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M. Kucherawy  
Cloudmark  
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Using DKIM With Mailing Lists  
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## Abstract

DomainKeys Identified Mail (DKIM) allows an administrative mail domain (ADMD) to assume some responsibility for a message. As the industry has now gained some deployment experience, the goal for this document is to explore the use of DKIM for scenarios that include intermediaries, such as Mailing List Managers (MLMs).

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DKIM and Mailing Lists

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## 1. Introduction

[DKIM] allows an Administrative Mail Domain to take some responsibility for a [\[MAIL\]](#) message. This can be an author's organization, an operational relay (Mail Transfer Agent, or MTA) or one of their agents. Assertion of responsibility is made through a cryptographic signature. Message transit from author to recipient is through relays that typically make no substantive change to the message content and thus preserve the DKIM signature.

In contrast to relays, there are intermediaries, such as mailing list managers (MLMs), that actively take delivery of messages, re-format them, and re-post them, almost always invalidating DKIM signatures. The goal for this document is to explore the use of DKIM for scenarios that include intermediaries. Questions that will be discussed include:

- o When should an author, or its organization, use DKIM for mail sent to mailing lists?
- o What are the tradeoffs regarding having an MLM verify and use DKIM identifiers?
- o What are the tradeoffs regarding having a mailing list remove existing DKIM signatures prior to re-posting the message?
- o What are the tradeoffs regarding having a mailing list add its own DKIM signature?

These and others are open questions for which there may be no definitive answers. However, based on experience since the publication of [\[DKIM\]](#) and its gradual deployment, there are some useful views worth considering.

This document explores changes to common practice by the signers, the verifiers and the mailing list managers (MLMs).

## 1.1. Background

DKIM signatures permit an agent of the email architecture (see [[EMAIL-ARCH](#)]) to make a claim of responsibility for a message by affixing a domain-level digital signature to the message as it passes through a gateway. Although not the only possibility, this is most commonly done as a message passes through a Mail Transport Agent (MTA) as it departs an Administrative Mail Domain (ADMD) toward the general Internet.

DKIM signatures will fail to verify if a portion of the message

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covered by one of its hashes is altered. MLMs commonly alter messages to provide information specific to the mailing list for which it is providing service. Common modifications include:

- o Prefix the Subject: header field with a short string for easy sorting by receivers' Mail User Agents (MUAs) or other filtering software;
- o Prepend or append list management information to the message's body, such as some text and/or a URL to which subscribers can go to make administrative changes to their subscriptions;
- o Add header fields such as Reply-To:, Sender:, Resent-Sender: ([[MAIL](#)]), List-Id: ([[LIST-ID](#)]) or List-Unsubscribe: ([[LIST-URLS](#)]). In some cases, such header fields are replaced if the original message already contained them.

The DKIM specification documents deliberately refrain from the notion of tying the signing domain (the "d=" tag in a DKIM signature) to any identifier within a message; any ADMD could sign any message regardless of its origin or author domain. As such, there is no specification of any additional value if the content of the "d=" tag in the DKIM signature and the value of (for example) the From header field match, nor is there any obvious degraded value to a signature where they do not match. Since any DKIM signature is merely an assertion of "some" responsibility by an ADMD, a DKIM signature added by an MLM has no more, or less, meaning as a signature with any other "d=" value.

## [1.2.](#) MLMs In Infrastructure

The previous section describes some of the things MLMs commonly do that are not DKIM-friendly, producing broken signatures and thus reducing the perceived value of DKIM.

Further, despite the advent of standards that are specific to MLM behaviour (e.g. [\[MAIL\]](#), [\[LIST-ID\]](#) and [\[LIST-URLS\]](#)), their adoption has been spotty at best. Hence, efforts to specify the use of DKIM in the context of MLMs needs to be incremental and value-based.

MLM behaviors are well-established and standards compliant. Thus, the best approach is to provide these best practices to all parties involved, imposing the minimum requirements possible to MLMs themselves.

An MLM is an autonomous agent that takes delivery of a message delivered to it and can re-post it as a new message (or construct a digest of it along with other messages) to the members of the list

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(see [\[EMAIL-ARCH\]](#), Section 5.3). However, the fact that the From field of such a message is typically the same as for the original message and that recipients perceive the message as "from" the original author rather than the MLM creates confusion about responsibility and autonomy for the re-posted message. This has important implications for use of DKIM.

A DKIM signature on a message is an expression of some responsibility for the message taken by the signing domain. An open question, one this document intends to address, is some idea of how such a signature might be applied by an recipient's evaluation module after the message has gone through a mailing list, and may or may not have been invalidated, and if so, where the invalidation may have happened.

