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Scalability of IPv6 Transition Technologies for IPv4aaS

Abstract

Several IPv6 transition technologies have been developed to provide customers with IPv4-as-a-Service (IPv4aaS) for ISPs with an IPv6-only access and/or core network. All these technologies have their advantages and disadvantages, and depending on existing topology, skills, strategy and other preferences, one of these technologies may be the most appropriate solution for a network operator.

This document examines the scalability of the five most prominent IPv4aaS technologies (464XLAT, Dual Stack Lite, Lightweight 4over6, MAP-E, MAP-T) considering two aspects: (1) how their performance scales up with the number of CPU cores, (2) how their performance degrades, when the number of concurrent sessions is increased until hardware limit is reached.

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1. Introduction

IETF has standardized several IPv6 transition technologies [[LEN2019](#)] and occupied a neutral position trusting the selection of the most appropriate ones to the market. [[I-D.ietf-v6ops-transition-comparison](#)] provides a comprehensive comparative analysis of the five most prominent IPv4aaS technologies to assist operators with

this problem. This document adds one more detail: measurement data regarding the scalability of the examined IPv4aaS technologies.

Currently, this document contains only the scalability measurements of the iptables stateful NAT44 implementation. It serves as a sample to test if the disclosed results are (1) useful and (2) sufficient for the network operators.

1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2. Scalability of iptables

2.1. Introduction to iptables

Netfilter [[NETFLTR](#)] is a widely used firewall, NAT and packet mangling framework for Linux. It is often called as "iptables" after the name of its user space command line tool. From our point of view, iptables is used as a stateful NAT44 solution. (Also called as NAPT: Network Address and Port Translation.) It is a free and open source software under the GPLv2 license.

This document deals with iptables for multiple considerations:

- *To provide a reference for the scalability of various stateful NAT64 implementations. (We use it to prove that a stateful NATxy solution does not need to exhibit a poor scalability.)
- *To provide IPv6 operators with a basis for comparison if it is worth using an IPv4aaS solution over Carrier-grade NAT.
- *To prove the scalability of iptables, when iptables is used as a part of the CE of MAP-T (see later).

2.2. Measurement Method

[[RFC8219](#)] has defined a benchmarking methodology for IPv6 transition technologies. [[I-D.lencse-bmwg-benchmarking-stateful](#)] has amended it by addressing how to benchmark stateful NATxy gateways using pseudorandom port numbers recommended by [[RFC4814](#)]. It has defined measurement procedures for maximum connection establishment rate, connection tear down rate and connection table capacity measurement, plus it reused the classic measurement procedures like throughput, latency, frame loss rate, etc. from [[RFC8219](#)]. Besides the new

metrics, we used throughput to characterize the performance of the examined system.

The scalability of iptables is examined in two aspects:

*How its performance scales up with the number of CPU cores?

*How its performance degrades, when the number of concurrent sessions is increased?

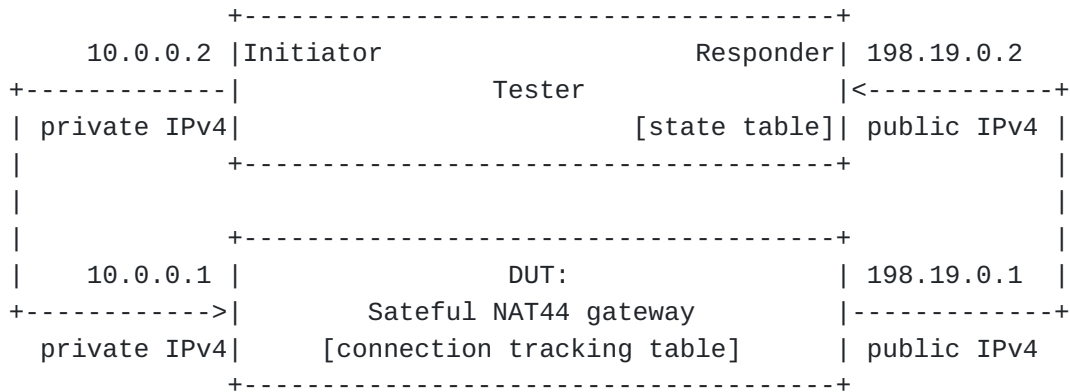


Figure 1: Test setup for benchmarking stateful NAT44 gateways

The test setup in [Figure 1](#) was followed. The two devices, the Tester and the DUT (Device Under Test), were both Dell PowerEdge R430 servers having two 2.1GHz Intel Xeon E5-2683 v4 CPUs, 384GB 2400MHz DDR4 RAM and Intel 10G dual port X540 network adapters. The NICs of the servers were interconnected by direct cables, and the CPU clock frequency was set to fixed 2.1 GHz on both servers. They had Debian 9.13 Linux operating system with 4.9.0-16-amd64 kernel. The measurements were performed by siitperf [[LEN2021](#)] using the "stateful" branch (latest commit Aug. 16, 2021). The DPDK version was 16.11.11-1+deb9u2. The version of iptables was 1.6.0.

