Network Working Group Internet-Draft Expires: April 22, 2004 D. Mierla Fraunhofer FOKUS October 23, 2003

# SIMPLE-XMPP Interworking draft-mierla-simple-xmpp-interworking-01

Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of <u>Section 10 of RFC2026</u>.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at <a href="http://www.ietf.org/ietf/lid-abstracts.txt">http://www.ietf.org/ietf/lid-abstracts.txt</a>.

The list of Internet-Draft Shadow Directories can be accessed at <a href="http://www.ietf.org/shadow.html">http://www.ietf.org/shadow.html</a>.

This Internet-Draft will expire on April 22, 2004.

## Copyright Notice

Copyright (C) The Internet Society (2003). All Rights Reserved.

## Abstract

This document describes the behavior for the logical entity named the SIMPLE-XMPP Interworking Function (SIMPLE-XMPP IWF) that allows the interworking between the SIMPLE (Session initiation protocol for Instant Messaging and Presence Leveraging Extensions) and XMPP (eXtensible Messaging and Presence Protocol - also known as Jabber protocol) protocols. It refers to the conversion of the message format from one to the other protocol.

# Table of Contents

<u>1</u> .	Introduction	3
<u>2</u> .	Conventions Used in this Document	3
	Definitions	3
<u>3.3</u>	XMPP Server	3
<u>3.5</u>	EndPoint'Non Signaling' message'Signaling' message	4
4.	Functional Requirements and Behaviour of the SIMPLE-XMPP	
1 1	IWF	
	Advanced Configuration	
	Functionality	
<u>5</u> .	General Interworking Requirements	5
<u>6</u> . <u>6.1</u>	Mapping between SIMPLE and XMPP	
	Message Type Conversion	
<u>7</u> .	Managing the message flow	
<u>8</u> .	Security Considerations	8
<u>9</u> .	Examples and scenarios	
	Basic Instant Messaging sequence	
9.2	Sample message conversion	9
	Author's Address	2
<u>A</u> . <u>A.1</u>	Revision History	
<u>B</u> .	Acknowledgments	2
<u>C</u> .	References	2
	Intellectual Property and Copyright Statements	4

### 1. Introduction

SIMPLE [1] extends the Session Initiation Protocol with Instant Messaging and Presence functionality. The Session Initiation Protocol (SIP) [3] was designed to initiate and manipulate media 'sessions' between communicating parties.

XMPP is an XML-based streaming protocol designed for Instant Messaging and Presence [2].

The primary objective of a SIMPLE-XMPP Interworking function (IWF) is to provide protocol conversion between SIMPLE and XMPP protocols. The document describes the requirements and behavior of the SIMPLE-XMPP Interworking function for conversion of the SIMPLE and XMPP protocols.

How to use SIP to initiate XMPP chat sessions [9] or how to initiate sessions over XMPP [11] are not the subject of the present document.

## 2. Conventions Used in this Document

In this document, the key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" are to be interpreted as described in RFC 2119 [8] and indicate requirement levels for the protocol.

#### 3. Definitions

## 3.1 IWF (InterWorking Function)

Performs interworking between SIMPLE and XMPP protocols.

# 3.2 SIMPLE Server

This can be either a SIP proxy, redirect or registrar server  $[\underline{3}]$  that supports SIMPLE.

## 3.3 XMPP Server

Any entity that acts according to the definition of entity 'Server' for XMPP protocol [2].

## 3.4 EndPoint

An endpoint can send and can receive instant messages. An endpoint is an entity from which the instant message originates or terminates. An endpoint can either be a SIMPLE client or an XMPP client.

## 3.5 'Non Signaling' message

Any message which does not change the state of IWF within an Instant Messaging sequence.

## 3.6 'Signaling' message

Any message which changes the state of IWF within an Instant Messaging sequence.

