Network Working Group

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Hypertext Transfer Protocol (HTTP) over multicast QUIC draft-pardue-quic-http-mcast-03

Abstract

This document specifies a profile of the QUIC protocol and the HTTP/ QUIC mapping that facilitates the transfer of HTTP resources over multicast IP using the QUIC transport as its framing and packetisation layer. Compatibility with the QUIC protocol's syntax and semantics is maintained as far as practical and additional features are specified where this is not possible.

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1. Introduction

The means to bulk transfer resources over multicast IP [RFC1112] using HTTP semantics presents an opportunity to more efficiently deliver services at scale, while leveraging the wealth of existing HTTP-related standards, tools and applications. Audio-visual segmented media, in particular, would benefit from this mode of transmission.

The carriage of HTTP over multicast IP may be satisfied using existing technologies, for example the Real-time Transport Protocol (RTP) [RFC3550]. However, such protocols typically require the translation or encapsulation of HTTP. This introduces concerns for

providers of services, such as defining the translation, additional workload, complication of workflows, manageability issues, versioning issues, and so on.

In contrast, this document describes a simpler and more direct expression of HTTP semantics over multicast IP. HTTP over multicast QUIC is a profile of the QUIC protocol [QUIC-TRANSPORT] (Section 4) and the HTTP/QUIC mapping [QUIC-HTTP] (Section 5). This includes the repurposing of certain QUIC packet fields and changes to some protocol procedures (e.g. prohibition of the usage of certain frame types) which, in turn, change the behavioural expectations of endpoints. However, the profile purposely limits the scope of change in order to preserve maximum compatibility with conventional QUIC. For the reader's convenience, the differences between this specification and conventional QUIC are summarised in Appendix C.

This profile prohibits the transmission of QUIC packets from receiver to sender via multicast IP. The use of side-channel or out-of-band feedback mechanisms is not prohibited by this specification, but is out of scope and these are not considered further by the present document.

Experience indicates that a generally available multicast deployment is difficult to achieve on the Internet notwithstanding the improvements that IPv6 [RFC2460] makes in this area. There is evidence that discretely referenced multicast "islands" can more pragmatically be deployed. Discovery of such islands by receivers, as they become available, is typically difficult, however. address the problem, this document describes an HTTP-based discovery mechanism that uses HTTP Alternative Services [RFC7838] to advertise the existence of multicast QUIC sessions (Section 3). This provides the means for multicast-capable endpoints to learn about and make use of them in an opportunistic and user-imperceptible manner. This mechanism results in a common HTTP application layer for both the discovery and delivery of services across unicast and multicast networks. This provides support for users and devices accessing services over a heterogeneous network. This is a departure from conventional multicast discovery technologies such as SDP [RFC4566] and SAP [RFC2974].

The discovery mechanism also addresses some of the issues related to using QUIC over a unidirectional network association by replacing connection establishment aspects that depend on a bidirectional transport.

The present document includes a number of optional features. It is anticipated that further specifications will define interoperability profiles suited to particular application domains.

1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all captials, as shown here.

This document uses the Augmented BNF defined in [RFC5234] and updated by [RFC7405] along with the "#rule" extension defined in Section 7 of [RFC7230]. The rules below are defined in [RFC5234], [RFC7230], and [RFC7234]:

- o quoted-string = <quoted-string, see [RFC7230], Section 3.2.6>
- o token = <token, see [RFC7230], Section 3.2.6>
- o uri-host = <uri-host, see [RFC7230], Section 2.7>

1.2. Definitions

Definitions of terms that are used in this document:

- o endpoint: A host capable of being a participant in a multicast OUIC session.
- o multicast QUIC session: A logical unidirectional flow of metadata and data over multicast IP, framed according to this specification. The lifetime of a session is independent of any endpoint.
- o participant: A sender or receiver that is taking part in a multicast QUIC session.
- o sender: A participant sending multicast traffic according to this specification.
- o receiver: A participant receiving multicast traffic according to this specification.
- o session: See multicast QUIC session.
- o session ID: The identifier for a multicast QUIC session.
- o session parameter: Characteristic of a multicast QUIC session.

2. Multicast QUIC Sessions

An HTTP/QUIC connection [QUIC-TRANSPORT] carried over bidirectional unicast is defined as a conversation between two QUIC endpoints that multiplexes several logical streams within a single encryption context. This is a one-to-one relationship. Furthermore, QUIC connections achieve decoupling from the underlying network (IP and port) by means of a Connection ID. Use of a consistent connection identifier allows QUIC connections to survive changes to the network connectivity. The establishment of a QUIC connection relies upon an up-front, in-band exchange (and possible negotiation) of cryptographic and transport parameters (conveyed in QUIC handshake messages) and HTTP-specific settings (conveyed in HTTP/QUIC "SETTINGS" frames). Such parameters may be required or optional and may be used by either endpoint to control the characteristics of connection usage.

This concept of a connection does not suit the carriage of HTTP/QUIC over unidirectional network associations such as multicast IP. In fact, there is no requirement for either endpoint (multicast sender or receiver) to be in existence in order for the other to start or join this one-sided conversation. The term "connection" is misleading in this context; therefore we introduce an alternative term "multicast QUIC session" or simply "session", which is defined as the logical entity describing the characteristics of the anticipated unidirectional flow of metadata and data. Such characteristics are expressed as "session parameters", described in Section 2.2. Advertisement of multicast QUIC sessions, specified in Section 3, allows for the senders and receivers to discover a session and to form multicast IP network associations that permit traffic flow.

2.1. Session States

The lifecycle of a multicast QUIC session is decoupled from the lifecycle of any particular endpoint. Multicast receivers or senders that take part in a session are called participants. The state of a session is influenced by the actions of participants. The loose coupling of participants means that they are unlikely to have a consistent shared view of the current state of a session. There is no requirement for a participant to know the session state and the present document does not define a method to explicitly determine it. The definitions of session states provided below are intended to assist higher-level operational treatment of sessions:

O Quiescent: the session has no participants and is ready to accept them.

- o Half-established: the session has a participant.
- o Fully-established: the session has a sender and at least one receiver participant.
- o Finished: the session has ended, and there are no participants.

Permitted states transitions are shown in Figure 1 below.

The transmission of QUIC packets is expected to occur only during the Half-Established and Fully-Established states.

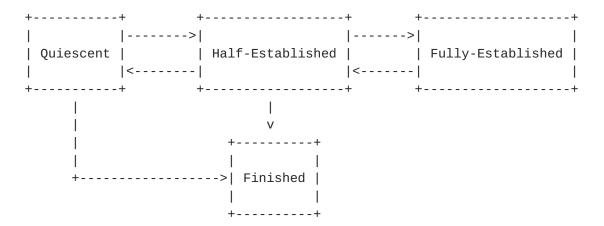


Figure 1: Multicast QUIC session states

2.1.1. Session Establishment

A session begins in the Quiescent state. A typical session establishment sequence would see the transition from Quiescent to Half-Established when a sender joins the session. The transition from Half-Established to Fully-Established occurs when at least one receiver joins the session.

It is equally valid for a receiver to join a session in the Quiescent state, triggering the transition to Half-Established. In this case, the transition to Fully-Established takes place only when a sender joins the session.

2.1.2. Session Termination

A session enters the Finished state when all participants leave it. The methods for leaving a session are either explicit shutdown (Section 5.5), implicit shutdown (i.e. idle timeout, Section 3.4) or migration away (described in the next section).

In a typical case, a session that is in the Fully-Established state would be closed in two stages. In the first stage the sender sends explicit shutdown messages to the multicast group and subsequently stops transmitting packets. This causes the session to transition from Fully-Established to Half-Established. In the second stage, receivers that have received explicit shutdown messages leave the multicast group. Once all receivers have left the session it transitions from Half-Established to Finished.

The transition from Quiescent to Finished could also occur in response to out-of-band actions, for example the availability of a session being withdrawn without any participants having made use of it.

2.1.3. Session Migration

Endpoints MAY migrate between multicast QUIC sessions (for example, to make use of alternate session parameters that are preferred). Session migration requires participants to leave the current session and join the new session. These actions affect the state of the respective sessions as explained above.

The discovery of multicast QUIC sessions is described in <u>Section 3</u>.

2.2. Session Parameters

The characteristics of multicast QUIC sessions are expressed as session parameters, which cover cryptographic and transport parameters, HTTP-specific settings and multicast-specific configuration.

Session parameter exchange over IP multicast is difficult:

- o In-band exchanges are one-way, and so the client does not have the means to send session parameters.
- o The lifecycle of any multicast sender is independent of the multicast receiver. It is therefore unlikely that all receivers will have joined a session in time to receive parameters sent at the start of a multicast session.

A range of strategies exists to mitigate these points. However, each has the possibility to add complexity to deployment and manageability, transmission overhead, or other such concerns. This document defines a solution that relies on the one-way announcement of session parameters in advance of session establishment. This is achieved using HTTP Alternative Services [RFC7838] and is explained

in <u>Section 3</u>. Other advertisement solutions are not prohibited but are not explored in this document.

Session parameters MUST NOT change during the lifetime of a session. This restriction also applies to HTTP-level settings (see Section 5.1).

2.3. Session Identification

This document defines a 64-bit session identifier used to identify a session. This "Session ID" affords independence from multicast IP, creating the possibility for a session to span multiple multicast groups, or to migrate a session to a different multicast group.

