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PKCS #1 Version 2.2: RSA Cryptography Specifications draft-moriarty-pkcs1-00

Abstract

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1. Introduction

This document provides recommendations for the implementation of public-key cryptography based on the RSA algorithm [RSA], covering the following aspects:

- o Cryptographic primitives
- o Encryption schemes
- o Signature schemes with appendix
- o ASN.1 syntax for representing keys and for identifying the schemes

The recommendations are intended for general application within computer and communications systems, and as such include a fair amount of flexibility. It is expected that application standards based on these specifications may include additional constraints. The recommendations are intended to be compatible with the standards IEEE 1363-2000 [IEEE1363], IEEE 1363a-2004 [IEEE1363A], and ANSI X9.44-2007 [ANSIX944].

This document supersedes PKCS #1 version 2.1 [PKCS1_21] but includes compatible techniques.

The organization of this document is as follows:

o <u>Section 1</u> is an introduction.

- o Section 2 defines some notation used in this document.
- o <u>Section 3</u> defines the RSA public and private key types.
- o Sections <u>4</u> and <u>5</u> define several primitives, or basic mathematical operations. Data conversion primitives are in <u>Section 4</u>, and cryptographic primitives (encryption-decryption, signature-verification) are in <u>Section 5</u>.
- o Sections <u>6</u>, <u>7</u>, and <u>8</u> deal with the encryption and signature schemes in this document. <u>Section 6</u> gives an overview. Along with the methods found in PKCS #1 v1.5, <u>Section 7</u> defines an OAEP-based [<u>OAEP</u>] encryption scheme and <u>Section 8</u> defines a PSS-based [<u>RSARABIN</u>][PSS] signature scheme with appendix.
- o <u>Section 9</u> defines the encoding methods for the signature schemes in <u>Section 8</u>.
- o Appendix A defines the ASN.1 syntax for the keys defined in Section 3 and the schemes in Sections 7 and 8.
- o <u>Appendix B</u> defines the hash functions and the mask generation function used in this document, including ASN.1 syntax for the techniques.
- o Appendix C gives an ASN.1 module.
- o Appendices D, E, and F cover intellectual property issues, outline the revision history of PKCS #1, and provide general information about the Public-Key Cryptography Standards.

1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

2. Notation

The notation in this document includes:

- c ciphertext representative, an integer between 0 and n-1
- C ciphertext, an octet string
- d RSA private exponent

d_i additional factor r_i's CRT exponent, a positive integer such that $e * d_i == 1 \pmod{(r_i-1)}, i = 3, ..., u$ dΡ p's CRT exponent, a positive integer such that e * dP == 1 (mod (p-1))dQ q's CRT exponent, a positive integer such that e * dQ == 1 (mod (q-1))RSA public exponent е ΕM encoded message, an octet string (intended) length in bits of an encoded message EM emBits emLen (intended) length in octets of an encoded message EΜ GCD(.,.) greatest common divisor of two nonnegative integers Hash hash function hLen output length in octets of hash function Hash k length in octets of the RSA modulus n Κ RSA private key optional RSAES-OAEP label, an octet string L LCM(., ..., .) least common multiple of a list of nonnegative integers m message representative, an integer between 0 and n-1 message, an octet string mask MGF output, an octet string maskLen (intended) length of the octet string mask

mask generation function

MGF

mgfSeed	seed from which mask is generated, an octet string
mLen	length in octets of a message M
n	RSA modulus, n = r_1 * r_2 * * r_u , u >= 2
(n, e)	RSA public key
p, q	first two prime factors of the RSA modulus n
qInv	CRT coefficient, a positive integer less than p such that q * qInv == 1 (mod p)
r_i	prime factors of the RSA modulus n, including $r_1 = p$, $r_2 = q$, and additional factors if any
S	signature representative, an integer between 0 and $\ensuremath{\text{n-1}}$
S	signature, an octet string
sLen	length in octets of the EMSA-PSS salt
t_i	additional prime factor r_i's CRT coefficient, a positive integer less than r_i such that
	r_1 * r_2 * * r_(i-1) * t_i == 1 (mod r_i) ,
	i = 3,, u
u	number of prime factors of the RSA modulus, $u \geq 2$
Х	a nonnegative integer
Х	an octet string corresponding to x
xLen	(intended) length of the octet string X
0x	indicator of hexadecimal representation of an octet or an octet string; "0x48" denotes the octet with hexadecimal value 48; "(0x)48 09 0e" denotes the string of three consecutive octets with hexadecimal value 48, 09, and 0e, respectively
\lambda(n)	LCM(r_1-1, r_2-1,, r_u-1)

\xor bit-wise exclusive-or of two octet strings

\ceil(.)	ceiling function; $\cite{ceil}(x)$ is the smallest integer larger than or equal to the real number x
П	concatenation operator
==	<pre>congruence symbol; a == b (mod n) means that the integer n divides the integer a - b</pre>

Note. The CRT can be applied in a non-recursive as well as a recursive way. In this document a recursive approach following Garner's algorithm [GARNER] is used. See also Note 1 in Section 3.2.

3. Key types

Two key types are employed in the primitives and schemes defined in this document: RSA public key and RSA private key. Together, an RSA public key and an RSA private key form an RSA key pair.

This specification supports so-called "multi-prime" RSA where the modulus may have more than two prime factors. The benefit of multi-prime RSA is lower computational cost for the decryption and signature primitives, provided that the CRT (Chinese Remainder Theorem) is used. Better performance can be achieved on single processor platforms, but to a greater extent on multiprocessor platforms, where the modular exponentiations involved can be done in parallel.

For a discussion on how multi-prime affects the security of the RSA cryptosystem, the reader is referred to [SILVERMAN].

3.1. RSA public key

For the purposes of this document, an RSA public key consists of two components:

- n the RSA modulus, a positive integer
- e the RSA public exponent, a positive integer

In a valid RSA public key, the RSA modulus n is a product of u distinct odd primes r_i, i = 1, 2, ..., u, where u >= 2, and the RSA public exponent e is an integer between 3 and n - 1 satisfying GCD(e, $\lambda(n) = 1$, where $\lambda(n) = LCM(r_1 - 1, ..., r_u - 1)$. By convention, the first two primes r_1 and r_2 may also be denoted p and q respectively.

A recommended syntax for interchanging RSA public keys between implementations is given in <u>Appendix A.1.1</u>; an implementation's internal representation may differ.

3.2. RSA private key

For the purposes of this document, an RSA private key may have either of two representations.

- 1. The first representation consists of the pair (n, d), where the components have the following meanings:
 - n the RSA modulus, a positive integer
 - d the RSA private exponent, a positive integer
- 2. The second representation consists of a quintuple (p, q, dP, dQ, qInv) and a (possibly empty) sequence of triplets (r_i, d_i, t_i), $i = 3, \ldots, u$, one for each prime not in the quintuple, where the components have the following meanings:
 - p the first factor, a positive integer
 - q the second factor, a positive integer
 - dP the first factor's CRT exponent, a positive integer
 - dQ the second factor's CRT exponent, a positive integer
 - qInv the (first) CRT coefficient, a positive integer
 - r_i the i-th factor, a positive integer
 - d_i the i-th factor's CRT exponent, a positive integer
 - t_i the i-th factor's CRT coefficient, a positive integer

In a valid RSA private key with the first representation, the RSA modulus n is the same as in the corresponding RSA public key and is the product of u distinct odd primes r_i , i = 1, 2, ..., u, where u >= 2. The RSA private exponent d is a positive integer less than n satisfying

```
e * d == 1 \pmod \lambda(n),
```

In a valid RSA private key with the second representation, the two factors p and q are the first two prime factors of the RSA modulus n (i.e., r_1 and r_2), the CRT exponents dP and dQ are positive integers less than p and q respectively satisfying

```
e * dP == 1 (mod (p-1))
```

 $e * dQ == 1 \pmod{(q-1)}$,

and the CRT coefficient qInv is a positive integer less than p satisfying

```
q * qInv == 1 (mod p).
```

If u > 2, the representation will include one or more triplets (r_i, d_i, t_i), i = 3, ..., u. The factors r_i are the additional prime factors of the RSA modulus n. Each CRT exponent d_i (i = 3, ..., u) satisfies

```
e * d_i == 1 \pmod{(r_i - 1)}.
```

Each CRT coefficient t_i (i = 3, ..., u) is a positive integer less than r_i satisfying

```
R_i * t_i == 1 \pmod{r_i}, where R_i = r_1 * r_2 * ... * r_{(i-1)}.
```

A recommended syntax for interchanging RSA private keys between implementations, which includes components from both representations, is given in Appendix A.1.2; an implementation's internal representation may differ.

Notes.

- 1. The definition of the CRT coefficients here and the formulas that use them in the primitives in <u>Section 5</u> generally follow Garner's algorithm [GARNER] (see also Algorithm 14.71 in [HANDBOOK]). However, for compatibility with the representations of RSA private keys in PKCS #1 v2.0 and previous versions, the roles of p and q are reversed compared to the rest of the primes. Thus, the first CRT coefficient, qInv, is defined as the inverse of q mod p, rather than as the inverse of R_1 mod r_2, i.e., of p mod q.
- 2. Quisquater and Couvreur [FASTDEC] observed the benefit of applying the Chinese Remainder Theorem to RSA operations.

4. Data conversion primitives

Two data conversion primitives are employed in the schemes defined in this document:

- o I2OSP Integer-to-Octet-String primitive
- o OS2IP Octet-String-to-Integer primitive

For the purposes of this document, and consistent with ASN.1 syntax, an octet string is an ordered sequence of octets (eight-bit bytes). The sequence is indexed from first (conventionally, leftmost) to last (rightmost). For purposes of conversion to and from integers, the first octet is considered the most significant in the following conversion primitives.

4.1. I20SP

I2OSP converts a nonnegative integer to an octet string of a specified length.

I20SP (x, xLen)

Input:

x nonnegative integer to be converted

xLen intended length of the resulting octet string

Output:

X corresponding octet string of length xLen

Error: "integer too large"

Steps:

- 1. If $x \ge 256^x$ Len, output "integer too large" and stop.
- 2. Write the integer x in its unique xLen-digit representation in base 256:

```
x = x_{x-1} =
```

where $0 \le x_i \le 256$ (note that one or more leading digits will be zero if x is less than $256^{(x_{en-1})}$).

3. Let the octet X_i have the integer value $x_(xLen-i)$ for 1 <= i <= xLen. Output the octet string

$$X = X_1 X_2 \dots X_x$$
Len.

4.2. OS2IP

OS2IP converts an octet string to a nonnegative integer.

0S2IP (X)

Input: X octet string to be converted

Output: x corresponding nonnegative integer

Steps:

- 1. Let X_1 X_2 ... X_xLen be the octets of X from first to last, and let x_(xLen-i) be the integer value of the octet X_i for 1 <= i <= xLen.</pre>
- 2. Let $x = x_{x-1} = x_0 + x$
- 3. Output x.

5. Cryptographic primitives

Cryptographic primitives are basic mathematical operations on which cryptographic schemes can be built. They are intended for implementation in hardware or as software modules, and are not intended to provide security apart from a scheme.

Four types of primitive are specified in this document, organized in pairs: encryption and decryption; and signature and verification.

The specifications of the primitives assume that certain conditions are met by the inputs, in particular that RSA public and private keys are valid.

5.1. Encryption and decryption primitives

An encryption primitive produces a ciphertext representative from a message representative under the control of a public key, and a decryption primitive recovers the message representative from the ciphertext representative under the control of the corresponding private key.

One pair of encryption and decryption primitives is employed in the encryption schemes defined in this document and is specified here: RSAEP/RSADP. RSAEP and RSADP involve the same mathematical operation, with different keys as input. The primitives defined here are the same as IFEP-RSA/IFDP-RSA in IEEE 1363-2000 [IEEE1363]

(except that support for multi-prime RSA has been added) and are compatible with PKCS $\#1\ v1.5$.

The main mathematical operation in each primitive is exponentiation.

5.1.1. RSAEP

```
RSAEP ((n, e), m)
```

Input:

(n, e) RSA public key

m message representative, an integer between 0 and n-1

Output: c ciphertext representative, an integer between 0 and n - 1

Error: "message representative out of range"

Assumption: RSA public key (n, e) is valid

Steps:

- 1. If the message representative m is not between 0 and n 1, output "message representative out of range" and stop.
- 2. Let $c = m \cdot e \mod n$.
- 3. Output c.

5.1.2. RSADP

```
RSADP (K, c)
```

Input:

K RSA private key, where K has one of the following forms:

- + a pair (n, d)
- + a quintuple (p, q, dP, dQ, qInv) and a possibly empty sequence of triplets (r_i, d_i, t_i) , i = 3, ..., u
- c ciphertext representative, an integer between 0 and n-1

Output: m message representative, an integer between 0 and n - 1

Error: "ciphertext representative out of range"

Assumption: RSA private key K is valid

Steps:

- 1. If the ciphertext representative c is not between 0 and n 1, output "ciphertext representative out of range" and stop.
- 2. The message representative m is computed as follows.
 - a. If the first form (n, d) of K is used, let $m = c^d \mod n$.
 - b. If the second form (p, q, dP, dQ, qInv) and (r_i, d_i, t_i) of K is used, proceed as follows:
 - i. Let $m_1 = c^dP \mod p$ and $m_2 = c^dQ \mod q$.
 - ii. If u > 2, let $m_i = c^(d_i) \mod r_i$, i = 3, ..., u.
 - iii. Let $h = (m_1 m_2) * qInv mod p$.
 - iv. Let $m = m_2 + q * h$.
 - v. If u > 2, let $R = r_1$ and for i = 3 to u do
 - 1. Let $R = R * r_{(i-1)}$.
 - 2. Let $h = (m_i m) * t_i \mod r_i$.
 - 3. Let m = m + R * h.
- 3. Output m.

