Internet Draft: IMAP Extension for Conditional STOREA. MelnikovDocument: draft-melnikov-imap-condstore-09.txtS. HoleExpires: June 2003ACI WorldWide/MessagingDirectDecember 2002

### IMAP Extension for Conditional STORE operation

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## 0.1. Open issues

 Should conditional STORE be atomic accross message set (i.e. either all messages in message set weren't changed since and conditional STORE succeeds or operation fails for all messages)? This can be difficult to implement for some servers.

## 0.2. Change History

Changes from -08 to -09:

1. Added an extended example about reporting regular (non-conditional)

flag

changes to other sessions.

2. Simplified FETCH MODSEQ syntax by removing per-metadata requests and responses.

Changes from -07 to -08:

1. Added note saying the change to UIDVALIDITY also invalidates HIGHESTMODSEQ.

2. Fixed several bugs in ABNF for STATUS and STORE commands.

Changes from -06 to -07:

1. Added clarification that when a server does command reordering, the second

completed operation gets the higher mod sequence.

2. Renamed annotation type specifier "both" to "all" as per suggestion from Minneapolis meeting.

3. Removed PERFLAGMODSEQ capability, as it doesn't buy anything: a client

has to work with both types of servers (i.e. servers that support per message per flag modseqs and servers that support only per message modseqs) anyway.

4. Per flag modsequences are optional for a server to return. Updated syntax.

5. Allow MODSEQ response code only as a result of SEARCH/SORT as suggested

by John Myers. MODSEQ response code is not allowed after FETCH or  $\ensuremath{\mathsf{STORE}}$  .

Changes from -05 to -06:

- Replaced "/message/flags/system" with "/message/flags" to match ANNOTATE draft.
- Extended FETCH/SEARCH/SORT syntax to allow for specifying whether an operation should be performed on a shared or a private annotation (or both).
- 3. Corrected some examples.

Changes from -04 to -05:

- 1. Added support for SORT extension.
- 2. Multiple language/spelling fixes by Randall Gellens.

Changes from -03 to -04:

- Added text saying that MODSEQ fetch data items cause server to include MODSEQ data response in all subsuquent unsolicited FETCH responses.
- 2. Added "authors address" section.

Changes from -02 to -03:

- 1. Changed MODTIME untagged response to MODTIME response code.
- 2. Added MODTIME response code to the tagged OK response for SEARCH. Updated examples accordingly.
- 3. Changed rule for sending untagged FETCH response as a result of STORE when .SILENT prefix is used. If .SILENT prefix is used, server doesn't have to send untagged FETCH response, because MODTIME response code already contains modtime.
- 4. Renamed MODTIME to MODSEQ to make sure there is no confusion between mod-sequence and ACAP modtime.
- 5. Minor ABNF changes.
- 6. Minor language corrections.

Changes from -01 to -02:

- 1. Added MODTIME data item to STATUS command.
- 2. Added OK untagged response to SELECT/EXAMINE.
- Clarified that MODIFIED response code contains list of UIDs for conditional UID STORE and message set for STORE.
- 4. Added per-message modtime.
- 5. Added PERFLAGMODTIME capability.
- 6. Fixed several bugs in examples.
- 7. Added more comments to ABNF.

Changes from -00 to -01:

- 1. Refreshed the list of Open Issues.
- Changed "attr-name" to "entry-name", because modtime applies to entry, not attribute.
- 3. Added MODTIME untagged response.
- 4. Cleaned up ABNF.
- 5. Added "Acknowledgments" section.
- 6. Fixed some spelling mistakes.

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### **<u>1</u>**. Abstract

Often, multiple IMAP clients need to coordinate changes to a common IMAP mailbox. Examples include different clients for the same user, and multiple users accessing shared mailboxes. These clients need a mechanism to synchronize state changes for messages within the mailbox. They must be able to guarantee that only one client can change message state (e.g., message flags or annotations) at any time. An example of such an application is use of an IMAP mailbox as a message queue with multiple dequeueing clients.

The Conditional Store facility provides a protected update mechanism for message state information that can detect and resolve conflicts between multiple writers.