Assignment of Session ID is considered out of this document's scope.

The Session ID is carried in the Connection ID field of the QUIC packet (see Section 4.3).

A multicast sender participating in a session MUST send QUIC packets with a matching Session ID. A multicast receiver participating in a session MUST validate that the Session ID of received QUIC packets matches that advertised in the session parameters (Section 3, Section 10.2) before any HTTP-level processing is done. In the case of validation failure, the receiver SHOULD ignore the packet in order to protect itself from denial-of-service attacks.

2.4. Session Security

*Authors' Note: * Security handshake (as described in WG documents) is in flux. This section will track developments and will be updated accordingly.

The QUIC cryptographic handshake ([QUIC-TRANSPORT] and [QUIC-TLS]) sets out methods to achieve the goals of authenticated key exchange and QUIC packet protection between two endpoints forming a QUIC connection. The design facilitates low-latency connection; 1-RTT or 0-RTT. QUIC Stream 0 is reserved for handshake messages.

This specification replaces the in-band security handshake, achieving similar goals through the use of session parameters described in Section 3.2. For the purpose of compatibility, the use of QUIC stream 0 (see Section 4.4) is reserved.

Integrity and authenticity concerns are addressed in <u>Section 6.1</u> and <u>Section 6.2</u> respectively. In order to protect themselves from attack vectors, endpoints SHOULD NOT participate in sessions for which they cannot establish reasonable confidence over the cipher suite or key

in use for that session. Participants MAY leave any session that fails to successfully match anticipated security characteristics.

3. Session Advertisement

In this specification, connection negotiation is replaced with a session advertisement mechanism based on HTTP Alternative Services (Alt-Svc) [RFC7838]. This document specifies how the parameters of a multicast QUIC session are expressed as Alt-Svc parameters. The following sections provide a high-level view of these; further details are provided in Section 10.2, with examples provided in Appendix B.1. QUIC connection parameters not defined as, or related to, Alt-Svc parameters are not used.

The definition of a session (including the session ID and its parameters) is not the responsibility of any endpoint. Rather, endpoints SHOULD use session advertisements to determine if they are capable of participating in a given session. This document does not specify which party is responsible for defining and/or advertising multicast QUIC sessions.

Endpoints SHOULD NOT become participants in sessions where the advertisement requirements set out in the present document are unfulfilled.

The freshness of Alt-Svc multicast QUIC session advertisements is as described in <u>section 2.2 of [RFC7838]</u>.

It is RECOMMENDED that session advertisements are carried over a secure transport (such as HTTPS) which can guarantee the authenticity and integrity of the Alt-Svc information. This addresses some of the concerns around the protection of session establishment described in Section 11.2.

*Authors' Note: * We invite review comments on mandating the use of a secure transport for advertising sessions.

Senders MAY also advertise the availability of alternative sessions by carrying Alt-Svc in a multicast QUIC session.

3.1. Version Advertisement

Authors' Note: Version negotiation (as described in WG documents) is in flux. This section will track developments and will be updated accordingly.

Conventional QUIC connection establishment begins with version negotiation. In a unidirectional multicast environment, there is no

reasonable way to negotiate in such a manner. [QUIC-HTTP] defines an Alt-Svc "quic" parameter that can be advertised to clients for use as a version negotiation hint. This specification uses "quic" as a session parameter for a similar purpose. This mechanism replaces the use of the Version field in the QUIC packet long header (see Section 4.2).

The Alt-Svc "quic" parameter is mandatory. Session advertisements MUST contain exactly one instance of it and it MUST NOT be repeated.

A multicast sender participating in a session MUST send QUIC packets and frames in the format corresponding to the advertised version. If the sender does not support the advertised version it MUST NOT send any data. A receiver MUST NOT join a session where the "quic" parameter is absent. A receiver SHOULD NOT join a session for which it does not support the advertised version, in order to avoid wasting processing resources.

3.2. Security Context

Authors' Note: Security handshake (as described in WG documents) is in flux. This section will track developments and will be updated accordingly.

This specification replaces the in-band security handshake. The session parameters "cipher suite", "key" and "iv" (described below) allow for the establishment of a security context. In order to protect themselves, endpoints SHOULD NOT participate in sessions for which they cannot establish reasonable confidence over the cipher suite, key, or IV in use for that session. Endpoints SHOULD leave any sessions which fail to successfully match anticipated security characteristics.

3.2.1. Cipher Suite

Cipher suite negotiation is replaced with a "cipher suite" session parameter, which is advertised as the Alt-Svc parameter "ciphersuite" (Section 10.2.2).

The Alt-Svc "cipher-suite" parameter is OPTIONAL. If present, this parameter MUST contain only one value that corresponds to an entry in the TLS Cipher Suite Registry (see http://www.iana.org/assignments/tls-parameters/tls-parameters.xhtml#tls-parameters-4). Session advertisments that omit this parameter imply that the session is operating with cipher suite 0x00,0x00 (NULL_WITH_NULL_NULL).

3.2.2. Key Exchange

Key exchange is replaced with a "key" session parameter, which is advertised as the Alt-Svc parameter "key" (Section 10.2.3). The parameter carries a variable-length hex-encoded key for use with the session cipher suite.

The Alt-Svc "key" parameter is OPTIONAL. Session advertisments that omit this parameter imply that the key may be available via an out-of-band method not described in this document.

3.2.3. Initialization Vector

Initialization Vector (IV) exchange is replaced with an "iv" session parameter, which is advertised as the Alt-Svc parameter "iv" (Section 10.2.4). The parameter carries a variable-length hexencoded IV for use with the session cipher suite and key.

The Alt-Svc "iv" parameter is OPTIONAL. Session advertisments that omit this parameter imply that the IV may be available via an out-of-band method not described in this document.

3.3. Session Identification

[QUIC-TRANSPORT] specifies how the QUIC Connection ID is used, in particular the client-side generation of this value. In a unidirectional multicast environment, there is no meaningful way for a client to generate a Connection ID and use it. This document defines a "session identifier" session parameter, which is advertised as the Alt-Svc parameter "session-id" (Section 10.2.5). The requirements for the usage of session identifiers have already been described in Section 2.3.

The Alt-Svc "session-id" parameter is mandatory. Session advertisments MUST contain at least one instance. It MAY be repeated with different values, indicating that multiple sessions are present.

Authors' Note: We invite review comments on mandating a single session identifier per advertised session, i.e. only one session identifier per ASM {G} or SSM {S,G}.

3.4. Session Idle Timeout

Conventional QUIC connections may be implicitly terminated following a period of idleness (lack of network activity). The required QUIC TransportParameter "idle_timeout" provides a means for endpoints to specify the timeout period. This document defines a "session idle timeout" session parameter, which is advertised as the Alt-Svc

parameter "session-idle-timeout" (<u>Section 10.2.6</u>). This session parameter mimics the behaviour of "idle_timeout", providing a means for multicast QUIC sessions to define their own idle timeout periods.

Session idle timeout may be prevented by keep-alive strategies Section 4.8.

The Alt-Svc "session-idle-timeout" parameter is mandatory. Session advertisments MUST contain at least one instance. If the parameter is repeated the first occurrence MUST be used and subsequent occurrences MUST be ignored.

Receiving participants SHOULD leave multicast QUIC sessions when the session idle timeout period has elapsed (Section 4.7). Leaving participants MUST use the silent close method, in which no QUIC "CONNECTION_CLOSE" frame is sent.

3.5. Session Peak Flow Rate

[QUIC-TRANSPORT] specifies a credit-based stream- and connection-level flow control scheme which prevents a fast sender from overwhelming a slow receiver. Window size connection parameters are exchanged on connection establishment using the required QUIC TransportParameters "initial_max_data" and "initial_max_stream_data". In a unidirectional multicast environment, such a scheme is infeasible. This document defines a "peak flow rate" session parameter, expressed in units of bits per second, which is advertised as the Alt-Svc parameter "peak-flow-rate" (Section 10.2.8). This replaces "initial_max_data" and "initial_max_stream_data" completely, instead indicating the maximum bit rate of QUIC "STREAM" frame payloads transmitted on all multicast groups comprising the session.

The Alt-Svc "peak-flow-rate" parameter is OPTIONAL. If the parameter is repeated the first occurrence MUST be used and subsequent occurrences MUST be ignored. Session advertisements that omit the parameter imply that the flow rate is unlimited.

A multicast sender SHOULD NOT cause the advertised peak flow rate of a session to be exceeded. A receiver MAY leave any session where the advertised peak flow rate is exceeded.

3.6. Resource Concurrency

[QUIC-TRANSPORT] considers concurrency in terms of the number of active incoming streams, which is varied by the receiving endpoint adjusting the maximum Stream ID. The initial value of maximum Stream ID is controlled by the relevant required QUIC TransportParameters "initial_max_stream_id_bidi" and "initial_max_stream_id_uni". They

are increased during the lifetime of a QUIC connection by the QUIC "MAX_STREAM_ID" frame. In a unidirectional multicast environment, there is no way for a receiver to specify an initial limit nor increase it. Therefore in multicast QUIC, the maximum Stream ID (initial and always) is 2^62. This mechanism is not used to manage concurrency in multicast QUIC.

Due to the profiling of maximum Stream ID, there is no role for the QUIC "STREAM_ID_BLOCKED" frame and it is prohibited. Participants MUST NOT send this frame type. Reception of this frame type MUST be handled as described in Section 4.10.