## 4. Functional Requirements and Behaviour of the SIMPLE-XMPP IWF

SIMPLE-XMPP IWF can be designed in various ways. This may include coexistence of SIMPLE Servers and/or XMPP Servers with IWF. The co-location of the SIMPLE server and/or XMPP server in conjunction with the IWF is a matter of implementation and not a protocol issue. There shall be no assumptions made for the optional elements and components present in either SIMPLE or XMPP networks. The solution provided here shall work for a minimum configuration required for both protocols. There may be recommendations for other configurations, which include optional components.

### **4.1** Basic Configuration

SIMPLE EndPoint <----> IWF <----> XMPP EndPoint

## **4.2** Advanced Configuration

SIMPLE EP <---> SIMPLE Srv <---> IWF <---> XMPP Srv <---> XMPP EP

## **4.3** Functionality

Therefore, an IWF must contain the following functions:

- a) Instant Messaging flow management between SIMPLE and XMPP protocols. The incoming Instant Messaging message from any endpoint must be delivered to the other endpoint after the protocol conversion.
- b) Address resolution for the two protocols.

The IWF should contain the following functions:

a) Presence flow management between SIMPLE and XMPP protocols. The incoming presence message from any endpoint must be delivered to the other endpoint after the protocol conversion.

The IWF may contain the following functions:

- a) Interoperability Service reservation and release. The IWF may reserve some messages to act as 'Signaling' messages, but these messages must be known by all parts involved in usage of IWF (e.g., the SIMPLE SUBSCRIBE message intended for a XMPP conference may be interpreted by IWF as 'joining' the conference and act according to). The IWF may release any resource that was not released by any of the parts involved in an IM sequence and is no longer in use (e.g., when an IM sequence is ended and for unknown reasons one part does not close the connection established with the IWF, the IWF may release any resource related to it).
- b) Ability to provide the state of the Interoperability Service. The IWF may inform the endpoints about the state of IWF, like stop, restart and so on.
- c) Ability to process the messages for supplementary services (file transfer, ...) if the service is supported by the all parts of the Instant Messaging flow.

## 5. General Interworking Requirements

The IWF shall provide the seamless interworking of the two protocols. The functioning of IWF must not involve any modification to the SIMPLE and XMPP protocols, but may involve specific profiles of these protocols.

The IWF should:

- a) Follow the mandatory requirements as defined by SIMPLE protocol and XMPP protocol
- b) Support all the addressing schemes of both SIMPLE and XMPP protocols.
- c) Release any related resources on the detection of the end of the Instant Messaging flow between two parts.
- d) Not make any assumptions about the capabilities of either SIMPLE client or XMPP client.

The IWF may:

- a) Have a look-up table for resolving the addresses.
- b) Use any type of data storage for keeping address resolution information.
- c) Use DNS for address resolution

d) Define a set of 'Signaling' messages without changing the SIMPLE protocol or XMPP protocol

## 6. Mapping between SIMPLE and XMPP

To convert SIP messages to XMPP messages and vice-versa the IWF must follow the general mapping procedures.

#### 6.1 General Procedures

- a) A clear mapping between SIMPLE and XMPP addresses shall be provided to support all the addressing schemes of both SIMPLE and XMPP protocols.
- b) A clear mapping between SIMPLE and XMPP messages shall be provided to reflect similar meaning in the Instant Messaging sequence.
- c) For a given message of a given protocol, there may not be a corresponding message of the other protocol that may appear to be equivalent. The IWF needs to create a mapping between the messages or generate error messages based on common understanding of an agreed upon standard.
- d) A clear mapping between SIMPLE and XMPP message attributes shall be provided to reflect similar meaning in the two protocols.
- e) All attributes used in each message on one side may not match exactly the corresponding message of the other side. In this situation, some manipulations need to be done by the IWF so that an agreed-upon standard can be created based on common under-standing although all attributes do not exactly match.
- f) The messages that do not have a match on the other side should be terminated on the IWF, and IWF should take the necessary action on them (e.g, silently discard of any unknown message).
- g) In case the IWF is required to generate a message on its own in any of the sides, IWF should follow the mandatory requirements as defined by SIMPLE protocol or XMPP protocol.

