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QUIC event definitions for qlog draft-marx-quic-qlog-quic-events-00

Abstract

This document describes concrete qlog event definitions and their metadata for QUIC events. These events can then be embedded in the higher level schema defined in [QLOG-MAIN].

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<u>1</u>. Introduction

This document describes the values of the qlog name ("category" + "event") and "data" fields and their semantics for the QUIC protocol. This document is based on <u>draft-34</u> of the QUIC I-Ds QUIC-TRANSPORT [<u>QUIC-RECOVERY</u>] [<u>QUIC-TLS</u>]. HTTP/3 and QPACK events are defined in a separate document [<u>QLOG-H3</u>].

Feedback and discussion are welcome at <u>https://github.com/quiclog/</u> <u>internet-drafts</u> [1]. Readers are advised to refer to the "editor's draft" at that URL for an up-to-date version of this document.

Concrete examples of integrations of this schema in various programming languages can be found at <u>https://github.com/quiclog/glog/[2]</u>.

<u>1.1</u>. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [<u>RFC2119</u>].

The examples and data definitions in ths document are expressed in a custom data definition language, inspired by JSON and TypeScript, and described in [QLOG-MAIN].

2. Overview

This document describes the values of the qlog "name" ("category" + "event") and "data" fields and their semantics for the QUIC protocol.

This document assumes the usage of the encompassing main qlog schema defined in [QLOG-MAIN]. Each subsection below defines a separate category (for example connectivity, transport, recovery) and each subsubsection is an event type (for example "packet_received").

For each event type, its importance and data definition is laid out, often accompanied by possible values for the optional "trigger" field. For the definition and semantics of "importance" and "trigger", see the main schema document.

Most of the complex datastructures, enums and re-usable definitions are grouped together on the bottom of this document for clarity.

<u>2.1</u>. Links to the main schema

This document re-uses all the fields defined in the main qlog schema (e.g., name, category, type, data, group_id, protocol_type, the time-related fields, importance, RawInfo, etc.).

One entry in the "protocol_type" qlog array field MUST be "QUIC" if events from this document are included in a qlog trace.

When the qlog "group_id" field is used, it is recommended to use QUIC's Original Destination Connection ID (ODCID, the CID chosen by the client when first contacting the server), as this is the only value that does not change over the course of the connection and can be used to link more advanced QUIC packets (e.g., Retry, Version Negotiation) to a given connection. Similarly, the ODCID should be used as the qlog filename or file identifier, potentially suffixed by the vantagepoint type (For example, abcd1234_server.qlog would contain the server-side trace of the connection with ODCID abcd1234).

<u>2.1.1</u>. Raw packet and frame information

This document re-uses the definition of the RawInfo data class from [<u>QLOG-MAIN</u>].

- Note: QUIC packets always include an AEAD authentication tag ("trailer") at the end. As this tag is always the same size for a given connection (it depends on the used TLS cipher), this document does not define a separate "RawInfo:aead_tag_length" field here. Instead, this field is reflected in "transport:parameters_set" and can be logged only once.
- Note: As QUIC uses trailers in packets, packet header_lengths can be calculated as:

header_length = length - payload_length - aead_tag_length

For UDP datagrams, the calulation is simpler:

header_length = length - payload_length

Note: In some cases, the length fields are also explicitly reflected inside of packet headers. For example, the QUIC STREAM frame has a "length" field indicating its payload size. Similarly, the QUIC Long Header has a "length" field which is equal to the payload length plus the packet number length. In these cases, those fields are intentionally preserved in the event definitions. Even though this can lead to duplicate data when the full RawInfo is

logged, it allows a more direct mapping of the QUIC specifications to qlog, making it easier for users to interpret.

<u>2.1.2</u>. Events not belonging to a single connection

For several types of events, it is sometimes impossible to tie them to a specific conceptual QUIC connection (e.g., a packet_dropped event triggered because the packet has an unknown connection_id in the header). Since qlog events in a trace are typically associated with a single connection, it is unclear how to log these events.

Ideally, implementers SHOULD create a separate, individual "endpointlevel" trace file (or group_id value), not associated with a specific connection (for example a "server.qlog" or group_id = "client"), and log all events that do not belong to a single connection to this grouping trace. However, this is not always practical, depending on the implementation. Because the semantics of most of these events are well-defined in the protocols and because they are difficult to mis-interpret as belonging to a connection, implementers MAY choose to log events not belonging to a particular connection in any other trace, even those strongly associated with a single connection.

Note that this can make it difficult to match logs from different vantage points with each other. For example, from the client side, it is easy to log connections with version negotiation or retry in the same trace, while on the server they would most likely be logged in separate traces. Servers can take extra efforts (and keep additional state) to keep these events combined in a single trace however (for example by also matching connections on their four-tuple instead of just the connection ID).

3. QUIC event definitions

Each subheading in this section is a qlog event category, while each sub-subheading is a qlog event type. Concretely, for the following two items, we have the category "connectivity" and event type "server_listening", resulting in a concatenated qlog "name" field value of "connectivity:server_listening".

<u>3.1</u>. connectivity

<u>3.1.1</u>. server_listening

Importance: Extra

Emitted when the server starts accepting connections.

```
{
```

```
ip_v4?: IPAddress,
ip_v6?: IPAddress,
port_v4?: uint32,
port_v6?: uint32,
```

retry_required?:boolean // the server will always answer client initials
with a retry (no 1-RTT connection setups by choice)
}

Note: some QUIC stacks do not handle sockets directly and are thus unable to log IP and/or port information.

3.1.2. connection_started

Importance: Base

Used for both attempting (client-perspective) and accepting (serverperspective) new connections. Note that this event has overlap with connection_state_updated and this is a separate event mainly because of all the additional data that should be logged.