The ratio of number of connections in the connection tracking table and the value of the hashsize parameter of iptables significantly influences its performance. Although the default setting is `hashsize=nf_conntrack_max/8`, we have usually set `hashsize=nf_conntrack_max` to increase the performance of iptables, which was crucial, when high number of connections were used, because then the execution time of the tests was dominated by the preliminary phase, when several hundreds of millions connections had to be established. (In some cases, we had to use different settings due to memory limitations. The tables presenting the results always contain these parameters.)

The size of the port number pool is an important parameter of the benchmarking method for stateful NATxy gateways, thus it is also given for all tests.

2.3. Performance scale up against the number of CPU cores

To examine how the performance of iptables scales up with the number of CPU cores, the number of active CPU cores was set to 1, 2, 4, 8, 16 using the "maxcpus=" kernel parameter.

The number of connections was always 4,000,000 using 4,000 different source port numbers and 1,000 different destination port numbers. Both the connection tracking table size and the hash table size was set to 2^{23} .

The error of the binary search was chosen to be lower than 0.1% of the expected results. The experiments were executed 10 times.

Besides the connection establishment rate and the throughput of iptables, also the throughput of the IPv4 packet forwarding of the Linux kernel was measured to provide a basis for comparison.

The results are presented in [Figure 2](#). The unit for the maximum connection establishment rate is 1,000 connections per second. The unit for throughput is 1,000 packets per second (measured with bidirectional traffic, and the number of all packets per second is displayed).

num. CPU cores	1	2	4	8	16
src ports	4,000	4,000	4,000	4,000	4,000
dst ports	1,000	1,000	1,000	1,000	1,000
num. conn.	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
conntrack t. s.	2 [^] 23	2 [^] 23	2 [^] 23	2 [^] 23	2 [^] 23
hash table size	2 [^] 23	2 [^] 23	2 [^] 23	2 [^] 23	2 [^] 23
c.t.s/num.conn.	2.097	2.097	2.097	2.097	2.097
num. experiments	10	10	10	10	10
error	100	100	100	1,000	1,000
cps median	223.5	371.1	708.7	1,341	2,383
cps min	221.6	367.7	701.7	1,325	2,304
cps max	226.7	375.9	723.6	1,376	2,417
cps rel. scale up	1	0.830	0.793	0.750	0.666
throughput median	414.9	742.3	1,379	2,336	4,557
throughput min	413.9	740.6	1,373	2,311	4,436
throughput max	416.1	746.9	1,395	2,361	4,627
tp. rel. scale up	1	0.895	0.831	0.704	0.686
IPv4 packet forwarding (using the same port number ranges)					
error	200	500	1,000	1,000	1,000
throughput median	910.9	1,523	3,016	5,920	11,561
throughput min	874.8	1,485	2,951	5,811	10,998
throughput max	914.3	1,534	3,037	5,940	11,627
tp. rel. scale up	1	0.836	0.828	0.812	0.793
throughput ratio (%)	45.5	48.8	45.7	39.5	39.4

Figure 2: Scale up of iptables against the number of CPU cores (Please refer to the next figure for the explanation of the abbreviations.)

abbreviation	explanation
-----	-----
num. CPU cores	number of CPU cores
src ports	size of the source port number range
dst ports	size of the destination port number range
num. conn.	number of connections = src ports * dst ports
conntrack t. s.	size of the connection tracking table of the DUT
hash table size	size of the hash table of the DUT
c.t.s/num.conn.	conntrack table size / number of connections
num. experiments	number of experiments
error	the difference between the upper and the lower bound of the binary search when it stops
cps (median/min/max)	maximum connection establishment rate (median, minimum, maximum)
cps rel. scale up	the relative scale up of the maximum connection establishment rate against the number of CPU cores
tp. rel. scale up	the relative scale up of the throughput
throughput ratio (%)	the ratio of the throughput of iptables and the throughput of IPv4 packet forwarding