### 2. Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC 2119</u> [<u>KEYWORDS</u>].

In examples, lines beginning with "S:" are sent by the IMAP server, and lines beginning with "C:" are sent by the client. Line breaks may appear in example commands solely for editorial clarity; when present in the actual message they are represented by "CRLF".

Formal syntax is defined using ABNF [ABNF] as modified by [IMAP4].

The term "metadata" or "metadata item" is used throughout this document. It refers to any system or user defined keyword or an annotation [<u>ANNOTATE</u>].

Some IMAP mailboxes are private, accessible only to the owning user. Other mailboxes are not, either because the owner has set an ACL [ACL] which permits access by other users, or because it is a shared mailbox. Let's call a metadata item "shared" for the mailbox if any changes to the metadata items are persistent and visible to all other users accessing the mailbox. Otherwise the metadata item is called "private". Note, that private metadata items are still visible to all sessions accessing the mailbox as the same user. Also note, that different mailboxes may have different metadata items as shared.

### **<u>3</u>**. Introduction and Overview

The Conditional STORE extension is present in any IMAP4 implementation which returns "CONDSTORE" as one of the supported capabilities in the CAPABILITY command response.

Every IMAP message has an associated positive unsigned 64-bit value called

а

modification sequence (mod-sequence). This is an opaque value updated by the server whenever a metadata item is modified. The value is intended to be used only for comparisons within a server. However, the server MUST guarantee that each STORE command performed on the same mailbox, including simultaneous stores to different metadata items from different connections, will get a different mod-sequence value. Also, for any two successfull STORE operations performed in the same session on the same mailbox, the mod-sequence of the second completed operation MUST be greater than the mod-sequence of the first completed. Note that the latter rule disallows

the use of the system clock as a mod-sequence, because if system time changes

(e.g., a NTP  $[\underline{\text{NTP}}]$  client adjusting the time), the next generated value might

be less than the previous one.

Mod-sequences allow a client that supports the CONDSTORE extension to determine if a message metadata has changed since some known moment. Whenever the state of a flag changes (i.e., the flag is added and before it wasn't set, or the flag is removed and before it was set) the value of the modification sequence for the message MUST be updated. Adding the flag when it is already present or removing when it is not present SHOULD NOT change the mod-sequence.

When a message is appended to a mailbox (via the IMAP APPEND command, COPY to the mailbox or using an external mechanism) the server generates a new modification sequence that is higher than the highest modification sequence of all messages in the mailbox and assigns it to the appended message.

When an annotation is added, modified or removed the corresponding message mod-sequence MUST be updated.

The server MAY store separate (per message) modification sequence values for

different metadata items. If the server does so, per message modsequence is the highest modsequence of all metadata items for the specified message.

This extension makes the following changes to the IMAP4 protocol:

- a) extends the syntax of the STORE command to allow STORE modifiers
- b) adds the MODIFIED response code which should be used with a NO response to the STORE command
- c) adds a new MODSEQ message data item for use with the FETCH command
- d) adds a new MODSEQ search criterion
- e) adds a new MODSEQ response code
- f) adds a new OK untagged responses for the SELECT and EXAMINE commands
- g) adds the HIGHESTMODSEQ status data item to the STATUS command
- h) adds a new MODSEQ sort criterion

The rest of this document describes the protocol changes more rigorously.

## **<u>4</u>**. IMAP Protocol Changes

### 4.1. New OK untagged responses for SELECT and EXAMINE

### 4.1.1. HIGHESTMODSEQ response code

This document adds a new response code that is returned in the OK untagged response for the SELECT and EXAMINE commands. A server supporting the CONDSTORE extension MUST send the OK untagged response including HIGHESTMODSEQ response code with every successful SELECT or EXAMINE command:

OK [HIGHESTMODSEQ <mod-sequence-value>]

Where <mod-sequence-value> is the highest mod-sequence value of all messages in the mailbox. When the server changes UIDVALIDITY for a mailbox, it doesn't have to keep the same HIGHESTMODSEQ for the mailbox.