Data:

```
{
    ip_version?: "v4" | "v6",
    src_ip?: IPAddress,
    dst_ip?: IPAddress,
    protocol?: string, // transport layer protocol (default "QUIC")
    src_port?: uint32,
    dst_port?: uint32,
    src_cid?: bytes,
    dst_cid?: bytes,
}
```

}

Note: some QUIC stacks do not handle sockets directly and are thus unable to log IP and/or port information.

<u>3.1.3</u>. connection_closed

Importance: Base

Used for logging when a connection was closed, typically when an error or timeout occurred. Note that this event has overlap with connectivity:connection_state_updated, as well as the CONNECTION_CLOSE frame. However, in practice, when analyzing large

deployments, it can be useful to have a single event representing a connection_closed event, which also includes an additional reason field to provide additional information. Additionally, it is useful to log closures due to timeouts, which are difficult to reflect using the other options.

In QUIC there are two main connection-closing error categories: connection and application errors. They have well-defined error codes and semantics. Next to these however, there can be internal errors that occur that may or may not get mapped to the official error codes in implementation-specific ways. As such, multiple error codes can be set on the same event to reflect this.

owner?:"local"|"remote", // which side closed the connection

connection_code?:TransportError | CryptoError | uint32, application_code?:ApplicationError | uint32, internal_code?:uint32,

reason?:string

}

{

Triggers: * clean * handshake_timeout * idle_timeout * error // this is called the "immediate close" in the QUIC specification * stateless_reset * version_mismatch * application // for example HTTP/3's GOAWAY frame

<u>**3.1.4</u>**. connection_id_updated</u>

Importance: Base

This event is emitted when either party updates their current Connection ID. As this typically happens only sparingly over the course of a connection, this event allows loggers to be more efficient than logging the observed CID with each packet in the .header field of the "packet_sent" or "packet_received" events.

This is viewed from the perspective of the one applying the new id. As such, if we receive a new connection id from our peer, we will see the dst_ fields are set. If we update our own connection id (e.g., NEW_CONNECTION_ID frame), we log the src_ fields.

```
{
    owner: "local" | "remote",
    old?:bytes,
    new?:bytes,
}
```

3.1.5. spin_bit_updated

Importance: Base

To be emitted when the spin bit changes value. It SHOULD NOT be emitted if the spin bit is set without changing its value.

Data:

```
{
   state: boolean
}
```

<u>3.1.6</u>. connection_retried

TODO

<u>3.1.7</u>. connection_state_updated

Importance: Base

This event is used to track progress through QUIC's complex handshake and connection close procedures. It is intended to provide exhaustive options to log each state individually, but also provides a more basic, simpler set for implementations less interested in tracking each smaller state transition. As such, users should not expect to see -all- these states reflected in all qlogs and implementers should focus on support for the SimpleConnectionState set.

Data: ~~~ { old?: ConnectionState | SimpleConnectionState, new: ConnectionState | SimpleConnectionState }

enum ConnectionState { attempted, // initial sent/received peer_validated, // peer address validated by: client sent Handshake packet OR client used CONNID chosen by the server. transport-draft-32, section-8.1 handshake_started, early_write, // 1 RTT can be sent, but handshake isn't done yet handshake_complete, // TLS handshake complete: Finished received and sent. tls-draft-32, section-4.1.1 handshake_confirmed, // HANDSHAKE_DONE sent/received (connection is now "active", 1RTT can be sent). tls-draft-32, section-4.1.2 closing,

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draining, // connection_close sent/received closed // draining period
done, connection state discarded }

enum SimpleConnectionState { attempted, handshake_started, handshake_confirmed, closed } ~~~

These states correspond to the following transitions for both client and server:

Client:

- o send initial
 - * state = attempted
- o get initial
 - * state = validated _(not really "needed" at the client, but somewhat useful to indicate progress nonetheless)_
- o get first Handshake packet
 - * state = handshake_started
- o get Handshake packet containing ServerFinished
 - * state = handshake_complete
- o send ClientFinished
 - * state = early_write (1RTT can now be sent)
- o get HANDSHAKE_DONE
 - * state = handshake_confirmed

Server:

o get initial

```
* state = attempted
```

- o send initial _(don't think this needs a separate state, since some handshake will always be sent in the same flight as this?)_
- o send handshake EE, CERT, CV, ...
 - * state = handshake_started

- o send ServerFinished
 - * state = early_write (1RTT can now be sent)
- o get first handshake packet / something using a server-issued CID
 of min length

* state = validated

- o get handshake packet containing ClientFinished
 - * state = handshake_complete
- o send HANDSHAKE_DONE
 - * state = handshake_confirmed
- Note: connection_state_changed with a new state of "attempted" is the same conceptual event as the connection_started event above from the client's perspective. Similarly, a state of "closing" or "draining" corresponds to the connection_closed event.

3.1.8. MIGRATION-related events

e.g., path_updated

TODO: read up on the draft how migration works and whether to best fit this here or in TRANSPORT TODO: integrate https://tools.ietf.org/html/draft-deconinck-quic-multipath-02

For now, infer from other connectivity events and path_challenge/ path_response frames

3.2. security

3.2.1. key_updated

Importance: Base

Note: secret_updated would be more correct, but in the draft it's called KEY_UPDATE, so stick with that for consistency

```
May 2021
```

```
{
    key_type:KeyType,
    old?:bytes,
    new:bytes,
    generation?:uint32 // needed for 1RTT key updates
}
```

Triggers:

o "tls" // (e.g., initial, handshake and 0-RTT keys are generated by TLS)

```
o "remote_update"
```

o "local_update"

3.2.2. key_retired

Importance: Base

Data:

```
{
    key_type:KeyType,
    key?:bytes,
    generation?:uint32 // needed for 1RTT key updates
}
```

Triggers:

- o "tls" // (e.g., initial, handshake and 0-RTT keys are dropped implicitly)
- o "remote_update"
- o "local_update"

<u>3.3</u>. transport

<u>**3.3.1</u>**. version_information</u>

Importance: Core

QUIC endpoints each have their own list of of QUIC versions they support. The client uses the most likely version in their first initial. If the server does support that version, it replies with a version_negotiation packet, containing supported versions. From this, the client selects a version. This event aggregates all this

information in a single event type. It also allows logging of supported versions at an endpoint without actual version negotiation needing to happen.