## [1.3.](#) Feedback Loops And Other Bi-Lateral Agreements

A Feedback Loop (FBL) is a bi-lateral agreement between two parties to exchange reports of abuse. Typically, a bulk mail sender registers with an email receiving site to receive abuse reports from that site for mail coming from the sender.

An FBL reporting address is part of this bi-lateral registration. Some FBLs require DKIM use by the registrant. Messages signed and sent by a registrant through an MLM can therefore result in having abuse reports sent to the original author when the actual problem pertains to the operation of the MLM. However, the original author has no involvement in operation of the MLM, meaning the FBL report is not actionable and thus undesirable.

#### [1.4.](#) Document Scope and Goals

This document provides discussion on the above issues, to improve the handling of possible interactions between DKIM and MLMs. An attempt has been made to prefer imposing changes to behaviour at the signer and verifier rather than at the MLM.

Wherever possible, MLMs will be conceptually decoupled from MTAs despite the very tight integration that is sometimes observed in implementation. This is done to emphasize the functional independence of MLM services and responsibilities from those of an MTA.

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## [2.](#) Definitions

### [2.1.](#) Other Terms

See [[EMAIL-ARCH](#)] for a general description of the current messaging architecture, and for definitions of various terms used in this document.

### [2.2.](#) DKIM-Specific References

Readers are encouraged to become familiar with [[DKIM](#)] and [[ADSP](#)] which are standards-track protocol documents as well as [[DKIM-OVERVIEW](#)] and [[DKIM-DEPLOYMENT](#)] which are DKIM's primary tutorial documents.

### [2.3.](#) Feedback Loop References

FBLs tend to use the ARF ([\[I-D.DRAFT-IETF-MARF-BASE\]](#)) or the IODEF ([\[IODEF\]](#)) format.

### [2.4.](#) Message Streams

This document makes reference to the concept of "message streams". The idea is to identify groups of messages originating from within an ADMD that are distinct in intent, origin and/or use, and partition them somehow (most commonly via DNS subdomains, and the "d=" tag value in the context of DKIM) so as to keep them associated to users yet operationally distinct.

A good example might be user mail, generated by a company's employees, versus operational or transactional mail that comes from automated sources, versus marketing or sales campaigns; each of these could have different security policies imposed against them, or there might be a desire to insulate one from the other (e.g., a marketing campaign that gets reported by many spam filters could cause the marketing stream's reputation to degrade without automatically punishing the transactional or user streams).

## [3.](#) Mailing Lists and DKIM

It is important to make some distinctions among different MLM-like agents, their typical implementations, and the impacts they have in a DKIM-aware environment.

### [3.1.](#) Roles and Realities

In DKIM parlance, there are several key roles in the transit of a message:

author: The agent that actually constructed the message being sent through the system, and performed the initial submission. This can be a human using an MUA or a common system utility such as "cron", etc.

originator: The agent that accepts a message from the author, ensures it conforms to the relevant standards such as [\[MAIL\]](#), and then relays it toward its destination(s). This is often referred to as the Mail Submission Agent (MSA).

signer: The agent that affixes one or more DKIM signature(s) to a message on its way toward its ultimate destination. It is typically running at the MTA that sits between the author's ADMD and the general Internet. The signer and the sender may also be the same agent.

verifier: The agent that conducts DKIM signature analysis. It is typically running at the MTA that sits between the receiver's ADMD and the general Internet. Note that any agent that handles a signed message could conduct verification; this document only considers that action and its outcomes either at an MLM or at the receiver.

receiver: The agent that is the final transit relay for the message prior to being delivered to the recipient(s) of the message.

In the case of simple user-to-user mail, these roles are fairly straightforward. However, when one is sending mail to a list, which then gets relayed to all of that list's subscribers, the roles are often less clear to the general user, as particular agents may hold multiple important but separable roles. The above definitions are intended to enable more precise discussion of the mechanisms involved.



