

INTERNET DRAFT
Internet Draft
Aug 1998

EXPIRES FEB 1999

INTERNET DRAFT
Matt Holdrege
Ascend Communications
Jiri Matousek
Lyndon Ong
Bay Networks

Reliable Signaling Gateway Protocol (RSGP)
<[draft-ong-rsgp-ss7-info-00.txt](#)>

Status of this Memo

This document is an Internet-Draft. Internet-Drafts are working documents of the Internet Engineering task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress".

To learn the current status of any Internet-Draft, please check the "ltd-abstract.txt" listing contained in the Internet-Drafts Shadow Directories on ftp.is.co.za (Africa), nic.nordu.net, ftp.nis.garr.it (Europe), munnari.oz.au (Pacific Rim), ftp.ietf.org (US East Coast), or ftp.isi.edu (US West Coast).

Abstract

This memo describes a combined message set and function set for the Control Protocol used between a Network Access Server (NAS) and a Signaling Gateway (SG), based on the ASP and RSGCP drafts previously submitted [[asp](#), [rsgcp](#)]. The Control Protocol supports Call Control, Circuit Maintenance and Resource Management.

Table of Contents

1.	Overview.....	1
2.	Bearer Services.....	2
3.	Messages and Coding.....	3
4.	Protocol Procedures.....	9
5.	Detailed Procedures.....	15
6.	Transport.....	16
7.	Security Considerations.....	16
8.	Message Flow Examples.....	16
9.	Acronyms.....	21
10.	Authors' Contact Information.....	22
11.	References.....	22

1.0 Overview

This document defines a protocol for interface between a Signaling Gateway (SG) and Network Access Server (NAS), which serves to integrate Internet access and the Public Switched Telephone Network (PSTN) using Signaling System 7 (SS7).

The SG has two fundamental interfaces. One is to the SS7 network speaking standard SS7 protocols as defined in the ITU-T Q.700 series.

The other interface is TCP/IP speaking to the SG's defined NAS population. This document describes the protocol that is carried between the SG and the NAS.

Since there is no existing protocol that fits the requirements completely, a new protocol has been derived from the ITU-T protocol Q.931. Q.931 has the following advantages:

- ```
-- it is a very reliable protocol that has been used for many years
for ISDN call control, and is used for call control in H.323
-- Q.931 has well defined procedures and a message set that is
extensible to allow additional functionality to be added easily.
-- Q.931 has already been incorporated into NAS's to support ISDN,
minimizing the modifications required to implement a new Control
Protocol. A subset is also used in H.323 for call setup between
voice or multimedia over IP systems.
```

The following diagram shows the use of the SS7 Gateway and the RSGP for interworking of SS7 and Internet.

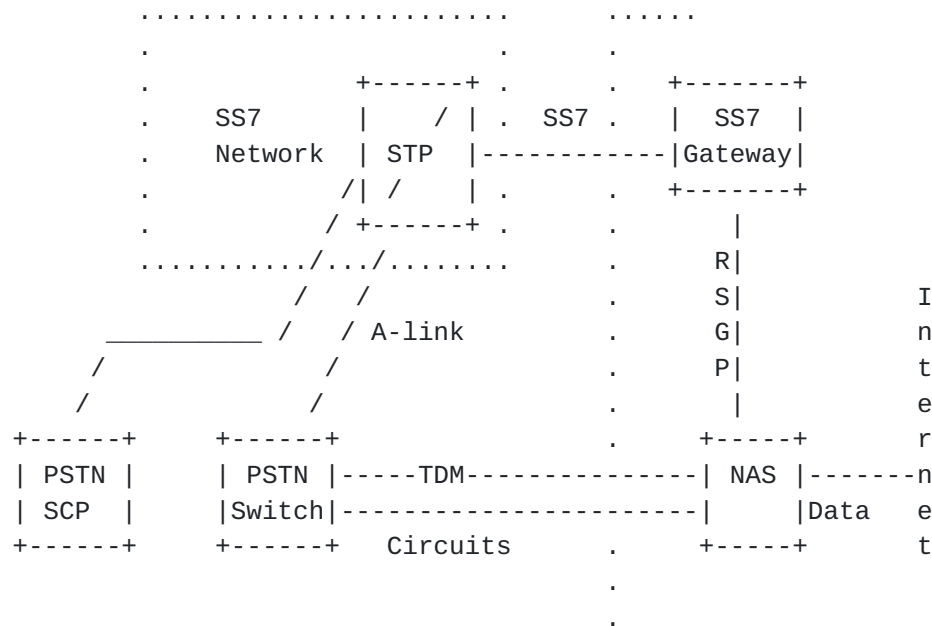


Figure 1: SS7-Internet Interworking for Call Setup

It is assumed that the implementer has access to the Q.931 protocol specification as well as an understanding of SS7 messages.

## 2.0 Bearer Services

The following describes the bearer services and interface configurations that are supported from the Q.931 specification.

#### Bearer Services

Four (4) bearer services are supported in the Control Protocol:

1. Circuit Mode, 64-kbps, 8-khz structured, Speech
2. Circuit Mode, 64-kbps, 8-khz structured, 3.1-khz, Audio
3. Circuit Mode, 64-kbps, 8-khz structured, Unrestricted Digital  
Transmission-Rate Adapted from 56-kbps
4. Circuit Mode, 64-kbps, 8-khz structure, Unrestricted Digital  
Transmission

Circuit Mode, 64-kbps, 8-khz structured, Speech The speech present at the inter-machine trunk is coded by the standardized Mu-law or a-law pulse code modulation (PCM) technique specified by CCITT Recommendation G.711.