Data:

```
{
    server_versions?:Array<bytes>,
    client_versions?:Array<bytes>,
    chosen_version?:bytes
```

}

Intended use:

- o When sending an initial, the client logs this event with client_versions and chosen_version set
- o Upon receiving a client initial with a supported version, the server logs this event with server_versions and chosen_version set
- o Upon receiving a client initial with an unsupported version, the server logs this event with server_versions set and client_versions to the single-element array containing the client's attempted version. The absence of chosen_version implies no overlap was found.
- o Upon receiving a version negotiation packet from the server, the client logs this event with client_versions set and server_versions to the versions in the version negotiation packet and chosen_version to the version it will use for the next initial packet

<u>3.3.2</u>. alpn_information

Importance: Core

QUIC implementations each have their own list of application level protocols and versions thereof they support. The client includes a list of their supported options in its first initial as part of the TLS Application Layer Protocol Negotiation (alpn) extension. If there are common option(s), the server chooses the most optimal one and communicates this back to the client. If not, the connection is closed.

```
server_alpns?:Array<string>,
client_alpns?:Array<string>,
chosen_alpn?:string
```

}

{

Intended use:

- o When sending an initial, the client logs this event with client_alpns set
- o When receiving an initial with a supported alpn, the server logs this event with server_alpns set, client_alpns equalling the client-provided list, and chosen_alpn to the value it will send back to the client.
- o When receiving an initial with an alpn, the client logs this event with chosen_alpn to the received value.
- o Alternatively, a client can choose to not log the first event, but wait for the receipt of the server initial to log this event with both client_alpns and chosen_alpn set.

3.3.3. parameters_set

Importance: Core

This event groups settings from several different sources (transport parameters, TLS ciphers, etc.) into a single event. This is done to minimize the amount of events and to decouple conceptual setting impacts from their underlying mechanism for easier high-level reasoning.

All these settings are typically set once and never change. However, they are typically set at different times during the connection, so there will typically be several instances of this event with different fields set.

Note that some settings have two variations (one set locally, one requested by the remote peer). This is reflected in the "owner" field. As such, this field MUST be correct for all settings included a single event instance. If you need to log settings from two sides, you MUST emit two separate event instances.

In the case of connection resumption and 0-RTT, some of the server's parameters are stored up-front at the client and used for the initial connection startup. They are later updated with the server's reply. In these cases, utilize the separate "parameters_restored" event to

indicate the initial values, and this event to indicate the updated values, as normal.

Data:

{

```
owner?:"local" | "remote",
```

resumption_allowed?:boolean, // valid session ticket was received early_data_enabled?:boolean, // early data extension was enabled on the TLS layer

tls_cipher?:string, // (e.g., "AES_128_GCM_SHA256")

aead_tag_length?:uint8, // depends on the TLS cipher, but it's easier to be
explicit. Default value is 16

```
// transport parameters from the TLS layer:
original_destination_connection_id?:bytes,
initial_source_connection_id?:bytes,
retry_source_connection_id?:bytes,
stateless_reset_token?:Token,
disable_active_migration?:boolean,
```

```
max_idle_timeout?:uint64,
max_udp_payload_size?:uint32,
ack_delay_exponent?:uint16,
max_ack_delay?:uint16,
active_connection_id_limit?:uint32,
```

```
initial_max_data?:uint64,
initial_max_stream_data_bidi_local?:uint64,
initial_max_stream_data_bidi_remote?:uint64,
initial_max_stream_data_uni?:uint64,
initial_max_streams_bidi?:uint64,
initial_max_streams_uni?:uint64,
```

```
preferred_address?:PreferredAddress
}
```

```
interface PreferredAddress {
    ip_v4:IPAddress,
    ip_v6:IPAddress,
    port_v4:uint16,
    port_v6:uint16,
    connection_id:bytes,
```

```
stateless_reset_token:Token
```

```
}
```

Additionally, this event can contain any number of unspecified fields. This is to reflect setting of for example unknown (greased) transport parameters or employed (proprietary) extensions.

<u>3.3.4</u>. parameters_restored

Importance: Base

When using QUIC 0-RTT, clients are expected to remember and restore the server's transport parameters from the previous connection. This event is used to indicate which parameters were restored and to which values when utilizing 0-RTT. Note that not all transport parameters should be restored (many are even prohibited from being re-utilized). The ones listed here are the ones expected to be useful for correct 0-RTT usage.

Data:

{

```
disable_active_migration?:boolean,
```

```
max_idle_timeout?:uint64,
max_udp_payload_size?:uint32,
active_connection_id_limit?:uint32,
```

```
initial_max_data?:uint64,
initial_max_stream_data_bidi_local?:uint64,
initial_max_stream_data_bidi_remote?:uint64,
initial_max_streams_data_uni?:uint64,
initial_max_streams_bidi?:uint64,
initial_max_streams_uni?:uint64,
```

}

Note that, like parameters_set above, this event can contain any number of unspecified fields to allow for additional/custom parameters.

3.3.5. packet_sent

Importance: Core

{

header:PacketHeader,

frames?:Array<QuicFrame>, // see appendix for the definitions

is_coalesced?:boolean, // default value is false

retry_token?:Token, // only if header.packet_type === retry

stateless_reset_token?:bytes, // only if header.packet_type ===
stateless_reset. Is always 128 bits in length.

supported_versions:Array<bytes>, // only if header.packet_type ===
version_negotiation

raw?:RawInfo, datagram_id?:uint32

}

Note: We do not explicitly log the encryption_level or packet_number_space: the header.packet_type specifies this by inference (assuming correct implementation)

Triggers:

```
o "retransmit_reordered" // draft-23 5.1.1
```

- o "retransmit_timeout" // draft-23 5.1.2
- o "pto_probe" // <u>draft-23</u> 5.3.1
- o "retransmit_crypto" // <u>draft-19</u> 6.2
- o "cc_bandwidth_probe" // needed for some CCs to figure out bandwidth allocations when there are no normal sends

Note: for more details on "datagram_id", see <u>Section 3.3.10</u>. It is only needed when keeping track of packet coalescing.