# **6.2** Message Type Conversion

The message types of the two protocols are to be converted as follows:

+	+
SIMPLE Message	XMPP Message
+======================================	+========+
MESSAGE	MESSAGE
SUBSCRIBE	PRESENCE
ii	
REGISTER	IQ
+	+

Figure 1

The common attributes of the messages of the two protocols are to be converted as follows

+	+   XMPP Attribute   -=====+
From	from
To	to
Call-ID	thread
CSeq	id
Message body	body
•	•

Figure 2

Any other attribute from any of the two protocols may be converted into an attribute of the other protocol if the meaning of the attribute is not changed. Any attribute which does not have a similar meaning attribute in the other protocol must be silently discarded.

## **6.3** Presence Specific Attributes Conversion

SIMPLE uses PIDF  $[\underline{10}]$  format to carry the presence information and the XMPP presence attributes must be converted to satisfy the PIDF format and meaning. Other details are subject for further discussions.

## 7. Managing the message flow

The management of the messages shall follow the following guidelines:

- a) Unexpected messages in a particular state of the Instant Messaging sequence shall be treated as 'Error' messages.
- b) All messages which do not change the state of the Instant Messaging sequence shall be treated as 'Non Signaling' messages.
- c) All messages which expect a change in state of the Instant Messaging sequence shall be treated as 'Signaling' messages.
- d) The content of all 'Non Signaling' messages must be delivered with no change to the destination.
- e) The 'Signaling' messages may end at IWF or may be delivered to the destination in the appropriate meaning form.

## 8. Security Considerations

A security scheme should be enabled in the IWF. A simple security scheme may be when the IWF will accept only requests from a pre-configured set of SIMPLE Servers or XMPP server only and it will reject all other requests.

All other security requirements are for further discussion.

Assumptions for the endpoints:

a) All endpoints trying to use IWF are authorized with the respective SIMPLE servers or XMPP servers.

Required for the endpoints:

a) All endpoints trying to make open an Instant Messaging flow using IWF are respectively permitted to do so from IWF, as long as their messages pass an accepted SIMPLE or XMPP server first.

Required for IWF

- a) Procedures for preventing denial of service security attacks.
- b) Maintaining persistent data for authorized endpoints for future verifications.

## 9. Examples and scenarios

This section describes some examples of Instant Messaging scenarios that will show primarily the input and output messages of the IWF for interworking between SIMPLE and XMPP.

## 9.1 Basic Instant Messaging sequence

The 'Signaling' messages (control messages) may be represented by the Presence Messages, if the Presence is supported by the EndPoint.

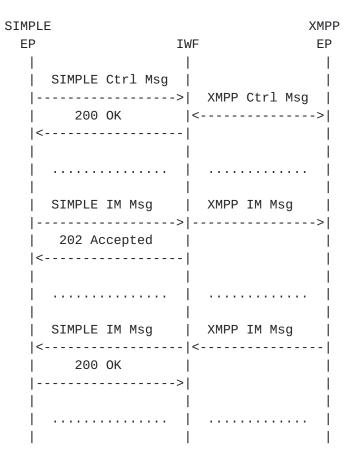


Figure 3

## 9.2 Sample message conversion

## Scenario:

- SIP server with SIMPLE support is sipserver.com
- XMPP server is xmppserver.com
- xmpp.sipserver.com is a DNS alias for SIP server
- sip.xmppserver.com is a DNS alias for XMPP server
- all SIP messages for xmpp.sipserver.com will be processed by IWF
- all XMPP messages for sip.xmppserver.com will be processed by IWF
- address mapping between SIMPLE and XMPP

The XMPP address 'xuser@xmppserver.com' is mapped by SIMPLE server as 'xuser\*xmppserver.com@xmpp.sipserver.com'.