Circuit Mode, 64-kbps, 8-khz structured, 3.1-khz, Audio The 3.1-khz audio signal present at the inter-machine trunk is coded by the standardized Mu-law or a-law PCM technique specified by CCITT Recommendation G.711.

Circuit Mode, 64-kbps, 8-khz structured, Unrestricted Digital Transmission-Rate Adapted from 56-kbps An information transfer rate of 56-kbps over a B channel is possible by rate adapting the user data rate of 56-kbps to 64-kbps. The transmitting side shall set bit eight (8) of each byte on the B-channel to a binary one, while the other bits are populated from the 56 kbps data stream. Conversely, the receiving side shall ignore the eighth bit of each byte.

Circuit Mode, 64-kbps, 8-khz structured, Unrestricted Digital Transmission This bearer service is used to originate and terminate circuit-mode data calls at 64-kbps.

Interface Configuration: The Control Protocol is considered non-facility associated signaling, where the signaling for specific B-channels occur over a different physical facility. The bearer channels are carried over inter machine trunks which are connected between NAS units and central office exchanges. The control channel is carried over an IP network.

### **3. Messages and Coding**

#### **3.1 Message Set**

This section defines the messages the SG and NAS use for call processing, maintenance, and management. Messages for call processing are based on the ITU-T Recommendation Q.931 message set, and in most cases use the standard Q.931 information elements and codings. The call processing message set consists of:

| Function           | Message             | Value |
|--------------------|---------------------|-------|
| setup confirm      | CONNECT             | 0x07  |
| optional           | CONNECT ACKNOWLEDGE | 0x0f  |
| optional           | DISCONNECT          | 0x45  |
| release request    | RELEASE             | 0x4d  |
| release confirm    | RELEASE COMPLETE    | 0x5a  |
| call reset request | RESTART             | 0x46  |
| call reset confirm | RESTART ACKNOWLEDGE | 0x4e  |
| setup request      | SETUP               | 0x05  |

|                      |                |      |
|----------------------|----------------|------|
| status confirm       | STATUS         | 0x7d |
| status request       | STATUS ENQUIRY | 0x73 |
| data request/confirm | FACILITY       | 0x62 |

The following messages are required for supporting voice connections,  
in addition to connections for remote access:

|                         |          |      |
|-------------------------|----------|------|
| call progress           | ALERTING | 0x01 |
| tones and announcements | PROGRESS | 0x03 |

New messages have been added to support registration and resource management functions between the SG and NAS. These messages are sent with Protocol Discriminator set to 0x43 (Maintenance). The new messages are as follows:

| Function               | Message                     | Value |
|------------------------|-----------------------------|-------|
| initialize request     | NAS STATUS                  | 0x7f  |
| initialize confirm     | NAS STATUS ACKNOWLEDGE      | 0x7f  |
| continuity result      | CONTINUITY                  | 0x11  |
| result confirm         | CONTINUITY ACKNOWLEDGE      | 0x13  |
| resource register req  | RESOURCE STATUS             | 0x1f  |
| resource register conf | RESOURCE STATUS ACKNOWLEDGE | 0x17  |
| action request         | SERVICE                     | 0x0f  |
| action confirm         | SERVICE ACKNOWLEDGE         | 0x07  |

### **3.2 Message Contents**

Contents of standard Q.931 call control messages used in RSGP are not shown in this document for brevity. The contents are a subset of those used in the ITU-T Recommendation Q.931 specification.

The locking shift procedure of Q.931 is used with new information elements in order to indicate an information element not defined in ITU-T Recommendation Q.931.

#### **3.2.1 CONTINUITY**

The CONTINUITY message shall be formatted as shown:

| Information Element       | Direction | Inclusion Condition | Length |
|---------------------------|-----------|---------------------|--------|
| Protocol Discriminator    | NAS -> SG | Mandatory           | 1      |
| Call Reference            | NAS -> SG | Mandatory           | 3      |
| Message Type              | NAS -> SG | Mandatory           | 1      |
| Channel Identification    | NAS -> SG | Mandatory           | 4-*    |
| Locking Shift (codeset 7) | NAS -> SG | Mandatory           | 1      |
| Continuity Indicator      | NAS -> SG | Mandatory           | 3      |

The CONTINUITY message is used by the NAS to indicate the outcome of a continuity test.

### **3.2.2 CONTINUITY ACKNOWLEDGE**

| Information Element    | Direction | Inclusion Condition | Length |
|------------------------|-----------|---------------------|--------|
| Protocol Discriminator | SG -> NAS | Mandatory           | 1      |
| Call Reference         | SG -> NAS | Mandatory           | 3      |
| Message Type           | SG -> NAS | Mandatory           | 1      |
| Channel Identification | SG -> NAS | Mandatory           | 4- *   |

RSGP

[Page 4]



The CONTINUITY ACKNOWLEDGE message is used by the SG to acknowledge the receipt of a CONTINUITY message from the NAS.

### **3.2.3 RESOURCE STATUS**

The RESOURCE STATUS message shall be formatted as shown below:

| Information Element       | Direction | Inclusion Cond. | Length |
|---------------------------|-----------|-----------------|--------|
| Protocol Discriminator    | NAS -> SG | Mandatory       | 1      |
| Call Reference            | NAS -> SG | Mandatory       | 3      |
| Message Type              | NAS -> SG | Mandatory       | 1      |
| Locking Shift (codeset 7) | NAS -> SG | Mandatory       | 1      |
| Interface Status          | NAS -> SG | Optional        | 7+     |
| Resource                  | NAS -> SG | Optional        | 7+     |

The RESOURCE STATUS message is sent by the NAS to register associated interface and user port resources with the SG.