3.3.6. packet_received

Importance: Core

{

```
header:PacketHeader,
```

frames?:Array<QuicFrame>, // see appendix for the definitions

is_coalesced?:boolean,

retry_token?:Token, // only if header.packet_type === retry

stateless_reset_token?:bytes, // only if header.packet_type ===
stateless_reset. Is always 128 bits in length.

supported_versions:Array<bytes>, // only if header.packet_type ===
version_negotiation

raw?:RawInfo, datagram_id?:uint32

}

Note: We do not explicitly log the encryption_level or packet_number_space: the header.packet_type specifies this by inference (assuming correct implementation)

Triggers:

o "keys_available" // if packet was buffered because it couldn't be decrypted before

Note: for more details on "datagram_id", see <u>Section 3.3.10</u>. It is only needed when keeping track of packet coalescing.

3.3.7. packet_dropped

Importance: Base

This event indicates a QUIC-level packet was dropped after partial or no parsing.

Data:

```
{
```

header?:PacketHeader, // primarily packet_type should be filled here, as other fields might not be parseable

```
raw?:RawInfo,
datagram_id?:uint32
```

}

For this event, the "trigger" field SHOULD be set (for example to one

of the values below), as this helps tremendously in debugging.

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Triggers:

- o "key_unavailable"
- o "unknown_connection_id"
- o "header_parse_error"
- o "payload_decrypt_error"
- o "protocol_violation"
- o "dos_prevention"
- o "unsupported_version"
- o "unexpected_packet"
- o "unexpected_source_connection_id"
- o "unexpected_version"
- o "duplicate"
- o "invalid_initial"

Note: sometimes packets are dropped before they can be associated with a particular connection (e.g., in case of "unsupported_version"). This situation is discussed more in Section 2.1.2.

Note: for more details on "datagram_id", see <u>Section 3.3.10</u>. It is only needed when keeping track of packet coalescing.

3.3.8. packet_buffered

Importance: Base

This event is emitted when a packet is buffered because it cannot be processed yet. Typically, this is because the packet cannot be parsed yet, and thus we only log the full packet contents when it was parsed in a packet_received event.

```
{
```

header?:PacketHeader, // primarily packet_type and possible packet_number should be filled here, as other elements might not be available yet

```
raw?:RawInfo,
datagram_id?:uint32
```

}

Note: for more details on "datagram_id", see <u>Section 3.3.10</u>. It is only needed when keeping track of packet coalescing.

Triggers:

- "backpressure" // indicates the parser cannot keep up, temporarily buffers packet for later processing
- o "keys_unavailable" // if packet cannot be decrypted because the proper keys were not yet available

3.3.9. packets_acked

Importance: Extra

This event is emitted when a (group of) sent packet(s) is acknowledged by the remote peer _for the first time_. This information could also be deduced from the contents of received ACK frames. However, ACK frames require additional processing logic to determine when a given packet is acknowledged for the first time, as QUIC uses ACK ranges which can include repeated ACKs. Additionally, this event can be used by implementations that do not log frame contents.

Data: ~~~ { packet_number_space?:PacketNumberSpace,

packet_numbers?:Array<uint64> } ~~~

Note: if packet_number_space is omitted, it assumes the default value of PacketNumberSpace.application_data, as this is by far the most prevalent packet number space a typical QUIC connection will use.

3.3.10. datagrams_sent

Importance: Extra

When we pass one or more UDP-level datagrams to the socket. This is useful for determining how QUIC packet buffers are drained to the OS.

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{

count?:uint16, // to support passing multiple at once raw?:Array<RawInfo>, // RawInfo:length field indicates total length of the datagrams, including UDP header length

```
datagram_ids?:Array<uint32>
```

}

Note: QUIC itself does not have a concept of a "datagram_id". This field is a purely qlog-specific construct to allow tracking how multiple QUIC packets are coalesced inside of a single UDP datagram, which is an important optimization during the QUIC handshake. For this, implementations assign a (per-endpoint) unique ID to each datagram and keep track of which packets were coalesced into the same datagram. As packet coalescing typically only happens during the handshake (as it requires at least one long header packet), this can be done without much overhead.

<u>3.3.11</u>. datagrams_received

Importance: Extra

When we receive one or more UDP-level datagrams from the socket. This is useful for determining how datagrams are passed to the user space stack from the OS.

Data:

{

}

count?:uint16, // to support passing multiple at once raw?:Array<RawInfo>, // RawInfo:length field indicates total length of the datagrams, including UDP header length

datagram_ids?:Array<uint32>

Note: for more details on "datagram_ids", see <u>Section 3.3.10</u>.

3.3.12. datagram_dropped

Importance: Extra

When we drop a UDP-level datagram. This is typically if it does not contain a valid QUIC packet (in that case, use packet_dropped instead).

```
{
    raw?:RawInfo
}
```

<u>3.3.13</u>. stream_state_updated

Importance: Base

This event is emitted whenever the internal state of a QUIC stream is updated, as described in QUIC transport <u>draft-23</u> section 3. Most of this can be inferred from several types of frames going over the wire, but it's much easier to have explicit signals for these state changes.

```
{
    stream_id:uint64,
    stream_type?:"unidirectional"|"bidirectional", // mainly useful when
opening the stream
    old?:StreamState,
    new:StreamState,
    stream_side?:"sending"|"receiving"
}
enum StreamState {
    // bidirectional stream states, draft-23 3.4.
    idle,
    open,
    half_closed_local,
    half_closed_remote,
    closed,
   // sending-side stream states, draft-23 3.1.
    ready,
    send,
    data_sent,
    reset_sent,
    reset_received,
    // receive-side stream states, draft-23 3.2.
    receive,
    size_known,
    data_read,
    reset_read,
    // both-side states
    data_received,
   // qlog-defined
   destroyed // memory actually freed
}
   Note: QUIC implementations SHOULD mainly log the simplified
   bidirectional (HTTP/2-alike) stream states (e.g., idle, open, closed)
   instead of the more finegrained stream states (e.g., data_sent,
   reset_received). These latter ones are mainly for more in-depth
   debugging. Tools SHOULD be able to deal with both types equally.
```

