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**Automating DNSSEC delegation trust maintenance
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Abstract

This document describes a method to allow DNS operators to more easily update DNSSEC Key Signing Keys using DNS as communication channel. This document does not address the initial configuration of trust anchors for a domain. The technique described is aimed at delegations in which it is currently hard to move information from the child to parent.

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[1. Introduction](#)

When a DNS operator first signs their zone, they need to communicate their DS record(s) (or DNSKEY(s)) to their parent through some out-of-band method to complete the chain of trust.

Each time the child changes/rolls the key that is represented in the parent, the new and/or deleted key information has to be communicated to the parent and published there. How this information is sent to the parent depends on the relationship the child has with the parent.

In many cases this is a manual process, and not an easy one. For each key roll, there may be two interactions with the parent. Any manual process is susceptible to mistakes and/or errors. In addition, due to the annoyance factor of the process, operators may avoid performing key rollovers or skip needed steps to publish the new DS at the parent.

DNSSEC provides data integrity to information published in DNS; thus DNS publication can be used to automate maintenance of delegation information. This document describes a method to automate publication of subsequent DS records, after the initial one has been published.

Readers are expected to be familiar with DNSSEC, including [[RFC4033](#)], [[RFC4034](#)], [[RFC4035](#)], [[RFC5011](#)] and [[RFC6781](#)].

This document is a compilation of two earlier drafts: [draft-barwood-dnsop-ds-publish](#)[[I-D.ds-publish](#)] and [draft-wkumari-dnsop-ezkeyroll](#)

This document outlines a technique in which the parent periodically (or upon request) polls its signed children and automatically publish new DS records. To a large extent, the procedures this document follows are as described in [[RFC6781](#)] [section 4.1.2](#)

This technique is in some ways similar to [RFC 5011](#) style rollovers, but for sub-domains DS records, instead of trust anchors

This technique is designed to be friendly both to fully automated tools and humans. Fully automated tools can perform all the actions needed without human intervention, and thus can monitor when it is safe to move to the next step.

CTA is only appropriate for transferring information about DNSSEC keys (DS and DNSKEY) from the child to the parental agent. It lists exactly what the parent should publish, and allows for publication of stand-by keys. There is a complementary solution [[I-D.csync](#)] for maintaining the other important delegation information, such as NS and glue.

[1.1](#). Terminology

There terminology we use is defined in this section

Highlighted roles

- o Child: "The entity on record that has the delegation of the domain from the parent"

- o Parent: "The domain in which the child is registered"
- o Child DNS operator: "The entity that maintains and publishes the zone information for the child DNS"
- o Parent DNS operator: "The entity that maintains and publishes the zone information for the parent DNS"
- o Parental Agent: "The entity that the child has relationship with, to change its delegation information."
- o Provisioning system: "A system that the operator of the master DNS server operates to maintain the information published in the DNS. This includes the systems that sign the DNS data."

RRR is our shorthand for Registry/Registrar/Registrant model of parent child relationship see [Appendix A](#) for more.

1.2. Requirements notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

2. Background

2.1. DNS delegations

DNS operation consists of delegations of authority. For each delegation there are (most of the time) two parties the parent and child.

In DNS, the parent publishes information about the delegations to the child; for the name-servers it publishes an NS RRset that lists a hint for name-servers that are authoritative for the child. The child also publishes a NS RRset, and this set is the authoritative list of name-servers to the child zone.

The second RRset the parent sometimes publishes is the DS set. The DS RRset provides information about the key(s) that the child has told the parent it will use to sign its DNSKEY RRset. In DNSSEC trust relationship between zones is provided by the following chain:

parent DNSKEY --> DS --> child DNSKEY.

A prior proposal [[I-D.auto-cpsync](#)] suggested that the child send an "update" to the parent via a mechanism similar to Dynamic Update. The main issue became: How does the child find the actual parental

agent/server to send the update to? While that could have been solved via technical means, the proposal died.

As the DS record can only be present at the parent [RFC4034](#) [[RFC4034](#)], some other record/method is needed to automate the expression of what the parental zone DS records contents ought to be. One possibility is to use flags in the DNSKEY record. If the SEP bit is set, this indicates that the DNSKEY is intended for use as a secure entry point. This DNSKEY signs the DNSKEY RRset, and the Parental Agent can calculate DS records based on that. But this fails to meet some operating needs, including the child having no influence what DS digest algorithms are used and DS records can only be published for keys that are in the DNSKEY RRset.