The SIP address 'suser@sipserver.com' is mapped by XMPP server as 'suser\*sipserver.com@sip.xmmpserver.com'.

Hi!

a) Sample Instant Messaging message

```
Example of a SIMPLE message for an XMPP endpoint
| MESSAGE sip:xuser*xmppserver.com@xmpp.sipserver.com SIP/2.0
| Via: SIP/2.0/UDP xmmp.sipserver.com;branch=as42tbK14rfaFhxzi
From: <sip:suser@sipserver.com>;tag=49394
To: <sip:xuser*xmppserver.com@xmpp.sipserver.com>
| Call-ID: arnskGnska@1.2.3.4
| CSeq: 1 MESSAGE
| Content-Type: text/plain
| Content-Length: 6
| Hello!
The appropriate XMPP message generated by IWF
  <message id='1'</pre>
         from='suser*server.com@sip.xmppserver.com'
         to='xuser@xmppserver.com'>
    <body>hello!</body>
 </message>
Example of an XMPP message for a SIMPLE endpoint
  <message id='1'</pre>
         from='xuser@xmppserver.com'
         to='suser*server.com@sip.xmppserver.com'>
     <body>hi!</body>
  </message>
The appropriate SIMPLE message generated by IWF
| MESSAGE sip:suser@sipserver.com SIP/2.0
| Via: SIP/2.0/UDP xmpp.sipserver.com;branch=ld82682JUgskF12ed
| From: <sip:xuser*xmppserver.com@xmpp.sipserver.com>;tag=49394
To: <sip:suser@sipserver.com>
| Call-ID: sgRTk893HG@5.6.7.8i
| CSeq: 1 MESSAGE
| Content-Type: text/plain
| Content-Length: 3
```

Figure 4

## b) Sample Presence messages

```
SIMPLE message
NOTIFY sip:xuser*xmppserver.com@xmpp.sipserver.com SIP/2.0
| Via: SIP/2.0/UDP xmpp.sipserver.com;branch=as42tbK14rfaFhxzi
From: <sip:suser@sipserver.com>;tag=49394
To: <sip:xuser*xmppserver.com@xmpp.sipserver.com
| Call-ID: 3nedu3e0@1.2.3.4
| CSeq: 1 NOTIFY
| Event: presence
| Subscription-State: active; expires=1800
| Max-Forwards: 20
| Content-Type: application/cpim-pidf+xml
| Content-Length: ...
| [PIDF Document]
XMPP message
| presence id='1'
        from='suser*sipserver.com@sip.xmppserver.com'
        to='xuser@xmppserver.com'>
SIMPLE message
| SUBSCRIBE sip:xuser*xmppserver.com@xmpp.sipserver.com SIP/2.0
| Via: SIP/2.0/UDP xmpp.sipserver.com;branch=as42tbK14rfaFhxzi
From: <sip:suser@sipserver.com>;tag=49394
To: <sip:xuser*xmppserver.com@xmpp.sipserver.com>
| Call-ID: 4tqsdf430@1.2.3.4
| CSeq: 1 SUBSCRIBE
| Max-Forwards: 20
| Event: presence
| Accept: application/cpim-pidf+xml
| Expires: 1800
  Content-Length: 0
XMPP message
| cpresence id='1'
         from='suser*sipserver.com@sip.xmppserver.com'
         to='xuser@xmppserver.com'
         type='subscribe'/>
```

# Figure 5

#### Author's Address

Daniel-Constantin Mierla Fraunhofer FOKUS Kaiserin-Augusta-Allee 31 Berlin 10589 Germany

EMail: mierla@fokus.fraunhofer.de

## **Appendix A. Revision History**

## A.1 Changes from draft-mierla-simple-xmpp-interworking-00

- Abstract adjusted.
- The word Jabber is now referred only in the abstract, otherwise it was replaced with XMPP.
- New examples with XMPP to SIMPLE request conversion.
- The address translation within IWF is more intuitive in the sample scenario.

