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## Flow Aware Packet Sampling Techniques

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## Abstract

The demands on the networking infrastructure and thus the switch/router bandwidths are growing exponentially; the drivers are bandwidth hungry rich media applications, inter data center communications etc. Using sampling techniques, for a given sampling rate, the amount of samples that need to be processed is increasing exponentially. This draft suggests flow aware sampling techniques for handling various scenarios with minimal sampling overhead.

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## [1.](#) Introduction

Packet sampling techniques in switches and routers provide an effective mechanism for approximate detection of various types of flows -- long-lived large flows and other flows (which include long-lived small flows, short-lived small/large flows) with minimal packet replication bandwidth overhead. A large percentage of the packet samples comprise of long-lived large flows and a small percentage of

the packet samples comprise of other flows. The long-lived large flows aka top-talkers consume a large percentage of the bandwidth and small percentage of the flow space. The other flows, which are the typical cause of security threats like Denial of Service (DOS)

attacks, Scanning attacks etc., consume a small percentage of the bandwidth and a large percentage of the flow space. This draft explores light-weight techniques for automatically detecting the top-talkers in real-time with a high degree of accuracy and sampling only the other flows -- this makes security threat detection more effective with minimal sampling overhead.

### 1.1. Acronyms

DOS: Denial of Service

GRE: Generic Routing Encapsulation

MPLS: Multi Protocol Label Switching

NVGRE: Network Virtualization using Generic Routing Encapsulation

TCAM: Ternary Content Addressable Memory

STT: Stateless Transport Tunneling

VXLAN: Virtual Extensible LAN

### 1.2. Terminology

Large flow(s): long-lived large flow(s)

Small flow(s): long-lived small flow(s) and short-lived small/large flow(s)

## [2. Flow Aware Packet Sampling](#)

The steps in flow aware packet sampling are described below

### 1) Large Flow Recognition in switches and routers:

From a bandwidth and time duration perspective, in order to identify large flows in switches and routers, we define an

observation interval and observe the bandwidth of the flow over that interval. A flow that exceeds a certain minimum bandwidth threshold over that observation interval would be considered a large flow. For identifying large flows, use the techniques described in [Section 2.1](#). This helps in identifying the large flows aka top-talkers in real-time with a high degree of accuracy in switches and routers.

## 2) Large Flow Classification:

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The identified large flows can be broadly classified into 2 categories as detailed below.

- a. Well behaved (steady rate) large flows, e.g. video streams
- b. Bursty (fluctuating rate) large flows e.g. Peer-to-Peer traffic

The large flows can be sampled at a low rate for further analysis or need not be sampled. If desired, the large flows could be exported to a central entity, for e.g. Netflow Collector, for further analysis.

## 3) Small Flow Processing:

The small flows (excluding the large flows) can be sampled at a normal rate. The small flows can be examined for determining security threats like DOS attacks (for e.g. SYN floods), Scanning attacks etc. [[FDDOS](#), [PDSN](#), [ALDS](#)]

Thus, we can see that, security threat detection is possible with minimal sampling overhead.

For packet sampling, it is recommended to use PSAMP -- [[RFC 5474](#)], [[RFC 5475](#)], [[RFC 5476](#)], [[RFC 5477](#)].

### [2.1](#). Large Flow Recognition

#### [2.1.1](#). Flow Identification

A flow (large flow or small flow) can be defined as a sequence of packets for which ordered delivery should be maintained. Flows are typically identified using one or more fields from the packet header

from the following list:

- . Layer 2: source MAC address, destination MAC address, VLAN ID.
- . IP header: IP Protocol, IP source address, IP destination address, flow label (IPv6 only), TCP/UDP source port, TCP/UDP destination port.
- . MPLS Labels.

For tunneling protocols like GRE, VXLAN, NVGRE, STT, etc., flow identification is possible based on inner and/or outer headers. The above list is not exhaustive. The mechanisms described in this

document are agnostic to the fields that are used for flow identification.

#### [2.1.2.](#) Criteria for Identifying a Large Flow

From a bandwidth and time duration perspective, in order to identify large flows we define an observation interval and observe the bandwidth of the flow over that interval. A flow that exceeds a certain minimum bandwidth threshold over that observation interval would be considered a large flow.

The two parameters -- the observation interval, and the minimum bandwidth threshold over that observation interval -- should be programmable in a switch or a router to facilitate handling of different use cases and traffic characteristics. For example, a flow which is at or above 10 Mbps for a time period of at least 30 seconds could be declared a large flow.

An optional parameter is a policy specification (for e.g. identify flows only from a given IP source and/or destination address)

#### [2.1.3.](#) Automatic Recognition

Implementations can perform automatic recognition of large flows in a switch or a router -- it is an inline solution and would be expected to operate at line rate.

The advantages and disadvantages of automatic recognition are:

Advantages:

- . Accurate and performed in real-time.

Disadvantages:

- . Not supported in many switches and routers.

As mentioned earlier, the observation interval for determining a large flow and the bandwidth threshold for classifying a flow as a large flow should be programmable parameters in a switch or a router.

The implementation of automatic recognition of large flows is vendor dependent. A suggested technique is "Multistage Filters" in [[ESVA](#)].

