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TFTP Window Size Option

Abstract

The Trivial File Transfer Protocol [[1](#)] is a simple, lock-step, file transfer protocol which allows a client to get or put a file onto a remote host. One of its primary uses is the booting of diskless nodes on a Local Area Network. TFTP is used because it is very simple to implement in a small node's limited ROM space. However, the choice of a lock-step schema is not the most efficient for use on a LAN.

This document describes a TFTP option which allows the client and server to negotiate a window size of consecutive blocks to send as an alternative for replacing the lock-step single block schema. The TFTP Option Extension mechanism is described in [[2](#)].

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Window size Option Specification

The TFTP Read Request or Write Request packet is modified to include the blocksize option as follows. Note that all fields except "opc" are NULL-terminated.

```
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| opc |filename| 0 | mode | 0 | windowSize | 0 | #blocks| 0 |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
```

opc

The opcode field contains either a 1, for Read Requests, or 2, for Write Requests, as defined in [1].

filename

The name of the file to be read or written, as defined in [1].

mode

The mode of the file transfer: "netascii", "octet", or "mail", as defined in [1].

windowSize

The WindowSize option, "windowSize" (case in-sensitive).

#blocks

The number of blocks in a window, specified in ASCII. Valid values range between "1" and "65464" blocks, inclusive. The windowSize refers to the number of consecutive blocks transmitted before stop and wait for the reception of the ack of the last block transmitted.

For example:

```
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
| 1 | foobar | 0 | binary | 0 | windowSize | 0 | 16 | 0 |
+-----+-----+-----+-----+-----+-----+-----+-----+-----+
```

is a Read Request, for the file named "foobar", in binary transfer mode, with a window size of 16 blocks (as blockSize is not defined the 512 Bytes per block default applies).

If the server is willing to accept the windowSize option, it sends an Option Acknowledgment (OACK) to the client. The specified value must be less than or equal to the value specified by the client. The client must then either use the size specified in the OACK, or send an ERROR packet, with error code 8, to terminate the transfer.

The rules for determining the final packet are unchanged from [1]. The reception of a data window with a number of blocks length less than the negotiated windowSize is the final window. If the windowSize is greater than the amount of data to be transferred, the first window is the final window. If the amount of data to be transferred is an integral multiple of the windowSize, an extra data packet containing no data is sent to end the transfer.

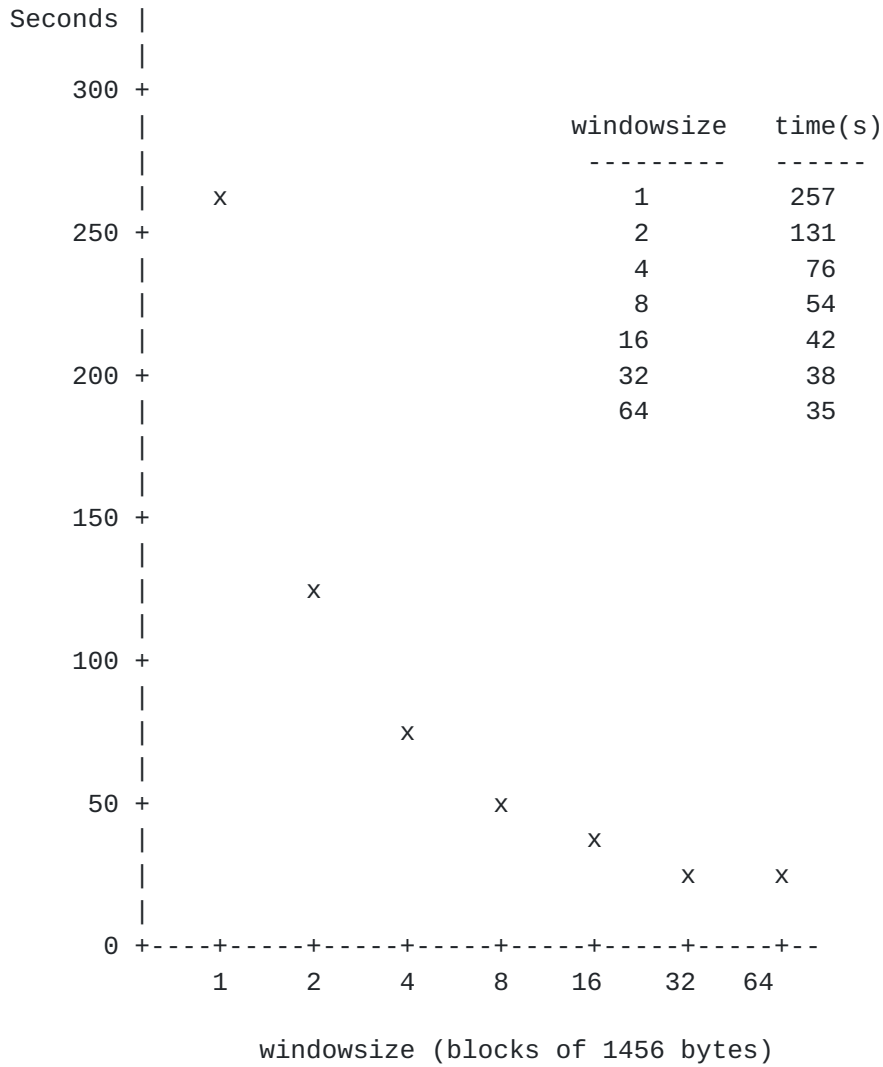
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Proof of Concept

Performance tests were run on the prototype implementation using a variety of window sizes and a fixed blocksize of 1456 bytes. The tests were run on a lightly loaded Gigabit Ethernet, between two Toshiba Tecra Core 2 Duo 2.2 Ghz, in "octet" mode, on 180MB files.



The comparisons between transfer times (without a gateway) between the standard lock-step schema and the negotiated window sizes are:

1	-0%
2	-49%
4	-70%
8	-79%
16	-84%
32	-85%
64	-86%

As was anticipated, the transfer time decreases with the use of a windowed schema. The reason for the reduction in time is the reduction in the number of the required synchronous acknowledgement exchanged.

Error Handling

In case of an error detection the whole window size window is retransmitted.

Security Considerations

The basic TFTP protocol has no security mechanism. This is why it has no rename, delete, or file overwrite capabilities. This document does not add any security to TFTP; however, the specified extensions do not add any additional security risks.

References

- [1] Sollins, K., "The TFTP Protocol (Revision 2)", Request for Comments 1350 (STD 33), October 1992.
- [2] Malkin, G., Harkin, A., "TFTP Option Extension", [RFC 1782](#) March 1995.

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