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Provisioning Message Authentication Key for PCP using PANA (Side-by-Side  
Approach)  
[draft-ohba-pcp-pana-04](#)

Abstract

This document specifies a mechanism for provisioning PCP (Port Control Protocol) message authentication key using PANA (Protocol for carrying Authentication for Network Access).

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## [1.](#) Introduction

PCP (Port Control Protocol) [[I-D.ietf-pcp-base](#)] is used for an IPv6 or IPv4 host to control how incoming IPv6 or IPv4 packets are translated and forwarded by a network address translator (NAT) or by a simple firewall. It also allows a host to optimize its outgoing NAT keepalive messages.

In order to provide integrity protection for PCP messages, a message authentication mechanism for PCP is defined in [[I-D.ietf-pcp-authentication](#)]. Three components are defined in [[I-D.ietf-pcp-authentication](#)]: (1) PCP options for providing per-packet origin authentication, integrity and replay protection, (2) PCP Security Association (SA) for generating the aforementioned options, and (3) PCP options for generating PCP SA from execution of EAP authentication.

The third component seems to define a new EAP lower-layer within PCP. In this document, PANA (Protocol for carrying Authentication for Network Access) [[RFC5191](#)] is proposed instead of defining a new EAP lower-layer. This draft along with other two components described in [[I-D.ietf-pcp-authentication](#)] provides a complete solution which otherwise will duplicate the work of transporting EAP over UDP. The proposed solution can run over a single PCP port.

### [1.1.](#) Specification of Requirements

In this document, several words are used to signify the requirements of the specification. These words are often capitalized. The key



words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

## **2. Establishing a PCP SA**

A PCP client should know the authentication capability of the PCP server before deciding to use PANA with it. PCP client can obtain this information either via an out-of band scheme (e.g., manual configuration, DHCP), or via an in-band scheme (e.g., trial-and-error, PCP ANNOUNCE Opcode). In trial-and-error scheme the PCP client tests the PCP server by sending its first request without any authentication. If the PCP server returns AUTHENTICATION\_REQUIRED error message, then the PCP client concludes that the PCP server is mandating use of authentication. Otherwise the PCP client concludes that the PCP server is allowing unauthenticated PCP. See [Section 3](#) for the details of ANNOUNCE-based discovery.

A PaC (PANA Client) on a PCP client node initiates PANA authentication over the PCP port number (To be assigned) prior to sending an authenticated PCP message. The initiation may be requested by the PCP client. We assume that a PAA (PANA Authentication Agent) is implemented on each PCP server that supports authenticated PCP messages. Therefore, the PCP server's IP address is used as the address of the PAA. The PANA authentication for establishing a PCP SA is dedicated to the PCP usage only.

In order to distinguish PANA and PCP messages that are multiplexed over the PCP port number (To be assigned), bit 0 of Reserved field of PANA header is used and whose value is 1. In PCP, the corresponding bit is part of Version field and whose value is 0, as shown in Figure 1. For this scheme to work, PCP Version values less than 128 MUST be used.



```

0
0 1 2 3 4 5 6 7
+--+--+--+--+--+
|   Reserved   ...
+--+--+--+--+--+

```

The first 8 bits of PANA header (bit 0 value is 1)

```

0
0 1 2 3 4 5 6 7
+--+--+--+--+--+
|   Version   | ...
+--+--+--+--+--+

```

The first 8 bits of PCP header (bit 0 value is 0)

Figure 1: The First 8 bits of PANA and PCP Headers

When a PANA message is carried over the PCP port number (To be assigned), the sender MUST set bit 0 of Reserved field. Other Reserved bits and bit 0 when used over port numbers other than the PCP port number (To be assigned) are still governed by [\[RFC5191\]](#).

Upon successful PANA authentication, the message authentication key for PCP message is derived from the EAP MSK as follows:

`PCP_AUTH_KEY = prf+(MSK, "IETF PCP" | SID | KID)`

where where | denotes concatenation.

- o The prf+ function is defined in IKEv2 [\[RFC5996\]](#). The pseudo-random function to be used for the prf+ function is negotiated using PRF-Algorithm AVP in the initial PANA-Auth-Request and PANA-Auth-Answer exchange with 'S' (Start) bit set, as defined in [\[RFC5191\]](#).
- o "IETF PCP" is the ASCII code representation of the non-NULL terminated string (excluding the double quotes around it).
- o SID is a four-octet PANA Session Identifier [\[RFC5191\]](#).
- o KID is the content of the Key-ID AVP [\[RFC5191\]](#) associated with the MSK.

The same integrity algorithm used for the PANA session MUST be used for PCP message authentication.