## Appendix B. Acknowledgments

I would like to acknowledge to Dorgham Sisalem and Jiri Kuthan from Fraunhofer FOKUS Institute for their support for this work and to Peter Saint-Andre from Jabber Software Foundation for reviewing the document. Also, I would like to thank "Sip Express Router - SER" development team and the Iptel.org for providing support with first implementation of these specifications.

#### Appendix C. References

- [1] B. Campbell et al. , "Session Initiation Protocol Extension for Instant Messaging", <u>RFC 3428</u>, December 2002.
- [2] P. Saint-Andre and J. Miller, "XMPP Core", Internet-Draft "draft-ietf-xmpp-core-18", September 2003.
- [3] Rosenberg, J., Schulzrinne, H., Camarillo, G., Johnston, A., Peterson, J., Sparks, R., Handley, M. and E. Schooler, "SIP: Session Initiation Protocol", <u>RFC 3261</u>, June 2002.

- [4] J. Rosenberg "A Presence Event Package for the Session Initiation Protocol (SIP)", Internet Draft "draft-ietf-simple-presence-10", 2003.
- [5] B. Campbell, J. Rosenberg, "CPIM Mapping of SIMPLE Presence and Instant Messaging", Internet Draft
  "draft-ietf-simple-cpim-mapping-01", June 2002.
- [6] Miller, J. and P. Saint-Andre, "XMPP Instant Messaging", Internet-Draft "draft-ietf-xmpp-im-09", April 2003.
- [7] P. Saint-Andre and T. Bamonti, "XMPP CPIM Mapping", Internet-Draft "draft-ietf-xmpp-cpim-02", August 2003.
- [8] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [9] R. Sparks, "Establishing Jabber Messaging Sessions with the Session Initiation Protocol", Internet-Draft
  "draft-sparks-simple-jabber-sessions-00", October 2002.
- [10] H. Sugano et al., "Common Presence and Instant Messaging (CPIM) Presence Information Data Format", Internet-Draft
  "draft-ietf-impp-cpim-pidf-07", December 2002.
- [11] J. Hildebrand, "CTINS: A Transport for Initiating and Negotiating Sessions using SDPng over XMPP", Internet-Draft "draft-hildebrand-xmpp-sdpng-00", February 2003.

## Intellectual Property Statement

The IETF takes no position regarding the validity or scope of any intellectual property or other rights that might be claimed to pertain to the implementation or use of the technology described in this document or the extent to which any license under such rights might or might not be available; neither does it represent that it has made any effort to identify any such rights. Information on the IETF's procedures with respect to rights in standards-track and standards-related documentation can be found in <a href="BCP-11">BCP-11</a>. Copies of claims of rights made available for publication and any assurances of licenses to be made available, or the result of an attempt made to obtain a general license or permission for the use of such proprietary rights by implementors or users of this specification can be obtained from the IETF Secretariat.

The IETF invites any interested party to bring to its attention any copyrights, patents or patent applications, or other proprietary rights which may cover technology that may be required to practice this standard. Please address the information to the IETF Executive Director.

## Full Copyright Statement

Copyright (C) The Internet Society (2003). All Rights Reserved.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included on all such copies and derivative works. However, this document itself may not be modified in any way, such as by removing the copyright notice or references to the Internet Society or other Internet organizations, except as needed for the purpose of developing Internet standards in which case the procedures for copyrights defined in the Internet Standards process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its successors or assignees.

This document and the information contained herein is provided on an "AS IS" basis and THE INTERNET SOCIETY AND THE INTERNET ENGINEERING TASK FORCE DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION

HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

# Acknowledgment

Funding for the RFC Editor function is currently provided by the Internet Society.