3.3.14. frames_processed

Importance: Extra

This event's main goal is to prevent a large proliferation of specific purpose events (e.g., packets_acknowledged, flow_control_updated, stream_data_received). We want to give implementations the opportunity to (selectively) log this type of signal without having to log packet-level details (e.g., in packet_received). Since for almost all cases, the effects of applying a frame to the internal state of an implementation can be inferred from that frame's contents, we aggregate these events in this single "frames_processed" event.

Note: This event can be used to signal internal state change not resulting directly from the actual "parsing" of a frame (e.g., the frame could have been parsed, data put into a buffer, then later processed, then logged with this event).

Note: Implementations logging "packet_received" and which include all of the packet's constituent frames therein, are not expected to emit this "frames_processed" event. Rather, implementations not wishing to log full packets or that wish to explicitly convey extra information about when frames are processed (if not directly tied to their reception) can use this event.

Note: for some events, this approach will lose some information (e.g., for which encryption level are packets being acknowledged?). If this information is important, please use the packet_received event instead.

Note: in some implementations, it can be difficult to log frames directly, even when using packet_sent and packet_received events. For these cases, this event also contains the direct packet_number field, which can be used to more explicitly link this event to the packet_sent/received events.

Data:

{

frames:Array<QuicFrame>, // see appendix for the definitions

packet_number?:uint64

}

<u>3.3.15</u>. data_moved

Importance: Base

Used to indicate when data moves between the different layers (for example passing from the application protocol (e.g., HTTP) to QUIC stream buffers and vice versa) or between the application protocol (e.g., HTTP) and the actual user application on top (for example a browser engine). This helps make clear the flow of data, how long data remains in various buffers and the overheads introduced by individual layers.

For example, this helps make clear whether received data on a QUIC stream is moved to the application protocol immediately (for example per received packet) or in larger batches (for example, all QUIC packets are processed first and afterwards the application layer reads from the streams with newly available data). This in turn can help identify bottlenecks or scheduling problems.

Data:

}

```
{
    stream_id?:uint64,
    offset?:uint64,
    length?:uint64, // byte length of the moved data
    from?:string, // typically: use either of
"user","application","transport","network"
    to?:string, // typically: use either of
"user","application","transport","network"
```

data?:bytes // raw bytes that were transferred

Note: we do not for example use a "direction" field (with values "up" and "down") to specify the data flow. This is because in some optimized implementations, data might skip some individual layers. Additionally, using explicit "from" and "to" fields is more flexible and allows the definition of other conceptual "layers" (for example to indicate data from QUIC CRYPTO frames being passed to a TLS library ("security") or from HTTP/3 to QPACK ("qpack")).

Note: this event type is part of the "transport" category, but really spans all the different layers. This means we have a few leaky abstractions here (for example, the stream_id or stream offset might not be available at some logging points, or the raw data might not be in a byte-array form). In these situations, implementers can decide to define new, in-context fields to aid in manual debugging.

3.4. recovery

Note: most of the events in this category are kept generic to support different recovery approaches and various congestion control algorithms. Tool creators SHOULD make an effort to support and visualize even unknown data in these events (e.g., plot unknown congestion states by name on a timeline visualization).

<u>3.4.1</u>. parameters_set

Importance: Base

This event groups initial parameters from both loss detection and congestion control into a single event. All these settings are typically set once and never change. Implementation that do, for some reason, change these parameters during execution, MAY emit the parameters_set event twice.

Data:

{

```
// Loss detection, see recovery draft-23, Appendix A.2
reordering_threshold?:uint16, // in amount of packets
time_threshold?:float, // as RTT multiplier
timer_granularity?:uint16, // in ms
initial_rtt?:float, // in ms
// congestion control, Appendix B.1.
max_datagram_size?:uint32, // in bytes // Note: this could be updated after
pmtud
initial_congestion_window?:uint64, // in bytes
minimum_congestion_window?:uint64, // in bytes
when max_datagram_size changes
loss_reduction_factor?:float,
persistent_congestion_threshold?:uint16 // as PTO multiplier
```

}

Additionally, this event can contain any number of unspecified fields to support different recovery approaches.

3.4.2. metrics_updated

Importance: Core

This event is emitted when one or more of the observable recovery metrics changes value. This event SHOULD group all possible metric updates that happen at or around the same time in a single event (e.g., if min_rtt and smoothed_rtt change at the same time, they should be bundled in a single metrics_updated entry, rather than

```
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Internet-Draft
                                                                May 2021
   split out into two). Consequently, a metrics_updated event is only
   guaranteed to contain at least one of the listed metrics.
  Data:
{
    // Loss detection, see recovery draft-23, Appendix A.3
    min_rtt?:float, // in ms or us, depending on the overarching qlog's
configuration
    smoothed_rtt?:float, // in ms or us, depending on the overarching glog's
configuration
    latest_rtt?:float, // in ms or us, depending on the overarching glog's
configuration
    rtt_variance?:float, // in ms or us, depending on the overarching qlog's
configuration
    pto_count?:uint16,
    // Congestion control, Appendix B.2.
    congestion_window?:uint64, // in bytes
    bytes_in_flight?:uint64,
    ssthresh?:uint64, // in bytes
    // glog defined
    packets_in_flight?:uint64, // sum of all packet number spaces
   pacing_rate?:uint64 // in bps
}
   Note: to make logging easier, implementations MAY log values even if
   they are the same as previously reported values (e.g., two subsequent
   METRIC_UPDATE entries can both report the exact same value for
```

min_rtt). However, applications SHOULD try to log only actual
updates to values.