### **3.2.4 RESOURCE STATUS ACKNOWLEDGE**

The RESOURCE STATUS ACKNOWLEDGE message shall be formatted as shown below:

| Information Element     | Direction | Inclusion Cond. | Length |
|-------------------------|-----------|-----------------|--------|
| Protocol Discriminators | SG -> NAS | Mandatory       | 1      |
| Call Reference          | SG -> NAS | Mandatory       | 3      |
| Message Type            | SG -> NAS | Mandatory       | 1      |

The RESOURCE STATUS ACKNOWLEDGE message is sent by the SG to acknowledge confirmation of a resource registration. The RESOURCE STATUS ACKNOWLEDGE message is not part of the basic Q.931 message set.

### **3.2.5 SERVICE**

The SERVICE message shall be formatted as shown below:

| Information Element    | Direction | Inclusion Cond. | Length |
|------------------------|-----------|-----------------|--------|
| Protocol Discriminator | BOTH      | Mandatory       | 1      |

|                           |      |           |      |
|---------------------------|------|-----------|------|
| Call Reference            | BOTH | Mandatory | 3    |
| Message Type              | BOTH | Mandatory | 1    |
| Channel Identification    | BOTH | Mandatory | 4- * |
| Locking Shift (codeset 7) | BOTH | Mandatory | 1    |
| Change Status             | BOTH | Mandatory | 3    |

RSGP

[Page 5]

The SERVICE message is sent by the SG or to request a change in interface or channel status.

#### **3.2.6 SERVICE ACKNOWLEDGE**

The SERVICE ACKNOWLEDGE message shall be formatted as shown below:

| Information Element       | Direction | Inclusion Cond. | Length |
|---------------------------|-----------|-----------------|--------|
| Protocol Discriminator    | BOTH      | Mandatory       | 1      |
| Call Reference            | BOTH      | Mandatory       | 3      |
| Message Type              | BOTH      | Mandatory       | 1      |
| Channel Identification    | BOTH      | Mandatory       | 4- *   |
| Locking Shift (codeset 7) | BOTH      | Mandatory       | 1      |
| Change Status             | BOTH      | Mandatory       | 3      |

The SERVICE ACKNOWLEDGE message is sent by either the SG or the NAS to indicate that the state of the specified interface or channel has been changed.

The Change Status and Channel Identification IE's should be equivalent to the corresponding values sent in the SERVICE Message.

#### **3.2.7 NAS STATUS**

The NAS STATUS message shall be formatted as shown below:

| Information Element       | Direction | Inclusion Cond. | Length |
|---------------------------|-----------|-----------------|--------|
| Protocol Discriminator    | BOTH      | Mandatory       | 1      |
| Call Reference            | BOTH      | Mandatory       | 3      |
| Message Type              | BOTH      | Mandatory       | 1      |
| Locking Shift (codeset 7) | BOTH      | Mandatory       | 1      |
| NAS Status                | BOTH      | Mandatory       | 3      |

The NAS STATUS message is used to notify the remote end of a change in status, such as NAS logon from a cold start, or NAS shutdown. The receiving end replies with NAS STATUS ACKNOWLEDGE.



**3.2.8 NAS STATUS ACKNOWLEDGE**

The NAS STATUS ACKNOWLEDGE message shall be formatted as shown below:

| Information Element    | Direction | Inclusion Cond. | Length |
|------------------------|-----------|-----------------|--------|
| Protocol Discriminator | BOTH      | Mandatory       | 1      |
| Call Reference         | BOTH      | Mandatory       | 3      |
| Message Type           | BOTH      | Mandatory       | 1      |
| Cause                  | BOTH      | Mandatory       | 1      |

The NAS STATUS ACKNOWLEDGE message is sent to confirm receiving the NAS STATUS message.

**3.3 Information Element Coding**

Information Elements unchanged from ITU-T Q.931 are not re-defined here for brevity.

The following new Information Elements are defined:

Information Element    IE Identifier

|                      |    |
|----------------------|----|
| Change Status        | 05 |
| Continuity Indicator | 07 |
| Interface Status     | 04 |
| NAS Status           | 02 |
| Resource             | 03 |

The following existing Information Elements have additional codepoints allocated:

Cause

**3.3.1 Change Status**

The format of the Change Status information element is as follows:

```

+---+-----+
| 0 | 0 0 0 0 1 0 1 | IE Identifier
+---+-----+
| Information Element Length |
+---+-----+
| 1 | 0 0 0 0 0 | Status |
+---+-----+
```

Status:

000 In Service

001 Loop Back

010 Out of Service

011 Request Continuity Check

100 Graceful Shutdown

else spare

### 3.3.2 Continuity Indicator

The format of the Continuity Indicator information element is as follows:

```

+---+-----+
| 0 | 0 0 0 0 1 1 1 | IE Identifier
+---+-----+
| Information Element Length |
+---+-----+
| 1 | 0 0 0 0 0 0 | C |
+---+-----+

```

C: Continuity Result  
0 Continuity Check failed  
1 Continuity Check successful

### 3.3.3 Interface Status

The format of the Resource information element is as follows:

```

+---+-----+
| 0 | 0 0 0 0 1 0 0 | IE Identifier
+---+-----+
| Information Element Length |
+---+-----+
| 1 | Interface |
+---+-----+
| 1 | 0 0 0 0 0 | State |
+---+-----+
| 1 | Channel Count |
+---+-----+
| Chan 1 State | Chan 2 State |
+---+-----+
| Chan 3 State | Chan 4 State |
+---+-----+