2.2. Relationship between Parent and Child DNS operator

In the real world, there are many different relationships between the parent and child DNS operators. The type of relationship affects how the child operator communicates with the parent. This section will highlight some of the different situations, but is by no means a complete list.

Different communication paths:

- o Direct/API: The child can change the delegation information via automated/scripted means EPP[RFC5730] used by many TLDs is an example of this. Another example is the web service's programmatic interfaces that Registrars make available to their Reseller's.
- o User Interface: The Child uses a (web) site set up by the Parental Agent for updating delegation information.
- o Indirect: The communication has to be transmitted via out-of-band between two parties, such as email, telephone etc.. This is common when the Child's DNS operator is neither the child itself nor the Registrar for the domain but a third party.
- o Multi-step Combinations: The information flows through an intermediary. It is possible, but unlikely, that all the steps are automated via API's and there are no humans involved.

A domain name holder (Child) may operate its own DNS servers or outsource the operation. While we use the word parent as a singular, parent can consist of single entity or a composite of many discrete parts that have rules and roles. We refer to the entity that the child corresponds with as the Parent.

Another common case is the enterprise case in which an organization may delegate parts of its name-space to be operated by a group that is not the same as that which operates the enterprise's DNS servers. In this case the flow of information is frequently handled in either an ad hoc manner or via some corporate mechanism; this can range from email to fully-automated operation. The word enterprise above covers all organizations where the domains are not sold on the open market and there is some relationship between the entities.

2.2.1. Solution Space

This document is aimed at the cases in which there is an organizational separation of the child and parent.

A further complication is when the Child DNS Operation is not the Child. There are two common cases of this,

- a) The Parental Agent (e.g. registrar) handles the DNS operation
- b) A third party takes care of the DNS operation.

If the Parental Agent is the DNS operator, life is much easier, as the Parental Agent can inject any delegation changes directly into the Parents Provisioning system. The techniques described below are not needed in the case when Parental Agent is the DNS operator.

In the case of a third party DNS operator, the Child either needs to relay changes in DNS delegation or give the Child Operator access to its delegation/registration account.

Some parents want the child to express the changes in trust anchors via DS records, while others want to receive DNSKEY records and calculate the DS records themselves. There is no consensus on which method is better; both have good reasons to exist. The proposal below can operate with both models, but the child needs to be aware of the parental policies.

2.2.2. DNSSEC key change process

After a Child DNS operator first signs the zone, there is a need to interact with the Parent, for example via the delegation account interface, to "upload / paste-in the zone's DS information". The action of logging in through the delegation account user interface authenticates that the user is authorized to change delegation information published in the parent zone. In the case where "Child DNS Operator" does not have access to the registration account, the Child needs to perform the action.

At a later date, the Child Operator may want to publish a new DS record in the parent, either because they are rolling keys, or because they want to publish a stand-by key. This involves performing the same process as before. Furthermore when this is a manual process with cut and paste; operational mistakes will happen. Or worse the update action is not performed at all.

3. CTA (Child Trust Anchor) record definition

This document specifies a new DNS RRtype CTA that indicates what the Child wants to be in the parents DS RRset. It allows the child to present DS records or DNSKEY records (for those parents who would rather generate the DS records for their children).

The CTA record is published in the child zone and gives the child control of what is published for it in the parental zone. The CTA RRset expresses what the child would like the DS RRset to look like after the change; it is a "replace" operation, and it is up to the consumer of the records to translate that into the appropriate add/delete operations in the registration systems (and to generate the DS from the DNSKEY, if needed).

The IANA allocated RR code 59 for an earlier version of this draft / idea (the CDS record via expert review [[I-D.ds-publish](#)]). [Ed: We would like to continue to use this RR code (after review).]

3.1. CTA Resource Record Format

[RFC Editor: Please remove this paragraph before publication] This document used to specify a CDS record that contains *DS* records. After discussions with a number of folk we are changing this to CTA. The CTA RR allows publication of DS records or DNSKEY records, for those registries who prefer to calculate the DS for their children.