Additionally, this event can contain any number of unspecified fields to support different recovery approaches.

<u>**3.4.3</u>**. congestion_state_updated</u>

Importance: Base

This event signifies when the congestion controller enters a significant new state and changes its behaviour. This event's definition is kept generic to support different Congestion Control algorithms. For example, for the algorithm defined in the Recovery draft ("enhanced" New Reno), the following states are defined:

- o slow_start
- o congestion_avoidance

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```
o application_limited
o recovery
```

Data:

```
{
    old?:string,
    new:string
}
```

}

The "trigger" field SHOULD be logged if there are multiple ways in which a state change can occur but MAY be omitted if a given state can only be due to a single event occuring (e.g., slow start is exited only when ssthresh is exceeded).

```
Some triggers for ("enhanced" New Reno):
```

```
o persistent_congestion
```

o ECN

3.4.4. loss_timer_updated

Importance: Extra

This event is emitted when a recovery loss timer changes state. The three main event types are:

- o set: the timer is set with a delta timeout for when it will trigger next
- o expired: when the timer effectively expires after the delta
 timeout
- o cancelled: when a timer is cancelled (e.g., all outstanding packets are acknowledged, start idle period)

Note: to indicate an active timer's timeout update, a new "set" event is used.

{

```
timer_type?:"ack"|"pto", // called "mode" in draft-23 A.9.
packet_number_space?: PacketNumberSpace,
```

```
event_type:"set"|"expired"|"cancelled",
```

delta?:float // if event_type === "set": delta time in ms or us (see configuration) from this event's timestamp until when the timer will trigger }

TODO: how about CC algo's that use multiple timers? How generic do these events need to be? Just support QUIC-style recovery from the spec or broader?

TODO: read up on the loss detection logic in $\underline{\text{draft-27}}$ onward and see if this suffices

3.4.5. packet_lost

Importance: Core

This event is emitted when a packet is deemed lost by loss detection.

Data:

{

header?:PacketHeader, // should include at least the packet_type and packet_number

 $\ensuremath{//}\xspace$ not all implementations will keep track of full packets, so these are optional

frames?:Array<QuicFrame> // see appendix for the definitions

}

For this event, the "trigger" field SHOULD be set (for example to one of the values below), as this helps tremendously in debugging.

Triggers:

- o "reordering_threshold",
- o "time_threshold"
- o "pto_expired" // draft-23 section 5.3.1, MAY

<u>3.4.6</u>. marked_for_retransmit

Importance: Extra

This event indicates which data was marked for retransmit upon detecing a packet loss (see packet_lost). Similar to our reasoning

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for the "frames_processed" event, in order to keep the amount of different events low, we group this signal for all types of retransmittable data in a single event based on existing QUIC frame definitions.

Implementations retransmitting full packets or frames directly can just log the consituent frames of the lost packet here (or do away with this event and use the contents of the packet_lost event instead). Conversely, implementations that have more complex logic (e.g., marking ranges in a stream's data buffer as in-flight), or that do not track sent frames in full (e.g., only stream offset + length), can translate their internal behaviour into the appropriate frame instance here even if that frame was never or will never be put on the wire.

Note: much of this data can be inferred if implementations log packet_sent events (e.g., looking at overlapping stream data offsets and length, one can determine when data was retransmitted).

Data:

{
 frames:Array<QuicFrame>, // see appendix for the definitions
}

<u>4</u>. Security Considerations

TBD

5. IANA Considerations

TBD

<u>6</u>. References

<u>6.1</u>. Normative References

[QLOG-H3] Marx, R., Ed., Niccolini, L., Ed., and M. Seemann, Ed., "HTTP/3 and QPACK event definitions for qlog", draft-marxquic-qlog-h3-events-00 (work in progress).

[QLOG-MAIN]

Marx, R., Ed., Niccolini, L., Ed., and M. Seemann, Ed., "Main logging schema for qlog", <u>draft-marx-qlog-main-</u> <u>schema-03</u> (work in progress).

[QUIC-RECOVERY]

Iyengar, J., Ed. and I. Swett, Ed., "QUIC Loss Detection and Congestion Control", <u>draft-ietf-quic-recovery-latest</u> (work in progress).

[QUIC-TLS]

Thomson, M., Ed. and S. Turner, Ed., "Using Transport Layer Security (TLS) to Secure QUIC", <u>draft-ietf-quic-tls-</u> <u>latest</u> (work in progress).

[QUIC-TRANSPORT]

Iyengar, J., Ed. and M. Thomson, Ed., "QUIC: A UDP-Based Multiplexed and Secure Transport", <u>draft-ietf-quic-</u> <u>transport-latest</u> (work in progress).

<u>6.2</u>. Informative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, DOI 10.17487/RFC2119, March 1997, <<u>https://www.rfc-editor.org/info/rfc2119</u>>.

<u>6.3</u>. URIs

- [1] <u>https://github.com/quiclog/internet-drafts</u>
- [2] <u>https://github.com/quiclog/qlog/</u>

Appendix A. QUIC data field definitions

A.1. IPAddress

class IPAddress : string | bytes;

// an IPAddress can either be a "human readable" form (e.g., "127.0.0.1" for v4
or "2001:0db8:85a3:0000:0000:8a2e:0370:7334" for v6) or use a raw byte-form (as
the string forms can be ambiguous)

A.2. PacketType

```
May 2021
```

```
enum PacketType {
    initial,
    handshake,
    zerortt = "ORTT",
    onertt = "1RTT",
    retry,
    version_negotiation,
    stateless_reset,
    unknown
}
```

A.3. PacketNumberSpace

```
enum PacketNumberSpace {
    initial,
    handshake,
    application_data
}
```

A.4. PacketHeader

```
class PacketHeader {
    // Note: short vs long header is implicit through PacketType
```