The PCP\_AUTH\_KEY and its associated parameters (i.e., the IP addresses of the PCP client and PCP server, PANA Session ID, Key ID, message authentication algorithm and lifetime) are passed from the



PAA application to the PCP server application on the same PCP server device, and also passed from the PaC application to the PCP client application on the same PCP client node, using an API. The API can be implementation-specific, and therefore is not specified in this document. The PANA Session ID and Key ID are used in the corresponding fields (Session ID, Key ID) of the Authentication Tag Option.

Once a PCP SA is established, any PCP message that does not contain a valid Authentication Tag and a fresh Nonce under the current PCP SA MUST be silently discarded.

The PCP SA MUST be immediately deleted when the corresponding PANA SA is deleted. The PCP SA SHALL remain as long as the corresponding PANA SA exists.

If the PCP server that requires authenticated PCP message receives an unauthenticated PCP request, it returns an "AUTHENTICATION\_REQUIRED" result code.

If a PCP SA needs to be updated, the PCP client or the PCP server SHALL initiate PANA re-authentication phase. If a PCP SA needs to be re-established after expiration or loss of the SA for an existing PCP mapping state, the PCP client or the PCP server SHALL initiate PANA authentication and authorization phase.

### 3. Authentication Capability Discovery

A PCP client supporting PCP authentication MAY send an ANNOUNCE request with an AUTH\_CAPABILITY option prior to initiating PANA in order to know whether a PCP server supports PCP authentication. A PCP server supporting PCP authentication SHALL return an ANNOUNCE response with "SUCCESS" result code and an AUTH\_CAPABILITY option.

The AUTH\_CAPABILITY Option is formatted in Figure 2.

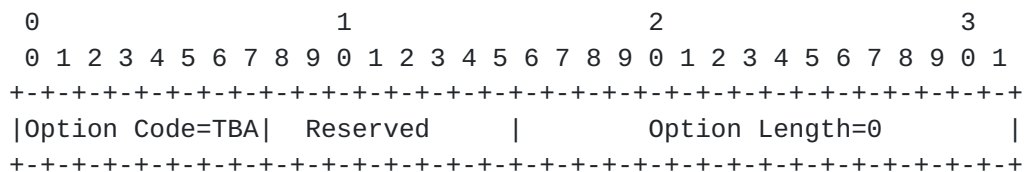


Figure 2: AUTH\_CAPABILITY Option Format

The fields are described below:

Option Name: AUTH CAPABILITY

Number: To be assigned by IANA





Purpose: To indicate the sender's authentication capability  
Valid for Opcodes: ANNOUNCE  
Length: 0  
May appear in: requests, responses  
Maximum occurrences: 1

#### **4. Security Considerations**

The key provisioning mechanism described in this document provides a cryptographic binding between a PANA session and a PCP SA based on using the PANA session identifier and key identifier in the PCP\_AUTH\_KEY derivation function.

For EAP channel binding [[RFC6677](#)], it is required for a PAA to distinguish whether PANA authentication is conducted for network access authentication or PCP authentication. Such a distinction can be made using the assigned port number over which the PANA authentication is conducted, namely, the PANA authentication is conducted for PCP authentication when the port number is the PCP port number (to be assigned), and it is for network access authentication when the port number is the PANA port number (716). How the corresponding information is conveyed from the PAA to the authentication server is outside the scope of this document.

#### **5. IANA Considerations**

A new result code for "AUTHENTICATION\_REQUIRED" needs to be allocated. The usage of the "AUTHENTICATION\_REQUIRED" result code is described in [Section 2](#).

A new PCP Option for AUTH\_CAPABILITY needs to be allocated. The usage of AUTH\_CAPABILITY Option is described in [Section 3](#).

#### **6. Acknowledgments**

Authors would like to acknowledge Dave Thaler for his suggestion on the use of ANNOUNCE Opcode for capability discovery, and Richard Martija, Pedro Moreno Sanchez and Rafa Marin-Lopez for fully implementing the mechanism described in this document.

#### **7. Normative References**

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**[Appendix A](#). Change History**

Changes from -00 to -01 :

- o Added Alper to authors.
- o Changed to use demultiplexing approach from separate key management.
- o Removed PCP server id from key derivation algorithm.
- o Added EAP channel binding discussion in Security Considerations section.

Changes from -01 to -02 :

- o Added Editor's Note in [Section 2](#).

Changes from -02 to -03 :

- o Changed document title
- o Added Tina to authors.
- o Used Bit 0 instead of Bits 5-6-7 to consider PCP Version 0 used by NAT-PCP.
- o Added ANNOUNCE-based authentication capability discovery.



- o Moved [RFC 2119](#) to Normative Reference.

Changes from -03 to -04 :

- o Added text for SA revnew and re-establishment.

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