

Workgroup: HIP
Internet-Draft:
draft-moskowitz-hip-new-crypto-06
Updates: [7401](#) (if approved)
Published: 2 November 2020
Intended Status: Standards Track
Expires: 6 May 2021
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 New Cryptographic Algorithms for HIP

Abstract

This document provides new cryptographic algorithms to be used with HIP. The Edwards Elliptic Curve and the Keccak sponge functions are the main focus. The HIP parameters and processing instructions impacted by these algorithms are defined.

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1. Introduction

This document adds new cryptographic algorithms for [HIPv2](#) [[RFC7401](#)]. This includes:

*New elliptic curves for ECDH.

*The Edwards Elliptic Curve Digital Signature Algorithm (EdDSA) used in Host Identities (HI) and for Base Exchange (BEX) signatures.

*Hashes used in Host Identity Tag (HIT) generation, and wherever else hashes are needed.

*Keyed hashes used for KEYMAT generation and packet MACing operations.

*AEAD and stream ciphers to use in HIP and HIP enabled secure communication protocols.

The hashes and encryption are all built on the [[Keccak](#)] sponge function.

These additions reflect selection of advances in the field of cryptography that would best benefit HIP, particularly in constrained devices and communications.

2. Terms and Definitions

2.1. Requirements Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2.2. Definitions

Keccak (KECCAK Message Authentication Code):

The family of all sponge functions with a KECCAK-f permutation as the underlying function and multi-rate padding as the padding rule.

KMAC (KECCAK Message Authentication Code):

A PRF and keyed hash function based on KECCAK.

cSHAKE (The customizable SHAKE function):

Extends the SHAKE scheme to allow users to customize their use of the function.

SHAKE (Secure Hash Algorithm KECCAK):

A secure hash that allows for an arbitrary output length.

PRF (Pseudorandom Function):

A function that can be used to generate output from a random seed such that the output is computationally indistinguishable from truly random output.

capacity:

In the sponge construction, the width of the underlying function minus the rate.

rate:

In the sponge construction, the number of input bits processed per invocation of the underlying function.

XOF (eXtendable-Output Function):

A function on bit strings (also called messages) in which the output can be extended to any desired length.

3. HIP Parameter values for new Crypto

HIP parameters carry information that is necessary for establishing and maintaining a HIP association. For example, the device's public keys as well as the signaling for negotiating ciphers and payload handling are encapsulated in HIP parameters. Additional information, meaningful for end hosts or middleboxes, may also be included in HIP parameters. The specification of the HIP parameters and their mapping to HIP packets and packet types is flexible to allow HIP extensions to define new parameters and new protocol behavior.

3.1. Elliptic Curves for Diffie-Hellman

Elliptic curves Curve25519 and Curve448 [[RFC7748](#)] are specified here for use in the HIP Diffie-Hellman exchange.

Curve25519 and Curve448 are already defined in Section 5.2.1 of [[hip-dex](#)], using the HIP-DEX CKDF. Here they are defined for using the new KMAC [[NIST.SP.800-185](#)] derived KDF in [Section 5](#).

3.1.1. DIFFIE_HELLMAN

The DIFFIE_HELLMAN parameter may be included in selected HIP packets based on the DH Group ID selected. The DIFFIE_HELLMAN parameter is defined in Section 5.2.7 of [[RFC7401](#)].

The following Elliptic Curves are defined here:

Group	KDF	Value
Curve25519 [RFC7748]	KKDF	13
Curve448 [RFC7748]	KKDF	14

A new KDF for KEYMAT, Section 6.5 of [[RFC7401](#)] and Section 6.3 of [[hip-dex](#)] using Keccak is defined in [Section 5](#).

3.2. Edward Digital Signature Algorithm for HITs

This section is pulled from Appendix D of [[drip-uas-rid](#)]. It may later be pulled and only maintained there.

Edwards-Curve Digital Signature Algorithm (EdDSA) [[RFC8032](#)] are specified here for use as Host Identities (HIs) per [HIPv2](#) [[RFC7401](#)]. Further the HIT_SUITE_LIST is specified as used in [[RFC7343](#)].

3.2.1. HOST_ID

The HOST_ID parameter specifies the public key algorithm, and for elliptic curves, a name. The HOST_ID parameter is defined in Section 5.2.19 of [[RFC7401](#)].