Figure 3: Explanation of the abbreviations for the scale up of iptables against the number of CPU cores

Whereas the throughput of IPv4 packet forwarding scaled up from 0.91Mpps to 11.56Mpps showing a relative scale up of 0.793, the throughput of iptables scaled up from 414.9kpps to 4,557kpps showing a relative scale up of 0.686 (and the relative scale up of the maximum connection establishment rate is only 0.666). On the one hand, this is the price of the stateful operation. On the other hand, this result is quite good compared to the scale-up results of NSD (a high performance authoritative DNS server) presented in Table 9 of [LEN2020], which is only 0.52. ($1,454,661/177,432=8.2$ -fold performance using 16 cores.) And DNS is not a stateful technology.

2.4. Performance degradation caused by the number of sessions

To examine how the performance of iptables degrades with the number connections in the connection tracking table, the number of connections was increased fourfold by doubling the size of both the source port number range and the destination port number range. Both the connection tracking table size and the hash table size was also increased four fold. However, we reached the limits of the hardware at 400,000,000 connections: we could not set the size of the hash table to 2^{29} but only to 2^{28} . The same value was used at 800,000,000 connections too, when the number of connections was only doubled, because 1.6 billion connections would not fit into the memory.

The error of the binary search was chosen to be lower than 0.1% of the expected results. The experiments were executed 10 times (except for the very long lasting measurements with 800,000,000 connections).

The results are presented in [Figure 4](#). The unit for the maximum connection establishment rate is 1,000,000 connections per second. The unit for throughput is 1,000,000 packets per second (measured with bidirectional traffic, and the number of all packets per second is displayed).

num. conn.	1.56M	6.25M	25M	100M	400M	800M
src ports	2,500	5,000	10,000	20,000	40,000	40,000
dst ports	625	1,250	2,500	5,000	10,000	20,000
conntrack t. s.	2 ²¹	2 ²³	2 ²⁵	2 ²⁷	2 ²⁹	2 ³⁰
hash table size	2 ²¹	2 ²³	2 ²⁵	2 ²⁷	2 ²⁸	2 ²⁸
num. exp.	10	10	10	10	10	5
error	1,000	1,000	1,000	1,000	1,000	1,000
n.c./h.t.s.	0.745	0.745	0.745	0.745	1.490	2.980
cps median	2.406	2.279	2.278	2.237	2.013	1.405
cps min	2.358	2.226	2.226	2.124	1.983	1.390
cps max	2.505	2.315	2.317	2.290	2.050	1.440
throughput med.	5.326	4.369	4.510	4.516	4.244	3.689
throughput min	5.217	4.240	3.994	4.373	4.217	3.670
throughput max	5.533	4.408	4.572	4.537	4.342	3.709

Figure 4: Performance of iptables against the number of sessions

The performance of iptables shows degradation at 6.25M connections compared to 1.56M connections very likely due to the exhaustion of the L3 cache of the CPU of the DUT. Then the performance of iptables is fairly constant up to 100M connections. A small performance decrease can be observed at 400M connections due to the lower hash table size. A more significant performance decrease can be observed at 800M connections. It is caused by two factors:

- *on average, about 3 connections were hashed to the same place

- *non NUMA local memory was also used.

We note that the CPU has 2 NUMA nodes, cores 0, 2, ... 14 belong to NUMA node 0, and cores 1, 3, ... 15 belong to NUMA node 1. The maximum memory consumption with 400,000,000 connections was below 150GB, thus it could be stored in NUMA local memory.

Therefore, we have pointed out important limitations of the stateful NAT44 technology:

- *there is a performance decrease, when approaching hardware limits

*there is a hardware limit, beyond which the system cannot handle the connections at all (e.g. 1600M connections would not fit into the memory).

Therefore, we can conclude that, on the one hand, a well tailored hashing may guarantee an excellent scale-up of stateful NAT44 regarding the number of connections in a wide range, however, on the other hand, stateful operation has its limits resulting both in performance decrease, when approaching hardware limits and also in inability to handle more sessions, when reaching the memory limits.

2.5. Connection tear down rate

[[I-D.lencse-bmwg-benchmarking-stateful](#)] has defined connection tear down rate measurement as an aggregate measurement, that is, N number of connections are loaded into the connection tracking table of the DUT and then the entire content of the connection tracking table is deleted, and its deletion time is measured (T). Finally, the connection tear down rate is computed as: N/T .)