No special processing is performed by authoritative servers or by revolvers, when serving or resolving. For all practical purposes CTA is a regular RR type.

3.1.1. CTA Wire Format

The CTA DNS resource record (RR) consists of a one-octet Selector field and a variable length Key Data field.

```

      1 1 1 1 1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 3 3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|   Selector   |
+---+---+---+---+---+

```



```

/                               Key Data                               /
/                               /
/                               /
+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+

```

The selector field specifies how the Key Data field should be interpreted.

If the Selector field contains the value 0 then the Key Data field contains a DS record [[RFC4034](#)] in wire format.

If the Selector field contains any other value then the Key Data field contains a DNSKEY record in wire format. This allows the child to present a DNSKEY and have the parent calculate the DS for it (as required by some registries). The selector field indicates to the parent which hash algorithm (from the IANA "Delegation Signer (DS) Resource Record (RR) Type Digest Algorithms" registry) the child would like the parent to use when calculating the DS record. The child is responsible for knowing which digest algorithms are acceptable to the parent.

[Editor: Question: I have written this to allow the child to indicate what algorithm it would like the parent to use when generating DS from DNSKEY. This is to address cases where the child only supports e.g algorithms 1, 2, 3 but not 4 (SHA-384). If the parent generates a SHA-384 DS, the child will have no way of verifying that the associated DS has been published. If folk would prefer, can easily change this to be "selector 0 is DS, selector 1 is DNSKEY", and the child must just support whatever the parent needs. Which would the WG prefer?]

3.1.2. CTA Presentation Format

The presentation format of the RDATA portion (as defined in [[RFC1035](#)]) is as follows:

- o The selector field should be represented as an 8-bit unsigned integer.
- o The key data field representation depends upon what the selector specifies is in the key data field. If it is a DS record, the key data field should be presented as a DS record (as specified in [[RFC3658](#)]). If the selector specifies that the key data field contains a DNSKEY RR, it should be presented as a DNSKEY RR (as specified in [[RFC4034](#)])

4. Automating DS maintenance with CTA records

CTA records are intended to be "consumed" by delegation trust maintainers. The use of CTA is optional.

4.1. CTA processing rules

Absence of CTA in child signals "No change" to the current DS set. Following acceptance rules are placed on the CTA record as follows:

- o Location: "the CTA record MUST be at the child zone apex". Q: is "_cta.example. a better location for .example?"
- o Signer: "MUST be signed with a key that is represented in both the current DNSKEY and DS RRset's."
- o Continuity: "SHOULD not break the current delegation if applied to DS RRset"
- o If the child has presented a DNSKEY record, the digest algorithm MUST be one acceptable to the parent.

If any these conditions fail the CTA record MUST be ignored.

5. Child's CTA Publication

Child DNS Operator SHOULD only publish a CTA RRset when it wants to make a change to the DS RRset in the Parent. The CTA RRset SHOULD be compliant with the rules in [Section 4.1](#). When the Parent DS is "in-sync" with the CTA, the Child DNS Operator SHOULD/MUST delete the CTA RRset. Note that if the child has published a DNSKEY RR in the CTA, it will have to calculate the DS (using the requested digest algorithm) to do the comparison.

6. Parent side CTA Consumption

The CTA RRset MAY be used by the Parental Agent to update the DS RRset in the parent zone. The Parental Agent for this uses a tool that understands the CTA signing rules from [Section 4.1](#) so it may not be able to use a standard validator. Parent SHOULD treat the Continuity rule as "MUST". If the parent discovers multiple CTA records it should first calculate the DS records from any CTA records that contain DNSKEY records, and then remove duplicates from the set.

6.1. Detecting a changed CTA

How the Parental Agent gets the CTA record may differ, below are two examples as how this can take place.

Polling The Parental Agent operates a tool that periodically checks each of the children that has a DS record to see if there is a CTA record.

Pushing The delegation user interface has a button {Fetch DS} when pushed preforms the CTA processing. If the Parent zone does not contain DS for this delegation then the "push" MUST be ignored.

In either case the Parental Agent MAY apply additional rules that defer the acceptance of a CTA change, these rules may include a condition that the CTA remains in place and valid for some time period before it is accepted. It may be appropriate in the "Pushing" case to assume that the Child is ready and thus accept changes without delay.