```

Interface:  
Binary Interface number

State:

|    |                        |
|----|------------------------|
| 00 | reserved               |
| 01 | Maintenance (loopback) |
| 10 | Out of service (down)  |
| 11 | In service (up)        |

Channel Count:  
Binary count of channels supported by this interface

Channel State:

0000 Reserved/Filler  
0001 Unavailable - blocked  
0010 Call in progress  
0011 Idle - no existing calls  
other spare



### 3.3.4 NAS Status

The format of the NAS Status information element is as follows:

```

+---+-----+
| 0 | 0 0 0 0 0 1 0 | IE Identifier
+---+-----+
| Information Element Length |
+---+-----+
| 1 | 0 0 0 0 0 0 | State |
+---+-----+

```

State:

```

00 Cold start: NAS reboot
01 Warm start: NAS reestablishing connectivity to SG
10 Hot shutdown: NAS will disconnect abruptly, terminate all calls
11 Soft shutdown: NAS will shutdown when all calls have terminated

```

### 3.3.5 Resource

The format of the Resource information element is as follows:

```

+---+-----+
| 0 | 0 0 0 0 0 1 1 | IE Identifier
+---+-----+
| Information Element Length |
+---+-----+
| 1 | Category | State |
+---+-----+
| 1 | Capacity |
+---+-----+

```

Category:

```

001 Modems
010 HDLC Channels
other spare

```

State:

```

0001 Available
other spare

```

Capacity:

Binary count of resources

### 3.3.6 Cause

The following new Cause information element codings are included:

```

125 NAS registered for calls

```

- 126 NAS registered for incoming and outgoing calls
- 127 NAS registration rejected

#### **4. Protocol Procedures**

This section describes the protocol procedures required for call processing and resource management in RSGP.

Timers are defined in ITU-T Q.931 and are not re-defined here for brevity.

#### **4.1 Call Scenarios**

The call scenarios defined in this section provide examples of the main procedures used in the protocol, but do not describe all possible cases. For more detailed information, see ITU-T Recommendation Q.699.

##### **4.1.1 Network Initiated Call Origination**

###### **4.1.1.1 IAM Initiated with No COT Required**

This scenario describes the signaling that proceeds when a call is initiated by the reception of an IAM from the SS7 network and a continuity test is not required.

- \* When the SG receives an IAM from the SS7 network, the Nature of Connection indicators shall be examined to determine if COT is required on the specified circuit. If COT is not required, the SG shall send a SETUP message to the NAS.

- \* Once the NAS has determined that the call can be completed and the specified channel has been connected to the called party, it shall send a CONNECT message to the SG.

- \* Upon receipt of the CONNECT message, the SG shall send an ANM message to the SS7 network, and may optionally send a CONNECT ACKNOWLEDGE message to the NAS.

###### **4.1.1.2 IAM Initiated with Continuity Check Required**

This scenario describes the signaling that proceeds when a call is initiated by the reception of an IAM from the SS7 network and a continuity test is required.

- \* When the SG receives an IAM from the SS7 network, the Nature of Connection indicators shall be examined to determine if COT is required on the specified circuit. If COT is required, the SG shall send a SERVICE message to the NAS indicating that the specified circuit should be placed in a loopback mode, and start timer Tserv.

- \* When the NAS receives the SERVICE message indicating the specified circuit should be placed in loopback mode, the circuit shall be marked as busy, placed in a loopback mode, and a SERVICE ACKNOWLEDGE message indicating the circuit was successful placed in a loopback mode, shall be sent to the SG.

\* When the SG receives the SERVICE ACKNOWLEDGE message indicating the circuit was successfully placed in a loopback mode, timer Tserv shall be stopped.

\* When the SG receives a COT message from the SS7 network indicating the continuity test was successful, the SG shall send a SETUP message to the NAS.

- \* Once the NAS has determined that the call can be completed and the specified channel has been connected to the called party, it shall send a CONNECT message to the SG.

- \* Upon receipt of the CONNECT message, the SG shall send an ANM message to the SS7 network, and may optionally send a CONNECT ACKNOWLEDGE message to the NAS.

#### **4.1.1.3 IAM Initiated with Continuity Check on Previous Circuit Required**

This scenario describes the signaling that proceeds when a call is initiated by the reception of an IAM from the SS7 network that specifies a continuity test on a previous circuit is required.

- \* When the SG receives an IAM from the SS7 network, the Nature of Connection indicators shall be examined to determine if continuity check is required. If continuity check is required on a previous circuit, then the SG does not send a SETUP message until a COT is received indicating continuity check successful.

- \* Once the NAS has determined that the call can be completed and the specified channel has been connected to the called party, it shall send a CONNECT message to the SG. The NAS shall start timer T313 when the CONNECT message is sent.

- \* Upon receipt of the CONNECT message, the SG shall send a CONNECT ACKNOWLEDGE message to the NAS.

- \* Upon receipt of the CONNECT ACKNOWLEDGE message, the NAS shall stop timer T313.

#### **4.1.2 Call Clearing**

##### **4.1.2.1 Network Initiated Call Clearing**

This scenario describes the signaling that proceeds when a call clearing is initiated by the SS7 network.

- \* Call clearing is initiated by the SS7 network when a REL is received by the SG from the SS7 network. When the SG receives a REL from the SS7 network, a corresponding RELEASE message shall be sent to the NAS, and timer T308 shall be initiated.

- \* When the NAS receives a RELEASE message the associated circuit shall be disconnected, and a RELEASE COMPLETE shall be sent to the SG.

- \* When the SG receives the RELEASE COMPLETE message timer T308 shall be stopped, the channel shall be released, and the call reference shall be released.