Note. Step 2.b can be rewritten as a single loop, provided that one reverses the order of p and q. For consistency with PKCS #1 v2.0, however, the first two primes p and q are treated separately from the additional primes.

5.2. Signature and verification primitives

A signature primitive produces a signature representative from a message representative under the control of a private key, and a verification primitive recovers the message representative from the signature representative under the control of the corresponding public key. One pair of signature and verification primitives is employed in the signature schemes defined in this document and is specified here: RSASP1/RSAVP1.

The primitives defined here are the same as IFSP-RSA1/IFVP-RSA1 in IEEE 1363-2000 [IEEE1363] (except that support for multi-prime RSA has been added) and are compatible with PKCS #1 v1.5.

The main mathematical operation in each primitive is exponentiation, as in the encryption and decryption primitives of <u>Section 5.1</u>. RSASP1 and RSAVP1 are the same as RSADP and RSAEP except for the names of their input and output arguments; they are distinguished as they are intended for different purposes.

5.2.1. RSASP1

RSASP1 (K, m)

Input:

- K RSA private key, where K has one of the following forms:
 - a pair (n, d)
 - a quintuple (p, q, dP, dQ, qInv) and a (possibly empty) sequence of triplets (r_i, d_i, t_i), i = 3, ..., u
- m message representative, an integer between 0 and n 1

Output:

s signature representative, an integer between 0 and n - 1

Error: "message representative out of range"

Assumption: RSA private key K is valid

Steps:

- 1. If the message representative m is not between 0 and n 1, output "message representative out of range" and stop.
- 2. The signature representative s is computed as follows.
 - a. If the first form (n, d) of K is used, let $s = m \wedge d \mod n$.
 - b. If the second form (p, q, dP, dQ, qInv) and (r_i, d_i, t_i) of K is used, proceed as follows:
 - 1. Let $s_1 = m^dP \mod p$ and $s_2 = m^dQ \mod q$.
 - 2. If u > 2, let $s_i = m^{(d_i)} \mod r_i$, i = 3, ..., u.
 - 3. Let $h = (s_1 s_2) * qInv mod p$.

- 4. Let $s = s_2 + q * h$.
- 5. If u > 2, let $R = r_1$ and for i = 3 to u do
 - a. Let $R = R * r_{(i-1)}$.
 - b. Let $h = (s_i s) * t_i \mod r_i$.
 - c. Let s = s + R * h.
- 3. Output s.

Note. Step 2.b can be rewritten as a single loop, provided that one reverses the order of p and q. For consistency with PKCS #1 v2.0, however, the first two primes p and q are treated separately from the additional primes.

5.2.2. RSAVP1

RSAVP1 ((n, e), s)

Input:

(n, e) RSA public key

s signature representative, an integer between 0 and n - 1 $\,$

Output:

m message representative, an integer between 0 and n-1

Error: "signature representative out of range"

Assumption: RSA public key (n, e) is valid

Steps:

- 1. If the signature representative s is not between 0 and n 1, output "signature representative out of range" and stop.
- 2. Let $m = s \wedge e \mod n$.
- 3. Output m.

6. Overview of schemes

A scheme combines cryptographic primitives and other techniques to achieve a particular security goal. Two types of scheme are specified in this document: encryption schemes and signature schemes with appendix.

The schemes specified in this document are limited in scope in that their operations consist only of steps to process data with an RSA public or private key, and do not include steps for obtaining or validating the key. Thus, in addition to the scheme operations, an application will typically include key management operations by which parties may select RSA public and private keys for a scheme operation. The specific additional operations and other details are outside the scope of this document.

As was the case for the cryptographic primitives (Section 5), the specifications of scheme operations assume that certain conditions are met by the inputs, in particular that RSA public and private keys are valid. The behavior of an implementation is thus unspecified when a key is invalid. The impact of such unspecified behavior depends on the application. Possible means of addressing key validation include explicit key validation by the application; key validation within the public-key infrastructure; and assignment of liability for operations performed with an invalid key to the party who generated the key.

A generally good cryptographic practice is to employ a given RSA key pair in only one scheme. This avoids the risk that vulnerability in one scheme may compromise the security of the other, and may be essential to maintain provable security. While RSAES-PKCS1-v1_5 (Section 7.2) and RSASSA-PKCS1-v1_5 (Section 8.2) have traditionally been employed together without any known bad interactions (indeed, this is the model introduced by PKCS #1 v1.5), such a combined use of an RSA key pair is NOT RECOMMENDED for new applications.

To illustrate the risks related to the employment of an RSA key pair in more than one scheme, suppose an RSA key pair is employed in both RSAES-OAEP (Section 7.1) and RSAES-PKCS1-v1_5. Although RSAES-OAEP by itself would resist attack, an opponent might be able to exploit a weakness in the implementation of RSAES-PKCS1-v1_5 to recover messages encrypted with either scheme. As another example, suppose an RSA key pair is employed in both RSASSA-PSS (Section 8.1) and RSASSA-PKCS1-v1_5. Then the security proof for RSASSA-PSS would no longer be sufficient since the proof does not account for the possibility that signatures might be generated with a second scheme. Similar considerations may apply if an RSA key pair is employed in one of the schemes defined here and in a variant defined elsewhere.

7. Encryption schemes

For the purposes of this document, an encryption scheme consists of an encryption operation and a decryption operation, where the encryption operation produces a ciphertext from a message with a recipient's RSA public key, and the decryption operation recovers the message from the ciphertext with the recipient's corresponding RSA private key.

An encryption scheme can be employed in a variety of applications. A typical application is a key establishment protocol, where the message contains key material to be delivered confidentially from one party to another. For instance, PKCS #7 [RFC2315] employs such a protocol to deliver a content-encryption key from a sender to a recipient; the encryption schemes defined here would be suitable keyencryption algorithms in that context.

Two encryption schemes are specified in this document: RSAES-OAEP and RSAES-PKCS1-v1_5. RSAES-OAEP is required to be supported for new applications; RSAES-PKCS1-v1_5 is included only for compatibility with existing applications.

The encryption schemes given here follow a general model similar to that employed in IEEE 1363-2000 [IEEE1363], combining encryption and decryption primitives with an encoding method for encryption. The encryption operations apply a message encoding operation to a message to produce an encoded message, which is then converted to an integer message representative. An encryption primitive is applied to the message representative to produce the ciphertext. Reversing this, the decryption operations apply a decryption primitive to the ciphertext to recover a message representative, which is then converted to an octet string encoded message. A message decoding operation is applied to the encoded message to recover the message and verify the correctness of the decryption.

To avoid implementation weaknesses related to the way errors are handled within the decoding operation (see [BLEICHENBACHER] and [MANGER]), the encoding and decoding operations for RSAES-OAEP and RSAES-PKCS1-v1_5 are embedded in the specifications of the respective encryption schemes rather than defined in separate specifications. Both encryption schemes are compatible with the corresponding schemes in PKCS #1 v2.1.

7.1. RSAES-OAEP

RSAES-OAEP combines the RSAEP and RSADP primitives (Sections 5.1.1 and 5.1.2) with the EME-OAEP encoding method (step 2 in Section 7.1.1 and step 3 in Section 7.1.2). EME-OAEP is based on Bellare and

Rogaway's Optimal Asymmetric Encryption scheme [OAEP]. (OAEP stands for "Optimal Asymmetric Encryption Padding"). It is compatible with the IFES scheme defined in IEEE 1363-2000 [IEEE1363], where the encryption and decryption primitives are IFEP-RSA and IFDP-RSA and the message encoding method is EME-OAEP. RSAES-OAEP can operate on messages of length up to k - 2hLen -2 octets, where hLen is the length of the output from the underlying hash function and k is the length in octets of the recipient'sRSA modulus.

Assuming that computing e-th roots modulo n is infeasible and the mask generation function in RSAES-OAEP has appropriate properties, RSAES-OAEP is semantically secure against adaptive chosen-ciphertext attacks. This assurance is provable in the sense that the difficulty of breaking RSAES-OAEP can be directly related to the difficulty of inverting the RSA function, provided that the mask generation function is viewed as a black box or random oracle; see [FOPS] and the note below for further discussion.

Both the encryption and the decryption operations of RSAES-OAEP take the value of a label L as input. In this version of PKCS #1, L is the empty string; other uses of the label are outside the scope of this document. See Appendix A.2.1 for the relevant ASN.1 syntax.

Note. Past results have helpfully clarified the security properties of the OAEP encoding method [OAEP] (roughly the procedure described in step 2 in Section 7.1.1). The background is as follows. In 1994, Bellare and Rogaway [OAEP] introduced a security concept that they denoted plaintext awareness (PA94). They proved that if a deterministic public-key encryption primitive (e.g., RSAEP) is hard to invert without the private key, then the corresponding OAEP-based encryption scheme is plaintext-aware (in the random oracle model), meaning roughly that an adversary cannot produce a valid ciphertext without actually "knowing"the underlying plaintext. Plaintext awareness of an encryption scheme is closely related to the resistance of the scheme against chosen-ciphertext attacks. In such attacks, an adversary is given the opportunity to send queries to an oracle simulating the decryption primitive. Using the results of these queries, the adversary attempts to decrypt a challenge ciphertext.

However, there are two flavors of chosen-ciphertext attacks, and PA94 implies security against only one of them. The difference relies on what the adversary is allowed to do after she is given the challenge

ciphertext. The indifferent attack scenario (denoted CCA1) does not admit any queries to the decryption oracle after the adversary is given the challenge ciphertext, whereas the adaptive scenario (denoted CCA2) does (except that the decryption oracle refuses to decrypt the challenge ciphertext once it is published). In 1998, Bellare and Rogaway, together with Desai and Pointcheval [PA98], came up with a new, stronger notion of plaintext awareness (PA98) that does imply security against CCA2.

To summarize, there have been two potential sources for misconception: that PA94 and PA98 are equivalent concepts; or that CCA1 and CCA2 are equivalent concepts. Either assumption leads to the conclusion that the Bellare-Rogaway paper implies security of OAEP against CCA2, which it does not.

(Footnote: It might be fair to mention that PKCS #1 v2.0 cites [OAEP] and claims that "a chosen ciphertext attack is ineffective against a plaintext-aware encryption scheme such as RSAES-OAEP" without specifying the kind of plaintext awareness or chosen ciphertext attack considered.)

OAEP has never been proven secure against CCA2; in fact, Victor Shoup [SHOUP] has demonstrated that such a proof does not exist in the general case. Put briefly, Shoup showed that an adversary in the CCA2 scenario who knows how to partially invert the encryption primitive but does not know how to invert it completely may well be able to break the scheme. For example, one may imagine an attacker who is able to break RSAES-OAEP if she knows how to recover all but the first 20 bytes of a random integer encrypted with RSAEP. Such an attacker does not need to be able to fully invert RSAEP, because she does not use the first 20 octets in her attack.

Still, RSAES-OAEP is secure against CCA2, which was proved by Fujisaki, Okamoto, Pointcheval, and Stern [FOPS] shortly after the announcement of Shoup's result. Using clever lattice reduction techniques, they managed to show how to invert RSAEP completely given a sufficiently large part of the pre-image. This observation, combined with a proof that OAEP is secure against CCA2 if the underlying encryption primitive is hard to partially invert, fills the gap between what Bellare and Rogaway proved about RSAES-OAEP and what some may have believed that they proved. Somewhat paradoxically, we are hence saved by an ostensible weakness in RSAEP (i.e., the whole inverse can be deduced from parts of it).

Unfortunately however, the security reduction is not efficient for concrete parameters. While the proof successfully relates an adversary A against the CCA2 security of RSAES-OAEP to an algorithm I inverting RSA, the probability of success for I is only approximately

 $\epsilon^2 / 2^18$, where ϵ is the probability of success for A.

(Footnote: In [FOPS] the probability of success for the inverter was $\ensuremath{\mbox{\mbox{opsilon}}}\ensuremath{\mbox{\mbox{opsilon}}}\ensuremath{\mbox{\mbox{opsilon}}}\ensuremath{\mbox{opsilon}}\ensuremat$

In addition, the running time for I is approximately t^2, where t is the running time of the adversary. The consequence is that we cannot exclude the possibility that attacking RSAES-OAEP is considerably easier than inverting RSA for concrete parameters. Still, the existence of a security proof provides some assurance that the RSAES-OAEP construction is sounder than ad hoc constructions such as RSAES-PKCS1-v1_5.

Hybrid encryption schemes based on the RSA-KEM key encapsulation paradigm offer tight proofs of security directly applicable to concrete parameters; see [IS018033] for discussion. Future versions of PKCS #1 may specify schemes based on this paradigm.