Algorithm profiles	Values	
EdDSA	13 [RFC8032]	(RECOMMENDED)

For hosts that implement EdDSA as the algorithm, the following ECC curves are available:

Algorithm	Curve	Values
EdDSA	RESERVED	0
EdDSA	EdDSA25519	1 [RFC8032]
EdDSA	EdDSA25519ph	2 [RFC8032]
EdDSA	EdDSA448	3 [RFC8032]
EdDSA	EdDSA448ph	4 [RFC8032]

3.2.2. HIT_SUITE_LIST

The HIT_SUITE_LIST parameter contains a list of the supported HIT suite IDs of the Responder. Based on the HIT_SUITE_LIST, the Initiator can determine which source HIT Suite IDs are supported by the Responder. The HIT_SUITE_LIST parameter is defined in Section 5.2.10 of [[RFC7401](#)].

The following HIT Suite ID is defined, and the relationship between the four-bit ID value used in the OGA ID field and the eight-bit encoding within the HIT_SUITE_LIST ID field is clarified:

HIT Suite	Four-bit ID	Eight-bit encoding	
RESERVED	0	0x00	
EdDSA/cSHAKE128	5	0x50	(RECOMMENDED)

The following table provides more detail on the above HIT Suite combinations. The input for each generation algorithm is the encoding of the HI as defined in this Appendix.

The output of cSHAKE128 is variable per the needs of a specific ORCHID construction. It is at most 96 bits long and is directly used in the ORCHID (without truncation).

Index	Hash function	HMAC	Signature algorithm family	Description
5	cSHAKE128	KMAC128	EdDSA	EdDSA HI hashed with cSHAKE128, output is variable

Table 1: HIT Suites

3.3. Hashing with the Keccak Function

The [[Keccak](#)] sponge function is the basis for the new SHA-3, standard [[NIST.FIPS.202](#)], and the customized XOF functions in [[NIST.SP.800-185](#)]. These are used here as an alternative to all the hashing functions in HIP.

Hardware implementation of Keccak in VHDL is available from [[Keccak](#)].

3.3.1. The Keccak Permutation

Keccak is described as a sponge function. The analogy to a sponge is that an arbitrary number of input bits are "absorbed" into the state of the function, after which an arbitrary number of output bits are "squeezed" out of its state.

The Keccak function is defined to have a width of b bits. Where b is the capacity (c) + rate (r) .

The rate is the number of bits "fed" into the sponge at a time.

The capacity is twice the desired hash "strength" and part of the sponge width.

b is one of the set $\{25, 50, 100, 200, 400, 800, 1600\}$. In FIPS 202, $b=1600$. Thus a hash strength of 128 bits can be delivered with $c=256$ and $r=1344$, or 168 byte segment input to the sponge.

Keccak can also provide a hash strength of 128 bit with $b=800$ ($r=544$ or 68 bytes) and $b=400$ ($r=144$ or 18 bytes). 256 bit strength can only be provided with $b=1600$ or 800.

FIPS 202 does not specify use of these smaller values for b which may be preferred in memory constrained devices, processing relatively short input strings. Future work will determine if the

smaller values for b result in a significant performance/memory improvement to warrant their use.

3.3.2. RHASH

The RHASH is the general term used throughout [RFC7401] to refer to the hash used for a specific HIT suite. For this addendum SHAKE128 is used, even for HIs of EdDSA448.

Unless otherwise specified, L of SHAKE128 is 256, resulting in a similar output to SHA256. Any truncation used for, older, fixed output hashes is still used. This is to simplify code integration. One exception to this is in [Section 4](#).

3.3.3. HIP_MAC and HIP_MAC2

The HIP_MAC and HIP_MAC2 parameters in [RFC7401] use HMAC [RFC2104]. This performs two hashes on a string with a key for a keyed hash the length of the underlying hash.

Here, KMAC from [NIST SP 800-185](#) [NIST.SP.800-185] is used. This is a single pass using the underlying cSHAKE function. The function call is:

```
KMAC128(Key, Input String, 256, "")
```

3.4. HIP Cipher

HIP encrypted parameters use the HIP_CIPHER, Section 5.2.8 of [RFC7401]. The Keccak Keyak cipher, [Keyak Cipher], is recommended. Keyak is a candidate in the NIST Lightweight Cryptography competition and is consistent with the overall approach in this addendum to use Keccak functions for simplicity in design and implementation.

3.4.1. HIP_CIPHER

The HIP_CIPHER parameter values for Keyak are:

hip_cipher

Suite ID	Value
RIVER KEYAK	6 (Keyak)
LAKE KEYAK	7 (Keyak)

For use as the HIP Cipher, the TAG generated in Keyak is length 0. The Keyak SUV is the key plus IV specified for the encrypted

parameter. River Keyak MAY be used for [[Keyak Cipher](#)], in place of AES-CTR.