This document specifies a "maximum concurrent resources" session parameter, which is advertised as the Alt-Svc parameter "max-concurrent-resources" (Section 10.2.7). This parameter replaces "initial_max_stream_id_bidi" and "initial_max_stream_id_uni". It advertises the maximum number of concurrent active resources generated by a sender in a given multicast QUIC session.

The Alt-Svc "max-concurrent-resources" parameter is OPTIONAL. If the parameter is repeated the first occurrence MUST be used and subsequent occurrences MUST be ignored. Session advertisements that omit the parameter imply that the maximum concurrency is unlimited.

A multicast sender participating in a session MUST NOT cause the advertised "max-concurrent-resources" to be exceeded. A receiver MAY leave any session where the advertised limit is exceeded, in order to protect itself from denial-of-service attacks.

3.7. Additional TransportParameter Considerations

Authors' Note: This section will consider TransportParameters that have not already been addressed, as required. It will track developments and issues that may arise.

3.8. Digest Algorithm

A method to provide content integrity is described in <u>Section 6.1</u>. This specifies the means to convey a value computed by a particular digest algorithm. The identity of the selected algorithm is also indicated. Valid digest algorithms are collected in the IANA HTTP Digest Algorithm Values registry (http-dig-alg/http-dig-alg.xhtml#http-dig-alg-1).

This document specifies a "digest algorithm" session parameter, which is advertised as the Alt-Svc parameter "digest-algorithm" (Section 10.2.9).

Authors' Note: <u>Section 6.1</u> contains an author's note on the potential for content integrity to become mandatory. This section will be updated in line with the outcome of that decision.

The Alt-Svc "digest-algorithm" parameter is OPTIONAL. Repetition of the "digest algorithm" parameter in a single advertisement describes an algorithm set that MAY be used across the session. Session advertisements that omit the Alt-Svc parameter "digest-algorithm" imply that either:

- o the session does not use the content integrity mechanism, or
- o the algorithm set is unrestricted, i.e. a sender may vary the algorithm as it so chooses. This may lead to undesirable results if receivers do not support a chosen algorithm.

Advertising the algorithm set for a session gives receivers the opportunity to selectively join sessions where the algorithms are known to be supported. This may help to mitigate latency issues in the receiver resulting from joining a session only to discover some of its parameters are not supported.

A multicast sender participating in a session MUST NOT use algorithms outside the signalled digest algorithm set. A receiver MAY leave any session where an algorithm outside the digest algorithm set is used.

3.9. Signature Algorithm

A method to provide content authenticity is described in <u>Section 6.2</u>. This specifies the means to convey a value computed by a particular signature algorithm. The identity of the selected algorithm is also indicated. Valid signature algorithms are collected in the IANA Signature Algorithms registry (http://www.iana.org/assignments/signature-algorithms).

This document specifies a "signature algorithm" session parameter, which is advertised as the Alt-Svc parameter "signature-algorithm" (Section 10.2.10).

*Authors' Note: * <u>Section 6.2</u> contains an author's note on the potential for content authenticity to become mandatory. This section will be updated in line with the outcome of that decision.

The Alt-Svc "signature-algorithm" parameter is OPTIONAL. Repetition of the "signature algorithm" parameter in a single advertisement describes an algorithm set that MAY be used across the session. Session advertisements that omit the Alt-Svc parameter "signature-algorithm" imply that either:

- o the session does not use the content authenticity mechanism, or
- o the algorithm set is unrestricted i.e. a sender may vary the algorithm as it so chooses. This may lead to undesirable results if receivers do not support a chosen algorithm.

Advertising the algorithm set for a session gives receivers the opportunity to selectively join sessions where the algorithms are known to be supported. This may help to mitigate latency issues in the receiver resulting from joining a session only to discover some of its parameters are not supported.

A multicast sender participating in a session MUST NOT use algorithms outside the signalled signature algorithm set. A receiver MAY leave any session where an algorithm outside the signature algorithm set is used.

4. QUIC Profile

Authors' Note: The QUIC transport document is subject to change. This section is based on our best understanding of draft-ietf-quic-transport-08. The authors will track developments and will update this section accordingly.

The profile of [QUIC-TRANSPORT] is presented in this section. In order to preserve compatibility with conventional QUIC, the specification works with a limited scope of change. However, the nature of unidirectional multicast communications means that some protocol procedures or behaviours need to be modified.

4.1. Packet Size

The means for determining an appropriate size for QUIC packets are described in Section 9 of [QUIC-TRANSPORT]. Implementations of this specification SHOULD bear in mind that the Path Maximum Transmission Unit (PTMU) may be affected by multicast IP technologies such as Automatic Multicast Tunneling (AMT) [RFC7450]. Additionally, consideration should be given toward the applicability of maximum transmission unit discovery methods (such as PLPMTUD [RFC4821] and PMTUD [RFC1191]) to multicast IP.

4.2. Packet Format

Endpoints implementing this specification MUST only send QUIC packets with the short header form. This header form omits the Version field.

Authors' Note: The authors welcome suggestions for conveying the QUIC version in every multicast QUIC packet.

4.3. Connection Identifier

The Connection ID field MUST be present in every QUIC packet, and the corresponding flag in the packet header MUST be set to indicate that the Connection ID field is present.

4.4. Stream Identifier

The maximum Stream ID of a multicast QUIC session is 2^62 , as explained in Section 3.6.

Senders MUST NOT send any QUIC frames on QUIC stream 0. Receivers MUST ignore QUIC frames received on stream 0.

4.5. Flow Control

Conventional QUIC provides stream- and connection-level flow control, and endpoints manage this by sending QUIC "MAX_DATA" or "MAX_STREAM_DATA" frames as required. When a sender is blocked from sending flow-controlled frames, it sends an informational QUIC "BLOCKED" or "STREAM_BLOCKED" frame.

In a unidirectional environment, the sender never has a receive window and the receiver cannot send in-band updates. Therefore, the management of flow-control windows and transmission of blockage information is not supported by this profile. The QUIC "MAX_DATA", "MAX_STREAM_DATA", "BLOCKED" and "STREAM_BLOCKED" frames are prohibited by this profile. Participants MUST NOT send these frame types. Reception of these frame types MUST be handled as described in Section 4.10.

4.6. Stream Termination

A sender MAY prematurely terminate the transmission on any unreserved QUIC Stream ID by setting the "FIN" bit of a QUIC "STREAM" frame, or by sending a QUIC "RST_STREAM" frame (as specified in [QUIC-TRANSPORT] and [QUIC-HTTP]).

Receiving participants MUST NOT make any attempt to send QUIC "RST_STREAM" frames to the multicast group.

4.7. Session Shutdown

Explicit shutdown of a multicast QUIC session using QUIC methods is not supported by this profile.

The QUIC "APPLICATION_CLOSE" and "CONNECTION_CLOSE" frames, and the Public Reset packet are prohibited. Participants MUST NOT send these and reception MUST be handled as described in Section 4.10.

The HTTP/QUIC "GOAWAY" frame is prohibited. Participants MUST NOT send this and reception MUST be handled as described in Section 5.7.

Explicit session tear-down using HTTP semantics is allowed, as described in Section 5.5.

Implicit shutdown by means of silent close is also supported, as described in Section 3.4.

4.8. Session Keep-alive

The flow of traffic in a multicast QUIC session is driven by a sender. There may be periods where the sender has no application data to send for a period longer than the session idle timeout. This profile repurposes the QUIC "PING" frame to act as a unidirectional keep-alive message that may be sent in order to inform receivers that the session should remain in the Fully-established state.

[QUIC-TRANSPORT] contains guidance on the sending frequency of QUIC "PING" frames.

Senders MAY send a QUIC "PING" frame with an empty Data field at any time in order to inform receivers that the session traffic flow has not fallen idle. This frame MUST NOT be acknowledged. Indeed, QUIC "ACK" frames are prohibited by this profile (Section 4.9).

Senders MUST NOT send a QUIC "PING" frame with a populated Data field. This effectively means that QUIC "PONG" frames are also prohibited by this profile.

Receiving participants MUST NOT make any attempt to send QUIC "PING" frames.

4.9. Loss Detection and Recovery

Receivers implementing this profile MUST NOT make any attempt to acknowledge the reception of QUIC packets. The QUIC "ACK" frame is prohibited for both senders and receivers. Reception of this frame MUST be handled as described in Section 4.10.

<u>Section 7</u> specifies alternative strategies for loss recovery.

4.10. Prohibited QUIC Frames and Packets

The following QUIC packets MUST NOT be transmitted by participants: 0-RTT Protected, 1-RTT Protected (key phase 0), 1-RTT Protected (key phase 1), Client Cleartext, Client Initial, Public Reset, Server Cleartext, Server Initial, Version Negotiation.

The following QUIC frames MUST NOT be transmitted by participants: "ACK", "APPLICATION_CLOSE", "BLOCKED", "CONNECTION_CLOSE", "MAX_DATA", "MAX_STREAM_ID", "MAX_STREAM_DATA", "PING" (with Data), "PONG", "STREAM_BLOCKED", "STREAM_ID_BLOCKED".

The following QUIC frames MUST NOT be transmitted by receivers: "RST_STREAM".

Reception of a prohibited QUIC frame or packet is a protocol error. Receivers MUST ignore all prohibited QUIC frames and packets.

