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**Making Multipath TCP robust for stateless webrowsers**  
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Abstract

This document proposes an extension to Multipath TCP that allows it to work efficiently with stateless servers. We first identify the issues around stateless connection establishment using SYN-cookies. Further, we suggest an extension to Multipath TCP to overcome these issues and discuss alternatives.

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## [1.](#) Introduction

During the establishment of a TCP connection, a server must create state upon the reception of the SYN [[RFC0793](#)]. Specifically, it needs to generate an initial sequence number, and reply to the options indicated in the SYN. The server typically maintains in-memory state for the embryonic connection, including state about what options were negotiated, such as window scale factor [[RFC7323](#)] and the maximum segment size. It also maintains state about whether SACK [[RFC2018](#)] and TCP Timestamps were negotiated during the 3-way handshake.

Attackers exploit this state creation on the server through the SYN-flooding attack. Indeed, an attacker only needs to emit SYN segments with different 4-tuples (source and destination IP addresses and port numbers) in order to make the server create the state and thus consume its memory, while the attacker itself does not need to maintain any state for such an attack [[RFC4987](#)].

A common mitigation of this attack is to use a mechanism called SYN-cookies. SYN-cookies relies on the fact that a TCP-connection echoes back certain information that the server puts in the SYN/ACK during the three-way handshake. Notably, the sequence-number is echoed back in the acknowledgment field as well as the TCP timestamp value inside the timestamp option. When generating the SYN/ACK, the server generates these fields in a verifiable fashion. Typically, servers use the 4-tuple, the client's sequence number plus a local secret



(which changes over time) to generate the initial sequence number by applying a hashing function to the aforementioned fields. Further, setting certain bits either in the sequence number or the TCP timestamp value allows to encode for example whether SACK has been negotiated and what window-scaling has been received [M08]. Upon the reception of the third ACK, the server can thus verify whether the acknowledgment number is indeed the reply to a SYN/ACK it has generated (using the 4-tuple and the local secret). Further, it can decode from the timestamp echo reply the required information concerning SACK, window scaling and MSS-size.

In case the third ACK is lost during the 3-way handshake of TCP, stateless servers only work if it's the client who initiates the communication by sending data to the server - which is commonly the case in today's application-layer protocols. As the data segment includes the acknowledgement number for the original SYN/ACK as well as the TCP timestamp value, the server is able to reconstruct the connection state even if the third ACK is lost in the network. If the very first data segment is also lost, then the server is unable to reconstruct the connection state and will respond to subsequent data sent by the client with a TCP Reset.

Multipath TCP (MPTCP [RFC6824]) is unable to reconstruct the MPTCP level connection state if the third ack is lost in the network (as explained in the following section). If the first data segment from the client reaches the server, the server can reconstruct the TCP state but not the MPTCP state. Such a server can fallback to regular TCP upon the loss of the third ACK. MPTCP is also prone to the same problem as regular TCP if the first data segment is also lost.

In the following section a more detailed assessment of the issues with MPTCP and TCP SYN-cookies is presented. [Section 3](#) then shows how these issues might get solved.

## **2. Problem statement**

Multipath TCP adds additional state to the 3-way handshake. Notably, the keys must be stored in the state so that later on new subflows can be established as well as the initial data sequence number is known to both hosts. In order to support stateless servers, Multipath TCP echoes the keys in the third ACK. A stateless server thus can generate its own key in a verifiable fashion (similar to the initial sequence number), and is able to learn the client's key through the echo in the third ACK. The reliance on the third ACK however implies that if this segment gets lost, then the server cannot reconstruct the state associated to the MPTCP connection. Indeed, a Multipath TCP connection is forced to fallback to regular TCP in case the third ACK gets lost or has been reordered with the



first data segment of the client, because it cannot infer the client's key from the connection and thus won't be able to generate a valid HMAC to establish new subflows nor does it know the initial data sequence number. In the remainder of this document we refer to the aforementioned issue as "Loss of the third ACK".