A disconnected client can use the value of HIGHESTMODSEQ to check if it has to refetch flags and/or annotations from the server. If the UIDVALIDITY value has changed for the selected mailbox, the client MUST delete the cached value of HIGHESTMODSEQ. If UIDVALIDITY for the mailbox is the same and if the HIGHESTMODSEQ value stored in the client's cache is less than the value returned by the server, then some metadata items on the server have changed since the last synchronization, and the client needs to update its cache. The client MAY use SEARCH MODSEQ as described in <u>section 4.4</u> to find out exactly which metadata items have changed.

Example: C: A142 SELECT INBOX S: \* 172 EXISTS S: \* 1 RECENT S: \* OK [UNSEEN 12] Message 12 is first unseen S: \* OK [UIDVALIDITY 3857529045] UIDs valid S: \* OK [UIDNEXT 4392] Predicted next UID S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft) S: \* OK [PERMANENTFLAGS (\Deleted \Seen \\*)] Limited S: \* OK [HIGHESTMODSEQ 20010715194045007] S: A142 OK [READ-WRITE] SELECT completed

## 4.2. STORE and UID STORE Commands

Arguments: message set OPTIONAL store modifiers message data item name value for message data item

Responses: untagged responses: FETCH

Result: OK - store completed NO - store error: can't store that data BAD - command unknown or arguments invalid

This document extends the syntax of the STORE and UID STORE commands (see <u>section 6.4.6</u> of [IMAP]) to include an optional STORE modifier. The document defines the following modifier:

## UNCHANGEDSINCE

If the mod-sequence of any metadata item specified in the STORE operation for any message in the message set is greater than the specified unchangedsince value, then the command fails. On failure, a MODIFIED response code is returned which includes the message set (for STORE) or set of UIDs (for UID STORE) of all messages that failed the UNCHANGESINCE test.

Example:

C: a101 STORE 7,5,9 (UNCHANGEDSINCE 20000320162338)
 +FLAGS.SILENT (\Deleted)
S: a101 N0 [MODIFIED 7,9] Conditional STORE failed

In spite of the failure of the conditional STORE operation for message 7, the server continues to process the conditional STORE in order to find all messages which fail the test.

Use of UNCHANGEDSINCE with a modification sequence of 0 always fails if the metadata item exists.

Example:

C: a102 STORE 12 (UNCHANGEDSINCE 0)
 +FLAGS.SILENT (\$MDNSent)
S: a102 N0 [MODIFIED 12] Conditional STORE failed

If the operation is successful the server MUST update the mod-sequence attribute for every message that was changed. Untagged FETCH responses MUST be sent (even if .SILENT is specified) and each response MUST include MODSEQ message data item if its mod-sequence has changed. This is required to update clients cache with the correct mod-sequence values. See <u>section 4.3</u> for more details.

Example:

```
C: a103 UID STORE 6,4,8 (UNCHANGEDSINCE 200012121230045)
+FLAGS.SILENT (\Deleted)
S: * 1 FETCH (UID 4 MODSEQ (200012121231000))
S: * 2 FETCH (UID 6 MODSEQ (200012101230852))
S: * 4 FETCH (UID 8 MODSEQ (200012121130956))
```

S: a103 OK Conditional Store completed

Example:

- C: a104 STORE \* (UNCHANGEDSINCE 200012121230045) +FLAGS.SILENT
   (\Deleted \$Processed)
- S: \* 50 FETCH (MODSEQ (200012111230045))
- S: a104 OK Store (conditional) completed

Note: If a message is specified multiple times in the message set, and the server doesn't internally eliminate duplicates from the message set, it MUST NOT fail the conditional STORE operation for the second (or subsequent) occurrence of the message if the operation completed successfully for the first occurrence. For example, if the client specifies:

a100 STORE 7,3:9 (UNCHANGEDSINCE 200012121230045) +FLAGS.SILENT (\Deleted)

the server must not fail the operation for message 7 as part of processing "3:9" if it succeeded when message 7 was processed the first time.

### 4.3. MODSEQ message data item in FETCH Command

This extension adds a MODSEQ message data item to the FETCH command. The MODSEQ message data item allows clients to retrieve mod-sequence values for a range of messages in the currently selected mailbox.