\* The NAS shall also be capable of receiving a DISCONNECT message from the SG, in which case the procedures in [Section 4.1.2.2](#) are followed.

#### 4.1.2.2 NAS Initiated Call Clearing

This scenario describes the signaling that proceeds when a call clearing is initiated by the NAS.

\* When the NAS detects that an active call has been terminated by the local subscriber, the NAS shall disconnect the associated circuit, send a RELEASE message to the SG, and initiate timer T308.

\* When the SG receives the RELEASE message, a RLS message shall be sent out to the SS7 network, the circuit and call reference shall be released, and a RELEASE COMPLETE shall be sent to the NAS.

\* When the NAS receives the RELEASE COMPLETE message timer T308 shall be stopped.

\* The SG shall be capable of receiving a DISCONNECT message from the NAS, in which case the procedures in [section 4.1.2.1](#) are followed.

#### **[4.1.3](#) Call Failures and CCR**

\* message timer Tserv shall be stopped and a RLC shall be sent out to the SS7 network.

##### **[4.1.3.1](#) IAM Initiated with Continuity Check on Previous Circuit Failed**

This scenario describes the signaling that proceeds when a call is initiated by the reception of an IAM from the SS7 network that specifies a continuity test on a previous circuit is required and consequently the continuity test fails.

\* When the SG receives an IAM from the SS7 network, the Nature of Connection indicators shall be examined to determine if COT is required on the specified circuit, or on a previous circuit. If COT is required on a previous circuit, the SG delays sending SETUP out to the NAS.

\* When the SG receives a REL message from the SS7 network, which indicates the continuity test failed, the SG releases the circuit and call without sending an indication to the NAS.

#### **[4.2](#) Management Procedures**

##### **[4.2.1](#) Registration**

This scenario describes the message flow that proceeds when a NAS unit registers the available hardware interfaces.

\* When the NAS detects that a resource has changed operational states, a RESOURCE STATUS message is sent to the SG and Timer T350 shall be initiated. Multiple RESOURCE STATUS messages may be sent to carry information about the interface status and resource status.

\* When the SG receives the RESOURCE STATUS message, the indicated resource states are updated and a RESOURCE STATUS ACKNOWLEDGE is sent to the NAS. The Resource information elements in the RESOURCE STATUS ACKNOWLEDGE must be identical to those received in the original RESOURCE STATUS message.

\* When the NAS receives the RESOURCE STATUS ACKNOWLEDGE message, timer T350 shall be stopped.



#### 4.2.2 Registration - T350 Timeout

This scenario describes the message flow that proceeds when a timeout of Timer T350 occurs after the NAS has initiated registration of the available hardware interfaces. It is important to note that the NAS should continue to re-send the RESOURCE STATUS message and restart Timer T350 until a corresponding RESOURCE STATUS ACKNOWLEDGE is received.

- \* When the NAS detects that a resource has changed operational states, a RESOURCE STATUS message is sent to the SG and Timer T350 shall be initiated.

- \* When Timer T350 expires on the NAS, the NAS shall re-send the RESOURCE STATUS message and restart timer T350.

- \* When the SG receives the RESOURCE STATUS message, the indicated resource states are updated and a RESOURCE STATUS ACKNOWLEDGE is sent to the NAS.

- \* When the NAS receives the RESOURCE STATUS ACKNOWLEDGE message, timer T350 shall be stopped.

#### **4.2.3 SG Initiated Restart**

This scenario describes the message flow that proceeds when a Restart Request is initiated by the SG.

- \* When the SG initiates restart, it sends a RESTART message to the NAS and timer T317 is initiated.

- \* When the NAS receives a RESTART message from the SG, the specified interface or channel shall be restarted and a RESTART ACKnowledgment shall be sent to the SG.

- \* When the SG Control Protocol receives a RESTART ACKNOWLEDGE from the NAS, timer T317 shall be stopped.

#### **4.2.4 NAS Initiated Restart**

This scenario describes the message flow that proceeds when a RESTART message is received by the SG Control Protocol from the NAS.

- \* If the NAS determines that a restart of a single DS0, or an entire interface is necessary, a RESTART message shall be sent to the SG and timer T317 shall be initiated.

- \* When the SG Control Protocol receives a RESTART message from the NAS, a Restart Indication primitive shall be sent to the call control and a RESTART ACKNOWLEDGE shall be sent to the NAS.

\* When the NAS receives a RESTART ACKNOWLEDGE from the SG, timer T317 shall be stopped, and the associated equipment shall be restarted.

#### **4.2.5 Service Message Procedures**

The Service message is used to initiate circuit management procedures at the NAS or Gateway for functions such as circuit blocking and loop back (prior to remote continuity check). The procedures are as follows:

\* When the SG or NAS initiates a Service procedure, it sends the Service message and initiates timer Tserv.

\* When the initiating SG or NAS receives the Service Acknowledge message, timer Tserv is stopped.

\* The following tables describe the detailed procedures for the NAS in response to receipt of a Service message from the SG:

|                              | Interface Specified                                                                                                                  | Channel Specified                                                                                                                                |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| In Service                   | The NAS will attempt to bring the T1/E1 into service. The NAS will respond with 'Out of Service' if the T1/E1 is down (not framing). | The NAS will mark the channel as available. Established calls will not be affected.                                                              |
| Loop Back                    | The T1/E1 will be placed into loopback. All established calls will be torn down.                                                     | The NAS will place the DS0 into loopback state if there is no established call. The NAS will respond with 'In Service' if a call is in progress. |
| Out of Service               | The NAS will place the T1/E1 into this state. All established calls will be torn down.                                               | The NAS will mark the DS0 and tear down any if there is no established calls.                                                                    |
| Request Continuity Check     | The NAS will reject this message and send STATUS message.                                                                            | The NAS will execute the continuity check if there is no established call. The NAS will respond with 'In Service' if a call is in progress.      |
| Graceful Shutdown (Blocking) | The NAS will mark the T1/E1. It will not tear down any established calls.                                                            | The NAS will mark the DS0, but will not tear down any established calls.                                                                         |

\* Upon completion of the action specified, the NAS responds to the SG with a Service Acknowledge message.

