

**Extensions to Salted Challenge Response (SCRAM) for 2 factor  
authentication  
draft-melnikov-scam-2fa-02**

Abstract

This specification describes an extension to family of Simple Authentication and Security Layer (SASL; [RFC 4422](#)) authentication mechanisms called the Salted Challenge Response Authentication Mechanism (SCRAM), which provides support for 2 factor authentication.

This specification also gives an example how TOTP ([RFC 6238](#)) can be used as the second factor.

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## Table of Contents

<a href="#">1.</a>	Introduction . . . . .	<a href="#">2</a>
<a href="#">2.</a>	Conventions Used in This Document . . . . .	<a href="#">2</a>
<a href="#">2.1.</a>	Terminology . . . . .	<a href="#">3</a>
<a href="#">2.2.</a>	Notation . . . . .	<a href="#">3</a>
<a href="#">3.</a>	SCRAM Extension for 2FA . . . . .	<a href="#">3</a>
<a href="#">4.</a>	Formal Syntax . . . . .	<a href="#">4</a>
<a href="#">5.</a>	Use of TOTP with SCRAM . . . . .	<a href="#">4</a>
<a href="#">6.</a>	Examples . . . . .	<a href="#">5</a>
<a href="#">7.</a>	Open Issues . . . . .	<a href="#">5</a>
<a href="#">8.</a>	Security Considerations . . . . .	<a href="#">5</a>
<a href="#">9.</a>	IANA Considerations . . . . .	<a href="#">5</a>
<a href="#">10.</a>	Acknowledgements . . . . .	<a href="#">6</a>
<a href="#">11.</a>	Normative References . . . . .	<a href="#">6</a>
	Author's Address . . . . .	<a href="#">6</a>

## [1.](#) Introduction

SCRAM [[RFC5802](#)] is a password based SASL [[RFC4422](#)] authentication mechanism that provides (among other things) mutual authentication and binding to an external security layer such as TLS.

Two-factor authentication (2FA) is a way to add additional security to an authentication exchange. The first "factor" is a password. The second "factor" is a verification code retrieved from an application on a mobile device or computer. 2FA is conceptually similar to a security token device that banks in some countries require for online banking. Other names for 2FA systems include OTP (one-time password) and TOTP (Time-based One-time Password algorithm, such as [[RFC6238](#)]).

This specification describes an extension to SCRAM to provide 2 factor authentication. SCRAM already relies on passwords for authentication. This document specifies how second "factors" can be incorporated into SCRAM authentication.

## [2.](#) Conventions Used in This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].



Formal syntax is defined by [\[RFC5234\]](#) including the core rules defined in [Appendix B of \[RFC5234\]](#).

Example lines prefaced by "C:" are sent by the client and ones prefaced by "S:" by the server. If a single "C:" or "S:" label applies to multiple lines, then the line breaks between those lines are for editorial clarity only, and are not part of the actual protocol exchange.

### **[2.1.](#) Terminology**

This document uses several terms defined in [\[RFC4949\]](#) ("Internet Security Glossary") including the following: authentication, authentication exchange, authentication information, brute force, challenge-response, cryptographic hash function, dictionary attack, eavesdropping, hash result, keyed hash, man-in-the-middle, nonce, one-way encryption function, password, replay attack and salt. Readers not familiar with these terms should use that glossary as a reference. Other terms defined in [\[RFC5802\]](#) are also used in this document.

### **[2.2.](#) Notation**

This document reuses notation defined in SCRAM.

## **[3.](#) SCRAM Extension for 2FA**

This extension doesn't add any extra roundtrips to SCRAM authentication. SCRAM was designed to be extensible, so it allows for optional and mandatory attributes, which covered by MAC codes. Second "factors" are conveyed in the second message sent from the client to the server.

This extension doesn't change how the client authenticates the server.