There are four common MLM implementation modes:

aliasing: An aliasing MLM (see Section 5.1 of [\[EMAIL-ARCH\]](#)) is one that makes no changes to a message as it redistributes; any modifications are constrained to changes to the [\[SMTP\]](#) envelope recipient list (RCPT commands) only. There are no changes to the message body at all and only [\[MAIL\]](#) trace header fields are added. The output of such an MLM is considered to be a continuation of the author's original message. An example of such an MLM is a address that expands directly in the MTA, such as a list of local system administrators used for relaying operational or other internal-only messages.

resending: A resending MLM (see Sections [5.2](#) and [5.3](#) of [\[EMAIL-ARCH\]](#)) is one that may make changes to a message. The output of such an MLM is considered to be a new message; delivery of the original has been completed prior to distribution of the re-posted message. Such messages are often re-formatted, such as with list-specific header fields or other properties, to facilitate discussion among list subscribers.

authoring: An authoring MLM is one that creates the content being sent as well as initiating its transport, rather than basing it on one or more messages received earlier. This is a special case of the MLM paradigm, one which generates its own content and does not act as an intermediary. Typically replies are not generated, or if they are, they go to a specific recipient and not back to the list's full set of recipients. Examples include newsletters and bulk marketing mail.

digesting: A special case of the re-posting MLM is one that sends a single message comprising an aggregation of recent MLM submissions, which might be a message of [\[MIME\]](#) type "multipart/digest" (see [\[MIME-TYPES\]](#)). This is obviously a new message but it may contain a sequence of original messages that may themselves have been DKIM-signed.

The remainder of this document operates on the presumption that a message going through a re-posting MLM actually comprises two message transactions:

1. Originating user to MLM: Originating user is author; originating ADMD is signer; MLM's ADMD is verifier; MLM's input function is receiver.

2. MLM to receivers: MLM (sending its reconstructed copy of the originating user's message) is author; MLM's ADMD is signer; the ADMD of each subscriber of the list is a verifier; each subscriber is a receiver.

Much of this document focuses on the resending MLM as it has the most direct conflict operationally with DKIM.

### [3.3](#). Current MLM Effects On Signatures

As described above, an aliasing MLM does not affect any existing signature, and an authoring MLM is always new content and thus there is never an existing signature. However, the changes a resending MLM can make typically affect the Subject: header field, addition of some list-specific header fields, or the addition of some list-specific text to the top or bottom of the message body. The impacts of each of these on DKIM verification are discussed below.

Subject tags: Altering the Subject: header field will invalidate the signer's signature if that header field was covered by a hash of that signature. [\[DKIM\]](#) lists Subject as one that should be covered, so this is expected to be an issue for any list that makes such changes.

List-specific header fields: Some lists will add header fields specific to list administrative functions such as those defined in [\[LIST-ID\]](#) and [\[LIST-URLS\]](#), or the "Resent-" fields defined in [\[MAIL\]](#). It is unlikely that a typical MUA would include such fields in an original message, and DKIM is resilient to the addition of header fields in general (though see notes about the "h=" tag in Section 3.5 of [\[DKIM\]](#)). Therefore this is seen as less of a concern.

Other header fields: Some lists will add or replace header fields such as "Reply-To" or "Sender" in order to establish that the message is being sent in the context of the mailing list, so that the list is identified ("Sender") and any user replies go to the list ("Reply-To"). If these fields were included in the original message, it is possible that one or more of them may have been signed, and this could cause a concern for MLMs that add or replace them.

Body changes: Some lists prepend or append a few lines to each message to remind subscribers of an administrative URL for subscription issues, or of list policy, etc. Changes to the body will alter the body hash computed at the DKIM verifier, so these

pose an immediate problem.

### [3.4.](#) Alternatives of Participation and Conformance

As DKIM becomes more entrenched, it is highly desirable that MLM software adopt more DKIM-friendly processing.

Changes that merely add new header fields, such as those specified by [[LIST-ID](#)], [[LIST-URLS](#)] and [[MAIL](#)] are generally the most friendly to a DKIM-participating email infrastructure in that their addition by an MLM will not affect any existing DKIM signatures unless those fields were already present and covered by a signature's hash or a signature was created specifically to disallow their addition (see the note about "h=" in Section 3.5 of [[DKIM](#)]). The shortest path to success for DKIM would be to mandate that all MLM software be re-designed or re-configured with that goal in mind.

However, the practice of applying headers and footers to message bodies is common and not expected to fade regardless of what documents this or any standards body might produce. This sort of change will invalidate the signature on a message where the body hash covers the entire message. Thus, the following sections also investigate and recommend other processing alternatives.

A possible mitigation to this incompatibility is use of the "l=" tag to bound the portion of the body covered by the body hash, but this has security considerations (see Section 3.5 of [[DKIM](#)]).

#### [4.](#) Non-Participating MLMs

This section contains a discussion of issues regarding sending DKIM-signed mail to or through an MLM that is not DKIM-aware. Specifically, the header fields introduced by [\[DKIM\]](#) and [\[AUTH-RESULTS\]](#) carry no special meaning to such an MLM.