\* The following table describes the procedures at the SG upon receipt of a Service message from the NAS:

|                              | Interface Specified                                                                                                                             | Channel Specified                                                                                                                                |
|------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| In Service                   | The NAS will send this message when the T1/E1 framing is achieved. The Gateway should not change the state of any established call.             | The NAS can send this request for Channels it previously took "Out of Service". The Gateway should not change the state of any established call. |
| Loop Back                    | The NAS will not generate this request. The Gateway should respond with STATUS.                                                                 | The NAS will not generate this request. The Gateway should respond with STATUS.                                                                  |
| Out of Service               | The NAS will place the T1/E1 into this state if T1/E1 framing is lost or if requested by the operator. All established calls will be torn down. | The NAS will send this message in the case of modem failure. Any established call will be torn down.                                             |
| Request Continuity Check     | The NAS will not send this message. The Gateway should respond with STATUS.                                                                     | The NAS will use this request as a result of an operator request.                                                                                |
| Graceful Shutdown (Blocking) | The NAS will issue this request as a result of an operator request. Any call in progress should not be affected.                                | The NAS will not issue this message. The Gateway should reject this message using the STATUS message.                                            |

\* Upon completion of the action specified, the SG responds to the NAS with the Service Acknowledge message.

## 5. Detailed Procedures

This section provides detailed information that describes the operation of the SG Control Protocol. A call progresses through different states as various events occur, and this section describes

how the SG and NAS should process calls in each state. The table below shows the call states that apply to the SG Control Protocol.

| State Name            | Value |
|-----------------------|-------|
| Null                  | 0     |
| Call Initiated        | 1     |
| Call Present          | 6     |
| Connect Request       | 8     |
| Active                | 10    |
| Disconnect Request    | 11    |
| Disconnect Indication | 12    |
| Release Request       | 19    |

Additional procedures to be provided.

## **6. Transport**

Detailed procedures to be provided.

Additional procedures may be added, for example, in order to monitor performance of the connection between NAS and SG, and initiate recovery procedures if it is determined that the connection has failed.

## **7. Security Considerations**

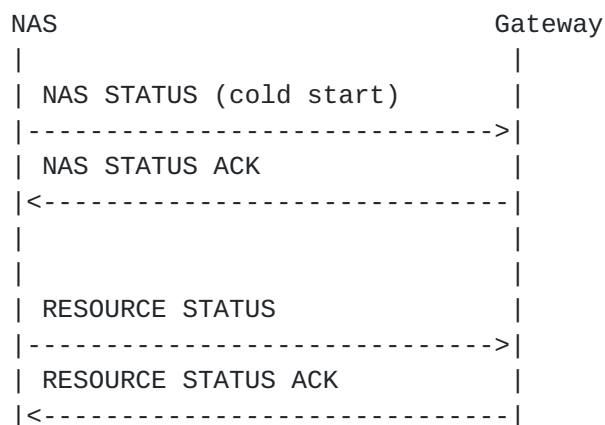
SS7 protocol does not support internal authentication and encryption functions. This is taken into consideration in current SS7 networks. Signaling gateways should be designed to protect the SS7 network and should employ thoughtful thresholds to make sure a NAS or malicious intermediate entity cannot adversely affect the SS7 network or any SS7 connected nodes.

If the network operator wishes to add IP level security functions it is recommended but not required that the TCP/IP link from the NAS to the SG be protected by IPsec AH and ESP [RFC 1826, 1827]. In the case that the physical network between the NAS and SG is private, such security techniques are optional. In the case that the network used is shared with other applications and/or nodes, IPsec security is strongly recommended. This is to prevent Denial of Service attacks and false message attacks.

## **8. Message Flow Examples**

### **8.1 NAS Registers with Gateway for Service**

The following timing diagram shows the message flow during initial NAS registration with the SG.

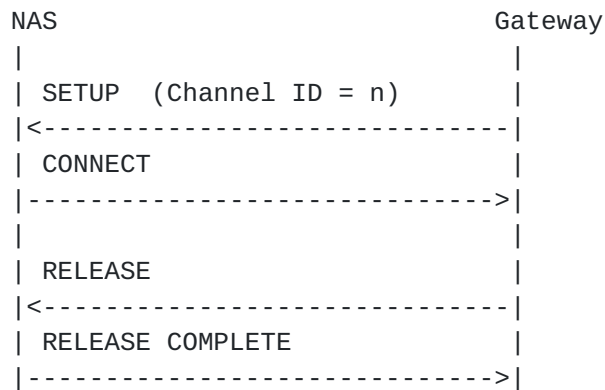


Note: multiple Resource Statue messages may be sent and acknowledged.



