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UAS Operator Privacy for RemoteID Messages
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Abstract

This document describes a method of providing privacy for UAS Operator/Pilot information specified in the ASTM UAS Remote ID and Tracking messages. This is achieved by encrypting, in place, those fields containing Operator sensitive data using a hybrid ECIES.

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Table of Contents

- <u>1</u>. <u>Introduction</u>
- 2. <u>Terms and Definitions</u>
 - 2.1. Requirements Terminology
 - 2.2. Definitions
- 3. The Operator USS Security Relationship
 - 3.1. <u>Using Operator Privacy as an Incentive to USS operation</u> registration
 - 3.2. ECIES Shared Secret Generation
- <u>4</u>. <u>System Message Privacy</u>
 - 4.1. Rules for encrypting System Message content
 - 4.2. Rules for decrypting System Message content
- 5. Operator ID Message Privacy
 - 5.1. <u>Rules for encrypting Operator ID Message content</u>
 - 5.2. Rules for decrypting Operator ID Message content
- 6. <u>Cipher choices for Operator PII encryption</u>
 - 6.1. Using AES-CFB16
 - 6.2. Using a Feistel scheme
 - 6.3. Using AES-CTR
- 7. DRIP Requirements addressed
- <u>8</u>. <u>ASTM Considerations</u>
- <u>9</u>. <u>IANA Considerations</u>
- <u>10</u>. <u>Security Considerations</u>
 - <u>10.1</u>. <u>Reuse of old keys</u>
 - <u>10.2</u>. <u>CFB16 Risks</u>
 - <u>10.3</u>. <u>Crypto Agility</u>
 - <u>10.4</u>. <u>Key Derivation vulnerabilities</u>
 - 10.5. KMAC Security as a KDF
- <u>11</u>. <u>Normative References</u>

<u>12</u>. <u>Informative References</u>

<u>Appendix A</u>. <u>Feistel Scheme</u>

<u>Acknowledgments</u>

Authors' Addresses

1. Introduction

This document defines a mechanism to provide privacy in the ASTM Remote ID and Tracking messages [F3411-22a] by encrypting, in place, those fields that contain sensitive UAS Operator/Pilot information (i.e., personal identifiable information, PII). Encrypting in place means that the ciphertext is exactly the same length as the cleartext, and directly replaces it.

An example of and an initial application of this mechanism is the 10 bytes of UAS Operator/Pilot (hereafter called simply Operator) Longitude, Latitude, and Altitude location in the ASTM System Message (Msg Type 0x4). This meets the <u>Drip Requirements</u> [<u>RFC9153</u>], Priv-01. It is assumed that the Operator, via the GCS, registers an operation with its USS. During this operation registration, the GCS and USS exchange public keys and nonces to use in the hybrid ECIES. The USS key may be long lived, but the GCS key SHOULD be unique to a specific operation. This provides protection if the ECIES secret is exposed from prior operations.

The USS public key MAY be its DET's key, but the GCS SHOULD be an operation unique public key per above. The GCS key MAY be an operation specific DET's key. Use of DETs is possible, as EdDSA keys can be converted to X25519 keys per <u>Curve25519</u> [<u>RFC7748</u>] by [<u>Ed25519_Curve25519</u>]. Or the GCS can convert the USS DET's key, but send, during operation registration a unique ephemeral X25519 key for use in the ECEIS key derivation.

The actual Tracking message field encryption MUST be an "encrypt in place" cipher. There is rarely any room in the tracking messages for a cipher IV or encryption MAC (AEAD tag). There is rarely any data in the messages that can be used as an IV. The AES-CFB16 mode of operation proposed here can encrypt a multiple of 2 bytes.

The System Message is not a simple, one-time, encrypt the PII with the ECIES derived key. The Operator may move during a operation and these fields change, correspondingly. Further, not all messages will be received by the USS via Network Remote ID, so each message's encryption must stand on its own and not be at risk of attack by the content of other messages.

Another candidate message is the optional ASTM Operator ID Message (Msg Type 0x5) with its 20 character Operator ID field. The Operator ID does not change during an operation, so this is a one-time encryption for the operation. The same cipher SHOULD be used for all messages from the UAS and this will influence the cipher selection.

Future applications of this mechanism may be provided. The content of the System Message may change to meet CAA requirements, requiring encrypting a different amount of data. At that time, they will be added to this document.

Editor note: The Rules for allowing encryption need to be updated to handle the UA operating in Broadcast Remote ID only mode. That is conditions where the USS cannot notify the UAS to stop encrypting.

2. Terms and Definitions

2.1. Requirements Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [<u>RFC2119</u>] [<u>RFC8174</u>] when, and only when, they appear in all capitals, as shown here.

2.2. Definitions

See <u>Section 2.2</u> of [<u>RFC9153</u>] for common DRIP terms.