6.1.1. CTA Polling

This is the only defined use of CTA in this document. There are limits to the saleability of polling techniques, thus some other mechanism is likely to be specified later that addresses CTA usage in the situation where polling does not scale to. Having said that Polling will work in many important cases like enterprises, universities, small TLDs etc. In many regulatory environments the registry is prohibited from talking to the registrant. In most these cases the registrant has a business relationship with the registrar, and so the registrar can offer this as a service.

If the CTA RRset does not exist, the Parental Agent MUST take no action. Specifically it MUST NOT delete or alter the existing DS RRset.

6.1.2. Other mechanisms

It is assume that other mechanisms will be implemented to trigger the parent to look for an updated CTA. As the CTA RR is validated with DNSSEC, these mechanisms can be unauthenticated (for example, a child could call his parent and request the CTA action be performed, an unauthenticated POST could be made to a webserver (with rate-limiting), etc.)

Other documents can specify the trigger conditions.

6.2. Usign the new CTA

Regardless of how the Parental Agent detected changes to a CTA RR, the Parental Agent **MUST** use a DNSSEC validator to obtain a validated CTA RRset from the Child zone. It would be a good idea if the Parental Agent checked all NS RRs listed at the delegation. However, due to the use of technologies such as load balancing and anycast, this should not be taken as proof that the new CTA is present on all nodes serving the Child zone.

The Parental Agent **MUST** ensure that old versions of the CTA RRset do not overwrite newer versions. This **MAY** be accomplished by checking that the signature inception in the RRSIG for CTA is newer and/or the serial number on the child's SOA is greater. This may require the Parental Agent to maintain some state information.

The Parental Agent **MAY** take extra security measures. For example, to mitigate the possibility that a Child's key signing key has been compromised, the Parental Agent may, for example, inform (by email or other methods) the Child DNS operator of the change. However the precise out-of-band measures that a parent zone **SHOULD** take are outside the scope of this document.

Once the Parental Agent has obtained a valid CTA it **MAY** double check the publication rules from [section 4.1](#). In particular the Parental Agent **MUST** double check the Continuity rule and do its best not to invalidate the Child zone. Once checked and if the CTA and DS "differ" it may apply the changes to the parent zone. In cases where the CTA record contains DNSKEYs, the parent should calculate the DS before doing this comparison.

6.2.1. Parent calculates DS

There are cases where the Parent wants to calculate the DS record due to policy reasons. In this case, the Child publishes CTA records containing DNSKEYs.

The parent calculates the DS records on behalf of the children. The DNS Parent needs to publish guidelines for the children as to what digest algorithms are acceptable in the CTA record.

When a Parent operates in "calculate DS" mode it can operate in one of two sub-modes

full i.e. it only publishes DS records it calculates from DNSKEY records,

augment i.e. it will make sure there are DS records for the digest algorithm(s) it requires(s).

Implications on Parental Agent are that the CTA and DS are not exactly the same after update thus it needs to take that into consideration when checking CTA records. Same goes for the Child Operator, it needs to be able to detect that the new DS RRset is "equivalent" to the current CTA RRset, thus it can remove the CTA RRset.

7. IANA Considerations

IANA has assigned RR Type code 59 for CDS. This was done for an earlier version of this document[I-D.ds-publish] This document is to become the reference for CDS RRtype (pending DNS-Dir confirmation that this is acceptable, as we have somewhat changed the format.)

8. Security Considerations

[This needs more work, suggestions welcome.]

This work is for the normal case, when things go wrong there is only so much that automation can fix.

If child breaks DNSSEC validation by removing all the DNSKEYs that are represented in the DS set its only repair actions are to contact the parent or restore the DNSKEYs in the DS set.

In the event of a compromise of the server or system generating signatures for a zone, an attacker might be able to generate and publish new CTA records. The modified CTA records will be picked up by this technique and so may allow the attacker to extend the effective time of his attack. If there a delay in accepting changes to DS, as in [RFC5011](#), then the attacker needs to hope his activity is not detected before the DS in parent is changed. If this type of change takes place, the child need to contact the parent (possibly via a registrar web interface) and remove any compromised DS keys.