5. HTTP/QUIC Profile

Authors' Note: The HTTP/QUIC mapping document is subject to change. This section is based on our best understanding of draftietf-quic-http-08. The authors will track developments and will update this section accordingly.

HTTP over multicast QUIC depends on HTTP server push, as described in Section 4.4 of [QUIC-HTTP]. Section 5.2 below applies an additional constraint on the use of server push. A multicast sender participating in a session pushes resources as a series of QUIC "STREAM" frames carrying HTTP/QUIC "PUSH_PROMISE", "HEADERS" and "DATA" frames. Examples of this are provided in Appendix B.2. Senders MUST comply with the requirements of the session parameters, as described earlier in <u>Section 3</u>.

The profile of HTTP/QUIC specified in this section places additional constrains on the use of metadata compression (Section 5.3) and prioritisation (Section 5.4).

5.1. HTTP Connection Settings

The HTTP/QUIC "SETTINGS" frame is prohibited by this profile. Participants MUST NOT make any attempt to send this frame type. Reception of this frame MUST be handled as described in Section 5.7.

5.2. Server Push

Server push is, by default, disabled for HTTP/QUIC connections. A conventional HTTP/QUIC client enables and manages server push by controlling the maximum Push ID ([QUIC-HTTP], Section 5.2.6), achieved by sending the HTTP/QUIC "MAX_PUSH_ID" frame.

This profile mandates the use of server push, and specifies no means to disable it. The maximum Push ID for multicast QUIC sessions (initial and always) is 2^62.

Server push concurrency in multicast QUIC is described in Section 3.6. There is no role for the HTTP/QUIC "MAX_PUSH_ID" frame and it is prohibited. Participants MUST NOT send this frame type. Reception of this frame type MUST be handled as described in Section 5.7.

The HTTP/QUIC "CANCEL_PUSH" frame MAY be used by sending participants to abort sending a response for the identified server push. Usage of this frame should follow the guidance for servers in [QUIC-HTTP].

Receiving participants MUST NOT make any attempt to send QUIC "CANCEL_PUSH" frames to the multicast group.

Conventionally, pushed responses are associated with an explicit request from a client. This is not possible when using a unidirectional transport such as multicast IP. This profile reserves the first client-initiated, bidirectional QUIC stream. HTTP/QUIC "PUSH_PROMISE" frames MUST be sent on this reserved Stream ID.

Authors' Note: The exact value of this Stream ID is currently in flux. This section will track developments and will be updated accordingly. The possibility of sending HTTP/QUIC "PUSH_PROMISE" frames on a control stream is discussed on https://github.com/quicwg/base-drafts/issues/947.

5.3. Metadata Compression

The compression of HTTP header fields is considered in HPACK [RFC7541], which describes two methods for the compression of HTTP header fields: indexing (via static or dynamic tables) and Huffman encoding.

A multicast QUIC session, as described in the present document, does not provide the assurances (receiver participation, transport reliability) required to sufficiently maintain the dynamic decoding context. Therefore, this document requires that endpoints SHALL NOT use dynamic indexing. It is RECOMMENDED that endpoints use static

indexing and/or Huffman encoding in order to benefit from the remaining compression methods available.

Authors' Note: In the context of QUIC, changes to HPACK may allow for better leverage of the transport. OPACK ([I-D.bishop-quic-http-and-qpack]), QCRAM ([I-D.krasic-quic-gcram]) and QMIN ([I-D.tikhonov-quic-gmin]) are active proposals in this space. This section will track developments and will be updated accordingly.

5.4. Prioritisation

The HTTP/QUIC "PRIORITY" frame is prohibited by this profile. Participants MUST NOT make any attempt to send this frame type. Reception of this frame MUST be handled as described in Section 5.7.

5.5. Session Tear-down

A multicast QUIC session MAY be explicitly torn down by means of the "Connection: close" HTTP header described in section 6.6 of [RFC7230]. A sender intending to leave the session SHOULD include the "Connection: close" header in its response metadata. A sender SHOULD transmit all outstanding frames related to remaining request/ response exchanges before ending transmission to the multicast group. A receiver SHOULD continue to receive and process frames until all outstanding request/response exchanges are complete.

5.6. HTTP/QUIC Extension frames

HTTP/QUIC extension frames (e.g. "ALTSVC") are prohibited by this profile. Participants MUST NOT make any attempt to send extension frame types. Reception of these MUST be handled as described in Section 5.7.

5.7. Prohibited HTTP/QUIC Frames

The following HTTP/QUIC frames MUST NOT be transmitted by participants: "GOAWAY", "MAX_PUSH_ID", "PRIORITY", "SETTINGS".

In addition, all HTTP/QUIC extension frame types MUST NOT be transmitted by participants.

The following HTTP/QUIC frames MUST NOT be transmitted by receivers: "CANCEL_PUSH".

Reception of a prohibited HTTP/QUIC frame is a protocol error. Receivers MUST ignore prohibited HTTP/QUIC frames.

6. Application-Layer Security

As already described in <u>Section 3.2</u>, the implicit cipher suite used by a multicast QUIC session makes very limited provision for security in the transport and session layers. This section profiles the use of some additional features to provide equivalent functionality at the application-layer.

6.1. Content Integrity

In many applications, it is important to ensure that an HTTP representation has been received intact (i.e. has not suffered from transmission loss or random bit errors) before passing the received object on to the receiving application. A mechanism is therefore specified here to provide end-to-end content integrity protection for HTTP representations in transit. The use of this content integrity mechanism is RECOMMENDED.

*Authors' Note: * We invite review comments on mandating the use of this content integrity mechanism.

[RFC3230] specifies an instance digest HTTP header called "Digest". A sender MAY include this header in the HTTP/QUIC "HEADERS" frame of any representation it transmits and a receiver MAY use this header to validate the integrity of the received representation once it has been reassembled. Where this validation fails, the receiver SHOULD discard the representation without processing it further.

Note that the digest value protects a whole HTTP instance (i.e. the representation of a resource at the point of transmission as opposed to the body of a particular HTTP message). In cases where partial representations are fragmented over one or more HTTP response messages, the digest value is computed over the complete representation prior to fragmentation into partial responses.

Any of the algorithms specified in the IANA registry of digest algorithms (http-dig-alg/http-dig-alg/http-dig-alg.xhtml#http-dig-alg-1) MAY be used in conjunction with the "Digest" header. There is no requirement for participants to support the full set of algorithms.

6.2. Content Authenticity

In some applications, it is important for a receiver to reassure itself that an HTTP representation has been received from an authentic source. It is also sometimes useful for a receiver to know that the information has not been tampered with in transit by a malicious intermediate actor. A mechanism is therefore specified

here to prove the authenticity of HTTP messages in transit. The use of this content authenticity mechanism is RECOMMENDED for senders implementing this specification.

*Authors' Note: * We invite review comments on mandating the use of this content authenticity mechanism.

[I-D.cavage-http-signatures] specifies a means of securely signing metadata associated with any HTTP message. The resulting digital signature is conveyed in the "Signature" header of the message itself. The "Signature" header also conveys a list of HTTP header fields over which the signature was computed. A receiver MAY verify the "Signature" header in order to validate the authenticity of received metadata. Where this validation fails, the receiver SHOULD discard or ignore any related metadata and/or data without processing it further.

Note that the signature proves the authenticity of the metadata in a single HTTP message. A "Signature" header MAY be included separately in the HTTP/QUIC "PUSH_PROMISE" frame (protecting the request metadata) and in the final (or only) HTTP/QUIC "HEADERS" frame relating to a particular resource (protecting the response metadata). In order to provide an additional level of protection, however, it is RECOMMENDED that the signature be computed over the combined request metadata (from the "PUSH_PROMISE" frame) and the corresponding response metadata (from the HTTP/QUIC "HEADERS" frames) of the same resource. This binds the request metadata and response metadata together, providing the receiver with additional reassurance of authenticity. In this latter case, the combined digital signature SHALL be conveyed in the final (or only) HTTP/QUIC "HEADERS" frame.

In applications where the detection of replay attacks is a requirement, it is RECOMMENDED that the "Date" header be included in the scope of the signature. It is RECOMMENDED that receivers use the value of the "Date" header for replay detection using appropriate strategies (e.g. checking for freshness). The definition of such strategies is beyond the scope of this document.

In applications where the authenticity and integrity of the transmission are both important, it is RECOMMENDED that the "Digest" header specified in <u>Section 6.1</u> above is included in the scope of the signature. By signing the instance digest, the authenticity and integrity of the HTTP message body are also assured in addition to that of the metadata.

Any of the algorithms specified in the IANA registry of signature algorithms (http://www.iana.org/assignments/signature-algorithms) MAY

be used in conjunction with the "Signature" header. There is no requirement for participants to support the full set of algorithms.

6.3. Content Confidentiality

In applications where there is a requirement for the content itself to remain confidential, appropriate steps SHOULD be taken to protect the application payload, for example by encrypting it. This document does not preclude the use of application-level encryption, but does not specify a mechanism for the distribution of content decryption keys.

7. Loss Recovery

Because the acknowledgement of received packets to multicast groups is prohibited by this specification (Section 4.9) the detection of discarded or corrupted packets is the sole responsibility of the receiver, and such losses must be recovered by means other than the retransmission mechanism specified in [QUIC-TRANSPORT] and [QUIC-RECOVERY].