#### [2.1.3.1](#). Applicability of suggested technique

The suggested technique for automatic recognition works well for standard applications generating large flows, for e.g. video content like movies and catch-up episodes, backup transactions etc. with a detection time of approximately 30-60 seconds. These detection times ensure that short-lived large flows, for e.g. HD video clips, are not unnecessarily recognized.

#### [2.1.3.2](#). Enhancements to suggested technique

If faster flow recognition times are desired (much shorter than 30s), the suggested technique may pose the following problem that the effective filtered flow size is phase-dependent: that is, relatively smaller constant-rate flows, for e.g. HD video clips, beginning early within a counting Bloom filter reset interval would be unnecessarily detected with the same probability as relatively larger flows beginning toward the interval.

[VRM] suggests techniques for addressing the above problem using rotating conservative counting Bloom filters with periodic decay.

#### [2.1.3.3](#). Handling Inactive Large Flows

Once a flow has been recognized as a large flow, it should continue to be recognized as a large flow as long as the traffic received during an observation interval exceeds some fraction of the bandwidth threshold, for example 80% of the bandwidth threshold. If the traffic received during an observation interval falls below a fraction of the bandwidth threshold, the large flow should be removed from the flow-table.

#### [2.1.4.](#) Simulation

Simulation results for flow aware packet sampling are presented in [Appendix A](#). The goal of the simulation is to demonstrate the effectiveness of flow aware packet sampling in a multi-tenant video streaming data center.

### [3.](#) Acknowledgements

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### [4.](#) IANA Considerations

This memo includes no request to IANA.

### [5.](#) Security Considerations

This document does not directly impact the security of the Internet infrastructure or its applications. In fact, it proposes techniques which could help in identifying a DOS attack pattern.

### [6.](#) Information/Data Model Considerations

In [Section 2](#), for exporting the identified large flows to an external entity, it is recommended to use IPFIX protocol [[RFC 5101](#)].

#### [6.1.](#) Information model for programmable parameters in switches and routers for automatic identification

observationInterval: The minimum time interval to observe a flow for performing further processing of the flow. Unit is in seconds.

bandwidthThreshold: The minimum bandwidth of the flow during the observation interval for declaring the flow a long-lived large flow. Unit is in Mbps.

removeFlowsReconfig: This parameter specifies if the existing long-lived large flows, which don't satisfy the long-lived large flow criteria on re-configuration, should be removed. Unit is a boolean (1/0). Default is 0 - in this case, old flows are purged by an aging process.

For example, a flow which is at or above 10 Mbps (bandwidthThreshold) for a time period of at least 30 seconds (observationInterval) could be declared a long-lived large flow. Suppose the bandwidth threshold were changed to 20Mbps. Specifying a value of 1 for removeFlowsReconfig would purge any long-lived large flows whose bandwidth is below 20Mbps.

## [7.](#) References

### [7.1.](#) Normative References

### [7.2.](#) Informative References

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[FDDOS] David Holmes, "The DDoS Threat Spectrum", F5 White paper 2012

[ESVA] C. Estan and G. Varghese, "New Directions in Traffic Measurement and Accounting", ACM SIGCOMM Internet Measurement Workshop 2001, San Francisco (CA) Nov. 2001.

## Appendix A: Simulation of Flow aware packet sampling

Goal:

Demonstrate the effectiveness of flow aware packet sampling in a practical use case, for e.g. multi-tenant video streaming in a data center.

Test Topology:

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Multiple virtual servers (server hosted on a virtual machine) connected to a virtual switch (vSwitch) which in turn connects to the data center network using a 10Gbps ethernet interface.

2 virtual servers are active.

First virtual server

- . Traffic types

- o HD MPEG-4 video streams (bit rate 10Mbps) - 100 - 1Gbps

- o SD MPEG-2 video streams (bit rate 4Mbps) - 300 - 1.2Gbps
- o Other traffic - 500Mbps (Video clips, DOS attacks (for e.g. SYN floods), Scanning attacks etc.)
- . Aggregate traffic - 2.7Gbps

Second virtual server

- . Traffic types
  - o HD MPEG-4 video streams (bit rate 10Mbps) - 50 - .5Gbps
  - o SD MPEG-2 video streams (bit rate 4Mbps) - 500 - 2.0Gbps
  - o Backup transaction - 100Mbps
  - o Other traffic - 500Mbps (Video clips, DOS attacks (for e.g. SYN floods), Scanning attacks etc.)
- . Aggregate traffic - 3.1Gbps

Total traffic on 2 servers - 5.8Gbps

Existing techniques:

Normal sampling rate - 1:1000

Total sampled traffic =  $5.8\text{Gbps}/1000 = 5.8\text{Mbps}$

Flow aware sampling technique:

Large flow recognition parameters

- . Observation interval for large flow - 60 seconds

- . Minimum bandwidth threshold over the observation interval - 2Mbps

Aggregate bit rate of large flows = 4.8Gbps

Aggregate bit rate of small flows = 1Gbps

Low sampling rate of large flows - 1:10000

Normal sampling rate of small flows - 1:1000

Total sampled traffic =  $4.8\text{Gbps}/10000 + 1\text{Gbps}/1000 = 1.48\text{Mbps}$

Percentage improvement in sampling (most of the samples are only small flows) =  $(5.8 - 1.48)/5.8 \approx 78\%$

The small flows can be examined in a central entity like Netflow Collector for determining security threats like DOS attacks, Scanning attacks etc. Thus, we can see that, security threat detection is possible with minimal sampling overhead.

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