ECIES

Elliptic Curve Integrated Encryption Scheme. A hybrid encryption scheme which provides semantic security against an adversary who is allowed to use chosen-plaintext and chosen-ciphertext attacks.

KMAC (KECCAK Message Authentication Code [NIST.SP.800-185]):
 A Pseudo Random Function (PRF) and keyed hash function based on
 KECCAK.

3. The Operator - USS Security Relationship

All CAAs have rules defining which UAS must be registered to operate in their National Air Space (NAS). This includes UAS and Operator registration in a USS. Further, operators are expected to report flight operation intent and actual operations to their USS. This operational intent provides a mechanism for the USS and operator to establish an operation security context. Here it will be used to exchange public keys for use in ECIES.

The UAS's ECIES public key SHOULD be unique for each operation; the nonce MUST be unique. The USS ECIES public key may be unique for each UAS and operation, but not required. Regardless, the nonce MUST be unique. For best post-compromise security (PCS), the USS ECIES public key should be changed over some operational window.

The public key algorithm should be <u>Curve25519</u> [<u>RFC7748</u>]. Correspondingly, the ECIES 128 bit shared secret should be generated using <u>KMAC</u> [<u>NIST.SP.800-185</u>].

3.1. Using Operator Privacy as an Incentive to USS operation registration

UAS operation registration to its USS tends to be a time-consuming process than burdens UAS operators with real expenses. USS owners/ operators that facilitate operation registration will monetize such services. Correspondingly, operation registration will be something that many operators will seek to avoid, impeding regulatory compliance. UAS operators need an incentive to comply.

Such an incentive is indirectly available via the challenge presented by the broadcast requirement to advertise operator information. That is, Broadcasted Operator ID and location present a serious PII exposure threat, masked with a bit of denial that it only occurs within the limited RF broadcast range. In fact, Broadcast RID messages are easy to harvest [drip-crowd-sourced-rid] both for input to NAS management (UTM) and potential unsavory UAS operator tracking. Moreover, such information, for the benefit of safety officers that may need to identify and find operators, is largely used for harm by facilitating others to locate the operators.

The operator information in the Broadcast RID messages can be encrypted, in-place with no data overhead, as shown herein, where authorized authorities can query the USS for the protected operator data, or even get the securing session key to monitor a UA operation. The methodolgy covered here takes a conservative approach to when encryption is allowed. Regulators can use this to more proactively protect this PII thus better incentivize operation registration.

3.2. ECIES Shared Secret Generation

When the USS - UAS Operation Security Context is established, the GCS provides the UA ID for the operation (null padded to 20 characters per [F3411-22a]), a 256 bit random nonce, and an ephemeral (or DET HI converted) X25519 key to the USS. These are inputs, along with the USS key and a 256 bit random nonce to produce the shared secret as follows.

A 64 bit UNIX timestamp from the USS for the operation time is also included in the Operation Security Context. This will be used in the IV construction (as in Section 6.1).

Per [NIST.SP.800-56Cr1], Section 4.1, Option 3:

```
OKM = KMAC128(salt, IKM, 128, S)
```

Where

IKM = X25519 ECDH secret | USS ID | UAS ID salt = Nonce-USS | Nonce-GCS

S = the byte string 01001011 | 01000100 | 01000110
 which is the characters "K", "D", and "F"
 in 8-bit ASCII.

Figure 1: ECIES Key Derivation Function

4. System Message Privacy

The System Message contains 10 bytes of Operator specific information: Longitude, Latitude and Altitude of the Remote Operator (Pilot in the field description) of the UA. The GCS MAY encrypt these as follows.

The 10 bytes of Operator information are encrypted, using the ECIES derived 128 bit shared secret, with one of the cipher's specified below. The choice of cipher is based on USS policy and is agreed to as part of the operation registration. AES-CFB16 is the recommended default cipher.

ASTM Remote ID and Tracking messages [F3411-22a] SHOULD be updated to allow Bit 5 of the Flags byte in the System Message set to "1" to indicate the Operator information is encrypted.

The USS similarly decrypts these 10 bytes and provides the information to authorized entities.

4.1. Rules for encrypting System Message content

If the Operator location is encrypted the encrypted bit flag MUST be set to 1.

The Operator MAY be notified by the USS that the operation has entered a location or time where privacy of Operator location is not allowed. In this case the Operator MUST disable this privacy feature and send the location unencrypted or land the UA or route around the restricted area.

If the UAS loses connectivity to the USS, the privacy feature SHOULD be disabled or land the UA.

If the operation is in an area or time with no Internet Connectivity, the privacy feature MUST NOT be used.

4.2. Rules for decrypting System Message content

An Observer receives a System Message with the encrypt bit set to 1. The Observer sends a query to its USS Display Provider containing the UA's ID and the encrypted fields.