7.1.1. Encryption operation

RSAES-OAEP-ENCRYPT ((n, e), M, L)

Options:

Hash hash function (hLen denotes the length in octets of the hash

function output)

MGF mask generation function

Input:

Μ

message to be encrypted, an octet string of length mLen, where mLen <= k - 2hLen - 2

L optional label to be associated with the message; the default value for L, if L is not provided, is the empty string

Output:

C ciphertext, an octet string of length k

Errors: "message too long"; "label too long"

Assumption: RSA public key (n, e) is valid

Steps:

1. Length checking:

- a. If the length of L is greater than the input limitation for the hash function ($2^61 1$ octets for SHA-1), output "label too long" and stop.
- b. If mLen > k 2hLen 2, output "message too long" and stop.
- 2. EME-OAEP encoding (see Figure 1 below):
 - a. If the label L is not provided, let L be the empty string. Let lHash = Hash(L), an octet string of length hLen (see the note below).
 - b. Generate an octet string PS consisting of k mLen 2hLen- 2 zero octets. The length of PS may be zero.
 - c. Concatenate lHash, PS, a single octet with hexadecimal value 0x01, and the message M to form a data block DB of length k hLen 1 octets as

```
DB = 1Hash || PS || 0x01 || M.
```

- d. Generate a random octet string seed of length hLen.
- e. Let dbMask = MGF(seed, k hLen 1).
- f. Let maskedDB = DB \times or dbMask.
- g. Let seedMask = MGF(maskedDB, hLen).
- h. Let maskedSeed = seed \xor seedMask.
- Concatenate a single octet with hexadecimal value 0x00, maskedSeed, and maskedDB to form an encoded message EM of length k octets as

```
EM = 0x00 || maskedSeed || maskedDB.
```

3. RSA encryption:

a. Convert the encoded message EM to an integer message representative m (see <u>Section 4.2</u>):

$$m = OS2IP (EM).$$

b. Apply the RSAEP encryption primitive (<u>Section 5.1.1</u>) to the RSA public key (n, e) and the message representative m to produce an integer ciphertext representative c:

$$c = RSAEP ((n, e), m).$$

c. Convert the ciphertext representative c to a ciphertext C of length k octets (see <u>Section 4.1</u>):

$$C = I2OSP(c, k).$$

4. Output the ciphertext C.

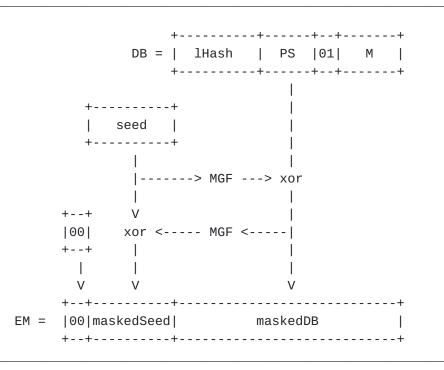


Figure 1: EME-OAEP encoding operation. lHash is the hash of the optional label L. Decoding operation follows reverse steps to recover M and verify lHash and PS.

Note. If L is the empty string, the corresponding hash value lHash has the following hexadecimal representation for different choices of Hash:

SHA-1: (0x)da39a3ee 5e6b4b0d 3255bfef 95601890 afd80709

SHA-256: (0x)e3b0c442 98fc1c14 9afbf4c8 996fb924 27ae41e4 649b934c

a495991b 7852b855

SHA-384: (0x)38b060a7 51ac9638 4cd9327e b1b1e36a 21fdb711 14be0743

4c0cc7bf 63f6e1da 274edebf e76f65fb d51ad2f1 4898b95b

SHA-512: (0x)cf83e135 7eefb8bd f1542850 d66d8007 d620e405 0b5715dc

83f4a921 d36ce9ce 47d0d13c 5d85f2b0 ff8318d2 877eec2f

63b931bd 47417a81 a538327a f927da3e

7.1.2. Decryption operation

RSAES-OAEP-DECRYPT (K, C, L)

Options:

Hash hash function (hLen denotes the length in octets of the hash

function output)

MGF mask generation function

Input:

K recipient's RSA private key (k denotes the length in octets of the RSA modulus n)

C ciphertext to be decrypted, an octet string of length k, where k = 2hLen + 2

L optional label whose association with the message is to be verified; the default value for L, if L is not provided, is the empty string

Output:

M message, an octet string of length mLen, where mLen $\leq k - 2h$ en ≤ 2

Error: "decryption error"

Steps:

1. Length checking:

a. If the length of L is greater than the input limitation for the hash function $(2^61 - 1 \text{ octets for SHA-1})$, output "decryption error" and stop.

- b. If the length of the ciphertext C is not k octets, output "decryption error" and stop.
- c. If k < 2hLen + 2, output "decryption error" and stop.

2. RSA decryption:

a. Convert the ciphertext C to an integer ciphertext representative c (see <u>Section 4.2</u>):

$$c = OS2IP(C)$$
.

b. Apply the RSADP decryption primitive (<u>Section 5.1.2</u>) to the RSA private key K and the ciphertext representative c to produce an integer message representative m:

$$m = RSADP(K, c).$$

If RSADP outputs "ciphertext representative out of range" (meaning that $c \ge n$), output "decryption error" and stop.

c. Convert the message representative m to an encoded message EM of length k octets (see Section 4.1):

$$EM = I2OSP (m, k).$$

- 3. EME-OAEP decoding:
 - a. If the label L is not provided, let L be the empty string. Let lHash = Hash(L), an octet string of length hLen (see the note in <u>Section 7.1.1</u>).
 - b. Separate the encoded message EM into a single octet Y, an octet string maskedSeed of length hLen, and an octet string maskedDB of length k hLen 1 as

```
EM = Y \mid \mid maskedSeed \mid \mid maskedDB.
```

- c. Let seedMask = MGF(maskedDB, hLen).
- d. Let seed = maskedSeed \xor seedMask.
- e. Let dbMask = MGF(seed, k hLen 1).
- f. Let $DB = maskedDB \setminus xor dbMask$.

g. Separate DB into an octet string lHash' of length hLen, a (possibly empty) padding string PS consisting of octets with hexadecimal value 0x00, and a message M as

DB = 1Hash' || PS || 0x01 || M.

If there is no octet with hexadecimal value 0x01 to separate PS from M, if lHash does not equal lHash', or if Y is nonzero, output "decryption error" and stop. (See the note below.)

4. Output the message M.

Note. Care must be taken to ensure that an opponent cannot distinguish the different error conditions in Step 3.g, whether by error message or timing, or, more generally, learn partial information about the encoded message EM. Otherwise an opponent may be able to obtain useful information about the decryption of the ciphertext C, leading to a chosen-ciphertext attack such as the one observed by Manger [MANGER].

7.2. RSAES-PKCS1-v1_5

RSAES-PKCS1-v1_5 combines the RSAEP and RSADP primitives (Sections 5.1.1 and 5.1.2) with the EME-PKCS1-v1_5 encoding method (step 1 in Section 7.2.1 and step 3 in Section 7.2.2). It is mathematically equivalent to the encryption scheme in PKCS #1 v1.5. RSAES-PKCS1-v1_5 can operate on messages of length up to k - 11 octets (k is the octet length of the RSA modulus), although care should be taken to avoid certain attacks on low-exponent RSA due to Coppersmith, Franklin, Patarin, and Reiter when long messages are encrypted (see the third bullet in the notes below and [LOWEXP]; [NEWATTACK] contains an improved attack). As a general rule, the use of this scheme for encrypting an arbitrary message, as opposed to a randomly generated key, is NOT RECOMMENDED.

It is possible to generate valid RSAES-PKCS1-v1_5 ciphertexts without knowing the corresponding plaintexts, with a reasonable probability of success. This ability can be exploited in a chosen- ciphertext attack as shown in [BLEICHENBACHER]. Therefore, if RSAES-PKCS1-v1_5 is to be used, certain easily implemented countermeasures should be taken to thwart the attack found in [BLEICHENBACHER]. Typical examples include the addition of structure to the data to be encoded, rigorous checking of PKCS #1 v1.5 conformance (and other redundancy) in decrypted messages, and the consolidation of error messages in a client-server protocol based on PKCS #1 v1.5. These can all be effective countermeasures and do not involve changes to a PKCS #1 v1.5-based protocol. See [BKS] for a further discussion of these and

other countermeasures. It has recently been shown that the security of the SSL/TLS handshake protocol [RFC5246], which uses RSAES-PKCS1-v1_5 and certain countermeasures, can be related to a variant of the RSA problem; see [RSATLS] for discussion.

Note. The following passages describe some security recommendations pertaining to the use of RSAES-PKCS1-v1_5. Recommendations from version 1.5 of this document are included as well as new recommendations motivated by cryptanalytic advances made in the intervening years.

- o It is RECOMMENDED that the pseudorandom octets in step 2 in Section 7.2.1 be generated independently for each encryption process, especially if the same data is input to more than one encryption process. Haastad's results [HAASTAD] are one motivation for this recommendation.
- o The padding string PS in step 2 in <u>Section 7.2.1</u> is at least eight octets long, which is a security condition for public-key operations that makes it difficult for an attacker to recover data by trying all possible encryption blocks.
- o The pseudorandom octets can also help thwart an attack due to Coppersmith et al. [LOWEXP] (see [NEWATTACK] for an improvement of the attack) when the size of the message to be encrypted is kept small. The attack works on low-exponent RSA when similar messages are encrypted with the same RSA public key. More specifically, in one flavor of the attack, when two inputs to RSAEP agree on a large fraction of bits (8/9) and low-exponent RSA (e = 3) is used to encrypt both of them, it may be possible to recover both inputs with the attack. Another flavor of the attack is successful in decrypting a single ciphertext when a large fraction (2/3) of the input to RSAEP is already known. For typical applications, the message to be encrypted is short (e.g., a 128-bit symmetric key) so not enough information will be known or common between two messages to enable the attack. However, if a long message is encrypted, or if part of a message is known, then the attack may be a concern. In any case, the RSAES-OAEP scheme overcomes the attack.

7.2.1. Encryption operation

RSAES-PKCS1-V1_5-ENCRYPT ((n, e), M)

Input:

- (n, e) recipient's RSA public key (k denotes the length in octets of the modulus <math>n)
- M message to be encrypted, an octet string of length mLen, where mLen <= k 11

Output:

C ciphertext, an octet string of length k

Error: "message too long"

Steps:

- Length checking: If mLen > k 11, output "message too long" and stop.
- 2. EME-PKCS1-v1_5 encoding:
 - a. Generate an octet string PS of length k mLen 3 consisting of pseudo-randomly generated nonzero octets. The length of PS will be at least eight octets.
 - Concatenate PS, the message M, and other padding to form an encoded message EM of length k octets as

```
EM = 0x00 \mid \mid 0x02 \mid \mid PS \mid \mid 0x00 \mid \mid M.
```

- 3. RSA encryption:
 - a. Convert the encoded message EM to an integer message representative m (see <u>Section 4.2</u>):

```
m = OS2IP (EM).
```

b. Apply the RSAEP encryption primitive (<u>Section 5.1.1</u>) to the RSA public key (n, e) and the message representative m to produce an integer ciphertext representative c:

```
c = RSAEP ((n, e), m).
```

c. Convert the ciphertext representative c to a ciphertext C of length k octets (see Section 4.1):

```
C = I2OSP(c, k).
```

4. Output the ciphertext C.

7.2.2. Decryption Operation

RSAES-PKCS1-V1_5-DECRYPT (K, C)

Input:

- K recipient's RSA private key
- C ciphertext to be decrypted, an octet string of length k, where k is the length in octets of the RSA modulus n

Output:

M message, an octet string of length at most k - 11

Error: "decryption error"

Steps:

- 1. Length checking: If the length of the ciphertext C is not k octets (or if k < 11), output "decryption error" and stop.
- 2. RSA decryption:
 - a. Convert the ciphertext C to an integer ciphertext representative c (see <u>Section 4.2</u>):

```
c = OS2IP(C).
```

b. Apply the RSADP decryption primitive (<u>Section 5.1.2</u>) to the RSA private key (n, d) and the ciphertext representative c to produce an integer message representative m:

```
m = RSADP ((n, d), c).
```

If RSADP outputs "ciphertext representative out of range" (meaning that $c \ge n$), output "decryption error" and stop.

c. Convert the message representative m to an encoded message EM of length k octets (see Section 4.1):

```
EM = I2OSP(m, k).
```

3. EME-PKCS1-v1_5 decoding: Separate the encoded message EM into an octet string PS consisting of nonzero octets and a message M as

```
EM = 0x00 \mid | 0x02 \mid | PS \mid | 0x00 \mid | M.
```

If the first octet of EM does not have hexadecimal value 0x00, if the second octet of EM does not have hexadecimal value 0x02, if there is no octet with hexadecimal value 0x00 to separate PS from M, or if the length of PS is less than 8 octets, output "decryption error" and stop. (See the note below.)

4. Output M.

Note. Care shall be taken to ensure that an opponent cannot distinguish the different error conditions in Step 3, whether by error message or timing. Otherwise an opponent may be able to obtain useful information about the decryption of the ciphertext C, leading to a strengthened version of Bleichenbacher's attack [BLEICHENBACHER]; compare to Manger's attack [MANGER].