A compromise of the account with the parent (e.g. registrar) will not be mitigated by this technique, as the "new registrant" can delete/modify the DS records at will.

While it may be tempting, this SHOULD NOT be used for initial enrollment of keys since there is no way to ensure that the initial key is the correct one. If is used, strict rules for inclusion of keys like hold down times, challenge data inclusion etc., ought to be used, along with some kind of challenge mechanism.

The CTA RR type should allow for enhanced security by simplifying process. Since rollover is automated, updating a DS RRset by other means may be regarded as unusual and subject to extra security checks.

If there is a failure in applying changes in child zone to all DNS servers listed in either parent or child NS set it is possible that the Parental agent may get confused either not perform action because it gets different answers on different checks or CTA validation fails. In the worst case Parental Agent performs an action reversing a prior action but after the child signing system decides to take the next step in rollover, resulting in a broken delegation.

DNS is a loosely coherent distributed database with local caching; therefore it is important to allow old information to expire from caches before deleting DS or DNSKEY records. Similarly, it is important to allow new records to propagate through the DNS before use, see [[RFC6781](#)] and [[I-D.key-time](#)]

9. Acknowledgements

This is by no means the invention of the authors. This idea has been floating around for a long time. This simply documents it for discussion.

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There were a large number of other folk with whom we discussed this, apologies for not remembering everyone.

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[Appendix A.](#) RRR background

In the RRR world, the different parties are frequently from different organizations. In the single enterprise world there are also organizational/geographical/cultural separations that affect how information flows from a Child to the parent.

Due to the complexity of the different roles and interconnections, automation of delegation information has been punted in the past. There have been some proposals to automate this, in order to improve the reliability of the DNS. These proposals have not gained enough traction to become standards.

For example in many of the TLD cases there is the RRR model (Registry, Registrar and Registrant). The Registry operates DNS for the TLD, the Registrars accept registrations and place information into the Registries database. The Registrant only communicates with the Registrar; frequently the Registry is not allowed to communicate with the Registrant. In that case as far as the registrant is concerned the Registrar == Parent.

In many RRR cases the Registrar and Registry communicate via EPP[RFC5730] and use the EPP DNSSEC extension [[RFC5910](#)]. In a number of ccTLDs there are other mechanisms in use as well as EPP, but in general there seems to be a movement towards EPP usage when DNSSEC is enabled in the TLD.

[Appendix B.](#) Changes / Author Notes.

[RFC Editor: Please remove this section before publication]

From 03 to 04.

- o Added text explaining the [CDS/CTA] complement [CSYNC], not replace or compete with it.
- o Changed format of record to be <selector> <data> to allow the publication of DS **or** DNSKEY.
- o Bunch of text changed to cover the above.
- o Added a bit more text on the polling scaling stuff, expectation that other triggers will be documented,

From 02 to 03

- o Applied comments by Matthijs Mekking
- o Incorporated suggestions from Edward Lewis about structure
- o Reworked structure to be easier for implementors to follow
- o Applied many suggestions from a wonderful thorough review by John Dickinson
- o Removed the going Unsigned option

From 01 to 02

- o Major restructuring to facilitate easier discussion
- o Lots of comments from DNSOP mailing list incorporated, including making draft DNSKEY/DS neutral, explain different relationships that exists,
- o added more people to acks.
- o added description of enterprise situations
- o Unified on using Parental Agent over Parental Representative
- o Removed redundant text when possible
- o Added text to explain what can go wrong if not all child DNS servers are in sync.
- o Reference prior work by Matthijs Mekking
- o Added text when parent calculates DS from DNSKEY

From - to -1.

- o Removed from section .1: "If a child zone has gone unsigned, i.e. no DNSKEY and no RRSIG in the zone, the parental representative MAY treat that as intent to go unsigned. (NEEDS DISCUSSION)." Added new text at end. -- suggestion by Scott Rose 20/Feb/13.
- o Added some background on the different DNS Delegation operating situations and how they affect interaction of parties. This moved some blocks of text from later sections into here.
- o Number of textual improvements from Stephan Lagerholm

- o Added motivation why CDS is needed in CDS definition section
- o Unified terminology in the document.
- o Much more background

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