We have observed that the deletion of an empty connection tracking table of iptables may take a significant amount of time depending on its size. Therefore, we made our measurements more accurate by subtracting the deletion time of the empty connection tracking table from that of the filled one, thus we got the time spent with the deleting of the connections.

The same setup and parameters were used as in [Section 2.4](#) and the experiments were executed 10 times (except for the long lasting measurements with 800,000,000 connections).

The results are presented in [Figure 5](#).

num. conn.	1.56M	6.35M	25M	100M	400M	800M
src ports	2,500	5,000	10,000	20,000	40,000	40,000
dst ports	625	1,250	2,500	5,000	10,000	20,000
contrack t. s.	2^{21}	2^{23}	2^{25}	2^{27}	2^{29}	2^{30}
hash table size	2^{21}	2^{23}	2^{25}	2^{27}	2^{28}	2^{28}
num. exp.	10	10	10	10	10	5
n.c./h.t.s.	0.745	0.745	0.745	0.745	1.490	2.980
full contr. del med	4.33	18.05	74.47	305.33	1,178.3	2,263.1
full contr. del min	4.25	17.93	72.04	299.06	1,164.0	2,259.6
full contr. del max	4.38	18.20	75.13	310.05	1,188.3	2,275.2
empty contr. del med	0.55	1.28	4.17	15.74	31.2	31.2
empty contr. del min	0.55	1.26	4.16	15.73	31.1	31.1
empty contr. del max	0.57	1.29	4.22	15.79	31.2	31.2
conn. deletion time	3.78	16.77	70.30	289.59	1,147.2	2,232.0
conn. tear d. rate	413,360	372,689	355,619	345,316	348,690	358,429

Figure 5: Connection tear down rate of iptables against the number of connections

The connection tear down performance of iptables shows significant degradation at 6.25M connections compared to 1.56M connections very likely due to the exhaustion of the L3 cache of the CPU of the DUT. Then it shows only a minor degradation up to 100M connections. A small performance increase can be observed at 400M connections due to the relatively lower hash table size. A more visible performance decrease can be observed at 800M connections. It is likely caused by keeping the hash table size constant and doubling the number of connections. The same thing that caused performance degradation of the maximum connection establishment rate and throughput, made now the deletion of the connections faster and thus caused an increase of the connection tear down rate.

We note that according to the recommended settings of iptables, 8 connections are hashed to each place of the hash table on average, but we wilfully used much smaller number (0.745 whenever it was possible) to increase the maximum connection establishment rate and thus to speed up experimenting. However, finally this choice significantly slowed down our experiments due to the very low connection tear down rate.

2.6. Connection tracking table capacity

[[I-D.lencse-bmwg-benchmarking-stateful](#)] has defined connection tracking table capacity measurement using the following quantities:

- *C0: initial safe value for the size of the connection tracking table (the connection tracking table can surely store C0 entries)
- *R0: safe connection establishment rate for C0 connections (measured initially)
- *CS: safe value for the size of the connection tracking table during the current measurement (taken from the previous iteration step)
- *RS: safe connection establishment rate for CS connections during the current measurement (measured during the previous iteration)
- *CT: the currently tested size of the connection tracking table during the exponential search; also used in the final binary search.
- *RT: the currently used connection establishment rate for testing with CT number of connections during the exponential search

- *alpha: safety factor to prevent that connection validation fails due to sending the validation frames at a too high rate
- *beta: factor to express a too high drop of the connection establishment rate during the exponential search
- *gamma: factor to express a too high drop of the connection establishment rate during the final binary search

First, the order of magnitude of the size of the connection tracking table is determined by an exponential search. When it stops, then the C capacity of the connection tracking table is between CS and $CT=2*CS$.

Then the C size of the connection tracking table is determined by a binary search within E error.

Measurements were performed with the following parameters: $hashsize=nf_conntrack_max=2^{22}=4,194,304$; $R0=1,000,000$; $E=1$, $alpha=1.0$; $beta=0.2$; $gamma=0.4$. The measurements were performed 10 times to see the stability of the results.

The results are presented in [Figure 6](#). The exponential search finished at its third step ($CS=4,000,000$ and $CT=8,000,000$). And the result of the final binary search was always very close to 4,194,304.