8. Signature scheme with appendix

For the purposes of this document, a signature scheme with appendix consists of a signature generation operation and a signature verification operation, where the signature generation operation produces a signature from a message with a signer's RSA private key, and the signature verification operation verifies the signature on the message with the signer's corresponding RSA public key. To verify a signature constructed with this type of scheme it is necessary to have the message itself. In this way, signature schemes with appendix are distinguished from signature schemes with message recovery, which are not supported in this document.

A signature scheme with appendix can be employed in a variety of applications. For instance, the signature schemes with appendix defined here would be suitable signature algorithms for X.509 certificates [IS09594]. Related signature schemes could be employed in PKCS #7 [RFC2315], although for technical reasons the current version of PKCS #7 separates a hash function from a signature scheme, which is different than what is done here; see the note in Appendix A.2.3 for more discussion.

Two signature schemes with appendix are specified in this document: RSASSA-PSS and RSASSA-PKCS1-v1_5. Although no attacks are known against RSASSA-PKCS1-v1_5, in the interest of increased robustness, RSASSA-PSS is required in new applications. RSASSA-PKCS1-v1_5 is included only for compatibility with existing applications.

The signature schemes with appendix given here follow a general model similar to that employed in IEEE 1363-2000 [IEEE1363], combining signature and verification primitives with an encoding method for signatures. The signature generation operations apply a message

encoding operation to a message to produce an encoded message, which is then converted to an integer message representative. A signature primitive is applied to the message representative to produce the signature. Reversing this, the signature verification operations apply a signature verification primitive to the signature to recover a message representative, which is then converted to an octet string encoded message. A verification operation is applied to the message and the encoded message to determine whether they are consistent.

If the encoding method is deterministic (e.g., EMSA-PKCS1-v1_5), the verification operation may apply the message encoding operation to the message and compare the resulting encoded message to the previously derived encoded message. If there is a match, the signature is considered valid. If the method is randomized (e.g., EMSA-PSS), the verification operation is typically more complicated. For example, the verification operation in EMSA-PSS extracts the random salt and a hash output from the encoded message and checks whether the hash output, the salt, and the message are consistent; the hash output is a deterministic function in terms of the message and the salt. For both signature schemes with appendix defined in this document, the signature generation and signature verification operations are readily implemented as "single-pass" operations if the signature is placed after the message. See PKCS #7 [RFC2315] for an example format in the case of RSASSA-PKCS1-v1_5.

8.1. RSASSA-PSS

RSASSA-PSS combines the RSASP1 and RSAVP1 primitives with the EMSA-PSS encoding method. It is compatible with the IFSSA scheme as amended in the IEEE 1363a-2004 [IEEE1363A], where the signature and verification primitives are IFSP-RSA1 and IFVP-RSA1 as defined in IEEE 1363-2000 [IEEE1363] and the message encoding method is EMSA4. EMSA4 is slightly more general than EMSA-PSS as it acts on bit strings rather than on octet strings. EMSA-PSS is equivalent to EMSA4 restricted to the case that the operands as well as the hash and salt values are octet strings.

The length of messages on which RSASSA-PSS can operate is either unrestricted or constrained by a very large number, depending on the hash function underlying the EMSA-PSS encoding method.

Assuming that computing e-th roots modulo n is infeasible and the hash and mask generation functions in EMSA-PSS have appropriate properties, RSASSA-PSS provides secure signatures. This assurance is provable in the sense that the difficulty of forging signatures can be directly related to the difficulty of inverting the RSA function, provided that the hash and mask generation functions are viewed as black boxes or random oracles. The bounds in the security proof are

essentially "tight", meaning that the success probability and running time for the best forger against RSASSA-PSS are very close to the corresponding parameters for the best RSA inversion algorithm; see [RSARABIN][PSSPROOF][JONSSON] for further discussion.

In contrast to the RSASSA-PKCS1-v1_5 signature scheme, a hash function identifier is not embedded in the EMSA-PSS encoded message, so in theory it is possible for an adversary to substitute a different (and potentially weaker) hash function than the one selected by the signer. Therefore, it is RECOMMENDED that the EMSA-PSS mask generation function be based on the same hash function. In this manner the entire encoded message will be dependent on the hash function and it will be difficult for an opponent to substitute a different hash function than the one intended by the signer. This matching of hash functions is only for the purpose of preventing hash function substitution, and is not necessary if hash function substitution is addressed by other means (e.g., the verifier accepts only a designated hash function). See [HASHID] for further discussion of these points. The provable security of RSASSA-PSS does not rely on the hash function in the mask generation function being the same as the hash function applied to the message.

RSASSA-PSS is different from other RSA-based signature schemes in that it is probabilistic rather than deterministic, incorporating a randomly generated salt value. The salt value enhances the security of the scheme by affording a "tighter" security proof than deterministic alternatives such as Full Domain Hashing (FDH); see [RSARABIN] for discussion. However, the randomness is not critical to security. In situations where random generation is not possible, a fixed value or a sequence number could be employed instead, with the resulting provable security similar to that of FDH [FDH].

8.1.1. Signature generation operation

RSASSA-PSS-SIGN (K, M)

Input:

K signer's RSA private key

M message to be signed, an octet string

Output:

S signature, an octet string of length k, where k is the length in octets of the RSA modulus n

Errors: "message too long;" "encoding error"

Steps:

 EMSA-PSS encoding: Apply the EMSA-PSS encoding operation (Section 9.1.1) to the message M to produce an encoded message EM of length \ceil ((modBits - 1)/8) octets such that the bit length of the integer OS2IP (EM) (see Section 4.2) is at most modBits - 1, where modBits is the length in bits of the RSA modulus n:

```
EM = EMSA-PSS-ENCODE (M, modBits - 1).
```

Note that the octet length of EM will be one less than k if modBits - 1 is divisible by 8 and equal to k otherwise. If the encoding operation outputs "message too long," output "message too long" and stop. If the encoding operation outputs "encoding error," output "encoding error" and stop.

2. RSA signature:

a. Convert the encoded message EM to an integer message representative m (see <u>Section 4.2</u>):

```
m = OS2IP (EM).
```

b. Apply the RSASP1 signature primitive (<u>Section 5.2.1</u>) to the RSA private key K and the message representative m to produce an integer signature representative s:

```
s = RSASP1 (K, m).
```

c. Convert the signature representative s to a signature S of length k octets (see <u>Section 4.1</u>):

```
S = I20SP(s, k).
```

3. Output the signature S.

8.1.2. Signature verification operation

```
RSASSA-PSS-VERIFY ((n, e), M, S)
```

Input:

(n, e) signer's RSA public key
M message whose signature is to be verified, an octet string
S signature to be verified, an octet string of length k, where
k is the length in octets of the RSA modulus n

Output: "valid signature" or "invalid signature"

Steps:

- 1. Length checking: If the length of the signature S is not k octets, output "invalid signature" and stop.
- 2. RSA verification:
 - a. Convert the signature S to an integer signature representative s (see Section 4.2):

```
s = OS2IP(S).
```

b. Apply the RSAVP1 verification primitive (<u>Section 5.2.2</u>) to the RSA public key (n, e) and the signature representative s to produce an integer message representative m:

```
m = RSAVP1 ((n, e), s).
```

If RSAVP1 output "signature representative out of range," output "invalid signature" and stop.

c. Convert the message representative m to an encoded message
EM of length emLen = \ceil ((modBits - 1)/8) octets, where
modBits is the length in bits of the RSA modulus n (see
Section 4.1):

```
EM = I2OSP (m, emLen).
```

Note that emLen will be one less than k if modBits - 1 is divisible by 8 and equal to k otherwise. If I2OSP outputs "integer too large," output "invalid signature" and stop.

3. EMSA-PSS verification: Apply the EMSA-PSS verification operation (Section 9.1.2) to the message M and the encoded message EM to determine whether they are consistent:

```
Result = EMSA-PSS-VERIFY (M, EM, modBits - 1).
```

4. If Result = "consistent," output "valid signature."
Otherwise, output "invalid signature."

8.2. RSASSA-PKCS1-v1_5

RSASSA-PKCS1-v1_5 combines the RSASP1 and RSAVP1 primitives with the EMSA-PKCS1-v1_5 encoding method. It is compatible with the IFSSA scheme defined in IEEE 1363-2000 [IEEE1363], where the signature and

verification primitives are IFSP-RSA1 and IFVP-RSA1 and the message encoding method is EMSA-PKCS1-v1_5 (which is not defined in IEEE 1363-2000, but is in the IEEE 1363a-2004 [IEEE1363A]).

The length of messages on which RSASSA-PKCS1-v1_5 can operate is either unrestricted or constrained by a very large number, depending on the hash function underlying the EMSA-PKCS1-v1_5 method.

Assuming that computing e-th roots modulo n is infeasible and the hash function in EMSA-PKCS1-v1_5 has appropriate properties, RSASSA-PKCS1-v1_5 is conjectured to provide secure signatures. More precisely, forging signatures without knowing the RSA private key is conjectured to be computationally infeasible. Also, in the encoding method EMSA-PKCS1-v1_5, a hash function identifier is embedded in the encoding. Because of this feature, an adversary trying to find a message with the same signature as a previously signed message must find collisions of the particular hash function being used; attacking a different hash function than the one selected by the signer is not useful to the adversary. See [HASHID] for further discussion.

Note: As noted in PKCS #1 v1.5, the EMSA-PKCS1-v1_5 encoding method has the property that the encoded message, converted to an integer message representative, is guaranteed to be large and at least somewhat "random". This prevents attacks of the kind proposed by Desmedt and Odlyzko [CHOSEN] where multiplicative relationships between message representatives are developed by factoring the message representatives into a set of small values (e.g., a set of small primes). Coron, Naccache, and Stern [PADDING] showed that a stronger form of this type of attack could be quite effective against some instances of the ISO/IEC 9796-2 signature scheme. They also analyzed the complexity of this type of attack against the EMSA-PKCS1-v1_5 encoding method and concluded that an attack would be impractical, requiring more operations than a collision search on the underlying hash function (i.e., more than 2^80 operations). Coppersmith, Halevi, and Jutla [FORGERY] subsequently extended Coron et al.'s attack to break the ISO/IEC 9796-1 signature scheme with message recovery. The various attacks illustrate the importance of carefully constructing the input to the RSA signature primitive, particularly in a signature scheme with message recovery. Accordingly, the EMSA-PKCS-v1_5 encoding method explicitly includes a hash operation and is not intended for signature schemes with message recovery. Moreover, while no attack is known against the EMSA-PKCSv1_5 encoding method, a gradual transition to EMSA-PSS is recommended as a precaution against future developments.

8.2.1. Signature generation operation

RSASSA-PKCS1-V1_5-SIGN (K, M)

Input:

K signer's RSA private key

M message to be signed, an octet string

Output:

S signature, an octet string of length k, where k is the length in octets of the RSA modulus n

Errors: "message too long"; "RSA modulus too short"

Steps:

 EMSA-PKCS1-v1_5 encoding: Apply the EMSA-PKCS1-v1_5 encoding operation (<u>Section 9.2</u>) to the message M to produce an encoded message EM of length k octets:

```
EM = EMSA-PKCS1-V1_5-ENCODE (M, k).
```

If the encoding operation outputs "message too long," output "message too long" and stop. If the encoding operation outputs "intended encoded message length too short," output "RSA modulus too short" and stop.

- 2. RSA signature:
 - a. Convert the encoded message EM to an integer message representative m (see <u>Section 4.2</u>):

```
m = OS2IP (EM).
```

b. Apply the RSASP1 signature primitive (<u>Section 5.2.1</u>) to the RSA private key K and the message representative m to produce an integer signature representative s:

```
s = RSASP1 (K, m).
```

c. Convert the signature representative s to a signature S of length k octets (see <u>Section 4.1</u>):

```
S = I20SP(s, k).
```

3. Output the signature S.

8.2.2. Signature verification operation

RSASSA-PKCS1-V1_5-VERIFY ((n, e), M, S)

Input:

(n, e) signer's RSA public key

M message whose signature is to be verified, an octet string
S signature to be verified, an octet string of length k, where
k is the length in octets of the RSA modulus n

Output "valid signature" or "invalid signature"

Errors: "message too long"; "RSA modulus too short"

Steps:

- 1. Length checking: If the length of the signature S is not k octets, output "invalid signature" and stop.
- 2. RSA verification:
 - a. Convert the signature S to an integer signature representative s (see <u>Section 4.2</u>):

```
s = OS2IP(S).
```

b. Apply the RSAVP1 verification primitive ($\frac{\text{Section 5.2.2}}{\text{Section 5.2.2}}$) to the RSA public key (n, e) and the signature representative s to produce an integer message representative m:

```
m = RSAVP1 ((n, e), s).
```

If RSAVP1 outputs "signature representative out of range," output "invalid signature" and stop.

c. Convert the message representative m to an encoded message EM of length k octets (see <u>Section 4.1</u>):

```
EM' = I2OSP (m, k).
```

If I2OSP outputs "integer too large," output "invalid signature" and stop.

3. EMSA-PKCS1-v1_5 encoding: Apply the EMSA-PKCS1-v1_5 encoding operation (<u>Section 9.2</u>) to the message M to produce a second encoded message EM' of length k octets:

 $EM' = EMSA-PKCS1-V1_5-ENCODE (M, k).$

If the encoding operation outputs "message too long," output "message too long" and stop. If the encoding operation outputs "intended encoded message length too short," output "RSA modulus too short" and stop.