Another issue with SYN-cookies is also present in regular TCP and occurs as well due to packet loss. In case the client is sending multiple segments when initiating the connection, it might be that the third ack as well as the first data segment get lost. Thus, the server only receives the second data segment and will try to reconstruct the state based on this segment's 4-tuple, sequence number and timestamp value. However, as this segment's sequence number has already gone beyond the client's initial sequence number, it will not be able to regenerate the appropriate SYN-cookie and thus the verification will fail. The server effectively cannot infer that the sequence number in the segment has gone beyond TCP's initial sequence number. This will make the server send a TCP reset as it appears to the server that it received a segment for which no SYN cookie was ever generated.

### **3. Proposal**

This section shows how the above problems might be solved in Multipath TCP.

#### **3.1. Loss of the third ACK**

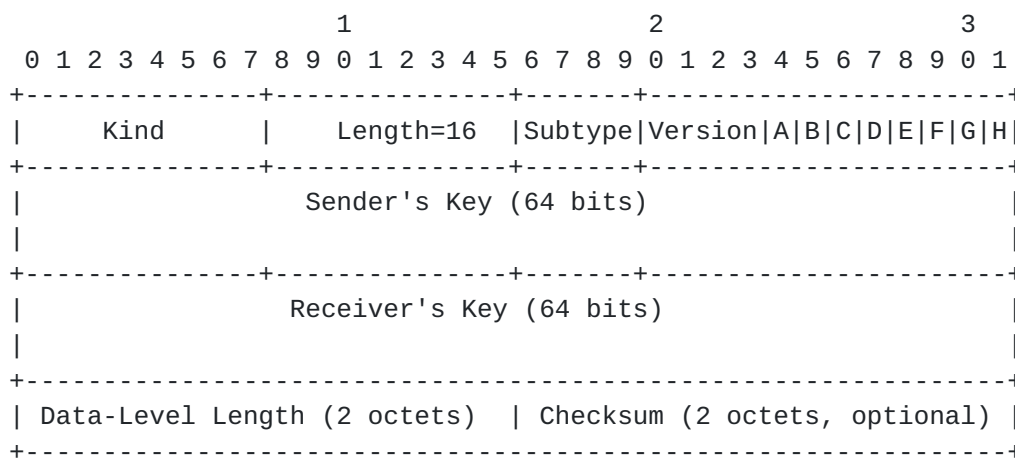
In order to make Multipath TCP robust against the loss of the third ACK when SYN-cookies are being deployed on servers, we must make sure that the state-information relevant to Multipath TCP reaches the server in a reliable way. As the client is initiating the data transfer to the server, and this data is being delivered reliably, the state-information could be delivered together with this data and thus is implicitly reliably sent to the server - when the data reaches the server, the state-information reaches the server as well.

We achieve this by defining a new MPTCP subtype (called MP\_CAPABLE\_EXT) which is an extension of the existing MP\_CAPABLE option. It is solely sent on the very first data segment from the client to the server. This option serves the dual purpose of conveying the client's and server's key as well as the DSS mapping which would otherwise have been sent in a DSS option on the first data segment. The MP\_CAPABLE\_EXT option (shown in Figure 1) contains the same set of bits A to H as well as the version number, like the MP\_CAPABLE option. The server behaves in a stateless manner and thus has generated it's own key in a verifiable fashion (e.g., as a hash of the 4-tuple, sequence number and a local secret - similar to what



is done for the TCP-sequence number in case of SYN-cookies [RFC4987]). It is thus able to verify whether it is indeed the originator of the key echoed back in the MP\_CAPABLE\_EXT option.

Further, the option includes the data-level length as well as the checksum (in case it has been negotiated during the 3-way handshake). This allows the server to reconstruct the mapping and deliver the data to the application. It must be noted that the information inside the MP\_CAPABLE\_EXT is less explicit than a DSS option. Notably, the data-sequence number, data acknowledgment as well as the relative subflow-sequence number are not part of the MP\_CAPABLE\_EXT. Nevertheless, the server is able to reconstruct the mapping because the MP\_CAPABLE\_EXT is guaranteed to only be sent on the very first data segment. Thus, implicitly the relative subflow-sequence number equals 1 as well as the data-sequence number, which is equal to the initial data-sequence number.