The USS Display Provider MAY deny the request if the Observer does not have the proper authorization.

The USS Display Provider MAY reply to the request with the decrypted fields if the Observer has the proper authorization.

The USS Display Provider MAY reply to the request with the decrypting key if the Observer has the proper authorization.

The Observer MAY notify the USS through its USS Display Provider that content privacy for a UAS in this location/time is not allowed. If the Observer has the proper authorization for this action, the USS notifies the Operator to disable this privacy feature.

5. Operator ID Message Privacy

The Operator ID Message contains the 20 byte Operator ID. The GCS MAY encrypt these as follows.

The 20 bytes Operator ID is encrypted, using the ECIES derived 128 bit shared secret, with one of the cipher's specified below. The choice of cipher is based on USS policy and is agreed to as part of the operation registration. AES-CFB16 is the recommended default cipher.

ASTM Remote ID and Tracking messages [F3411-22a] SHOULD be updated to allow Operator ID Type in the Operator ID Message set to "1" to indicate the Operator ID is encrypted.

The USS similarly decrypts these 20 bytes and provides the information to authorized entities.

5.1. Rules for encrypting Operator ID Message content

If the Operator ID is encrypted the Operator ID Type field MUST be set to 1.

The Operator MAY be notified by the USS that the operation has entered a location or time where privacy of Operator ID is not allowed. In this case the Operator MUST disable this privacy feature and send the ID unencrypted or land the UA or route around the restricted area.

If the UAS loses connectivity to the USS, the privacy feature SHOULD be disabled or land the UA.

If the operation is in an area or time with no Internet Connectivity, the privacy feature MUST NOT be used.

5.2. Rules for decrypting Operator ID Message content

An Observer receives a Operator ID Message with the Operator ID Type field set to 1. The Observer sends a query to its USS Display Provider containing the UA's ID and the encrypted fields. The USS Display Provider MAY deny the request if the Observer does not have the proper authorization.

The USS Display Provider MAY reply to the request with the decrypted fields if the Observer has the proper authorization.

The USS Display Provider MAY reply to the request with the decrypting key if the Observer has the proper authorization.

The Observer MAY notify the USS through its USS Display Provider that content privacy for a UAS in this location/time is not allowed. If the Observer has the proper authorization for this action, the USS notifies the Operator to disable this privacy feature.

6. Cipher choices for Operator PII encryption

6.1. Using AES-CFB16

CFB16 is defined in [<u>NIST.SP.800-38A</u>], Section 6.3. This is the Cipher Feedback (CFB) mode operating on 16 bits at a time. This variant of CFB can be used to encrypt any multiple of 2 bytes of cleartext.

The Operator includes a 64 bit UNIX timestamp for the operation time, along with its operation pubic key. The Operator also includes the UA MAC address (or multiple addresses if flying multiple UA).

The 128 bit IV for AES-CFB16 is constructed by the Operator and USS as: SHAKE128(MAC|UTCTime|Message_Type, 128). Inclusion of the ASTM Message_Type ensures a unique IV for each Message type that contains PII to encrypt.

AES-CFB16 would then be used to encrypt the Operator information.

6.2. Using a Feistel scheme

If the encryption speed doesn't matter, we can use the following approach based on the Feistel scheme. This approach is already being used in format-preserving encryption (e.g. credit card numbers). The Feistal scheme is explained in <u>Appendix A</u>.

6.3. Using AES-CTR

If 2 bytes of the Message can be set aside to contain a counter that is incremented each time the Operator information changes, AES-CTR can be used as follows.

The Operator includes a 64 bit UNIX timestamp for the operation time, along with its operation pubic key. The Operator also includes the UA MAC address (or multiple addresses if flying multiple UA). The high order bits of an AES-CTR counter is constructed by the Operator and USS as: SHAKE128(MAC|UTCTime|Message_Type, 112). Inclusion of the ASTM Message_Type ensures a unique IV for each Message type that contains PII to encrypt.

AES-CTR would then be used to encrypt the Operator information.

7. DRIP Requirements addressed

This document provides solution to PRIV-1 and PRIV-2 for PII in the ASTM System Message.

8. ASTM Considerations

ASTM will need to make the following changes to the "Flags" in the System Message (Msg Type 0x4):

Bit 5:

Value 1 for encrypted; 0 for cleartext (see Section 4).

ASTM will need to make the following changes to the "Operator ID Type" in the Operator ID Message (Msg Type 0x5):

Operator ID Type

Value 1 for encrypted Operator ID (see <u>Section 5</u>).

9. IANA Considerations

None

10. Security Considerations

An attacker has no known text after decrypting to determine a successful attack. An attacker can make assumptions about the high order byte values for Operator Longitude and Latitude that may substitute for known cleartext. There is no knowledge of where the operator is in relation to the UA. Only if changing location values "make sense" might an attacker assume to have revealed the operator's location.