Once the client specified the MODSEQ message data item in a FETCH request, the server MUST include the MODSEQ fetch response data items in all subsequent unsolicited FETCH responses.

Syntax: MODSEQ [<entry-names>]

The MODSEQ message data item causes the server to return MODSEQ fetch response data items.

Syntax: MODSEQ ( <permsg-modsequence> )

MODSEQ response data items contain per-message mod-sequences.

The MODSEQ response data item is returned if the client issued FETCH with MODSEQ message data item. It also allows the server to notify the client about mod-sequence changes caused by conditional STOREs (<u>section 4.2</u>) and/or

changes caused by external sources.

Example:

C: a FETCH 1:3 (MODSEQ) S: \* 1 FETCH (MODSEQ (20000624140003)) S: \* 2 FETCH (MODSEQ (20000624140007)) S: \* 3 FETCH (MODSEQ (20000624140005)) S: a OK Fetch complete

In this example the client requests per message modsequences for a set of messages.

When a flag for a message is modified in a different session, the

server

sends an unsolicited FETCH response containing the modsequence for the message.

Example:

(Session 1, authenticated as a user "alex"). The user adds a shared flag \Deleted:

C: A142 SELECT INBOX
...
S: \* FLAGS (\Answered \Flagged \Deleted \Seen \Draft)
S: \* OK [PERMANENTFLAGS (\Answered \Deleted \Seen \\*)] Limited
...
C: A160 STORE 7 +FLAGS.SILENT (\Deleted)

- S: \* 7 FETCH (MODSEQ (200012121231000))
- S: A160 OK Store completed

(Session 2, also authenticated as the user "alex"). Any changes to flags are always reported to all sessions authenticated as the same user as

#### in

the session 1.

C: C180 NOOP S: \* 7 FETCH (FLAGS (\Deleted \Answered) MODSEQ (200012121231000)) S: C180 OK Noop completed

(Session 3, authenticated as a user "andrew"). As \Deleted is a shared flag, changes in the session 1 are also reported in the session 3:

C: D210 NOOP S: \* 7 FETCH (FLAGS (\Deleted \Answered) MODSEQ (200012121231000)) S: D210 OK Noop completed

The user modifies a private flag \Seen in the session 1 ...

C: A240 STORE 7 +FLAGS.SILENT (\Seen) S: \* 7 FETCH (MODSEQ (200012121231777))

S: A240 OK Store completed ... which is only reported in the session 2 ... C: C270 NOOP S: \* 7 FETCH (FLAGS (\Deleted \Answered \Seen) MODSEQ (200012121231777))S: C270 OK Noop completed ... but not in the session 3. C: D300 NOOP S: D300 OK Noop completed And finally the user removes flags \Answered (shared) and \Seen (private) in the session 1. C: A330 STORE 7 -FLAGS.SILENT (\Answered \Seen) S: \* 7 FETCH (MODSEQ (200012121245160)) S: A330 OK Store completed Both changes are reported in the session 2 ... C: C360 NOOP S: \* 7 FETCH (FLAGS (\Deleted) MODSEQ (200012121245160)) S: C360 OK Noop completed ... and only changes to shared flags are reported in session 3. C: D390 NOOP S: \* 7 FETCH (FLAGS (\Deleted) MODSEQ (200012121245160)) S: D390 OK Noop completed 4.4. MODSEQ search criterion in SEARCH The MODSEQ criterion for the SEARCH command allows a client to search for the metadata items that were modified since a specified moment. MODSEQ [<entry-name> <entry-type-reg>] <mod-sequence-value> Syntax: Messages that have modification values which are equal to or greater than <mod-sequence-value>. This allows a client, for example, to find out which messages contain metadata items that have changed since the last time it updated its disconnected cache. The client can also specify <entry-name> and entry type (one of "shared", "private" or "all") before <mod-sequence-value>. If the server doesn't store internally separate mod-sequences for different flags and annotations, it MUST ignore

<entry-name> and <entry-type-req>. Otherwise the server should
use them to narrow down the search.