7.1. Forward Error Correction

Authors' Note: A simple parity-based Forward Error Correction scheme was removed from the experimental QUIC wire protocol specification in version Q032. The IETF's QUIC Working Group is chartered to specify one (or more) new FEC schemes in the future. This section will track developments in this area, and will be updated accordingly.

A sender MAY make use of a suitable Forward Error Correction scheme to allow a receiver to reconstruct lost packets from those that have been successfully received.

7.2. Unicast Repair

In the case where a lost QUIC packet cannot be recovered using Forward Error Correction, either because the number of packets lost exceeds the scheme's threshold for reconstruction, or because FEC is not in use on the multicast QUIC session, a receiver MAY instead recover the missing payload data using conventional unicast HTTP requests to an origin server.

o The total size of the resource is indicated in the "content-length" response header carried in the HTTP/QUIC "HEADERS" frame.

o The location of the missing data can be determined by examining the Offset field in the QUIC "STREAM" frame headers of successfully received QUIC packets.

Using this information, a receiver MAY compose an efficient HTTP range request [RFC7233] to the origin server indicated in the URL. Several disjoint ranges MAY be combined into a single HTTP request. A receiver MAY direct its request to an alternative server using Alt-Svc information received on the multicast QUIC session, or else received as part of a previous unicast HTTP response according to the rules in [RFC7838].

8. Transmission of Partial Content

Under certain circumstances, a sender may not be in full possession of a resource body when transmission begins, or may not be able to guarantee that a transmission will complete. In such cases, the sender MAY employ the syntax of an HTTP range request [RFC7233] to indicate partial content, as specified below. All receivers SHALL implement support for such HTTP range requests.

If partial content is to be transmitted:

- o The "range" header (<u>Section 3.1 of [RFC7233]</u>) SHALL be present in the HTTP/QUIC "PUSH_PROMISE" frame.
- o The corresponding HTTP/QUIC "HEADERS" frame SHALL indicate HTTP status code 206.
 - * The range being transmitted SHALL be indicated in a "content-range" header field and the size of the complete resource indicated in a "content-length" header field.

9. Protocol Identifier

The HTTP over multicast QUIC protocol specified in this document is identified by the application-layer protocol negotiation (ALPN) [RFC7301] identifier "hqm". The IANA registration of this protocol identifier can be found in Section 12.1. This reserves the ALPN identifier space but describes a protocol that does not use TLS. The usage of the "hqm" identifier for discoverability is described in Section 10.

9.1. Draft Version Identification

*RFC Editor's Note: * Please remove this section prior to publication of a final version of this document.

Only implementations of the final, published RFC can identify themselves as "hqm". Until such an RFC exists, implementations MUST NOT identify themselves using this string.

Implementations of draft versions of the protocol MUST add the string "-" and the corresponding draft number to the identifier. For example, draft-pardue-quic-http-mcast-00 is identified using the string "hqm-00".

Non-compatible experiments that are based on these draft versions MUST append the string "-" and an experiment name to the identifier. For example, an experimental implementation based on draft-pardue-quic-http-mcast-09 which removes the requirement to ensure version matches might identify itself as "hqm-09-version-ignorant". Note that any label MUST conform to the "token" syntax defined in Section 3.2.6 of [RFC7230]. Experimenters are encouraged to coordinate their experiments.

10. Discovery of Multicast QUIC Sessions

The announcement and discovery of services operating over multicast IP has previously been specified by the Session Description Protocol (SDP) [RFC4566], Session Announcement Protocol [RFC2974] and Session Initiation Protocol [RFC3261]. These are typically deployed together and in conjunction with a multicast-friendly transport such as the Real-time Transport Protocol (RTP) [RFC3550].

In contrast, the present document specifies a mechanism for advertising services that is built into HTTP metadata and is consistent across unicast and multicast resource delivery modes. This means that a single application-layer can be used for service advertisement/discovery, and for bulk data transmission/reception. Specifically, the "Alt-Svc" HTTP header is specified as the means to advertise multicast services from a unicast service. A unicast HTTP response MAY be decorated with an Alt-Svc value that hints to the client about the availability of the resource via a multicast QUIC session. A client that supports such a multicast QUIC session MAY then transparently switch to it.

Symmetrically, the "Alt-Svc" header can also be used to advertise the unicast service from a multicast service. A resource transmitted as part of a multicast QUIC session MAY be decorated with an Alt-Svc value that hints to the client about the availability of the resource via an alternative unicast HTTP server. A receiver MAY then use this HTTP server for unicast resource patching (Section 7.2).

Where HTTP over multicast QUIC sessions are advertised using Alt-Svc, the protocol identifier SHALL be "hqm", as specified in <u>Section 9</u>.

10.1. Source-specific Multicast Advertisement

Source-specific multicast (SSM) [$\underline{\mathsf{RFC4607}}$] MAY be used for the delivery of multicast services.

Authors' Note: We invite review comments on mandating the use of source-specific multicast only.

This document specifies the "source-address" parameter for Alt-Svc, which is used to provide the SSM source address to endpoints.

Syntax:

```
source-address = uri-host; see <a href="RFC7230">RFC7230</a>, <a href="Section 2.7">Section 2.7</a>
```

For example:

source-address="192.0.2.1"

When a multicast QUIC session is provided using SSM, the "source-address" parameter MUST be advertised.

10.2. Session Parameter Advertisement

The concept of session parameters is introduced in <u>Section 2.2</u>. This section details how the session parameters are expressed as Alt-Svc parameters.

10.2.1. Version

The version of QUIC supported in a multicast QUIC session is advertised with the "quic" parameter. The requirements for endpoint usage of "quic" are specified in <u>Section 3.1</u>.

10.2.2. Cipher Suite

This document specifies the "cipher-suite" parameter for Alt-Svc, which carries the cipher suite in use by a multicast QUIC session. "cipher-suite" MUST contain one of the values contained in the TLS Cipher Suite Registry (http://www.iana.org/assignments/tls-parameters.xhtml#tls-parameters-4):

Syntax:

```
cipher-suite = 4*4 HEXDIG
```

For example, the following specifies cipher suite 0x13,0x01 ("TLS_AES_128_GCM_SHA256"):

```
cipher-suite=1301
```

The requirements for endpoint usage of "cipher-suite" are described in <u>Section 3.2</u>.

10.2.3. Session Key

This document specifies the "key" parameter for Alt-Svc, which carries the cryptographic key in use by the multicast QUIC session.

Syntax:

key = *HEXDIG

For example:

key=4adf1eab9c2a37fd

The requirements for endpoint usage of "key" are described in Section 3.2.

10.2.4. Session Cipher Initialization Vector

This document specifies the "iv" parameter for Alt-Svc, which carries the cipher Initialization Vector (IV) in use by the multicast QUIC session.

Syntax:

iv = *HEXDIG

For example:

iv=4dbe593acb4d1577ad6ba7dc3189834e

The requirements for endpoint usage of "iv" are described in Section 3.2.

10.2.5. Session Identification

This document defines the "session-id" parameter for Alt-Svc, which carries the multicast QUIC session identifier.

Syntax:

session-id = 1*16HEXDIG ; 64-bit value

For example, the following specifies session 101 (0x65 hexadecimal):

session-id=65

The requirements for endpoint usage of "session-id" are described in Section 2.3.

10.2.6. Session Idle Timeout Period

This document specifies the "session-idle-timeout" parameter for Alt-Svc, which carries the idle timeout period of a multicast QUIC session.

Syntax:

session-idle-timeout = *DIGIT; number of seconds between 0 and 600

For example, the following specifies a one-minute session idle timeout period:

session-idle-timeout=60

The requirements for endpoint usage of "session-idle-timeout" are described in Section 3.4.

10.2.7. Resource Concurrency

This document specifies the "max-concurrent-resources" parameter for Alt-Svc, which expresses the maximum number of concurrent active resources from the sender in a multicast QUIC session.

Syntax:

max-concurrent-resources = *DIGIT; unsigned 32-bit integer

For example, the following specifies that no more than 12 (decimal) resources will be concurrently active in the session:

max-concurrent-resources=12

The requirements for endpoint usage of "max-concurrent-resources" are described in $\underline{\text{Section 3.6}}$.

10.2.8. Session Peak Flow Rate

This document specifies the "peak-flow-rate" parameter for Alt-Svc, which expresses the expected maximum aggregate transfer rate of data from all sources of the multicast QUIC session.

Syntax:

```
peak-flow-rate = *DIGIT ; bits per second
```

For example, the following specifies a peak flow rate of 550 kbits/s in the session:

```
peak-flow-rate=550000
```

The requirements for endpoint usage of "peak-flow-rate" are described in <u>Section 3.5</u>.

10.2.9. Digest Algorithm

This document specifies the "digest-algorithm" parameter for Alt-Svc, which carries the digest algorithm in use by a multicast QUIC session. "digest-algorithm" MUST contain one of the values defined in the HTTP Digest Algorithm Values registry

(http-dig-alg/http-

Syntax:

digest-algorithm = token

For example, the following specifies a digest algorithm of SHA-256:

digest-algorithm=SHA-256

The requirements for endpoint usage of "digest-algorithm" are described in Section 3.8.

10.2.10. Signature Algorithm

This document specifies the "signature-algorithm" parameter for Alt-Svc, which carries the signature algorithm in use by a multicast QUIC session. "signature-algorithm" MUST contain one of the values defined in the Signature Algorithms registry

(http://www.iana.org/assignments/signature-algorithms).