10.1. Reuse of old keys

There is the risk of use of old keys by a UA operator. This is when the operator goes through the process of requesting a key from its USS, but then uses this key in future operations without registering the operation to the USS and getting a new key. There are many reasons a UA operator may choose this mode of behavior, but it goes contrary to many aspects of CAA UAS Conops. There is little direct action a USS can have to get compliance from the UA operator on appropriate use of an Operator PII protection key. Perhaps the only effective approach is to publish a key once its authorized lifetime has expired. There are many ways a USS can do this publication and make this known; it is out of scope here.

A downside to this publication approach is it defeats historical protection of PII protection of this broadcasted information and should be viewed as a last approach. Although it does provide a strong stick to the carrot of protecting PII. That is, use the key according to the agreed upon usage rules.

10.2. CFB16 Risks

Using the same IV for different Operator information values with CFB16 presents a cyptoanalysis risk. Typically only the low order bits would change as the Operators position changes. The risk is mitigated due to the short-term value of the data. Further analysis is need to properly place risk.

10.3. Crypto Agility

The ASTM Remote ID Messages do not provide any space for a crypto suite indicator or any other method to manage crypto agility.

There can be different crypto pieces for components for different DET OGAs. For example, a document specifying Operator Privacy for DETs with an OGA=2 (ECDSA/SHA-384) would probably use SHA/HMAC rather than SHAKE/KMAC.

All other aspects of crypto agility is left to the USS policy and the relation between the USS and operator/UAS. The selection of the ECIES public key algorithm, the shared secret key derivation function, and the actual symmetric cipher used for on the System Message are set by the USS which informs the operator what to do.

10.4. Key Derivation vulnerabilities

[<u>RFC7748</u>] warns about using Curve25519 and Curve448 in Diffie-Hellman for key derivation:

Designers using these curves should be aware that for each public key, there are several publicly computable public keys that are equivalent to it, i.e., they produce the same shared secrets. Thus using a public key as an identifier and knowledge of a shared secret as proof of ownership (without including the public keys in the key derivation) might lead to subtle vulnerabilities.

This applies here, but may have broader consequences. Thus two endpoint IDs are included with the Diffie-Hellman secret.

10.5. KMAC Security as a KDF

Section 4.1 of <u>NIST SP 800-185</u> [<u>NIST.SP.800-185</u>] states:

"The KECCAK Message Authentication Code (KMAC) algorithm is a PRF and keyed hash function based on KECCAK . It provides variablelength output"

That is, the output of KMAC is indistinguishable from a random string, regardless of the length of the output. As such, the output of KMAC can be divided into multiple substrings, each with the strength of the function (KMAC128 or KMAC256) and provided that a long enough key is used, as discussed in [NIST.SP.800-185], Section 8.4.1.

For example KMAC128(K, X, 512, S), where K is at least 128 bits, can produce 4 128 bit keys each with a strength of 128 bits. That is a single sponge operation is replacing perhaps 5 HMAC-SHA256 operations (each 2 SHA256 operations) in HKDF.

11. Normative References

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- [RFC9153] Card, S., Ed., Wiethuechter, A., Moskowitz, R., and A. Gurtov, "Drone Remote Identification Protocol (DRIP) Requirements and Terminology", RFC 9153, DOI 10.17487/ RFC9153, February 2022, <<u>https://www.rfc-editor.org/info/</u> rfc9153>.

Appendix A. Feistel Scheme

This approach is already being used in format-preserving encryption.

According to the theory, to provide CCA security guarantees (CCA = Chosen Ciphertext Attacks) for m-bit encryption X |-> Y, we should choose d >= 6. It seems very inefficient that when shortening the block length, we have to use 6 times more block encryptions. On the other hand, we preserve both the block cipher interface and security guarantees in a simple way.

```
How to encrypt an m-bit plaintext X using an n-bit block cipher
    E = \{E_K\} for n > m?
    Enc(X, K):
      1. Y <- X.
      2. Split Y into 2 equal parts: Y = Y1 || Y2
      (let us assume for simplicity that m is even).
      3. For i = 1, 2, ..., d do:
        Y <- Y2 || (Y1 ^ first_m/2_bits(E_K(Y2 || Ci)),
      where Ci is a (n - m/2)-bit round constant.
      4. Y <- Y2 || Y1.
      5. Return Y.
    Dec(Y, K):
      1. X <- Y.
      2. Split X into 2 equal parts: X = X1 || X2.
      3. For i = d, ..., 2, 1 do:
        X <- X2 || (X1 ^ first_m/2_bits(E_K(X2 || Ci)).</pre>
      4. X <- X2 || X1.
      5. Return X.
```

Acknowledgments

The recommended ciphers come from discussions on the IRTF CFRG mailing list.

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