```
packet_type: PacketType;
packet_number: uint64;
```

flags?: uint8; // the bit flags of the packet headers (spin bit, key update bit, etc. up to and including the packet number length bits if present) interpreted as a single 8-bit integer

token?:Token; // only if packet_type == initial

length?: uint16, // only if packet_type == initial || handshake || ORTT. Signifies length of the packet_number plus the payload.

// only if present in the header // if correctly using transport:connection_id_updated events, // dcid can be skipped for 1RTT packets version?: bytes; // e.g., "ff00001d" for draft-29 scil?: uint8; dcil?: uint8; scid?: bytes; dcid?: bytes;

}

A.5. Token

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```
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class Token {
   type?:"retry"|"resumption"|"stateless_reset";
   length?:uint32; // byte length of the token
   data?:bytes; // raw byte value of the token
   details?:any; // decoded fields included in the token (typically: peer's IP
address, creation time)
}
```

The token carried in an Initial packet can either be a retry token from a Retry packet, a stateless reset token from a Stateless Reset packet or one originally provided by the server in a NEW_TOKEN frame used when resuming a connection (e.g., for address validation purposes). Retry and resumption tokens typically contain encoded metadata to check the token's validity when it is used, but this metadata and its format is implementation specific. For that, this field includes a general-purpose "details" field.

A.6. KeyType

```
enum KeyType {
    server_initial_secret,
    client_initial_secret,
    server_handshake_secret,
    client_handshake_secret,
    client_Ortt_secret,
    client_Ortt_secret,
    server_1rtt_secret,
    client_1rtt_secret
}
```

A.7. QUIC Frames

type QuicFrame = PaddingFrame | PingFrame | AckFrame | ResetStreamFrame |
StopSendingFrame | CryptoFrame | NewTokenFrame | StreamFrame | MaxDataFrame |
MaxStreamDataFrame | MaxStreamsFrame | DataBlockedFrame |
StreamDataBlockedFrame | StreamsBlockedFrame | NewConnectionIDFrame |
RetireConnectionIDFrame | PathChallengeFrame | PathResponseFrame |
ConnectionCloseFrame | HandshakeDoneFrame | UnknownFrame;

A.7.1. PaddingFrame

In QUIC, PADDING frames are simply identified as a single byte of value 0. As such, each padding byte could be theoretically interpreted and logged as an individual PaddingFrame.

However, as this leads to heavy logging overhead, implementations SHOULD instead emit just a single PaddingFrame and set the payload_length property to the amount of PADDING bytes/frames included in the packet.

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```
class PaddingFrame{
   frame_type:string = "padding";
   length?:uint32; // total frame length, including frame header
   payload_length?:uint32;
}
```

```
A.7.2. PingFrame
```

```
class PingFrame{
   frame_type:string = "ping";
   length?:uint32; // total frame length, including frame header
   payload_length?:uint32;
}
```

```
A.7.3. AckFrame
```

```
class AckFrame{
    frame_type:string = "ack";
    ack_delay?:float; // in ms
    // first number is "from": lowest packet number in interval
    // second number is "to": up to and including // highest packet number in
interval
    // e.g., looks like [[1,2],[4,5]]
    acked_ranges?:Array<[uint64, uint64]|[uint64]>;
    // ECN (explicit congestion notification) related fields (not always
present)
    ect1?:uint64;
    ect0?:uint64;
   ce?:uint64;
    length?:uint32; // total frame length, including frame header
    payload_length?:uint32;
}
   Note: the packet ranges in AckFrame.acked_ranges do not necessarily
   have to be ordered (e.g., [[5,9], [\underline{1},4]] is a valid value).
   Note: the two numbers in the packet range can be the same (e.g.,
   [120,120] means that packet with number 120 was ACKed). However, in
   that case, implementers SHOULD log [120] instead and tools MUST be
   able to deal with both notations.
```

A.7.4. ResetStreamFrame

```
class ResetStreamFrame{
   frame_type:string = "reset_stream";
   stream_id:uint64;
   error_code:ApplicationError | uint32;
   final_size:uint64; // in bytes
   length?:uint32; // total frame length, including frame header
   payload_length?:uint32;
}
```

<u>A.7.5</u>. StopSendingFrame

```
class StopSendingFrame{
    frame_type:string = "stop_sending";
```

stream_id:uint64; error_code:ApplicationError | uint32;

```
length?:uint32; // total frame length, including frame header
payload_length?:uint32;
```

```
}
```

A.7.6. CryptoFrame

```
class CryptoFrame{
   frame_type:string = "crypto";
   offset:uint64;
   length:uint64;
   payload_length?:uint32;
}
```

A.7.7 NewTokenFrame

```
class NewTokenFrame{
  frame_type:string = "new_token";
  token:Token
}
```

```
A.7.8. StreamFrame
class StreamFrame{
    frame_type:string = "stream";
   stream_id:uint64;
   // These two MUST always be set
   // If not present in the Frame type, log their default values
   offset:uint64;
   length:uint64;
   // this MAY be set any time, but MUST only be set if the value is "true"
   // if absent, the value MUST be assumed to be "false"
   fin?:boolean;
   raw?:bytes;
}
A.7.9. MaxDataFrame
  class MaxDataFrame{
    frame_type:string = "max_data";
    maximum:uint64;
  }
A.7.10. MaxStreamDataFrame
  class MaxStreamDataFrame{
    frame_type:string = "max_stream_data";
    stream_id:uint64;
    maximum:uint64;
  }
A.7.11. MaxStreamsFrame
  class MaxStreamsFrame{
     frame_type:string = "max_streams";
    stream_type:string = "bidirectional" | "unidirectional";
    maximum:uint64;
  }
```

A.7.12. DataBlockedFrame

```
class DataBlockedFrame{
  frame_type:string = "data_blocked";
  limit:uint64;
}
```

A.7.13. StreamDataBlockedFrame