Syntax:

signature-algorithm = token

For example, the following specifies a signature algorithm of SHA-256:

signature-algorithm=rsa-sha256

The requirements for endpoint usage of "signature-algorithm" are described in Section 3.9.

11. Security and Privacy Considerations

This document specifies a profile of QUIC and HTTP/QUIC that changes the security model. In order to address this, application-level security methods are described in Section 6. This document does not preclude the use of secure multicast approaches that may provide additional security assurances required for certain use cases.

The use of side-channel or out-of-band technologies (potentially bidirectional interactions) to support multicast QUIC sessions are considered out of this document's scope. Services using such technologies should apply their security considerations accordingly.

<u>11.1</u>. Pervasive Monitoring

Certain multicast deployment architectures may require the use of a session decryption key shared by all participants. Furthermore, the discovery mechanism described in this document provides a means for a receiver to obtain a session decryption key without joining the session. The act of removing packet protection in order to inspect or modify application contents may, in certain deployments, be trivial. The exploration of restricting key learning or session joining to authorised participants goes beyond the scope of this document.

Because in-band multicast interactions are unidirectional, the impact of Pervasive Monitoring [RFC7258] on in-band traffic flows is inherently reduced. Actors can only inspect or modify senderinitiated traffic. Additional measures for content confidentiality may mitigate the impact further. This is discussed in Section 6.3.

Further Pervasive Monitoring concerns are addressed in the following sections.

11.1.1. Large-scale Data Gathering and Correlation

Multicast QUIC sessions decouple sending and receiving participants. Session participation is subject to operations that allow an endpoint to join or leave a multicast group, typically IGMP [RFC3376] or MLD [RFC3810]. The propagation intent of these messages travelling deeper through a network hierarchy generally leads to the anonymisation of data if implemented as specified. It may be possible to gather user-identifiable messages close to the network edge, for example a router logging such messages. However, this would require wide-ranging access across Internet Service Provider

networks. Therefore, while such attacks are feasible, it can be asserted that gathering and correlating user-identifiable traffic is difficult to perform covertly and at scale.

11.1.2. Changing Content

Sessions that use a symmetric key for packet protection are subject to the possibility of a malicious actor modifying traffic at some point in the network between a legitimate sender and one (or more) receivers. Receiver-side validation, as specified in Section 6 of the present document, and also in [QUIC-TRANSPORT], allows for the detection of such modification. Two approaches help mitigate the impact of modification; the first is application-level methods that protect data (Section 6.1) and metadata (Section 6.2); the second is reduction of the QUIC packet attack surface by means of removal of many frame types (Section 4.10 and Section 5.7).

11.2. Protection of Discovery Mechanism

Multicast QUIC session advertisements SHOULD be conveyed over a secure transport that guarantees authenticity and integrity in order to mitigate attacks related to a malicious service advertisement, for example a "man in the middle" directing endpoints to a service that may lead to other attacks or exploitations.

Authors' Note: We invite review comments on mandating the use of a secure transport for advertising sessions.

Endpoints that make use of multicast QUIC session advertisements SHOULD have reasonable assurance that the alternative service is under control of, and valid for, the whole origin, as described in Section 2.1 of [RFC7838]. Section 6.2 discusses measures that may be used to fulfil this requirement.

11.3. Spoofing

11.3.1. Spoofed Ack Attacks

The Spoofed "ACK" attack described in Section 13.1 of $[{\tt QUIC-TRANSPORT}]$ is out of scope because use of the QUIC "ACK" frame is prohibited (Section 4.9) by the present document.

11.3.2. Sender Spoofing

A malicious actor may be able to stage a spoof attack by sending fake QUIC packets to a multicast QUIC session. This could affect the operation or behaviour of receivers. In a multicast scenario, this form of attack has the potential to scale massively.

The feasibility of spoofing a multicast sender is governed by the characteristics of the multicast deployment and network infrastructure. The use of source-specific multicast [RFC4607] may reduce the feasibility. The use of content authenticity (Section 6.2) may mitigate concerns for the application-level messages. However, there remains the possibility for transport-level messages to be spoofed. Multicast applications should consider further mitigations to address this concern.

11.3.3. Receiver Spoofing

Client source address concerns discussed in Section 7.5 of [QUIC-TRANSPORT] are out of scope because the connection establishment is replaced with session establishment in the present document. Further, the impact that spoofed receivers would have on the sender is minimal. The impact of malicious participants on the network is discussed in Section 11.6.2.

11.4. Replay Attacks

Conventional QUIC strategies for protecting against replay attacks apply similarly here.

Certain multicast QUIC sessions may use a shared key for transport-level encryption, which would allow an attacker to record, decrypt, repackage and replay QUIC packets. Section 6.2 discusses how the application-level contents may be protected from replay (by signing the "Date" HTTP header), which provides some mitigation to the success rate or effects of replay attacks.

11.5. Message Deletion

Since HTTP over multicast QUIC is designed to tolerate unreliable delivery, the impacts of message deletion attacks are presumed to be small. Deletion of packets carrying HTTP headers will cause a receiver to ignore subsequent packets carrying body data. Furthermore, the use of multicast QUIC sessions is opportunistic; disruption in service (for example, deleting packets and causing a receiver to fail in construction of a content object) is mitigated by falling back to a unicast service. Considerations for how this may affect the performance of the unicast service are given in Section 11.6.3.

11.6. Denial of Service

11.6.1. Unprotected Frames and Packets

The handling of unprotected QUIC packets is discussed in <u>section 9.1.4</u> of [QUIC-TLS]. The profile described in the present document provides the means for a multicast sender to protect QUIC packets with a shared key, which is not a strong protection. The weak protection of QUIC packets could present a denial-of-service risk. To mitigate the impact of handling such QUIC packets, certain frames and packets are prohibited as described in (<u>Section 4.10</u> and <u>Section 5.7</u>).

The frame types that are allowed by this profile do not present a risk of denial of service. Concerns over authenticity and integrity are addressed by the application-layer protection mechanisms described in Section 6.

11.6.2. Network Performance Degradation

The possibility for malfunctioning or malicious participants to degrade the network is a broad issue and considered out of scope for this document. Guidelines and concerns discussed in UDP Best Practices [RFC8085] and other sources apply equally here. This specification does not preclude the use of network performance degradation mitigation solutions such as network circuit breakers.

11.6.3. Unicast Repair Stampeding Herd

Deployments that support the unicast repair mechanism described in Section 7.2 should be aware that a triggering of this behaviour (either deliberate, planned or unplanned) in a large population of multicast receivers may cause a stampeding herd of client requests to the unicast repair service. Service operators SHOULD mitigate the impact of stampeding herd on their deployment.

11.7. Receiver Resource Usage

The application of receiver-side validation, as defined in the present document and in [QUIC-TRANSPORT], adds some protection against allocating resource to the processing of bad data.

11.8. Unicast Repair Information Leakage

The unicast repair mechanism may lead to the leakage of user behaviour data. An attacker could gain insight into any receiver participating in a multicast QUIC session, for example by monitoring the TCP port of the unicast alternative. This alone is no worse than current abilities to monitor unicast interactions, for example observing the SNI field contained in a TLS ClientHello. The complete

protection of unicast interactions is beyond the scope of this document. However, knowledge that a user (or group of users) has participated in a session is sensitive and may be obtained by correlation between with observable multicast and unicast traffic.

To give an example, a malicious "man in the middle" could purposely cause all receivers to perform a unicast repair (by disrupting the QUIC traffic flow in some way). The disruption is untargeted and may be simple to orchestrate, but the correlation of user activity data, especially across a distributed repair service (e.g. a CDN), requires resources that may reduce the attractiveness of such an attack.

The ability for an attacker to disrupt multicast QUIC sessions is mitigated by this profile (mainly the prohibition of frames and packets). Application-layer security measures described in Section 6 reduce the feasibility further.

Multicast receivers concerned about this form of leakage can eliminate this risk completely by disabling support for unicast repair, at the potential cost of reduced service quality.

12. IANA Considerations

12.1. Registration of Protocol Identification String

This document creates a new registration for the identification of the HTTP over multicast QUIC protocol in the "Application-Layer Protocol Negotiation (ALPN) Protocol IDs" registry established by [RFC7301].

The "hqm" string identifies HTTP semantics expressed as HTTP mapped to a QUIC layer and carried over IP multicast:

Protocol: Bulk data transport using HTTP over multicast QUIC

Identification Sequence: 0x68 0x71 0x6D ("hqm")

Specification: This document, <u>Section 9</u>

This entry reserves an identifier that is not allowed to appear in TLS Application-Layer Protocol Negotiation.

12.2. Registration of Alt-Svc parameters

This document creates seven registrations for the identification of parameters for the "Hypertext Transfer Protocol (HTTP) Alt-Svc Parameter Registry" established by [RFC7838]

(<a href="http://www.iana.org/assignments/tls-extensiontype-values/tls-extension-values/tls-exten extensiontype-values.xhtml#alpn-protocol-ids).