Format of the new MP\_CAPABLE\_EXT option.

Figure 1

It must be said that if TCP Fastopen [RFC7413] is being used in combination with Multipath TCP [I-D.barre-mptcp-tfo], the SYN segment covering part of the data sequence space might be a concern. However, if TFO is being used, servers do not employ stateless connection establishment, thus TFO is not of concern for the MP\_CAPABLE\_EXT option.

While the MP\_CAPABLE\_EXT option lets us recover from loss of the 3rd ACK of the 3WHS as well as loss of the first data segment, it has the additional benefit of allowing a client to piggyback data on the 3rd ACK of the 3WHS of the first MPTCP subflow.



### **3.1.1. Negotiation**

We require a way for the hosts to negotiate support for the MP\_CAPABLE\_EXT option. As it is a new option, MP\_CAPABLE\_EXT relies on a new version of MPTCP. The client requests this new version of MPTCP during the MP\_CAPABLE exchange (it remains to be defined by the IETF which version of MPTCP includes the MP\_CAPABLE\_EXT option). If the server supports this version, it replies with a SYN/ACK including the MP\_CAPABLE and indicating this same version.

If the server desires to do SYN-cookies and supports receiving the MP\_CAPABLE\_EXT option it sets the C-bit to 1. As the client indicated in the SYN that it supports the new version of MPTCP, it must use the MP\_CAPABLE\_EXT option in the first data segment.

### **3.1.2. DATA\_FIN**

As the MP\_CAPABLE\_EXT option includes the same bitfields as the regular MP\_CAPABLE, there is no space to indicate a DATA\_FIN as is done in the DSS option. This implies that a client cannot send a DATA\_FIN together with the first segment of data. Thus, if the server requests the usage of MP\_CAPABLE\_EXT through the C-bit, the client must send a separate segment with the DSS-option, setting the DATA\_FIN-flag to 1, after it has sent the data-segment that includes the MP\_CAPABLE\_EXT option.

### **3.1.3. Middlebox considerations**

Multipath TCP has been designed with middleboxes in mind and so the MP\_CAPABLE\_EXT option must also be able to go through middleboxes. The following middlebox behaviors have been considered and MP\_CAPABLE\_EXT acts accordingly across these middleboxes:

- o Removing MP\_CAPABLE\_EXT-option: If a middlebox strips the MP\_CAPABLE\_EXT option out of the data segment, the server receives data without a corresponding mapping. As defined in [Section 3.6 of \[RFC6824\]](#), the server must then do a seamless fallback to regular TCP.
- o Coalescing segments: A middlebox might coalesce the first and second data segment into one single segment. While doing so, it might remove one of the options (either MP\_CAPABLE\_EXT or the DSS-option of the second segment because of the limited 40 bytes TCP option space). If the DSS-option is not included in the segment, the second half of the payload is not covered by a mapping. Thus, the server will do a seamless fallback to regular TCP as defined by [\[RFC6824\]](#). However, if the MP\_CAPABLE\_EXT option is not present, then the DSS-option provides an offset of the TCP



sequence number. As the server behaves statelessly it can only assume that the present mapping belongs to the first byte of the payload (similar to what is explained in detail in [Section 3.2](#). As this however is not true, it will calculate an incorrect initial TCP sequence number and thus reply with a TCP-reset as the SYN-cookie is invalid. As such kind of middleboxes are very rare we consider this behavior as acceptable.

- o Splitting segments: A TCP segmentation offload engine (TSO) might split the first segment in smaller segments and copy the MP\_CAPABLE\_EXT option on each of these segments. Thanks to the data-length value included in the MP\_CAPABLE\_EXT option, the server is able to detect this and correctly reconstructs the mapping. In case the first of these splitted segments gets lost, the server finds itself in a situation similar to the one described in [Section 2](#). The TCP sequence number doesn't allow anymore to verify the SYN-cookie and thus a TCP reset is sent. This behavior is the same as for regular TCP.
- o Payload modifying middlebox: In case the middlebox modifies the payload, the DSS-checksum included in the MP\_CAPABLE\_EXT option allows to detect this and will trigger a fallback to regular TCP as defined in [\[RFC6824\]](#).