	C0	R0	CS	RS	CT	C
median	1,000,000	2,562,500	4,000,000	2,250,945	8,000,000	4,194,301
min	1,000,000	2,437,500	4,000,000	2,139,953	8,000,000	4,194,300
max	1,000,000	2,687,500	4,000,000	2,327,269	8,000,000	4,194,302

Figure 6: Connection tracking table capacity measurement resultss for iptables (actual size: 4,194,304)

3. Scalability of Jool

3.1. Introduction to Jool

Jool [[JOOlMX](#)] is an open source SIIT and stateful NAT64 implementation for Linux. Since its version 4.2 it also supports MAP-T. It has been developed by NIC Mexico in cooperation with ITESM (Monterrey Institute of Technology and Higher Education). Its source code is released under GPLv2 license.

3.2. Measurement Method

The same methodology was used as in [Section 2](#), but now the test setup in [Figure 7](#) was followed. The same Tester and DUT devices were

used as before, but the operating system of the DUT was updated to Debian 10.11 with 4.19.0-18-amd64 kernel to meet the requirement of the jool-tools package. The version of Jool was 4.1.6. (The most mature version of Jool at the date of starting the measurements, Release Date: 2021-12-10.)

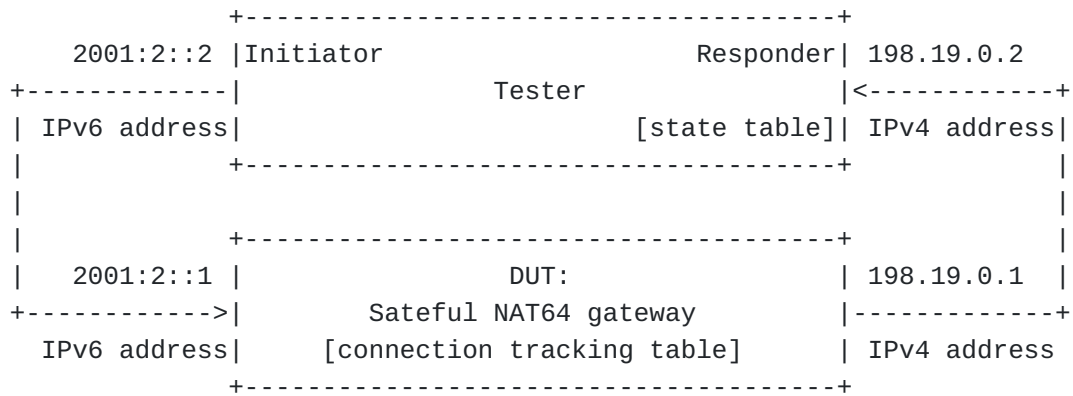


Figure 7: Test setup for benchmarking stateful NAT64 gateways

Unlike with iptables, we did not find any way to tune the hashsize or any other parameters of Jool.

3.3. Performance scale up against the number of CPU cores

The number of connections was always 1,000,000 using 2,000 different source port numbers and 500 different destination port numbers.

The error of the binary search was chosen to be lower than 0.1% of the expected results. The experiments were executed 10 times.

The results are presented in [Figure 8](#). The unit for the maximum connection establishment rate is 1,000 connections per second. The unit for throughput is 1,000 packets per second (measured with bidirectional traffic, and the number of all packets per second is displayed).

num. CPU cores	1	2	4	8	16
src ports	2,000	2,000	2,000	2,000	2,000
dst ports	500	500	500	500	500
num. conn.	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
num. experiments	10	10	10	10	10
error	100	100	100	100	100
cps median	228.6	358.5	537.4	569.9	602.6
cps min	226.5	352.5	530.7	562.0	593.7
cps max	230.5	362.4	543	578.3	609.7
cps rel. scale up	1	0.784	0.588	0.312	0.165
throughput median	251.8	405.7	582.4	604.1	612.3
throughput min	249.8	402.9	573.2	587.3	599.8
throughput max	253.3	409.6	585.7	607.2	616.6
tp. rel. scale up	1	0.806	0.578	0.300	0.152

Figure 8: Scale up of Jool against the number of CPU cores

Both the maximum connection establishment rate and the throughput scaled up poorly with the number of active CPU cores. The increase of the performance was very low above 4 CPU cores.

3.4. Performance degradation caused by the number of sessions

To examine how the performance of Jool degrades with the number connections, the number of connections was increased fourfold by doubling the size of both the source port number range and the destination port number range. We did not reach the limits of the hardware regarding the number of connections, because unlike iptables, Jool worked also with 1.6 billion connections.