If client specifies a MODSEQ criterion in a SEARCH command and the server returns a non-empty SEARCH result, the server MUST also return a MODSEQ response code in the tagged OK response. The MODSEQ response code covers all messages returned in the untagged SEARCH results. See also section 4.6.

Example:

- C: a SEARCH MODSEQ "/message/flags/draft" all 20010320162338 ANNOTATION "/message/comment" "value" "IMAP4"
- S: \* SEARCH 2 5 6 7 11 12 18 19 20 23
- S: a OK [MODSEQ 2,5:7,11:12,18:20,23 20010917162500] Search complete

In the above example, the message numbers of any messages containing the string "IMAP4" in the "value" attribute of the "/message/comment" entry and having a mod-sequence equal to or greater than 20010320162338 for the "\Draft" flag are returned in the search results.

Example:

- C: a SEARCH OR NOT MODSEQ 20010320162338 LARGER 50000
- S: \* SEARCH
- S: a OK Search complete, nothing found

### 4.5. MODSEQ Sort Criterion

If a server implementing CONDSTORE also implements the SORT extension as defined by [<u>SORT</u>], it MUST also support sorting on per-message mod-sequence.

Syntax: MODSEQ

If client specifies a MODSEQ search (as per <u>section 4.4</u>) or sort criterion in the SORT command and the server returns a non-empty SORT result, the server MUST also return a MODSEQ response code in the tagged OK response which covers all messages returned in untagged SORT responses. See also <u>section 4.6</u>.

Example:

C: A282 SORT (SUBJECT MODSEQ) UTF-8 SINCE 1-Feb-2001

- S: \* SORT 2 81 83 84 82 882
- S: A282 OK [MODSEQ 2,81:84,882 117] SORT completed

Example:

C: A283 SORT (SUBJECT REVERSE DATE) UTF-8 MODSEQ 21

S: \* SORT 6 3 4 5 2

S: A283 OK [MODSEQ 2:6 125] SORT completed

Example:

- C: A284 SORT (MODSEQ) KOI8-R OR NOT MODSEQ 20010320162338 SUBJECT "Privet" S: \* SORT
- S: A284 OK Sort complete, nothing found

### 4.6. MODSEQ Response code for successful SEARCH and SORT

Data: message set mod-sequence value

The MODSEQ response code is sent in the following two cases:

1) If a client specifies a MODSEQ criterion in a SEARCH command and the server returns a non-empty SEARCH result, the server MUST also return a MODSEQ response code in the tagged OK response. The MODSEQ response code MUST be for all messages which were returned in the untagged SEARCH response.

The MODSEQ response code contains the message set to which the mod-sequence applies if it is in response to a SEARCH command; or the UID set if it is caused by a UID SEARCH command.

2) If client specifies a MODSEQ search or sort criterion in a SORT command and the server returns a non-empty SORT result, the server MUST also return a MODSEQ response code in the tagged OK response for all messages returned in the untagged SORT response.

The MODSEQ response code contains the message set to which the mod-sequence applies if it is sent in response to a SORT command, or the UID set if it is caused by UID SORT.

## 4.7. HIGHESTMODSEQ status data items

This document defines a new status data item:

HIGHESTMODSEQ

The highest mod-sequence value all messages in the mailbox. This is the same value that is returned by the server in the HIGHESTMODSEQ response code in OK untagged response (see section 4.1.1).

## Example: C: A042 STATUS blurdybloop (UIDNEXT MESSAGES HIGHESTMODSEQ) S: \* STATUS blurdybloop (MESSAGES 231 UIDNEXT 44292 HIGHESTMODSE0 200201011231777)

S: A042 OK STATUS completed

## **<u>5</u>**. Formal Syntax

The following syntax specification uses the Augmented Backus-Naur

Form (ABNF) notation as specified in [ABNF].

Non-terminals referenced but not defined below are as defined by [IMAP4].