12.2.1. Source Address

Parameter name: source-address

Specification: This document, <u>Section 10.1</u>

12.2.2. Cipher Suite

Parameter name: cipher-suite

Specification: This document, <u>Section 10.2.2</u>

12.2.3. Key

Parameter name: key

Specification: This document, <u>Section 10.2.3</u>

12.2.4. Initialization Vector

Parameter name: iv

Specification: This document, <u>Section 10.2.4</u>

12.2.5. Session Identifier

Parameter name: session-id

Specification: This document, <u>Section 10.2.5</u>

12.2.6. Session Idle Timeout

Parameter name: session-idle-timeout

Specification: This document, <u>Section 10.2.6</u>

12.2.7. Maximum Concurrent Resources

Parameter name: max-concurrent-resources

Specification: This document, <u>Section 10.2.7</u>

12.2.8. Peak Flow Rate

Parameter name: peak-flow-rate

Specification: This document, <u>Section 10.2.8</u>

12.2.9. Digest Algorithm

Parameter name: digest-algorithm

Specification: This document, <u>Section 10.2.9</u>

12.2.10. Signature Algorithm

Parameter name: signature-algorithm

Specification: This document, <u>Section 10.2.10</u>

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Appendix B. Examples

This appendix contains examples of multicast QUIC session advertisement and resource transfer (with and without application-layer content security).

B.1. Session Advertisement

This section shows several different examples of an HTTP service advertising a multicast QUIC session. Examples are given in IPv4 form, using reserved address ranges as specified in [RFC5737] and [RFC6676].

B.1.1. Source-specific Multicast QUIC Session

Advertisement of a multicast QUIC session operating on the source-specific multicast group address 232.0.0.1 on port 2000 with the source address 192.0.2.1. The session ID is 16 (0x10) and the idle timeout is one minute. At most 10 resources may be concurrently active in the session and the flow rate should not exceed 10 kbits/s. The multicast transport is unencrypted.

HTTP Alternative Service header field:

```
Alt-Svc:
```

```
hqm="232.0.0.1:2000"; source-address="192.0.2.1"; quic=1;
session-id=10; session-idle-timeout=60;
max-concurrent-resources=10; peak-flow-rate=10000
```

B.1.2. Source-specific Multicast QUIC Session with Transport Encryption using a Symmetric Key

Advertisement of a multicast QUIC session operating on the IPv6 globally-scoped source-specific multicast group address ff3e::1234 on port 2000 with the source address 2001:db8::1. The session ID is 16 (0x10) and the idle timeout is one minute. At most 10 resources may be concurrently active in the session and the flow rate should not exceed 10 kbits/s. The multicast transport is encrypted using the AEAD cipher suite 0x13,0x01 ("TLS_AES_128_GCM_SHA256") with the shared session key and IV provided.

HTTP Alternative Service header field:

Alt-Svc:

```
hqm="[ff3e::1234]:2000"; source-address="2001:db8::1"; quic=1;
session-id=10; session-idle-timeout=60;
max-concurrent-resources=10; peak-flow-rate=10000;
cipher-suite=1301; key=4adf1eab9c2a37fd;
iv=4dbe593acb4d1577ad6ba7dc3189834e
```

<u>B.1.3</u>. Source-specific Multicast QUIC Session with Transport Encryption, Content Integrity and Authenticity

Advertisement of a multicast QUIC session operating on the IPv6 globally-scoped source-specific multicast group address ff3e::1234 on port 2000 with the source address 2001:db8::1. The session ID is 16 (0x10) and the idle timeout is one minute. At most 10 resources may be concurrently active in the session and the flow rate should not exceed 10 kbits/s. The multicast transport is encrypted using the AEAD cipher suite 0x13,0x01 ("TLS_AES_128_GCM_SHA256") with the shared session key and IV provided. Content integrity is in use with the digest algorithm set restricted to SHA-256. Content authenticity is in use with the signature algorithm set restricted to rsa-sha256.

HTTP Alternative Service header field:

Alt-Svc:

```
hqm="[ff3e::1234]:2000"; source-address="2001:db8::1"; quic=1;
session-id=10; session-idle-timeout=60;
max-concurrent-resources=10; peak-flow-rate=10000;
cipher-suite=1301; key=4adf1eab9c2a37fd;
iv=4dbe593acb4d1577ad6ba7dc3189834e
digest-algorithm=SHA-256; signature-algorithm=rsa-sha256
```

B.2. Resource Transfer

This section shows several different examples of the HTTP message patterns for a single resource.

Examples that show HTTP/QUIC "PUSH_PROMISE" or "HEADERS" frames describe the contents of enclosed header block fragments.

B.2.1. Transfer without Content Integrity or Authenticity

:method: GET
:scheme: https
:path: /files/example.txt
:authority: example.org

HTTP/QUIC "HEADERS" frame;

:status: 200
content-length: 100
content-type: text/plain

HTTP/QUIC "PUSH_PROMISE" frame:

date: Fri, 20 Jan 2017 10:00:00 GMT

HTTP/QUIC "DATA" frame containing 100 bytes of response body data:

. . .

B.2.2. Transfer of Partial Content without Content Integrity or Authenticity

In this example, partial content is transferred as described in <u>Section 8</u>. The "Range" request header is used to indicate the sender's original intention to transfer all 100 bytes of the representation. The "Content-Range" response header indicates that only the first 50 bytes were actually sent.

HTTP/QUIC "PUSH_PROMISE" frame:

:method: GET
:scheme: https

:path: /files/example.txt
:authority: example.org

range: bytes=0-*

HTTP/QUIC "HEADERS" frame:

:status: 206

content-length: 100

content-range: bytes 0-49/100

content-type: text/plain

date: Fri, 20 Jan 2017 10:00:00 GMT

HTTP/QUIC "DATA" frame containing 50 bytes of response body data:

. . .

B.2.3. Transfer with Content Integrity and without Authenticity

In this example, content integrity is assured by the inclusion of the "Digest" response header, as described in Section 6.1.

HTTP/QUIC "PUSH_PROMISE" frame:

:method: GET
:scheme: https

:path: /files/example.txt
:authority: example.org

HTTP/QUIC "HEADERS" frame including the "Digest" header:

:status: 200

content-length: 100
content-type: text/plain

date: Fri, 20 Jan 2017 10:00:00 GMT

digest: SHA-256=DieQ9zfRaDdyAilTVCvmBePuxMm+B6cNocP+QCrNSqo=

HTTP/QUIC "DATA" frame containing 100 bytes of response body data:

. . .

B.2.4. Partial Transfer with Content Integrity and without Authenticity

In this example, partial content is transferred as described in Section 8. The "Range" request header is used to indicate the sender's intention to transfer all 100 bytes of the representation. The "Content-Range" response header indicates that only the first 50 bytes were actually sent. Content integrity is assured by the inclusion of the "Digest" response header, as described in Section 6.1.

HTTP/QUIC "PUSH_PROMISE" frame:

:method: GET
:scheme: https

:path: /files/example.txt
:authority: example.org

range: bytes=0-*

HTTP/QUIC "HEADERS" frame including the "Digest" header:

:status: 206

content-length: 100

content-range: bytes 0-49/100

content-type: text/plain

date: Fri, 20 Jan 2017 10:00:00 GMT

digest: SHA-256=DieQ9zfRaDdyAilTVCvmBePuxMm+B6cNocP+QCrNSqo=

HTTP/QUIC "DATA" frame containing 50 bytes of response body data:

. . .

B.2.5. Transfer with Content Integrity and Authenticity

In this example, content integrity is assured by the inclusion of the "Digest" response header, as described in <u>Section 6.1</u>. Content authenticity is assured separately for the request and the response messages by the "Signature" header which protects the header fields described in further detail below. The "Signature" header parameter

"keyId" contains the URL of a file containing the public key related to the multicast sender's private key used to create the digital signature.

HTTP/QUIC "PUSH_PROMISE" frame including a "Signature" header protecting the ":method" and ":path" (the request target), as well as the ":scheme" and ":authority" of the pseudo-request:

:method: GET
:scheme: https

:path: /files/example.txt
:authority: example.org

signature: keyId="https://example.org/mcast-sender.example.org.pem",
 algorithm=rsa-sha256,

headers="(request-target) :scheme :authority",

signature="MGQCMFTaFptaM2FhgzJq2i9AaChuFDHjp6GiXVtRnI8BsA"

HTTP/QUIC "HEADERS" frame including a "Signature" header protecting the ":method", ":path", ":scheme" and ":authority" of the pseudorequest above, plus the "Date" and "Digest" of the response:

:status: 200

content-length: 100
content-type: text/plain

date: Fri, 20 Jan 2017 10:00:00 GMT

digest: SHA-256=DieQ9zfRaDdyAilTVCvmBePuxMm+B6cNocP+QCrNSqo=

signature: keyId="https://example.org/mcast-sender.example.org.pem",

algorithm=rsa-sha256,

headers="(request-target) :scheme :authority date digest", signature="MGUCMBgx6cuwTzg0W29zNUra8xfMsEcb3rFA3Y"

HTTP/QUIC "DATA" frame containing response body data:

. . .

B.2.6. Partial Transfer with Content Integrity and Authenticity

In this example, partial content is transferred and the "Range" header (as described in <u>Section 8</u>) is used to indicate that 50 bytes out of 100 bytes were transferred. Content integrity is provided by the inclusion of the "Digest" header, as described in <u>Section 6.1</u>. Authenticity is provided by the "Signature" header which protects the header fields described in further detail. The "Signature" header parameter "keyId" contains the URL of a file containing the public key related to the multicast sender's private key used to create the digital signature.