**8.2 Gateway Originated Normal Call Setup and Release**

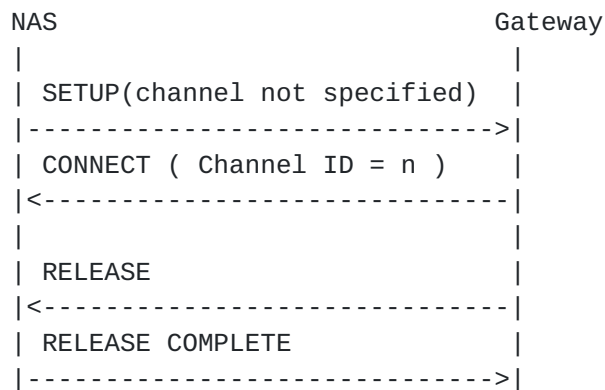
The following diagram shows successful Call establishment and tear down for a gateway originated call.



Note: The tear down sequence can be initiated by either the NAS or by the gateway to hang-up the call.

**8.3 NAS Originated Normal Call Setup**

The following diagram shows successful Call establishment and tear down for NAS originated calls. For NAS originated calls, the Gateway selects the channel to be used in the call.



Note: The tear down sequence can be initiated by either the NAS or by the gateway to hang-up the call. Disconnection can be initiated by either the DISCONNECT or RELEASE message.

**8.4 Unsuccessful Call Establishment Sequence**

The following sequence illustrates when a call is rejected by the NAS.



**8.5 Continuity check test as part of Incoming Call Setup - Success**

The following sequence illustrates when the gateway receives an IAM message indicating that a continuity test should be performed on the circuit prior to the call establishment.

| NAS                        | Gateway |
|----------------------------|---------|
|                            |         |
| SERVICE (chan n loop back) |         |
| <-----                     |         |
| SERVICE ACK                |         |
| ----->                     |         |
|                            |         |
| SETUP                      |         |
| <-----                     |         |
| CONNECT                    |         |
| ----->                     |         |

Per Q.699 (3.1.18) continuity checking should be performed before the SETUP is sent to the terminating end-point. SERVICE message from the gateway to the NAS is used to initiate the loopback. If the remote end-point indicates to the gateway that the continuity check succeeded, the gateway proceeds with SETUP.

**8.6 Continuity check test as part of Incoming Call Setup - Failure**

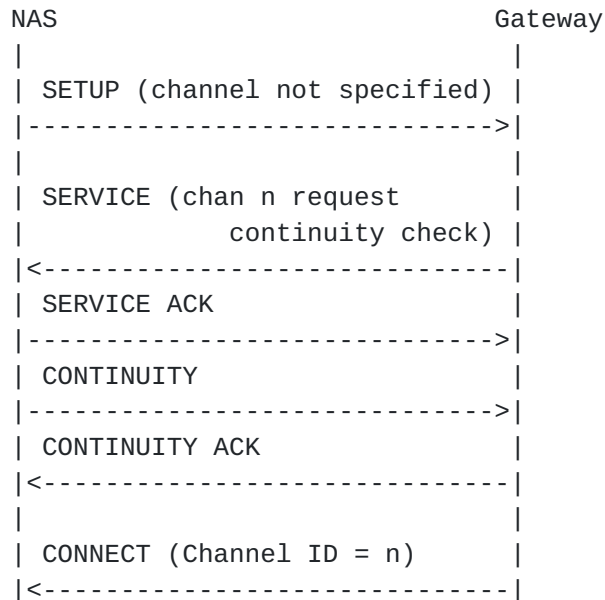
If the remote end indicates failure, the gateway will send SERVICE message to the NAS requesting that the channel be placed into the in-service state.

| NAS                         | Gateway |
|-----------------------------|---------|
|                             |         |
| SERVICE (chan n loop back)  |         |
| <-----                      |         |
| SERVICE ACK                 |         |
| ----->                      |         |
|                             |         |
| SERVICE (chan n in-service) |         |
| <-----                      |         |
| SERVICE ACK                 |         |
| ----->                      |         |



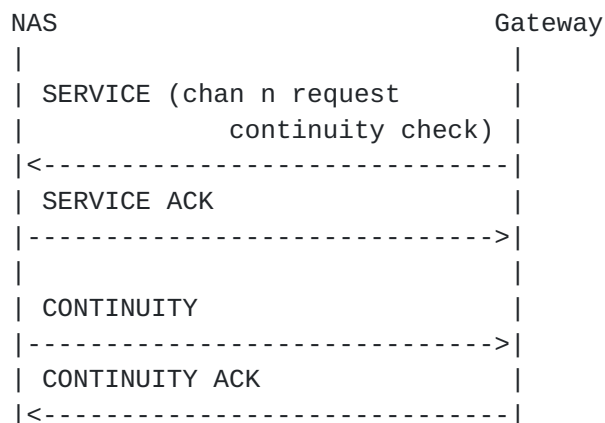
**8.7 Continuity check test as part of Outgoing Call Setup - Success**

The following sequence illustrates when the NAS initiates call setup and the SS7 Gateway determines that continuity test should be performed on the circuit prior to the call establishment.

**8.8 Continuity check test initiated by the SG operator**

The gateway sends a SERVICE message to initiate the continuity check. The NAS performs continuity check and reports the result using the CONTINUITY message.

Not shown in this diagram is the corresponding action of the SG. Upon receipt of the Service Ack from the NAS, the SG generates a CCR message into the SS7 network, initiating loopback at the remote switch.