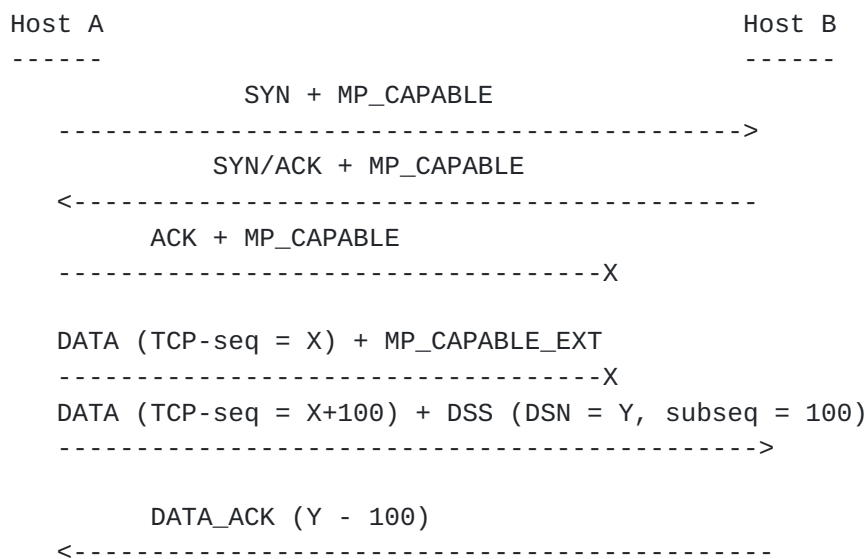
### **[3.2](#). Loss of the first data segment**

[Section 2](#) described the issue of losing the first data segment of a connection while TCP SYN-cookies are in use. The following outlines how Multipath TCP actually allows to fix this particular issue.

Consider the packet-flow of Figure 2. Upon reception of the second data segment, the included data sequence mapping allows the server to actually detect that this is not the first segment of a TCP connection. Indeed, the relative subflow sequence number inside the DSS-mapping is actually 100, indicating that this segment is already further ahead in the TCP stream. This allows the server to actually reconstruct the initial sequence number based on the sequence number in the TCP-header  $((X+100) - 100)$  that has been provided by the client and verify whether its SYN-cookie is correct. Thus, no TCP-reset is being sent - in contrast to regular TCP, where the server cannot verify the SYN-cookie. The server knows that the received segment is not the first one of the data stream and thus it can store it temporarily in the out-of-order queue of the connection. It must be noted that the server is not yet able to fully reconstruct the MPTCP state. In order to do this it still must await the MP\_CAPABLE\_EXT option that is provided in the first data segment.



The server responds to the out-of-order data with a Duplicate ACK. The Duplicate ACK may also have SACK data if SACK was negotiated. However, if this Duplicate ACK does not have an MPTCP level Data ACK, the client may interpret this as a fallback to TCP. This is because the client cannot determine if an option stripping middlebox removed the MPTCP option on TCP segments after connection establishment. So even though the server has not fully recreated the MPTCP state at this point, it should respond with a Data ACK set to the Data Sequence Number Y-100. The client's TCP implementation may retransmit the first data segment after a TCP retransmit timeout or it may do so as part of an Early Retransmit that can be triggered by an ACK arriving from the server.



Multipath TCP's DSS option allows to handle the loss of the first data segment as the host can infer the initial sequence number.

Figure 2

#### 4. Alternative solutions

An alternative solution to creating the MP\_CAPABLE\_EXT option would have been to emit the MP\_CAPABLE-option together with the DSS-option on the first data segment. However, as the MP\_CAPABLE option is 20 bytes long and the DSS-option (using 4-byte sequence numbers) consumes 16 bytes, a total of 36 bytes of the TCP option space would be consumed by this approach. This option has been dismissed as it would prevent any other TCP option in the first data segment, a constraint that would severely limit TCP's extensibility in the future.



## **5. IANA Considerations**

A new codepoint must be allocated for this new MPTCP subtype.

## **6. Security Considerations**

No security considerations.

## **7. References**

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