The server authenticates the client after receiving the second message as described in [Section 3 of \[RFC5802\]](#). If the client included "type" and "second-factor" attributes (see [Section 4](#)) and the server supports the specified second factor type, the server verifies content of the "second-factor" according to the "type". If the second factor verification fails, the server MUST fail authentication and SHOULD return "second-factor-failed" error in the "e" attribute. [[It would be possible to make the extra attributes mandatory by using SCRAM's "m=", but the text above doesn't do that.]]



#### 4. Formal Syntax

This document defines the following SCRAM attributes:

- o t: This attribute specifies the type of second factor. (Create IANA registry for these?) This document defines one type: "totp". If this attribute is specified, the "f" attribute MUST also be specified.
- o f: This attribute specifies the value of the second factor. For "t=totp" it is 6 digit decimal number. [[Use 8 digits per Rick van Rein?]] This attribute MUST be ignored unless the "t" attribute is also specified.

The following syntax specification uses the Augmented Backus-Naur Form (ABNF) notation as specified in [\[RFC5234\]](#).

```
type           = "t=" type-value
                ; Complies with "attr-val" syntax.
type-value     = "totp" / value
                ; Type of second factor.
                ; Should be registered with IANA.
second-factor  = "f=" second-factor-value
                ; Complies with "attr-val" syntax.
second-factor-value = 6DIGIT / value

server-error-value-ext =
    "second-factor-failed" /
    "second-factor-value-missing"

value = <as defined in RFC 5802>
```

#### 5. Use of TOTP with SCRAM

This document defines the following SCRAM attributes:

- o t: This attribute specifies the type of second factor. (Create IANA registry for these?) This document defines one type: "totp". If this attribute is specified, the "f" attribute MUST also be specified.
- o f: This attribute specifies the value of the second factor. For "t=totp" it is 6 digit decimal number. This attribute MUST be ignored unless the "t" attribute is also specified.

A TOTP URI is specified with the following ABNF:



```
totp-uri = "otpauth" "://" "totp/" label "?secret=" secret "&issuer=" issuer
label = issuer (":" / "%3A") identity
identity = 1*CHAR ; URI-encoded SASL identity
secret = 40 * HEXCHAR ; Base32 (hex) encoded secret with no padding.
issuer = 1*CHAR ; Issuer name.
```

## 6. Examples

The following example extends the example from [Section 5 of \[RFC5802\]](#):

```
C: n,,n=user,r=fyko+d2lbbFg0NRv9qkxdawL
S: r=fyko+d2lbbFg0NRv9qkxdawL3rfcNHYYJY1ZVvWVs7j,s=QSXCR+Q6sek8bf92,
  i=4096
C: c=biws,r=fyko+d2lbbFg0NRv9qkxdawL3rfcNHYYJY1ZVvWVs7j,
  t=totp,f=776804,p=v0X8v3Bz2T0CJGbJQyF0X+HI4Ts=
S: v=lz59pqV8S7suAoZWja4dJRkFskQ=
```

Please note that TOTP extension described in this document works in the same way with SCRAM-SHA-256/SCRAM-SHA-256-PLUS and SCRAM-SHA-512/SCRAM-SHA-512-PLUS variants of SCRAM.

## 7. Open Issues

Simon Josefsson: should this be a new SASL mechanism name, e.g. SCRAM-SHA-256-TOTP?

Simon Josefsson: cookie option for fast reauthentication? Alexey: can do or just used CLIENT-KEY ([draft-cridland-kitten-clientkey](#))?

Rick van Rein: specify HOTP variant as well?

Rick van Rein: use TOTP with 6 or 8 digits? Register both variants?

## 8. Security Considerations

TBD

## 9. IANA Considerations

TBD. Possibly create a new registry of second factor types.





## **10. Acknowledgements**

Thank you to Stephen Farrell for motivating creation of this document and to Dave Cridland for describing how TOTP can be used with XMPP in XEP-400. Thank you to Rick van Rein for comments and corrections, but all final errors in this document remain mine.

## **11. Normative References**

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