##### [4.1.](#) Author-Related Signing

If an author knows that the MLM to which a message is being sent is a non-participating resending MLM, the author is advised to be cautious when deciding whether or not to sign the message. The MLM could make a change that would invalidate the author's signature but not remove it prior to re-distribution.

Hence list recipients would receive a message message purportedly from the author but bearing a DKIM signature that would not verify. This problem would be compounded further if there were receivers that applied signing policies ([\[ADSP\]](#)) and the author published any kind of strict policy.

If this is cause for concern, the originating site can consider using a sub-domain for the "personal" mail that is different from domain(s) used for other mail streams, so that they develop independent reputations, and more stringent policies (including ADSP) can be applied to the mail stream(s) that do not go through mailing lists.

There is also a concern if the author's domain posts a restrictive ADSP policy such as "discardable" and no author signature is applied at all. Such a posting obviously violates the published policy and the message is subject to rejection by any receiver that applies ADSP.

However, all of this presupposes a level of infrastructure understanding that is not expected to be common. Thus, it will be incumbent upon site administrators to consider how support of users wishing to participate in mailing lists might be accomplished as DKIM achieves wider adoption. A common suggestion is to establish subdomains in the DNS that are used for separating different streams of mail from within an ADMD, such as user-created "direct" mail from transactional or automated mail; some of these may be signed and some not, some with published ADSP records, some not. In general, the more strict practices and policies are likely to be successful only for the mail streams subject to the most end-to-end control by the originating organization. That typically excludes mail going through MLMs.

#### [4.2.](#) Verification Outcomes at Receivers

Verifiers that receive mail bearing DKIM signatures that fail to verify might benefit from attempting to detect that such mail passed through a non-participating MLM and then decide not to apply [\[ADSP\]](#) in order to avoid aggressive filtering of mail that should otherwise have been delivered.

Unfortunately, there may not be a reliable way of making such determinations, as there is no uniform MLM behaviour, and any tagging mechanism meant to relay such information could easily be abused.

Note that the underlying problem is the operational choice to use ADSP in a message stream that does not maintain the signature.

#### [4.3.](#) Handling Choices at Receivers

A receiver's ADMD would have to have some way to register such non-participating lists to exempt them from the filtering described in [Section 4.1](#). This is, however, probably not a scalable solution as it imposes a burden on the receiver that is predicated on sender behaviour.

Note that the [\[DKIM\]](#) specification explicitly directs verifiers to treat a verification failure as though the message were not signed in

the first place. In the absence of specific ADSP direction, any treatment of a verification failure as having special meaning is either outside the scope of DKIM or is in violation of it.

[ADSP] presents an additional challenge. Per that specification, when a message is unsigned or the signature can no longer be verified, the verifier must discard the message. There is no exception in the policy for a message that may have been altered by an MLM. Verifiers are thus advised to honor the policy and disallow the message. Furthermore, authors whose ADSP is published as "discardable" are advised not to send mail to MLMs as it is likely to be rejected by ADSP-aware recipients. (This is discussed further in [Section 5.3](#) below.)

## [5.](#) Participating MLMs

This section contains a discussion of issues regarding sending DKIM-signed mail to or through an MLM that is DKIM-aware, and may also be ADSP-aware.

### [5.1.](#) Author-Related Signing

MLMs typically attempt to authenticate messages posted through them. They usually do this through the trivial (and insecure) means of verifying the From field email address against a list registry. DKIM enables a stronger form of authentication, although this is not yet formally documented: It can require that messages using a given From address also have a DKIM signature with a corresponding "d=" domain. (Note, however, that it is entirely reasonable for an MLM to permit registration of some other "d=" domain as valid evidence of such authentication.) This feature would be somewhat similar to using ADSP, except that the requirement for it would be imposed by the MLM

and not the author's organization.

An important consideration is that authors rarely have any direct influence over the management of an MLM. As such, a signed message from an author will in essence go to a set of unexpected places. Authors may be well-advised to create a DNS domain specifically used for generating signatures when sending traffic to MLMs. This becomes important as domain-based reputation systems begin to appear as components of mail filtering modules.

## [5.2.](#) Verification Outcomes at MLMs

As described above, the MLM may conduct DKIM verification of a signed message to attempt to confirm the identity of the author. Although it is a common and intuitive conclusion, however, not all signed mail will include an author signature (see [[ADSP](#)]). MLM implementors are advised to accomodate such in their configurations. For example, an MLM might be designed to accomodate a list of possible signing domains (the "d=" portion of a DKIM signature) for a given author, and determine at verification time if any of those are present.