## **8.7 NAS detects bearer T1/E1 line down (LOS, Red Alarm)**

If the NAS detects that a particular T1/E1 line failed, it sends a SERVICE message to the gateway. Established calls will be torn down as part of normal processing - i.e the NAS modems will detect loss of connection and the NAS will disconnect the call. The gateway will request blocking of CICs associated with the particular T1/E1 using an SS7 Blocking or Circuit Group Blocking Message.

| NAS                            | Gateway |
|--------------------------------|---------|
|                                |         |
| SERVICE (i/f 1 out-of-service) |         |
| ----->                         |         |
| SERVICE ACK                    |         |
| <-----                         |         |

#### **8.8 Individual bearer channel taken out-of-service by NAS**

| NAS                            | Gateway |
|--------------------------------|---------|
|                                |         |
| SERVICE(chan n out-of-service) |         |
| ----->                         |         |
| SERVICE ACK                    |         |
| <-----                         |         |

#### **8.9 Individual bearer channel taken out-of-service by Gateway operator**

| NAS                            | Gateway |
|--------------------------------|---------|
|                                |         |
| SERVICE(chan n out-of-service) |         |
| <-----                         |         |
| SERVICE ACK                    |         |
| ----->                         |         |

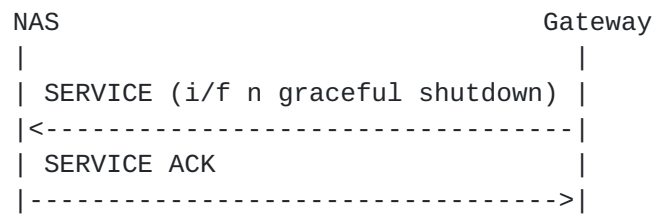
#### **8.10 Bearer T1/E1 trunk abrupt shutdown initiated by Gateway operator**

| NAS                            | Gateway |
|--------------------------------|---------|
|                                |         |
| SERVICE (i/f n out-of-service) |         |
| <-----                         |         |
| SERVICE ACK                    |         |
| ----->                         |         |

#### **8.11 Bearer T1/E1 trunk abrupt shutdown initiated by NAS**

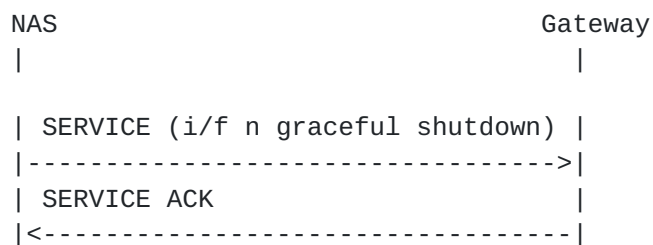
| NAS                            | Gateway |
|--------------------------------|---------|
|                                |         |
| SERVICE (i/f n out-of-service) |         |
| ----->                         |         |
| SERVICE ACK                    |         |
| <-----                         |         |

**8.12 Bearer T1/E1 trunk graceful shutdown initiated by Gateway operator**





### **8.13 Bearer T1/E1 trunk graceful shutdown initiated by NAS**



## **9. Acronyms**

|      |                                     |
|------|-------------------------------------|
| ANM  | Answer Message                      |
| SG   | Signaling Gateway                   |
| CCR  | Continuity Check Request            |
| COT  | Continuity                          |
| CPE  | Customer Premises Equipment         |
| IAM  | Initial Address Message             |
| IE   | Information Element                 |
| IP   | Internet Protocol                   |
| ISDN | Integrated Services Digital Network |
| ISP  | Internet Service Provider           |
| ISUP | ISDN User Part                      |
| MTP  | Message Transfer Part               |
| NAS  | Network Access Server               |
| PCM  | Pulse Code Modulation               |
| PSTN | Public Switched Telephone Network   |
| SCP  | Service Control Point               |
| STP  | Signal Transfer Point               |
| SS7  | Signaling System Number 7           |
| UL   | Upper Layer                         |



## **10. Authors Addresses**

|                      |                       |                     |
|----------------------|-----------------------|---------------------|
| Matt Holdrege        | Lyndon Ong            | Jiri Matousek       |
| 1701 Harbor Bay Pkwy | 4401 Gt America Pkwy  | 5 Federal Street    |
| Alameda, CA 94502    | Santa Clara, CA 95054 | Billerica, MA 01821 |
| matt@ascend.com      | long@baynetworks.com  |                     |
| jiri@baynetworks.com |                       |                     |

## **11. References**

[asp]              Bay Networks SS7-Internet Access Signaling Protocol, <[draft-long-ss7-signal-00.txt](#)>, work in progress

[rsgcp]          Reliable Signaling Gateway Control Protocol (RSGCP), <draft-rfced-info-holdrege-00.txt>, work in progress

**Q.931**              **ITU-T Recommendation Q.931 DIGITAL SUBSCRIBER SIGNALLING SYSTEM No. 1 (DSS 1) - ISDN USER-NETWORK INTERFACE LAYER 3 SPECIFICATION FOR BASIC CALL CONTROL**

**Q.699**              **ITU-T Recommendation Q.699 Interworking between ISDN access and non-ISDN access over ISDN User Part of Signalling System No. 7**

### Full Copyright Statement

Copyright (C) The Internet Society (1998). All Rights Reserved.

This document and translations of it may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this paragraph are included on all such copies and derivative works. However, this document itself may not be modified in any way, such as by removing the copyright notice or references to the Internet Society or other Internet organizations, except as needed for the purpose of developing Internet standards in which case the procedures for copyrights defined in the Internet Standards process must be followed, or as required to translate it into languages other than English.

The limited permissions granted above are perpetual and will not be revoked by the Internet Society or its successors or assigns.

This document and the information contained herein is provided on an "AS IS" basis and THE INTERNET SOCIETY AND THE INTERNET ENGINEERING

TASK FORCE DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING  
BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION  
HEREIN WILL NOT INFRINGE ANY RIGHTS OR ANY IMPLIED WARRANTIES OF  
MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE.

INTERNET DRAFT

EXPIRES FEB 1999

INTERNET DRAFT

RGSP

[Page 22]