HTTP/QUIC "PUSH_PROMISE" frame:

```
:method: GET
:scheme: https
:path: /files/example.txt
:authority: example.org
range: bytes=0-*
signature: keyId="https://example.org/mcast-sender.example.org.pem",
    algorithm=rsa-sha256,
    headers="(request-target) :scheme :authority range",
    signature="MGQCMFTaFptaM2FhqzJq2i9AaChuFDHjp6GiXVtRnI8BsA"
HTTP/QUIC "HEADERS" frame protecting the ":method", ":path",
":scheme" and ":authority" of the pseudo-request above, plus the
"Date", "Digest" and "Content-Range" of the response:
:status: 206
content-length: 100
content-range: bytes 0-49/100
content-type: text/plain
date: Fri, 20 Jan 2017 10:00:00 GMT
digest: SHA-256=DieQ9zfRaDdyAilTVCvmBePuxMm+B6cNocP+QCrNSqo=
signature: keyId="https://example.org/mcast-sender.example.org.pem",
    algorithm=rsa-sha256,
    headers="(request-target) :scheme :authority
        date digest content-range",
    signature="MGUCMBgx6cuwTzg0W29zNUra8xfMsEcb3rFA3Y"
HTTP/QUIC "DATA" frame containing response body data:
```

Appendix C. Summary of differences from unicast QUIC and HTTP/QUIC

+	+	++
Protocol feature	Unicast QUIC +	Multicast HTTP/QUIC profile
Stream ID 0 	Combined cryptography and transport handshake stream conveying TLS handshake messages in QUIC "STREAM" frames.	Reserved Stream ID not used.
TLS cipher suite 	Client's preferred cipher suite included in Client Hello message.	Cipher suite optionally advertised out of band via Alt- Svc "cipher-suite" parameter. Default cipher suite is

 TLS session key 	Session key included in Server Hello message.	"0x00,0x00 (NULL_WITH_ NULL_NULL)". Session key optionally advertised out of band via Alt-Svc "key" parameter.
TLS initialization vector	Initialization vector included in Server Hello message.	Initialization vector optionally advertised out of band via Alt- Svc "iv" parameter.
 Required QUIC TransportParam eter for idle timeout	"idle_timeout"	Advertised out of band via Alt-Svc "session- idle-timeout" parameter.
Required QUIC TransportParam eter for connection flow control	"initial_max_data"	Not Used. Peak flow rate of a session optionally advertised out of band via Alt- Svc "peak-flow-rate" parameter.
Required QUIC TransportParam eter for stream flow control	"initial_max_stream_ data"	Not used. Peak flow rate of a session optionally advertised out of band via Alt- Svc "peak-flow-rate" parameter.
Required QUIC TransportParam eter for stream concurrency	"initial_max_stream_id_ bidi" and "initial_max_ stream_id_uni"	Not used. Maximum concurrent resources in a session optionally advertised out of band via Alt- Svc "max-concurrent- resources" parameter.

Table 1: Cryptography and Transport Parameters

rmined by MTU overy or istic. ocol ion	Multicast HTTP/QUIC profile
MTU overy or r istic. ocol ion tiation	other heuristic.
ion tiation	
een iating nt and er.	possible in multicast context.) "VERSION" flag in QUIC packet header must never be set. Protocol version advertised out of band via Alt-Svc "quic" parameter.
ection t. 	Not permitted. (Potential denial-of- service attack vector.) "PUBLIC_RESET" flag in QUIC packet header must never be set.
to convey frames below).	Used to convey QUIC frames (see below). Only the short header form is permitted.
omly gned by iating nt and/or er. erent ection IDs not be iplexed on same	several multicast groups. Different
	gned by iating nt and/or er. erent ection IDs not be iplexed on

Table 2: QUIC Packet Layer

+	+	+	- +
Protocol feature	Unicast QUIC	Multicast HTTP/QUIC	
	1	profile	
+			+

"ACK" frame 	Used by a receiver to acknowledge receipt of data from its peer.	Prohibited.
"APPLICATION_CLOSE" frame 	Notification (by either endpoint) of immediate connection closure.	Prohibited.
"BLOCKED" frame 	Notification to receiver that sender's transmission is blocked pending an update to its flow control window by peer.	Prohibited.
"CONNECTION_CLOSE" frame 	Notification (by either endpoint) of immediate connection closure.	Prohibited. Use HTTP explicit session tear-down instead (see HTTP/QUIC mapping below).
"MAX_DATA" frame	Flow control update notification.	Prohibited.
"MAX_STREAM_DATA" frame	Flow control update notification.	Prohibited. Prohibited.
"MAX_STREAM_ID" frame 	Used by an endpoint to inform a peer of the maximum stream ID that it is permitted to open.	Prohibited.
"PADDING" frame 	Used to pad out a QUIC packet with zero bytes. (The first packet sent on a connection must be at least 1200 bytes long to prove that the network can transmit packets of at least this size.)	Permitted, but Permitted, but wasteful of network capacity.
 "PING" frame 	Used by an endpoint to verify that its peer is still alive. Acknowledged using	Used by the Waticast sender as a session keep- alive notification.

	"PING" or "PONG" 	"PING" with data is prohibited. Never acknowledged.
"PONG" frame 	Sent in response to a PING frame that contains data.	Prohibited.
"RST_STREAM" frame 	Request by receiver for sender to terminate a stream transmission prematurely.	Indication to receivers that the multicast sender has prematurely terminated a stream transmission. It is prohibited for receivers to send.
"STREAM" frame 	Conveys application- layer payloads (see HTTP/QUIC mapping below).	Conveys application-layer payloads (see HTTP/QUIC mapping below).
"FIN" bit on "STREAM" frame 	Indication by sender to its peer that a stream transmission has finished.	Indication by the multicast sender that a stream transmission has finished.
"STREAM_BLOCKED" frame 	Notification to receiver that sender's transmission is blocked pending an update to its flow control window by peer.	Prohibited.
"STREAM_ID_BLOCKED" frame 	Used when a sender Used when a sender wishes to open a stream but is unable to do so because of the maximum stream ID.	 Prohibited.

Table 3: QUIC Framing Layer

+	+	+	-+
Protocol	Unicast	Multicast HTTP/QUIC profile	-
feature	HTTP/QUIC	I	

+	+	++
"ALTSVC" frame 	Signalling alternative service for HTTP/QUIC session.	Prohibited.
"CANCEL_PUSH" frame 	Used to request cancellation of server push prior to the push stream being created.	Permitted only for senders.
"DATA" frame 	Carriage of HTTP request/response message body.	Carriage of HTTP response message body.
"GOAWAY" frame 	Early notification (by either endpoint) of future connection closure, allowing for orderly completion of open streams.	Prohibited. Use HTTP explicit session tear-down instead.
"HEADERS" frame 	Carriage of HTTP request/response message metadata. Trailing HEADERS frame is permitted.	Carriage of HTTP response message metadata. Trailing HEADERS frame is permitted.
"MAX_PUSH_ID" frame 	Used by a receiver to control the number of server pushes that a sender can initiate.	Prohibited.
 "PRIORITY" frame 	 Dynamic adjustment of stream priority.	Prohibited.

"PUSH_PROMISE" frame	Carriage of HTTP pseudo-request message metadata.	Carriage of HTTP pseudo- request message metadata. All HTTP representation transfers over multicast begin this way. Stream ID of the first client-initiated bidirectional stream is reserved and all PUSH_PROMISE frames reference this as the client request from which they are notionally derived. This stream remains open while a sender is participating in the multicast QUIC session.
 "SETTINGS" frame	Negotiation of HTTP/QUIC connection settings.	Prohibited.
 HTTP/QUIC extension frames	 	Prohibited.

Table 4: HTTP/QUIC Mapping

++		++
Protocol feature 	Unicast HTTP/QUIC	Multicast HTTP/QUIC profile
string	Header blocks may use Huffman codes to compress literal string values.	Header blocks may use Huffman codes to compress literal string values.
Static table	Header blocks may refer to indexed entries in the static table.	Header blocks may refer to indexed entries in the static table.
Dynamic table	Header blocks may insert entries into the dynamic table and subsequent header blocks may refer to their indexes. Unused as size is currently locked to 0.	Prohibited, size is currently locked to 0.

Table 5: HTTP Metadata Compression Layer

Appendix D. Changelog

RFC Editor's Note: Please remove this section prior to publication of a final version of this document.

<u>D.1</u>. Since <u>draft-pardue-quic-http-mcast-02</u>

o No changes.

D.2. Since draft-pardue-quic-http-mcast-01

- o Explicit guidance on maximum stream ID value permitted.
- o Updated guidance on PING (and PONG) frame.
- o Added a comparison table to appendix.
- o Remove invalid use of trailing headers.
- o Use the new HTTP/QUIC DATA frame.

- o Prohibit APPLICATION CLOSE frame, and move GOAWAY frame to HTTP/ QUIC section.
- o Redefine server push to reflect core document changes.
- o Remove default idle time out value.
- o Clarify session parameter requirements (session-idle-timeout became mandatory).
- o Update frame notation convention.

D.3. Since draft-pardue-quic-http-mcast-00

- o Update references to QUIC I-Ds.
- o Relax session leaving requirements language.
- o Clarify handling of omitted session parameter advertisements.
- o Rename "Idle" state to "Quiescent".
- o Add digest algorithm session parameter.
- o Add signature algorithm session parameter.
- o Add Initialization Vector session parameter.
- o Replace COPT tag-value-pairs with TransportParameter values.
- o Add example of an advertisement for a session with content authenticity and integrity.

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