Except as noted otherwise, all alphabetic characters are caseinsensitive. The use of upper or lower case characters to define token strings is for editorial clarity only. Implementations MUST accept these strings in a case-insensitive fashion.

capability	=/ "CONDSTORE"
status	<pre>= "STATUS" SP mailbox SP "(" status-att-req *(SP status-att-req) ")" ;; redefine STATUS command syntax defined in [IMAP4]</pre>
status-att-req	= status-att / "HIGHESTMODSEQ"
mailbox-data	=/ "STATUS" SP mailbox SP "(" [status-rsp-info *(SP status-rsp-info)] ")"
status-rsp-info	= status-att SP number / "HIGHESTMODSEQ" SP mod-sequence-value
store	= "STORE" SP set store-modifiers SP store-att-flags
store-modifiers	<pre>= [ SP "(" store-modifier *(SP store-modifier) ")" ]</pre>
store-modifier	<pre>= "UNCHANGEDSINCE" SP mod-sequence-value ;; Only single "UNCHANGEDSINCE" may be specified ;; in a STORE operation</pre>
fetch-att	<pre>=/ fetch-mod-sequence ;; modifies original IMAP4 fetch-att</pre>
fetch-mod-sequence	= "MODSEQ"
fetch-mod-resp	<pre>= "MODSEQ" SP "(" permsg-modsequence ")"</pre>
search-key	<pre>=/ search-modsequence ;; modifies original IMAP4 search-key</pre>
search-modsequence	= "MODSEQ" [search-modseq-ext] SP mod-sequence-value
search-modseq-ext	= SP entry-name SP entry-type-req
resp-text-code	<pre>=/ "HIGHESTMODSEQ" SP mod-sequence-value /    "MODIFIED" SP set /    "MODSEQ" SP set SP mod-sequence-value   ;; set of message numbers for STORE/FETCH or   ;; set of UIDs for UID STORE/UID FECTH</pre>

entry-name	<pre>= '"' "/message/flags/" attr-flag '"' ;; each system or user defined flag <flag> ;; is mapped to "/message/flags/<flag>", ;; where <flag> has no leading "\" for system ;; flags and has a leading "-" for all user ;; defined flags.</flag></flag></flag></pre>
entry-type-resp	= "private"   "shared" ;; metadata item type
entry-type-req	<pre>= entry-type-resp   "all" ;; perform SEARCH operation on private ;; metadata item, shared metadata item or both</pre>
permsg-modsequence	<pre>= mod-sequence-value ;; per message mod-sequence</pre>
mod-sequence-value	<pre>= 1*DIGIT ;; Unsigned 64-bit integer (mod-sequence) ;; (0 &lt;= n &lt; 18,446,744,073,709,551,615)</pre>
::Borrowed from TMAP4re	v1 and modified accordingly:
	vi and modified accordingly.
attr-flag	<pre>= "Answered" / "Flagged" / "Deleted" /    "Seen" / "Draft" / "-" attr-flag-keyword /    attr-flag-extension    ;; Does not include "\Recent"</pre>
	<pre>= "Answered" / "Flagged" / "Deleted" /    "Seen" / "Draft" / "-" attr-flag-keyword /    attr-flag-extension    ;; Does not include "\Recent"</pre>
attr-flag	<pre>= "Answered" / "Flagged" / "Deleted" /    "Seen" / "Draft" / "-" attr-flag-keyword /    attr-flag-extension    ;; Does not include "\Recent" = atom    ;; Future expansion. Client implementations    ;; MUST accept flag-extension flags. Server    ;; implementations MUST NOT generate    ;; flag-extension flags except as defined by    ;; future standard or standards-track</pre>
attr-flag attr-flag-extension	<pre>= "Answered" / "Flagged" / "Deleted" /    "Seen" / "Draft" / "-" attr-flag-keyword /    attr-flag-extension    ;; Does not include "\Recent" = atom    ;; Future expansion. Client implementations    ;; MUST accept flag-extension flags. Server    ;; implementations MUST NOT generate    ;; flag-extension flags except as defined by    ;; future standard or standards-track    ;; revisions of this specification.</pre>

# **<u>6</u>**. Security Considerations

There are no known security issues with this extension, not already found in  $[\underline{IMAP4}]$ .

# 7. References

7.1. Normative References

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### 8. Acknowledgments

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