4. Compare the encoded message EM and the second encoded message EM'. If they are the same, output "valid signature"; otherwise, output "invalid signature."

Note. Another way to implement the signature verification operation is to apply a "decoding" operation (not specified in this document) to the encoded message to recover the underlying hash value, and then to compare it to a newly computed hash value. This has the advantage that it requires less intermediate storage (two hash values rather than two encoded messages), but the disadvantage that it requires additional code.

9. Encoding methods for signatures with appendix

Encoding methods consist of operations that map between octet string messages and octet string encoded messages, which are converted to and from integer message representatives in the schemes. The integer message representatives are processed via the primitives. The encoding methods thus provide the connection between the schemes, which process messages, and the primitives.

An encoding method for signatures with appendix, for the purposes of this document, consists of an encoding operation and optionally a verification operation. An encoding operation maps a message M to an encoded message EM of a specified length. A verification operation determines whether a message M and an encoded message EM are consistent, i.e., whether the encoded message EM is a valid encoding of the message M.

The encoding operation may introduce some randomness, so that different applications of the encoding operation to the same message will produce different encoded messages, which has benefits for provable security. For such an encoding method, both an encoding and a verification operation are needed unless the verifier can reproduce the randomness (e.g., by obtaining the salt value from the signer). For a deterministic encoding method only an encoding operation is needed.

Two encoding methods for signatures with appendix are employed in the signature schemes and are specified here: EMSA-PSS and EMSA-PKCS1-v1_5.

9.1. EMSA-PSS

This encoding method is parameterized by the choice of hash function, mask generation function, and salt length. These options should be fixed for a given RSA key, except that the salt length can be variable (see [JONSSON] for discussion). Suggested hash and mask generation functions are given in Appendix B. The encoding method is based on Bellare and Rogaway's Probabilistic Signature Scheme (PSS) [RSARABIN][PSS]. It is randomized and has an encoding operation and a verification operation.

Figure 2 illustrates the encoding operation.

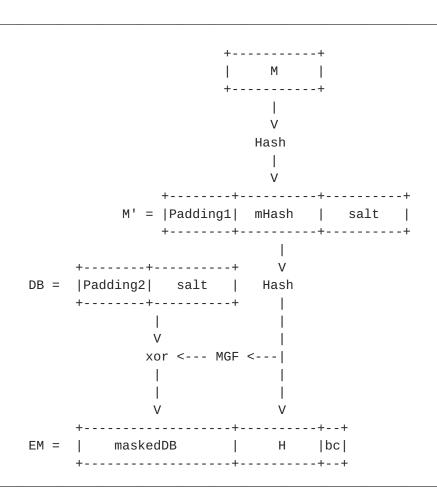


Figure 2: EMSA-PSS encoding operation. Verification operation follows reverse steps to recover salt, then forward steps to recompute and compare H.

Notes.

- The encoding method defined here differs from the one in Bellare and Rogaway's submission to IEEE 1363a-2004 [PSS] in three respects:
 - * It applies a hash function rather than a mask generation function to the message. Even though the mask generation function is based on a hash function, it seems more natural to apply a hash function directly.
 - * The value that is hashed together with the salt value is the string (0x)00 00 00 00 00 00 00 00 || mHash rather than the message M itself. Here, mHash is the hash of M. Note that the hash function is the same in both steps. See Note 3 below for further discussion. (Also, the name "salt" is used instead of "seed", as it is more reflective of the value's role.)
 - * The encoded message in EMSA-PSS has nine fixed bits; the first bit is 0 and the last eight bits form a "trailer field", the octet 0xbc. In the original scheme, only the first bit is fixed. The rationale for the trailer field is for compatibility with the Rabin-Williams IFSP-RW signature primitive in IEEE 1363-2000 [IEEE1363] and the corresponding primitive in ISO/IEC 9796-2:2010 [ISO9796].
- 2. Assuming that the mask generation function is based on a hash function, it is RECOMMENDED that the hash function be the same as the one that is applied to the message; see Section 8.1 for further discussion.
- 3. Without compromising the security proof for RSASSA-PSS, one may perform steps 1 and 2 of EMSA-PSS-ENCODE and EMSA-PSS-VERIFY (the application of the hash function to the message) outside the module that computes the rest of the signature operation, so that mHash rather than the message M itself is input to the module. In other words, the security proof for RSASSA-PSS still holds even if an opponent can control the value of mHash. This is convenient if the module has limited I/O bandwidth, e.g., a smart card. Note that previous versions of PSS [RSARABIN][PSS] did not have this property. Of course, it may be desirable for other security reasons to have the module process the full message. For instance, the module may need to "see" what it is signing if it does not trust the component that computes the hash value.
- 4. Typical salt lengths in octets are hLen (the length of the output of the hash function Hash) and 0. In both cases the security of RSASSA-PSS can be closely related to the hardness of inverting RSAVP1. Bellare and Rogaway [RSARABIN] give a tight lower bound

for the security of the original RSA-PSS scheme, which corresponds roughly to the former case, while Coron [FDH] gives a lower bound for the related Full Domain Hashing scheme, which corresponds roughly to the latter case. In [PSSPROOF] Coron provides a general treatment with various salt lengths ranging from 0 to hLen; see [IEEE1363A] for discussion. See also [JONSSON], which adapts the security proofs in [RSARABIN][PSSPROOF] to address the differences between the original and the present version of RSA-PSS as listed in Note 1 above.

5. As noted in IEEE 1363a-2004 [IEEE1363A], the use of randomization in signature schemes -- such as the salt value in EMSA-PSS -- may provide a "covert channel" for transmitting information other than the message being signed. For more on covert channels, see [SIMMONS].

9.1.1. Encoding operation

EMSA-PSS-ENCODE (M, emBits)

Options:

Hash hash function (hLen denotes the length in octets of the hash

function output)

MGF mask generation function

sLen intended length in octets of the salt

Input:

M message to be encoded, an octet string

emBits maximal bit length of the integer OS2IP (EM) (see <u>Section</u>

4.2), at least 8hLen + 8sLen + 9

Output:

EM encoded message, an octet string of length emLen = \ceil

(emBits/8)

Errors: "Encoding error"; "message too long"

Steps:

1. If the length of M is greater than the input limitation for the hash function ($2^61 - 1$ octets for SHA-1), output "message too long" and stop.

2. Let mHash = Hash(M), an octet string of length hLen.

- 3. If emLen < hLen + sLen + 2, output "encoding error" and stop.
- 4. Generate a random octet string salt of length sLen; if sLen =0, then salt is the empty string.
- 5. Let

```
M' = (0x)00 00 00 00 00 00 00 00 | | mHash | | salt;
```

M' is an octet string of length 8 + hLen + sLen with eight initial zero octets.

- 6. Let H = Hash(M'), an octet string of length hLen.
- 7. Generate an octet string PS consisting of emLen sLen hLen 2 zero octets. The length of PS may be 0.
- 8. Let DB = PS $\mid \mid$ 0x01 $\mid \mid$ salt; DB is an octet string of length emLen hLen 1.
- 9. Let dbMask = MGF(H, emLen hLen 1).
- 10. Let maskedDB = DB \xspace xor dbMask.
- 11. Set the leftmost 8emLen emBits bits of the leftmost octet in maskedDB to zero.
- 12. Let $EM = maskedDB \parallel H \parallel \parallel 0xbc$.
- 13. Output EM.

9.1.2. Verification operation

```
EMSA-PSS-VERIFY (M, EM, emBits)
```

Options:

Hash hash function (hLen denotes the length in octets of the hash function output)

MGF mask generation function

sLen intended length in octets of the salt

Input:

```
M message to be verified, an octet string
```

encoded message, an octet string of length emLen = \ceil
(emBits/8)

emBits maximal bit length of the integer OS2IP (EM) (see Section 4.2), at least 8hLen + 8sLen + 9

Output: "consistent" or "inconsistent"

Steps:

- 1. If the length of M is greater than the input limitation for the hash function $(2^61 1 \text{ octets for SHA-1})$, output "inconsistent" and stop.
- 2. Let mHash = Hash(M), an octet string of length hLen.
- 3. If emLen < hLen + sLen + 2, output "inconsistent" and stop.
- 4. If the rightmost octet of EM does not have hexadecimal value 0xbc, output "inconsistent" and stop.
- 5. Let maskedDB be the leftmost emLen hLen 1 octets of EM, and let H be the next blen octets.
- If the leftmost 8emLen emBits bits of the leftmost octet in maskedDB are not all equal to zero, output "inconsistent" and stop.
- 7. Let dbMask = MGF(H, emLen hLen 1).
- 8. Let DB = maskedDB \xor dbMask.
- 9. Set the leftmost 8emLen emBits bits of the leftmost octet in DB to zero.
- 10. If the emLen hLen sLen 2 leftmost octets of DB are not zero or if the octet at position emLen - hLen - sLen - 1 (the leftmost position is "position 1") does not have hexadecimal value 0x01, output "inconsistent" and stop.
- 11. Let salt be the last sLen octets of DB.
- 12. Let

```
M' = (0x)00 00 00 00 00 00 00 00 | | mHash | | salt ;
```

M' is an octet string of length 8 + hLen + sLen with eight initial zero octets.

- 13. Let H' = Hash(M'), an octet string of length hLen.
- 14. If H = H', output "consistent." Otherwise, output "inconsistent."

9.2. EMSA-PKCS1-v1_5

This encoding method is deterministic and only has an encoding operation.

EMSA-PKCS1-v1_5-ENCODE (M, emLen)

Option:

Hash hash function (hLen denotes the length in octets of the hash function output)

Input:

M message to be encoded
emLen intended length in octets of the encoded message, at least
tLen + 11, where tLen is the octet length of the DER
encoding T of a certain value computed during the encoding
operation

Output:

EM encoded message, an octet string of length emLen

Errors: "message too long"; "intended encoded message length too short"

Steps:

1. Apply the hash function to the message M to produce a hash value H:

H = Hash(M).

If the hash function outputs "message too long," output "message too long" and stop.

 Encode the algorithm ID for the hash function and the hash value into an ASN.1 value of type DigestInfo (see <u>Appendix A.2.4</u>) with the Distinguished Encoding Rules (DER), where the type DigestInfo has the syntax

```
DigestInfo ::= SEQUENCE {
    digestAlgorithm AlgorithmIdentifier,
    digest OCTET STRING
}
```

The first field identifies the hash function and the second contains the hash value. Let T be the DER encoding of the DigestInfo value (see the notes below) and let tLen be the length in octets of T.

- 3. If emLen < tLen + 11, output "intended encoded message length too short" and stop.
- 4. Generate an octet string PS consisting of emLen tLen 3 octets with hexadecimal value 0xff. The length of PS will be at least 8 octets.
- 5. Concatenate PS, the DER encoding T, and other padding to form the encoded message EM as

```
EM = 0x00 | | 0x01 | | PS | | 0x00 | | T.
```

6. Output EM.

Notes.

 For the six hash functions mentioned in <u>Appendix B.1</u>, the DER encoding T of the DigestInfo value is equal to the following:

```
MD2:
         (0x)30 20 30 0c 06 08 2a 86 48 86 f7 0d 02 02 05 00 04
             10 || H.
MD5:
         (0x)30 20 30 0c 06 08 2a 86 48 86 f7 0d 02 05 05 00 04
             10 || H.
SHA-1:
         (0x)30 21 30 09 06 05 2b 0e 03 02 1a 05 00 04 14 || H.
SHA-256: (0x)30 31 30 0d 06 09 60 86 48 01 65 03 04 02 01 05 00
             04 20 || H.
SHA-384: (0x)30 41 30 0d 06 09 60 86 48 01 65 03 04 02 02 05 00
             04 30 || H.
SHA-512: (0x)30 51 30 0d 06 09 60 86 48 01 65 03 04 02 03 05 00
             04 40 || H.
SHA-512/224: (0x)30 2d 30 0d 06 09 60 86 48 01 65 03 04 02 05
                  05 00 04 1c || H.
SHA-512/256: (0x)30 31 30 0d 06 09 60 86 48 01 65 03 04 02 06
                  05 00 04 20 || H.
```

 In version 1.5 of this document, T was defined as the BER encoding, rather than the DER encoding, of the DigestInfo value. In particular, it is possible - at least in theory - that the verification operation defined in this document (as well as in version 2.0) rejects a signature that is valid with respect to the specification given in PKCS #1 v1.5. This occurs if other rules than DER are applied to DigestInfo (e.g., an indefinite length encoding of the underlying SEQUENCE type). While this is unlikely to be a concern in practice, a cautious implementer may choose to employ a verification operation based on a BER decoding operation as specified in PKCS #1 v1.5. In this manner, compatibility with any valid implementation based on PKCS #1 v1.5 is obtained. Such a verification operation should indicate whether the underlying BER encoding is a DER encoding and hence whether the signature is valid with respect to the specification given in this document.

10. IANA Considerations

This memo includes no request to IANA.

11. Security Considerations

Security considerations are discussed throughout this memo.