Lake Keyak can provide 256 bits of security by following the recommendations for the Keyak cipher.

4. Generating a HIT from an HI

The EdDSA/cSHAKE based HITs require a new ORCHID generation method than that described in section 3.2 of [[RFC7401](#)]. The XOF functionality of cSHAKE produces an output of L bits. This replaces the Encode_96 function in the ORCHID generation.

For identities that are EdDSA public keys, ORCHIDs will be generated per the process defined in Appendix C.2.1 of [[drip-uas-rid](#)].

5. HIP KEYMAT Generation

The KMAC function provides a new, more efficient, key derivation function over HKDF [[RFC5869](#)]. This will be referred to as KKDF.

The choice of KMAC128 or KMAC256 is based on the strength of the output key material. For 256 bits of strength equivalent to HMAC-SHA256, use KMAC256. Per [[NIST.SP.800-56Cr1](#)], Section 4.1, Option 3:

$$OKM = KMAC[128|256](salt \parallel info, IKM, L, S)$$

L is the derived key bit length. Since 4 HIP keys are "drawn" from this output, the length is 4 * HIP_key_size. Per [ASIACRYPT 2017, pp. 606-637](#) [[ASIACRYPT-2017](#)] each of these derived keys will have the same strength as the Diffie-Hellman shared secret.

S is the byte string 01001011 || 01000100 || 01000110, which represents the sequence of characters "K", "D", and "F" in 8-bit ASCII.

Salt and info are derived as defined in [[RFC7401](#)] or [[hip-dex](#)]. There are special security considerations for IKM per [[RFC7748](#)]. The two HIs MUST be used in constructing IKM as follows:

$$IKM = \text{Diffie-Hellman secret} \parallel HI-R \parallel HI-I$$

These are separately DER encoded.

6. Using Keccak for a Pseudorandom Function

Appendix B of [NIST SP 800-185](#) [[NIST.SP.800-185](#)] defines how to use SHAKE, cSHAKE, or KMAC as a PRF.

7. IANA Considerations

IANA will need to make the following changes to the "Host Identity Protocol (HIP) Parameters" registries:

Diffie Hellman:

This document defines the new Curve25519 and Curve448 for the Diffie-Hellman exchange (see [Section 3.1.1](#)).

Host ID:

This document defines the new EdDSA Host ID (see [Section 3.2.1](#)).

HIT Suite ID:

This document defines the new HIT Suite of EdDSA/cSHAKE (see [Section 3.2.2](#)).

HIP Cipher:

This document defines the new Keyak ciphers for HIP encrypted parameters (see [Section 3.4.1](#)).

8. Security Considerations

8.1. Keymat vulnerabilities

[[RFC7748](#)] warns about using Curve25519 and Curve448 in Diffie-Hellman for key derivation:

Designers using these curves should be aware that for each public key, there are several publicly computable public keys that are equivalent to it, i.e., they produce the same shared secrets. Thus using a public key as an identifier and knowledge of a shared secret as proof of ownership (without including the public keys in the key derivation) might lead to subtle vulnerabilities.

This applies to [[hip-dex](#)], but may have broader consequences. Thus the two Host IDs are included with the Diffie-Hellman secret.

8.2. KMAC Security as a KDF

Section 4.1 of [NIST SP 800-185](#) [[NIST.SP.800-185](#)] states:

"The KECCAK Message Authentication Code (KMAC) algorithm is a PRF and keyed hash function based on KECCAK . It provides variable-length output"

That is, the output of KMAC is indistinguishable from a random string, regardless of the length of the output. As such, the output of KMAC can be divided into multiple substrings, each with the strength of the function (KMAC128 or KMAC256) and provided that a long enough key is used, as discussed in Sec. 8.4.1 of SP 800-185.

For example KMAC128(K, X, 512, S), where K is at least 128 bits, can produce 4 128 bit keys each with a strength of 128 bits. That is a single sponge operation is replacing perhaps 5 HMAC-SHA256 operations (each 2 SHA256 operations) in HKDF.

9. Acknowledgments

Quynh Dang of NIST gave considerable guidance on using Keccak and the NIST supporting documents. Joan Deamen of the Keccak team was especially helpful in many aspects of using Keccak, particularly with the KEYMAT section and the strength of the derived keys.

NIST is entering round 3 (final) of its Lightweight Crypto Competition with anticipated selection the end of 2021 or early in 2022. Events in this process will impact selections in this document.

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