The error of the binary search was chosen to be lower than 0.1% of the expected results and the experiments were executed 10 times (except for the very long lasting measurements with 800 million and 1.6 billion connections to save execution time).

The results are presented in [Figure 9](#). The unit for the maximum connection establishment rate is 1,000 connections per second. The unit for throughput is 1,000 packets per second (measured with bidirectional traffic, and the number of all packets per second is displayed).

num. conn.	1.56M	6.35M	25M	100M	400M	1600M
src ports	2,500	5,000	10,000	20,000	40,000	40,000
dst ports	625	1,250	2,500	5,000	10,000	40,000
num. exp.	10	10	10	10	5	5
error	100	100	100	100	1,000	1,000
cps median	480.2	394.8	328.6	273.0	243.0	232.0
cps min	468.6	392.7	324.9	269.4	243.0	230.5
cps max	484.9	397.4	331.3	280.6	244.5	233.6
throughput med.	511.5	423.9	350.0	286.5	257.8	198.4
throughput min	509.2	420.3	348.2	284.2	257.8	195.3
throughput max	513.1	428.3	352.5	290.8	260.9	201.6

Figure 9: Performance of Jool against the number of sessions

The performance of Jool shows degradation at the entire range of the number of connections. We did not analyze the root cause of the degradation yet. And we are not aware of the implementation of its connection tracking table. We also plan to check the memory consumption of Jool, what is definitely lower than that of iptables.

3.5. Connection tear down rate

Basically, the same measurement method was used as in [Section 2.5](#), however having no parameter of Jool to tune, only a single measurement series was performed to determine the deletion time of the empty connection tracking table. The median, minimum and maximum values of the 10 measurements were 0.46s, 0.42s and 0.50s respectively.

The same setup and parameters were used as in [Section 2.4](#) and the experiments were executed 10 times (except for the long lasting measurements with 800,000,000 connections).

The results are presented in [Figure 10](#). The unit for the connection tear down rate is 1,000,000 connections per second.

num. conn.	1.56M	6.35M	25M	100M	400M	1600M
src ports	2,500	5,000	10,000	20,000	40,000	40,000
dst ports	625	1,250	2,500	5,000	10,000	40,000
num. exp.	10	10	10	10	10	5
full contr. del med	0.87	2.05	7.84	36.38	126.09	474.68
full contr. del min	0.80	2.02	7.80	36.27	125.84	473.20
full contr. del max	0.91	2.09	7.94	36.80	127.54	481.38
empty contr. del med	0.46	0.46	0.46	0.46	0.46	0.46
conn. deletion time	0.41	1.59	7.38	35.92	125.63	474.22
conn. t. d. r. (M)	3.811	3.931	3.388	2.784	3.184	3.374

Figure 10: Connection tear down rate of Jool against the number of connections

The connection tear down performance of Jool is excellent at any number of connections. It is about an order of magnitude higher than its connection establishment rate and than the connection tear down rate of iptables. (A slight degradation can be observed at 100M connections.)

3.6. Validation of connection establishment

The measurement of connection establishment rate with validation was performed using different values for the "alpha" parameter.

The results are presented in [Figure 11](#). It is well visible that alpha values 0.8 and 0.6 cause significant decrease of the validated rate, therefore, they are unsuitable. Values 0.5 and 0.25 make no difference compared to the unvalidated connection establishment rate. (The less than 1,000 cps increase of the median is deliberately a measurement error.)

alpha	0.8	0.6	0.5	0.25	no validation
num. conn.	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
src ports	4,000	4,000	4,000	4,000	4,000
dst ports	1,000	1,000	1,000	1,000	1,000
num. exp.	10	10	10	10	10
error	100	100	100	100	100
cps median	323,534	429,491	479,296	479,199	478,417
cps min	322,948	426,464	473,339	474,120	474,902
cps max	325,097	431,542	483,690	483,299	484,667

Figure 11: Connection establishment rate rate of Jool against the alpha parameter

4. Acknowledgements

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5. IANA Considerations

This document does not make any request to IANA.

6. Security Considerations

TBD.

7. References

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Appendix A. Change Log

A.1. 00

Initial version: scale up of iptables.

A.2. 01

Added the scale up of Jool.

A.3. 02

Connection tear down rate measurements of iptables and Jool.

A.4. 03

Added: introductions to iptables and Jool, connection tracking table capacity measurement of iptables and connection validation measurement of Jool.

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