12. References

12.1. Normative References

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Appendix A. ASN.1 syntax

A.1. RSA key representation

This section defines ASN.1 object identifiers for RSA public and private keys, and defines the types RSAPublicKey and RSAPrivateKey. The intended application of these definitions includes X.509 certificates, PKCS #8 [RFC5208], and PKCS #12 [RFC7292].

The object identifier rsaEncryption identifies RSA public and private keys as defined in Appendices A.1.1 and A.1.2. The parameters field associated with this OID in a value of type AlgorithmIdentifier SHALL have a value of type NULL.

```
rsaEncryption OBJECT IDENTIFIER ::= { pkcs-1 1 }
```

The definitions in this section have been extended to support multiprime RSA, but are backward compatible with previous versions.

A.1.1. RSA public key syntax

An RSA public key should be represented with the ASN.1 type RSAPublicKey:

The fields of type RSAPublicKey have the following meanings:

- o modulus is the RSA modulus n.
- o publicExponent is the RSA public exponent e.

A.1.2. RSA private key syntax

An RSA private key should be represented with the ASN.1 type RSAPrivateKey:

```
RSAPrivateKey ::= SEQUENCE {
   version
                     Version,
                     INTEGER, -- n
   modulus
   publicExponent
                     INTEGER, -- e
   privateExponent
                     INTEGER,
                              -- d
   prime1
                     INTEGER, -- p
                     INTEGER, -- q
   prime2
   exponent1
                     INTEGER, -- d mod (p-1)
   exponent2
                     INTEGER, -- d mod (q-1)
   coefficient
                    INTEGER, -- (inverse of q) mod p
   otherPrimeInfos
                     OtherPrimeInfos OPTIONAL
}
```

The fields of type RSAPrivateKey have the following meanings:

o version is the version number, for compatibility with future revisions of this document. It SHALL be 0 for this version of the document, unless multi-prime is used, in which case it SHALL be 1.

```
Version ::= INTEGER { two-prime(0), multi(1) }
  (CONSTRAINED BY
  {-- version must be multi if otherPrimeInfos present --})
```

- o modulus is the RSA modulus n.
- o publicExponent is the RSA public exponent e.
- o privateExponent is the RSA private exponent d.
- o prime1 is the prime factor p of n.
- o prime2 is the prime factor q of n.
- o exponent1 is d mod (p 1).
- o exponent2 is d mod (q 1).
- o coefficient is the CRT coefficient $q^{(-1)} \mod p$.
- o otherPrimeInfos contains the information for the additional primes r_3, ..., r_u, in order. It SHALL be omitted if version is 0 and SHALL contain at least one instance of OtherPrimeInfo if version is 1.

The fields of type OtherPrimeInfo have the following meanings:

```
o prime is a prime factor r_i of n, where i >= 3.
o exponent is d_i = d mod (r_i - 1).
```

```
o coefficient is the CRT coefficient t_i = (r_1 * r_2 * ... * r_{(i-1)}^{(-1)} mod r_{i}.
```

Note. It is important to protect the RSA private key against both disclosure and modification. Techniques for such protection are outside the scope of this document. Methods for storing and distributing private keys and other cryptographic data are described in PKCS #12 and #15.

A.2. Scheme identification

This section defines object identifiers for the encryption and signature schemes. The schemes compatible with PKCS #1 v1.5 have the same definitions as in PKCS #1 v1.5. The intended application of these definitions includes X.509 certificates and PKCS #7.

Here are type identifier definitions for the PKCS #1 OIDs:

```
PKCS1Algorithms
                        ALGORITHM-IDENTIFIER ::= {
     { OID rsaEncryption
                                                   PARAMETERS NULL } |
     { OID md2WithRSAEncryption
                                                 PARAMETERS NULL } |
     { OID md5WithRSAEncryption
                                                PARAMETERS NULL } |
    { OID sha1WithRSAEncryption { OID sha224WithRSAEncryption } PARAMETERS NULL } | { OID sha256WithRSAEncryption } PARAMETERS NULL } | { OID sha384WithRSAEncryption } PARAMETERS NULL } | PARAMETERS NULL } | PARAMETERS NULL } |
     { OID sha512-224WithRSAEncryption PARAMETERS NULL } |
     { OID sha512-256WithRSAEncryption PARAMETERS NULL } |
     { OID id-RSAES-OAEP PARAMETERS RSAES-OAEP-params } |
     PKCS1PSourceAlgorithms
     { OID id-RSASSA-PSS PARAMETERS RSASSA-PSS-params },
     ... -- Allows for future expansion --
}
```

A.2.1. RSAES-OAEP

```
The object identifier id-RSAES-OAEP identifies the RSAES-OAEP encryption scheme.
```

```
id-RSAES-OAEP     OBJECT IDENTIFIER ::= { pkcs-1 7 }
```

The parameters field associated with this OID in a value of type AlgorithmIdentifier SHALL have a value of type RSAES-OAEP-params:

```
RSAES-OAEP-params ::= SEQUENCE {
   hashAlgorithm [0] HashAlgorithm DEFAULT sha1,
   maskGenAlgorithm [1] MaskGenAlgorithm DEFAULT mgf1SHA1,
   pSourceAlgorithm [2] PSourceAlgorithm DEFAULT pSpecifiedEmpty
}
```

The fields of type RSAES-OAEP-params have the following meanings:

o hashAlgorithm identifies the hash function. It SHALL be an algorithm ID with an OID in the set OAEP-PSSDigestAlgorithms. For a discussion of supported hash functions, see Appendix B.1.

```
{OAEP-PSSDigestAlgorithms ALGORITHM-IDENTIFIER ::= {
    { OID id-sha1 PARAMETERS NULL }|
    { OID id-sha224 PARAMETERS NULL }|
    { OID id-sha256 PARAMETERS NULL }|
    { OID id-sha384 PARAMETERS NULL }|
    { OID id-sha512 PARAMETERS NULL }|
    { OID id-sha512-224 PARAMETERS NULL }|
    { OID id-sha512-256 PARAMETERS NULL }|
    { OID id-sha512-256 PARAMETERS NULL },
    ... -- Allows for future expansion --
}
```

The default hash function is SHA-1:

```
sha1 HashAlgorithm ::= {
    algorithm id-sha1,
    parameters SHA1Parameters : NULL
}
```

HashAlgorithm ::= AlgorithmIdentifier {

o maskGenAlgorithm identifies the mask generation function. It SHALL be an algorithm ID with an OID in the set

PKCS1MGFAlgorithms, which for this version SHALL consist of idmgf1, identifying the MGF1 mask generation function (see Appendix B.2.1). The parameters field associated with id-mgf1 SHALL be an algorithm ID with an OID in the set OAEP-PSSDigestAlgorithms, identifying the hash function on which MGF1 is based.

o pSourceAlgorithm identifies the source (and possibly the value) of the label L. It SHALL be an algorithm ID with an OID in the set PKCS1PSourceAlgorithms, which for this version SHALL consist of id-pSpecified, indicating that the label is specified explicitly. The parameters field associated with id-pSpecified SHALL have a value of type OCTET STRING, containing the label. In previous versions of this specification, the term "encoding parameters" was used rather than "label", hence the name of the type below.

}

o The default label is an empty string (so that lHash will contain the hash of the empty string):

```
PSourceAlgorithm ::= {
       pSpecifiedEmpty
           algorithm
                       id-pSpecified,
           parameters EncodingParameters : emptyString
      }
       emptyString
                     EncodingParameters ::= ''H
   If all of the default values of the fields in RSAES-OAEP-params are
   used, then the algorithm identifier will have the following value:
       rSAES-OAEP-Default-Identifier
                                        RSAES-AlgorithmIdentifier ::= {
           algorithm id-RSAES-OAEP,
           parameters RSAES-OAEP-params : {
               hashAlgorithm
                                   sha1,
               maskGenAlgorithm
                                   mqf1SHA1,
               pSourceAlgorithm
                                   pSpecifiedEmpty
           }
      }
      RSAES-AlgorithmIdentifier ::= AlgorithmIdentifier {
           {PKCS1Algorithms}
       }
A.2.2. RSAES-PKCS-v1_5
```

The object identifier rsaEncryption (see Appendix A.1) identifies the RSAES-PKCS1-v1_5 encryption scheme. The parameters field associated with this OID in a value of type AlgorithmIdentifier SHALL have a value of type NULL. This is the same as in PKCS #1 v1.5.

```
rsaEncryption
                 OBJECT IDENTIFIER ::= { pkcs-1 1 }
```

A.2.3. RSASSA-PSS

The object identifier id-RSASSA-PSS identifies the RSASSA-PSS encryption scheme.

```
id-RSASSA-PSS
                 OBJECT IDENTIFIER ::= { pkcs-1 10 }
```

The parameters field associated with this OID in a value of type AlgorithmIdentifier SHALL have a value of type RSASSA-PSS-params:

```
RSASSA-PSS-params ::= SEQUENCE {
   hashAlgorithm
                       [0] HashAlgorithm
                                              DEFAULT sha1,
   maskGenAlgorithm
                      [1] MaskGenAlgorithm
                                              DEFAULT mgf1SHA1,
   saltLength
                      [2] INTEGER
                                             DEFAULT 20,
   trailerField
                      [3] TrailerField
                                             DEFAULT trailerFieldBC
}
```

The fields of type RSASSA-PSS-params have the following meanings:

- o hashAlgorithm identifies the hash function. It SHALL be an algorithm ID with an OID in the set OAEP-PSSDigestAlgorithms (see Appendix A.2.1). The default hash function is SHA-1.
- o maskGenAlgorithm identifies the mask generation function. It SHALL be an algorithm ID with an OID in the set PKCS1MGFAlgorithms (see Appendix A.2.1). The default mask generation function is MGF1 with SHA-1. For MGF1 (and more generally, for other mask generation functions based on a hash function), it is RECOMMENDED that the underlying hash function be the same as the one identified by hashAlgorithm; see Note 2 in Section 9.1 for further comments.
- o saltLength is the octet length of the salt. It SHALL be an integer. For a given hashAlgorithm, the default value of saltLength is the octet length of the hash value. Unlike the other fields of type RSASSA-PSS-params, saltLength does not need to be fixed for a given RSA key pair.
- o trailerField is the trailer field number, for compatibility with the draft IEEE 1363a-2004 [IEEE1363A]. It SHALLL be 1 for this version of the document, which represents the trailer field with hexadecimal value 0xbc. Other trailer fields (including the trailer field HashID || 0xcc in IEEE 1363a-2004) are not supported in this document.

```
TrailerField ::= INTEGER { trailerFieldBC(1) }
```

If the default values of the hashAlgorithm, maskGenAlgorithm, and trailerField fields of RSASSA-PSS-params are used, then the algorithm identifier will have the following value:

```
rSASSA-PSS-Default-Identifier
                              RSASSA-AlgorithmIdentifier ::= {
   algorithm id-RSASSA-PSS,
    parameters RSASSA-PSS-params : {
       hashAlgorithm
                           sha1,
       maskGenAlgorithm
                          mgf1SHA1,
       saltLength
                           20,
       trailerField trailerFieldBC
   }
}
RSASSA-AlgorithmIdentifier ::= AlgorithmIdentifier {
    {PKCS1Algorithms}
}
```

Note. In some applications, the hash function underlying a signature scheme is identified separately from the rest of the operations in the signature scheme. For instance, in PKCS #7 [RFC2315], a hash function identifier is placed before the message and a "digest encryption" algorithm identifier (indicating the rest of the operations) is carried with the signature. In order for PKCS #7 to support the RSASSA-PSS signature scheme, an object identifier would need to be defined for the operations in RSASSA-PSS after the hash function (analogous to the RSAEncryption OID for the RSASSA-PKCS1-v1_5 scheme). S/MIME CMS [RFC5652] takes a different approach. Although a hash function identifier is placed before the message, an algorithm identifier for the full signature scheme may be carried with a CMS signature (this is done for DSA signatures). Following this convention, the id-RSASSA-PSS OID can be used to identify RSASSA-PSS signatures in CMS. Since CMS is considered the successor to PKCS #7 and new developments such as the addition of support for RSASSA-PSS will be pursued with respect to CMS rather than PKCS #7, an OID for the "rest of" RSASSA-PSS is not defined in this version of PKCS #1.