```
class StreamDataBlockedFrame{
  frame_type:string = "stream_data_blocked";
  stream_id:uint64;
  limit:uint64;
}
```

A.7.14. StreamsBlockedFrame

```
class StreamsBlockedFrame{
  frame_type:string = "streams_blocked";
  stream_type:string = "bidirectional" | "unidirectional";
  limit:uint64;
}
```

A.7.15. NewConnectionIDFrame

```
class NewConnectionIDFrame{
  frame_type:string = "new_connection_id";
  sequence_number:uint32;
  retire_prior_to:uint32;
  connection_id_length?:uint8;
  connection_id:bytes;
```

```
stateless_reset_token?:Token;
}
```

A.7.16. RetireConnectionIDFrame

```
class RetireConnectionIDFrame{
   frame_type:string = "retire_connection_id";
   sequence_number:uint32;
}
```

A.7.17. PathChallengeFrame

```
class PathChallengeFrame{
  frame_type:string = "path_challenge";
  data?:bytes; // always 64-bit
}
```

A.7.18. PathResponseFrame

```
class PathResponseFrame{
  frame_type:string = "path_response";
  data?:bytes; // always 64-bit
}
```

A.7.19. ConnectionCloseFrame

raw_error_code is the actual, numerical code. This is useful because some error types are spread out over a range of codes (e.g., QUIC's crypto_error).

```
type ErrorSpace = "transport" | "application";
```

```
class ConnectionCloseFrame{
    frame_type:string = "connection_close";
```

```
error_space?:ErrorSpace;
error_code?:TransportError | ApplicationError | uint32;
raw_error_code?:uint32;
reason?:string;
```

```
trigger_frame_type?:uint64 | string; // For known frame types, the
appropriate "frame_type" string. For unknown frame types, the hex encoded
identifier value
}
```

A.7.20. HandshakeDoneFrame

```
class HandshakeDoneFrame{
  frame_type:string = "handshake_done";
}
```

A.7.21. UnknownFrame

```
May 2021
```

```
class UnknownFrame{
   frame_type:string = "unknown";
   raw_frame_type:uint64;
   raw_length?:uint32;
   raw?:bytes;
}
```

A.7.22. TransportError

```
enum TransportError {
    no_error,
    internal_error,
    connection_refused,
    flow_control_error,
    stream_limit_error,
    stream_state_error,
    final_size_error,
    frame_encoding_error,
    transport_parameter_error,
    connection_id_limit_error,
    protocol_violation,
    invalid_token,
    application_error,
    crypto_buffer_exceeded
}
```

A.7.23. CryptoError

These errors are defined in the TLS document as "A TLS alert is turned into a QUIC connection error by converting the one-byte alert description into a QUIC error code. The alert description is added to 0x100 to produce a QUIC error code from the range reserved for CRYPTO_ERROR."

This approach maps badly to a pre-defined enum. As such, we define the crypto_error string as having a dynamic component here, which should include the hex-encoded value of the TLS alert description.

```
enum CryptoError {
    crypto_error_{TLS_ALERT}
}
```

Appendix B. Change Log

- **B.1.** Since <u>draft-marx-qlog-event-definitions-quic-h3-02</u>:
 - These changes were done in preparation of the adoption of the drafts by the QUIC working group (#137)
 - o Split QUIC and HTTP/3 events into two separate documents
 - o Moved RawInfo, Importance, Generic events and Simulation events to the main schema document.
 - o Changed to/from value options of the "data_moved" event

B.2. Since draft-marx-qlog-event-definitions-quic-h3-01:

Major changes:

- o Moved data_moved from http to transport. Also made the "from" and "to" fields flexible strings instead of an enum (#111,#65)
- o Moved packet_type fields to PacketHeader. Moved packet_size field out of PacketHeader to RawInfo:length (#40)
- o Made events that need to log packet_type and packet_number use a header field instead of logging these fields individually
- o Added support for logging retry, stateless reset and initial tokens (#94,#86,#117)
- Moved separate general event categories into a single category "generic" (#47)
- o Added "transport:connection_closed" event (#43, #85, #78, #49)
- o Added version_information and alpn_information events
 (#85,#75,#28)
- Added parameters_restored events to help clarify 0-RTT behaviour (#88)

Smaller changes:

- o Merged loss_timer events into one loss_timer_updated event
- o Field data types are now strongly defined (#10,#39,#36,#115)
- o Renamed qpack instruction_received and instruction_sent to instruction_created and instruction_parsed (#114)

- o Updated qpack:dynamic_table_updated.update_type. It now has the value "inserted" instead of "added" (#113)
- o Updated qpack:dynamic_table_updated. It now has an "owner" field to differentiate encoder vs decoder state (#112)
- o Removed push_allowed from http:parameters_set (#110)
- Removed explicit trigger field indications from events, since this was moved to be a generic property of the "data" field (#80)
- o Updated transport:connection_id_updated to be more in line with other similar events. Also dropped importance from Core to Base (#45)
- o Added length property to PaddingFrame (#34)
- o Added packet_number field to transport:frames_processed (#74)
- Added a way to generically log packet header flags (first 8 bits) to PacketHeader
- Added additional guidance on which events to log in which situations (#53)
- o Added "simulation:scenario" event to help indicate simulation details
- o Added "packets_acked" event (#107)
- o Added "datagram_ids" to the datagram_X and packet_X events to allow tracking of coalesced QUIC packets (#91)
- o Extended connection_state_updated with more fine-grained states
 (#49)

<u>B.3</u>. Since <u>draft-marx-qlog-event-definitions-quic-h3-00</u>:

- o Event and category names are now all lowercase
- o Added many new events and their definitions
- "type" fields have been made more specific (especially important for PacketType fields, which are now called packet_type instead of type)
- o Events are given an importance indicator (issue #22)

- o Event names are more consistent and use past tense (issue #21)
- Triggers have been redefined as properties of the "data" field and updated for most events (issue #23)

Appendix C. Design Variations

TBD

Appendix D. Acknowledgements

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