Resource will always be publicly visible.

# 9. IANA Considerations

TODO: register Kind-ID code point at the IANA

# **10.** Acknowledgments

This work was stimulated by fruitful discussions in the P2PSIP working group and SAM research group. We would like to thank all active members for constructive thoughts and feedback. In particular, the authors would like to thank (in alphabetical order) Lothar Grimm, Cullen Jennings, Peter Musgrave, Joerg Ott, Marc Petit-Huguenin, Peter Pogrzeba, and Jan Seedorf. This work was party funded by the German Federal Ministry of Education and Research, projects HAMcast and Mindstone.

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# **Appendix A.** Change Log

The following changes have been made from version draft-knauf-p2psip-share-01:

- 1. Simplified the ACL data structure in response to WG feedback
- 2. Added ResourceNameExtension data structure to simplify the use of variable resource names
- 3. Restructured document
- 4. Many editorial improvements

The following changes have been made from version draft-knauf-p2psip-share-00:

- Integrated the USER-PATTERN-MATCH access policy into USER-CHAIN-ACL
- 2. Access Control List Kind uses USER-CHAIN-ACL exclusively
- 3. Resources to be shared use USER-CHAIN-ACL exclusively
- 4. More precise specification of mandatory User\_name and Resource\_name fields for Shared Resources
- 5. Added mechanism for isolating stored data to prevent race conditions while concurrent storing
- 6. XML Extension for variable resource names uses its own namespace
- 7. Many editorial improvements

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