A.2.4. RSASSA-PKCS-v1 5

The object identifier for RSASSA-PKCS1-v1_5 SHALL be one of the following. The choice of OID depends on the choice of hash algorithm: MD2, MD5, SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, or SHA-512/256. Note that if either MD2 or MD5 is used, then the OID is just as in PKCS #1 v1.5. For each OID, the parameters field associated with this OID in a value of type AlgorithmIdentifier SHALL have a value of type NULL. The OID should be chosen in accordance with the following table:

Hash algorithm	OID	
MD2	md2WithRSAEncryption	::= {pkcs-1 2}
MD5	md5WithRSAEncryption	::= {pkcs-1 4}
SHA-1	sha1WithRSAEncryption	::= {pkcs-1 5}
SHA-256	sha256WithRSAEncryption	::= {pkcs-1 11}
SHA-384	sha384WithRSAEncryption	::= {pkcs-1 12}
SHA-512	sha512WithRSAEncryption	::= {pkcs-1 13}
SHA-512/224	sha512-224WithRSAEncryption	::= {pkcs-1 15}
SHA-512/256	sha512-256WithRSAEncryption	::= {pkcs-1 16}

The EMSA-PKCS1-v1_5 encoding method includes an ASN.1 value of type DigestInfo, where the type DigestInfo has the syntax

```
DigestInfo ::= SEQUENCE {
        digestAlgorithm DigestAlgorithm,
        digest OCTET STRING
    }
digestAlgorithm identifies the hash function and SHALL be an
algorithm ID with an OID in the set PKCS1-v1-5DigestAlgorithms. For
a discussion of supported hash functions, see Appendix B.1.
    DigestAlgorithm ::= AlgorithmIdentifier {
       {PKCS1-v1-5DigestAlgorithms}
    }
   PKCS1-v1-5DigestAlgorithms ALGORITHM-IDENTIFIER ::= {
        { OID id-md2 PARAMETERS NULL }|
       { OID id-md5
                          PARAMETERS NULL }|
       { OID id-sha1 PARAMETERS NULL }| { OID id-sha224 PARAMETERS NULL }|
        { OID id-sha256 PARAMETERS NULL }|
       { OID id-sha384
                          PARAMETERS NULL }|
        { OID id-sha512 PARAMETERS NULL }|
       { OID id-sha512-224 PARAMETERS NULL }|
       { OID id-sha512-256 PARAMETERS NULL }
   }
```

Appendix B. Supporting techniques

This section gives several examples of underlying functions supporting the encryption schemes in <u>Section 7</u> and the encoding methods in <u>Section 9</u>. A range of techniques is given here to allow compatibility with existing applications as well as migration to new techniques. While these supporting techniques are appropriate for applications to implement, none of them is required to be implemented. It is expected that profiles for PKCS #1 v2.2 will be developed that specify particular supporting techniques.

This section also gives object identifiers for the supporting techniques.

B.1. Hash functions

Hash functions are used in the operations contained in Sections 7 and 9. Hash functions are deterministic, meaning that the output is completely determined by the input. Hash functions take octet strings of variable length, and generate fixed length octet strings. The hash functions used in the operations contained in Sections 7 and 9 should generally be collision-resistant. This means that it is infeasible to find two distinct inputs to the hash function that

produce the same output. A collision-resistant hash function also has the desirable property of being one-way; this means that given an output, it is infeasible to find an input whose hash is the specified output. In addition to the requirements, the hash function should yield a mask generation function (Appendix B.2) with pseudorandom output.

Nine hash functions are given as examples for the encoding methods in this document: MD2 [RFC1319], MD5 [RFC1321], SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256 [SHS]. For the RSAES-OAEP encryption scheme and EMSA-PSS encoding method, only SHA-1, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256 are RECOMMENDED. For the EMSA-PKCS1-v1_5 encoding method, SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256 are RECOMMENDED for new applications. MD2, MD5 and SHA-1 are recommended only for compatibility with existing applications based on PKCS #1 v1.5.

The object identifiers id-md2, id-md5, id-sha1, id-sha224, id-sha256, id-sha384, id-sha512, id-sha512/224, and id-sha512/256, identify the respective hash functions:

```
id-md2
            OBJECT IDENTIFIER ::= {
    iso (1) member-body (2) us (840) rsadsi (113549)
    digestAlgorithm (2) 2
}
id-md5
           OBJECT IDENTIFIER ::= {
    iso (1) member-body (2) us (840) rsadsi (113549)
    digestAlgorithm (2) 5
}
           OBJECT IDENTIFIER ::= {
    iso(1) identified-organization(3) oiw(14) secsig(3)
     algorithms(2) 26
}
id-sha224
             OBJECT IDENTIFIER ::= {
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 4
}
id-sha256
             OBJECT IDENTIFIER ::= {
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 1
}
id-sha384
             OBJECT IDENTIFIER ::= {
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 2
}
             OBJECT IDENTIFIER ::= {
id-sha512
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 3
}
id-sha512-224
                 OBJECT IDENTIFIER ::= {
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 5
}
id-sha512-256
                 OBJECT IDENTIFIER ::= {
    joint-iso-itu-t (2) country (16) us (840) organization (1)
    gov (101) csor (3) nistalgorithm (4) hashalgs (2) 6
}
```

The parameters field associated with these OIDs in a value of type AlgorithmIdentifier SHALL have a value of type NULL.

The parameters field associated with id-md2 and id-md5 in a value of type AlgorithmIdentifier shall have a value of type NULL.

The parameters field associated with id-sha1, id-sha224, id-sha256, id-sha384, id-sha512, id-sha512/224, and id-sha512/256 should generally be omitted, but if present, shall have a value of type NULL.

This is to align with the definitions originally promulgated by NIST. For the SHA algorithms, implementations MUST accept AlgorithmIdentifier values both without parameters and with NULL parameters.

Exception: When formatting the DigestInfoValue in EMSA-PKCS1-v1_5 (see 9.2), the parameters field associated with id-sha1, id-sha224, id-sha256, id-sha384, id-sha512, id-sha512/224, and id-sha512/256 shall have a value of type NULL. This is to maintain compatibility with existing implementations and with the numeric information values already published for EMSA-PKCS1-v1_5 which are also reflected in IEEE 1363a-2004 [IEEE1363A].

Note. Version 1.5 of PKCS #1 also allowed for the use of MD4 in signature schemes. The cryptanalysis of MD4 has progressed significantly in the intervening years. For example, Dobbertin [MD4] demonstrated how to find collisions for MD4 and that the first two rounds of MD4 are not one-way [MD4FIRST]. Because of these results and others (e.g. [MD4LAST]), MD4 is NOT RECOMMENDED.

Further advances have been made in the cryptanalysis of MD2 and MD5, and especially after Stevens et al. findings [PREFIX] on chosen-prefix collisions on MD5. MD2 and MD5 should be considered cryptographically broken and removed from existing applications. This version of the standard supports MD2 and MD5 just for backwards compatibility reasons.

There have also been advances in the cryptanalysis of SHA-1. Particularly the results of Wang et al. [SHA1CRYPT] (which have been independently verified by M. Cochran in his analysis [COCHRAN]) on using a differential path to find collisions in SHA-1, which conclude that the security strength of the SHA-1 hashing algorithm is significantly reduced. However, this reduction is not significant enough to warrant the removal of SHA-1 from existing applications, but its usage is only recommended for backwards compatibility reasons.

To address these concerns, only SHA-224, SHA-256, SHA-384, SHA-512, SHA-512/224, and SHA-512/256 are RECOMMENDED for new applications. As of today, the best (known) collision attacks against these hash

functions are generic attacks with complexity 2L/2, where L is the bit length of the hash output. For the signature schemes in this document, a collision attack is easily translated into a signature forgery. Therefore, the value L / 2 should be at least equal to the desired security level in bits of the signature scheme (a security level of B bits means that the best attack has complexity 2B). The same rule of thumb can be applied to RSAES-OAEP; it is RECOMMENDED that the bit length of the seed (which is equal to the bit length of the hash output) be twice the desired security level in bits.

B.2. Mask generation functions

A mask generation function takes an octet string of variable length and a desired output length as input, and outputs an octet string of the desired length. There may be restrictions on the length of the input and output octet strings, but such bounds are generally very large. Mask generation functions are deterministic; the octet string output is completely determined by the input octet string. The output of a mask generation function should be pseudorandom: Given one part of the output but not the input, it should be infeasible to predict another part of the output. The provable security of RSAES-OAEP and RSASSA-PSS relies on the random nature of the output of the mask generation function, which in turn relies on the random nature of the underlying hash.

One mask generation function is given here: MGF1, which is based on a hash function. MGF1 coincides with the mask generation functions defined in IEEE 1363-2000 [IEEE1363] and ANSI X9.44-2007 [ANSIX944]. Future versions of this document may define other mask generation functions.

B.2.1. MGF1

MGF1 is a Mask Generation Function based on a hash function.

MGF1 (mgfSeed, maskLen)

Options:

Hash hash function (hLen denotes the length in octets of the hash function output)

Input:

mgfSeed seed from which mask is generated, an octet string maskLen intended length in octets of the mask, at most 2^32 hLen

Output:

mask mask, an octet string of length maskLen

Error:

"mask too long"

Steps:

- 1. If maskLen > 2^32 hLen, output "mask too long" and stop.
- 2. Let T be the empty octet string.
- 3. For counter from 0 to \ceil (maskLen / hLen) 1, do the following:
 - A. Convert counter to an octet string C of length 4 octets (see Section 4.1):

```
C = I2OSP (counter, 4).
```

B. Concatenate the hash of the seed mgfSeed and C to the octet string T:

```
T = T \mid\mid Hash(mgfSeed \mid\mid C).
```

4. Output the leading maskLen octets of T as the octet string mask.

The object identifier id-mgf1 identifies the MGF1 mask generation function:

```
id-mgf1    OBJECT IDENTIFIER ::= { pkcs-1 8 }
```

The parameters field associated with this OID in a value of type AlgorithmIdentifier shall have a value of type hashAlgorithm, identifying the hash function on which MGF1 is based.