A message that cannot be thus authenticated could be held for moderation or rejected outright.

This logic could apply to any list operation, not just list submission. In particular, this improved authentication could apply to subscription, unsubscription, and/or changes to subscriber options that are sent via email rather than through an authenticated, interactive channel such as the web.

In the case of verification of signatures on subscriptions, MLMs are advised to add an [[AUTH-RESULTS](#)] header field to indicate the signature(s) observed on the submission as it arrived at the MLM and what the outcome of the evaluation was.

## [5.3.](#) Pros and Cons of Signature Removal

If the MLM is configured to make changes to the message prior to re-posting that would invalidate the original signature(s), further action is recommended to prevent invalidated signatures from arriving at final recipients, possibly triggering unwarranted filter actions.

A possible solution would be to:

1. Attempt verification of all DKIM signatures present on the message;
2. Apply local policy to authenticate the identity of the author;
3. Add an [\[AUTH-RESULTS\]](#) header field to the message to indicate the results of the above;
4. Remove all previously-evaluated DKIM signatures;
5. Affix a new signature that covers the Authentication-Results header field just added.

Removing the original signature(s) seems particularly appropriate when the MLM knows it is likely to invalidate any or all of them due to the nature of the reformatting it will do. This avoids false negatives at the list's subscribers in their roles as receivers of the message.

However, per the discussion in [\[AUTH-RESULTS\]](#), there is no a priori reason for the final receivers to put any faith in the veracity of that header field when added by the MLM. Thus, the final recipients of the message have no way to verify on their own the authenticity of the author's identity on that message.

Since an aliasing MLM makes no substantive changes to a message, it need not consider the issue of signature removal as the original signatures should arrive at least to the next MTA unmodified.

An authoring MLM is closed to outside submitters, thus much of this discussion does not apply in that case.

[ADSP] presents a particular challenge. An author domain posting a policy of "discardable" imposes a very tight restriction on the use of mailing lists, essentially constraining that domain's users to

lists operated by aliasing MLMs only; any MLM that alters a message from such a domain or removes its signature subjects the message to severe action by receivers. A resending MLM is advised to reject outright any mail from an author whose domain posts such a policy as



it is likely to be rejected by any ADSP-aware recipients.

#### [5.4.](#) MLM Signatures

DKIM-aware resending MLMs and authoring MLMs are encouraged to affix their own signatures when distributing messages. Such MLMs are advised to ensure the signature's header hash will cover:

- o Any [\[AUTH-RESULTS\]](#) fields added by the MLM;
- o Any [\[LIST-ID\]](#) or [\[LIST-URLS\]](#) fields added by the MLM;
- o Any [\[MAIL\]](#) fields, especially Sender and Reply-To, added or replaced by the MLM.

A DKIM-aware resending MLM is encouraged to sign the entire message as it arrived, especially including the original signatures.

DKIM-aware authoring MLMs are advised to sign the mail they send according to the regular signing guidelines given in [\[DKIM\]](#).

#### [5.5.](#) Verification Outcomes at Final Receiving Sites

In general, verifiers and receivers can treat a signed message from an MLM like any other signed message; indeed, it would be difficult to discern any difference.

However, because the author domain will commonly be different from the MLM's signing domain, there may be a conflict with [\[ADSP\]](#) as discussed in [Section 4.3](#) and [Section 5.3](#).

#### [5.6.](#) Handling Choices at Receivers

A recipient that trusts signatures from an MLM may wish to extend that trust to an [\[AUTH-RESULTS\]](#) header field signed by that MLM. The recipient may then do additional processing of the message, using the results recorded in the Authentication-Results header field instead of the original author's DKIM signature. This includes possibly processing the message as per ADSP requirements.

Receivers are advised to ignore all unsigned Authentication-Results header fields.

## [6.](#) IANA Considerations

This document includes no IANA actions.

## [7.](#) Security Considerations

This document provides suggested or best current practices for use with DKIM, and as such does not introduce any new technologies for consideration. However, the following security issues should be considered when implementing the above practices.

### [7.1.](#) Authentication Results When Relaying

some stuff about the fact that the MLM's auth-results can't be trusted by default

## [8.](#) References

### [8.1.](#) Normative References

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[Appendix A](#). Acknowledgements

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[Appendix B](#). Examples

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Author's Address

Murray S. Kucherawy  
Cloudmark  
128 King St., 2nd Floor



San Francisco, CA 94107  
US

Phone: +1 415 946 3800  
Email: [msk@cloudmark.com](mailto:msk@cloudmark.com)