Appendix C. ASN.1 module

```
-- PKCS #1 v2.2 ASN.1 Module
-- Revised October 27, 2012

-- This module has been checked for conformance with the
-- ASN.1 standard by the OSS ASN.1 Tools

PKCS-1 {
   iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-1(1) modules(0) pkcs-1(1)
}
```

```
DEFINITIONS EXPLICIT TAGS ::=
BEGIN
-- EXPORTS ALL
-- All types and values defined in this module are exported for use
-- in other ASN.1 modules.
IMPORTS
id-sha224, id-sha256, id-sha384, id-sha512, id-sha512-224,
id-sha512-256
   FROM NIST-SHA2 {
       joint-iso-itu-t(2) country(16) us(840) organization(1)
       gov(101) csor(3) nistalgorithm(4) hashAlgs(2)
   };
-- Basic object identifiers
-- The DER encoding of this in hexadecimal is:
-- (0x)06 08
        2A 86 48 86 F7 0D 01 01
pkcs-1 OBJECT IDENTIFIER ::= {
   iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) 1
}
-- When rsaEncryption is used in an AlgorithmIdentifier the
-- parameters MUST be present and MUST be NULL.
rsaEncryption OBJECT IDENTIFIER ::= { pkcs-1 1 }
-- When id-RSAES-OAEP is used in an AlgorithmIdentifier the
-- parameters MUST be present and MUST be RSAES-OAEP-params.
- -
id-RSAES-OAEP
              OBJECT IDENTIFIER ::= { pkcs-1 7 }
-- When id-pSpecified is used in an AlgorithmIdentifier the
-- parameters MUST be an OCTET STRING.
id-pSpecified OBJECT IDENTIFIER ::= { pkcs-1 9 }
```

```
-- When id-RSASSA-PSS is used in an AlgorithmIdentifier the
-- parameters MUST be present and MUST be RSASSA-PSS-params.
id-RSASSA-PSS
                OBJECT IDENTIFIER ::= { pkcs-1 10 }
-- When the following OIDs are used in an AlgorithmIdentifier the
-- parameters MUST be present and MUST be NULL.
                            OBJECT IDENTIFIER ::= { pkcs-1 2 }
md2WithRSAEncryption
                            OBJECT IDENTIFIER ::= { pkcs-1 4 }
md5WithRSAEncryption
                            OBJECT IDENTIFIER ::= { pkcs-1 5 }
sha1WithRSAEncryption
                             OBJECT IDENTIFIER ::= { pkcs-1 14 }
sha224WithRSAEncryption
sha256WithRSAEncryption
                            OBJECT IDENTIFIER ::= { pkcs-1 11 }
sha384WithRSAEncryption
                             OBJECT IDENTIFIER ::= { pkcs-1 12 }
                             OBJECT IDENTIFIER ::= { pkcs-1 13 }
sha512WithRSAEncryption
sha512-224WithRSAEncryption OBJECT IDENTIFIER ::= { pkcs-1 15 }
sha512-256WithRSAEncryption OBJECT IDENTIFIER ::= { pkcs-1 16 }
-- This OID really belongs in a module with the secsig OIDs.
id-sha1
          OBJECT IDENTIFIER ::= {
   iso(1) identified-organization(3) oiw(14) secsig(3) algorithms(2)
   26
}
-- OIDs for MD2 and MD5, allowed only in EMSA-PKCS1-v1_5.
id-md2 OBJECT IDENTIFIER ::= {
   iso(1) member-body(2) us(840) rsadsi(113549) digestAlgorithm(2) 2
}
id-md5 OBJECT IDENTIFIER ::= {
   iso(1) member-body(2) us(840) rsadsi(113549) digestAlgorithm(2) 5
}
-- When id-mgf1 is used in an AlgorithmIdentifier the parameters MUST
-- be present and MUST be a HashAlgorithm, for example sha1.
- -
          OBJECT IDENTIFIER ::= { pkcs-1 8 }
id-mgf1
-- ===========
-- Useful types
-- ==========
```

```
ALGORITHM-IDENTIFIER ::= CLASS {
   &id
         OBJECT IDENTIFIER UNIQUE,
   &Type OPTIONAL
}
   WITH SYNTAX { OID &id [PARAMETERS &Type] }
-- Note: the parameter InfoObjectSet in the following definitions
-- allows a distinct information object set to be specified for sets
-- of algorithms such as:
-- DigestAlgorithms
                  ALGORITHM-IDENTIFIER ::= {
      { OID id-md2 PARAMETERS NULL }|
      { OID id-md5 PARAMETERS NULL }|
      { OID id-sha1 PARAMETERS NULL }
-- }
AlgorithmIdentifier { ALGORITHM-IDENTIFIER:InfoObjectSet } ::=
   SEQUENCE {
     algorithm
         ALGORITHM-IDENTIFIER.&id({InfoObjectSet}),
        ALGORITHM-IDENTIFIER.&Type({InfoObjectSet}{@.algorithm})
          OPTIONAL
}
-- ==========
-- Algorithms
-- ==========
-- Allowed EME-OAEP and EMSA-PSS digest algorithms.
{ OID id-sha1 PARAMETERS NULL }|
   { OID id-sha384
                    PARAMETERS NULL }|
   { OID id-sha512 PARAMETERS NULL }|
   { OID id-sha512-224 PARAMETERS NULL }|
   { OID id-sha512-256 PARAMETERS NULL },
   ... -- Allows for future expansion --
}
-- Allowed EMSA-PKCS1-v1_5 digest algorithms.
```

```
PKCS1-v1-5DigestAlgorithms ALGORITHM-IDENTIFIER ::= {
    { OID id-md2 PARAMETERS NULL }|
    { OID id-md5
                        PARAMETERS NULL }|
    { OID id-sha1
                        PARAMETERS NULL }|
   { OID id-sha224 PARAMETERS NULL }| { OID id-sha256 PARAMETERS NULL }|
    { OID id-sha384
                        PARAMETERS NULL }|
    { OID id-sha512 PARAMETERS NULL }|
    { OID id-sha512-224 PARAMETERS NULL }|
    { OID id-sha512-256 PARAMETERS NULL }
}
-- When id-md2 and id-md5 are used in an AlgorithmIdentifier the
-- parameters field shall have a value of type NULL.
-- When id-sha1, id-sha224, id-sha256, id-sha384, id-sha512,
-- id-sha512-224, and id-sha512-256 are used in an
-- AlgorithmIdentifier the parameters (which are optional) SHOULD be
-- omitted, but if present, SHALL have a value of type NULL. However,
-- implementations MUST accept AlgorithmIdentifier values both
-- without parameters and with NULL parameters.
-- Exception: When formatting the DigestInfoValue in EMSA-PKCS1-v1_5
-- (see 9.2), the parameters field associated with id-sha1,
-- id-sha224, id-sha256, id-sha384 , id-sha512 , id-sha512-224, and
-- id-sha512-256 SHALL have a value of type NULL. This is to maintain
-- compatibility with existing implementations and with the numeric
-- information values already published for EMSA-PKCS1-v1_5, which
-- are also reflected in IEEE 1363a-2004.
sha1
       HashAlgorithm ::= {
    algorithm id-sha1,
    parameters SHA1Parameters: NULL
}
HashAlgorithm ::= AlgorithmIdentifier { {OAEP-PSSDigestAlgorithms} }
SHA1Parameters ::= NULL
-- Allowed mask generation function algorithms.
-- If the identifier is id-mgf1, the parameters are a HashAlgorithm.
PKCS1MGFAlgorithms
                    ALGORITHM-IDENTIFIER ::= {
    { OID id-mgf1 PARAMETERS HashAlgorithm },
    ... -- Allows for future expansion --
}
```

```
-- Default AlgorithmIdentifier for id-RSAES-OAEP.maskGenAlgorithm and
-- id-RSASSA-PSS.maskGenAlgorithm.
           MaskGenAlgorithm ::= {
mgf1SHA1
   algorithm id-mgf1,
   parameters HashAlgorithm: sha1
}
MaskGenAlgorithm ::= AlgorithmIdentifier { {PKCS1MGFAlgorithms} }
-- Allowed algorithms for pSourceAlgorithm.
PKCS1PSourceAlgorithms ALGORITHM-IDENTIFIER ::= {
    { OID id-pSpecified PARAMETERS EncodingParameters },
    ... -- Allows for future expansion --
}
EncodingParameters ::= OCTET STRING(SIZE(0..MAX))
-- This identifier means that the label L is an empty string, so the
-- digest of the empty string appears in the RSA block before
-- masking.
pSpecifiedEmpty
                  PSourceAlgorithm ::= {
   algorithm id-pSpecified,
   parameters EncodingParameters: emptyString
}
PSourceAlgorithm ::= AlgorithmIdentifier { {PKCS1PSourceAlgorithms} }
            EncodingParameters ::= ''H
emptyString
-- Type identifier definitions for the PKCS #1 OIDs.
PKCS1Algorithms
                  ALGORITHM-IDENTIFIER ::= {
    { OID rsaEncryption
                                      PARAMETERS NULL } |
    { OID md2WithRSAEncryption
                                     PARAMETERS NULL } |
    { OID md5WithRSAEncryption
                                      PARAMETERS NULL } |
   { OID sha1WithRSAEncryption
                                      PARAMETERS NULL } |
    { OID sha224WithRSAEncryption
                                      PARAMETERS NULL } |
                                      PARAMETERS NULL } |
    { OID sha256WithRSAEncryption
    { OID sha384WithRSAEncryption
                                      PARAMETERS NULL } |
    { OID sha512WithRSAEncryption
                                     PARAMETERS NULL } |
```

```
{ OID sha512-224WithRSAEncryption PARAMETERS NULL } |
   { OID sha512-256WithRSAEncryption PARAMETERS NULL } |
   { OID id-RSAES-OAEP PARAMETERS RSAES-OAEP-params } |
   PKCS1PSourceAlgorithms
   { OID id-RSASSA-PSS PARAMETERS RSASSA-PSS-params },
    ... -- Allows for future expansion --
}
-- ===========
    Main structures
-- ============
RSAPublicKey ::= SEQUENCE {
                     INTEGER, -- n
   modulus
   publicExponent INTEGER -- e
}
-- Representation of RSA private key with information for the CRT
-- algorithm.
- -
RSAPrivateKey ::= SEQUENCE {
   version
                    Version,
   modulus
                     INTEGER, -- n
   publicExponent INTEGER, -- e
   privateExponent INTEGER, -- d
   prime1
                     INTEGER, -- p
   prime2
                     INTEGER, -- q
                     INTEGER, -- d mod (p-1)
   exponent1
   exponent2
                    INTEGER, -- d mod (q-1)
                     INTEGER, -- (inverse of q) mod p
   coefficient
   otherPrimeInfos OtherPrimeInfos OPTIONAL
}
Version ::= INTEGER { two-prime(0), multi(1) }
    (CONSTRAINED BY
     {-- version MUST
be multi if otherPrimeInfos present --})
OtherPrimeInfos ::= SEQUENCE SIZE(1..MAX) OF OtherPrimeInfo
OtherPrimeInfo ::= SEQUENCE {
   prime
                    INTEGER, -- ri
   exponent
                    INTEGER, -- di
   coefficient INTEGER -- ti
}
```

```
-- AlgorithmIdentifier.parameters for id-RSAES-OAEP.
-- Note that the tags in this Sequence are explicit.
RSAES-OAEP-params ::= SEQUENCE {
   hashAlgorithm [0] HashAlgorithm
                                             DEFAULT sha1,
   maskGenAlgorithm [1] MaskGenAlgorithm DEFAULT mgf1SHA1,
   pSourceAlgorithm [2] PSourceAlgorithm DEFAULT pSpecifiedEmpty
}
-- Identifier for default RSAES-OAEP algorithm identifier.
-- The DER Encoding of this is in hexadecimal:
-- (0x)30 0D
         06 09
            2A 86 48 86 F7 0D 01 01 07
          30 00
-- Notice that the DER encoding of default values is "empty".
rSAES-OAEP-Default-Identifier
                                RSAES-AlgorithmIdentifier ::= {
   algorithm
               id-RSAES-OAEP,
   parameters RSAES-OAEP-params : {
       hashAlgorithm
                            sha1,
       maskGenAlgorithm
                           mgf1SHA1,
       pSourceAlgorithm
                           pSpecifiedEmpty
   }
}
RSAES-AlgorithmIdentifier ::= AlgorithmIdentifier {
   {PKCS1Algorithms}
}
-- AlgorithmIdentifier.parameters for id-RSASSA-PSS.
-- Note that the tags in this Sequence are explicit.
RSASSA-PSS-params ::= SEQUENCE {
   hashAlgorithm
                     [0] HashAlgorithm
                                              DEFAULT sha1,
   maskGenAlgorithm [1] MaskGenAlgorithm
                                              DEFAULT mgf1SHA1,
                      [2] INTEGER
   saltLength
                                              DEFAULT 20,
   trailerField
                      [3] TrailerField
                                              DEFAULT trailerFieldBC
}
TrailerField ::= INTEGER { trailerFieldBC(1) }
-- Identifier for default RSASSA-PSS algorithm identifier
```

```
-- The DER Encoding of this is in hexadecimal:
-- (0x)30 0D
          06 09
             2A 86 48 86 F7 0D 01 01 0A
          30 00
-- Notice that the DER encoding of default values is "empty".
rSASSA-PSS-Default-Identifier
                                 RSASSA-AlgorithmIdentifier ::= {
    algorithm
                id-RSASSA-PSS,
    parameters RSASSA-PSS-params : {
        hashAlgorithm
                            sha1,
        maskGenAlgorithm mgf1SHA1,
        saltLength
                            20,
        trailerField
                           trailerFieldBC
    }
}
RSASSA-AlgorithmIdentifier ::= AlgorithmIdentifier {
    {PKCS1Algorithms}
}
-- Syntax for the EMSA-PKCS1-v1_5 hash identifier.
DigestInfo ::= SEQUENCE {
    digestAlgorithm DigestAlgorithm,
    digest OCTET STRING
}
DigestAlgorithm ::= AlgorithmIdentifier {
    {PKCS1-v1-5DigestAlgorithms}
}
END
```

Appendix D. Intellectual property considerations

The RSA public-key cryptosystem is described in U.S. Patent 4,405,829, which expired on September 20, 2000. EMC Corporation makes no other patent claims on the constructions described in this document, although specific underlying techniques may be covered. Multi-prime RSA is described in U.S. Patent 5,848,159.

The PSS signature scheme [PSS] is described in U.S. Patent 6,266,771, which expired on July 24, 2009, and U.S. Patent 7,036,014, which expired on April 25, 2010, and was held by the University of California. The PSS signature scheme is specified in IEEE P1363a-2004 [IEEE1363A]. Prior to the finalization of this

standard, the University of California provided a letter to the IEEE P1363 working group stating that if the PSS signature scheme is included in an IEEE standard, "the University of California will, when that standard is adopted, FREELY license any conforming implementation of PSS as a technique for achieving a digital signature with appendix" [GRELL].

EMC Corporation makes no other representations regarding intellectual property claims by other parties. Such determination is the responsibility of the user.

Appendix E. Revision history

Versions 1.0 -- 1.3

Versions 1.0 -- 1.3 were distributed to participants in RSA Data Security, Inc.'s Public-Key Cryptography Standards meetings in February and March 1991. Version 1.4

Version 1.4 was part of the June 3, 1991 initial public release of PKCS. Version 1.4 was published as NIST/OSI Implementors' Workshop document SEC-SIG-91-18. Version 1.5

Version 1.5 incorporated several editorial changes, including updates to the references and the addition of a revision history. The following substantive changes were made:

- o <u>Section 10</u>: "MD4 with RSA" signature and verification processes were added.
- o <u>Section 11</u>: md4WithRSAEncryption object identifier was added.

Version 1.5 was republished as IETF RFC 2313.

Version 2.0

Version 2.0 incorporated major editorial changes in terms of the document structure and introduced the RSAES-OAEP encryption scheme. This version continued to support the encryption and signature processes in version 1.5, although the hash algorithm MD4 was no longer allowed due to cryptanalytic advances in the intervening years. Version 2.0 was republished as RFC 2437 [RFC2437].

Version 2.1

Version 2.1 introduces multi-prime RSA and the RSASSA-PSS signature scheme with appendix along with several editorial improvements. This version continues to support the schemes in version 2.0.

Version 2.2

Version 2.2 updates the list of allowed hashing algorithms to align them with FIPS 180-4 $[\underline{SHS}]$, therefore adding SHA-224, SHA-512/224 and SHA-512/256. The following substantive changes were made:

- o Object identifiers for sha224WithRSAEncryption, sha512-224WithRSAEncryption and sha512-256WithRSAEncryption were added.
- o This version continues to support the schemes in version 2.1.

Appendix F. About PKCS

The Public-Key Cryptography Standards are specifications produced by RSA Laboratories in cooperation with secure systems developers worldwide for the purpose of accelerating the deployment of public-key cryptography. First published in 1991 as a result of meetings with a small group of early adopters of public-key technology, the PKCS documents have become widely referenced and implemented. Contributions from the PKCS series have become part of many formal and de facto standards, including ANSI X9 and IEEE P1363 documents, PKIX, SET, S/MIME, SSL/TLS, and WAP/WTLS.

Further development of PKCS occurs through the IETF. Suggestions for improvement are welcome.

Appendix G. Acknowledgements

This document is based on a contribution of RSA Laboratories, the research center of RSA Security Inc.

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