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Tunneling Header Compression (TuCP) for Tunneling over IP
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Abstract

The IP tunneling mechanisms have important applications in network solutions and are widely used in numerous contexts such as security (VPN), IPv4 to IPv6 transition, and mobility support (MobileIP and NEMO). However, these tunneling mechanisms induce a large overhead resulting from adding several protocol headers in each packet. This overhead deteriorates performance on wireless links which are scarce in resources.

Header compression methods are often used on connection oriented communication (e.g., UMTS networks) to reduce the overhead on the wireless part. These header compression methods can be used on tunnel headers to reduce the protocol header overheads, independent of the payload type. Although, several header compression methods exist, the header compression profiles defined by them are not adapted to the characteristics of IP tunneling. This document specifies a tunneling header compression protocol for IP tunneling mechanisms.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [BCP].

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1. Introduction

Today's Internet uses wide variety of IP tunnels over wired links, which are rich in bandwidth as well as over wireless links which are low in bandwidth. Moreover, resources vary in mobile networks due to radio conditions. IP tunneling is widely used in several contexts. IP tunneling has been used for many years by ISPs to offer VPNs with private addresses. IP-in-IP tunneling, the simplest IP tunneling method, is used in IP mobility protocol to provide IP mobile node with mobility support and security in conjunction with IPSec. Some tunneling methods are used in order to build an overlay network for transition purposes, i.e., to pass through an IPv4 cloud to reach the IPv6 Internet. One such example is using [L2TP] for providing IPv6 over IPv4 only access [I-D.softwire-hs]. As we connect to an ISP and since we often have to traverse a NAT, these methods tend to use a transport protocol such as [TCP] or [UDP], for example with L2TP. The latter allows to extend a [PPP] connection through the Internet to the Network Access Server of the ISP.

These tunneling mechanisms induce a large overhead resulting from adding several protocol headers in each packet, for instance at least IP/UDP/L2TP/PPP headers in the above example. Moreover, this header overhead can be high on wireless links which have scarce resources. IP tunneling involves encapsulating a packet within another packet, both of which support the same or different protocols. This requires adding a new stack of headers to the tunneled packet, hence increasing the size of the headers. Since tunnels may be set up to pass through links with low bandwidth and scarce resources such as wireless links. In that case the increase in header size will consume more bandwidth and waste the resources especially when headers may contain some redundancy in their fields.

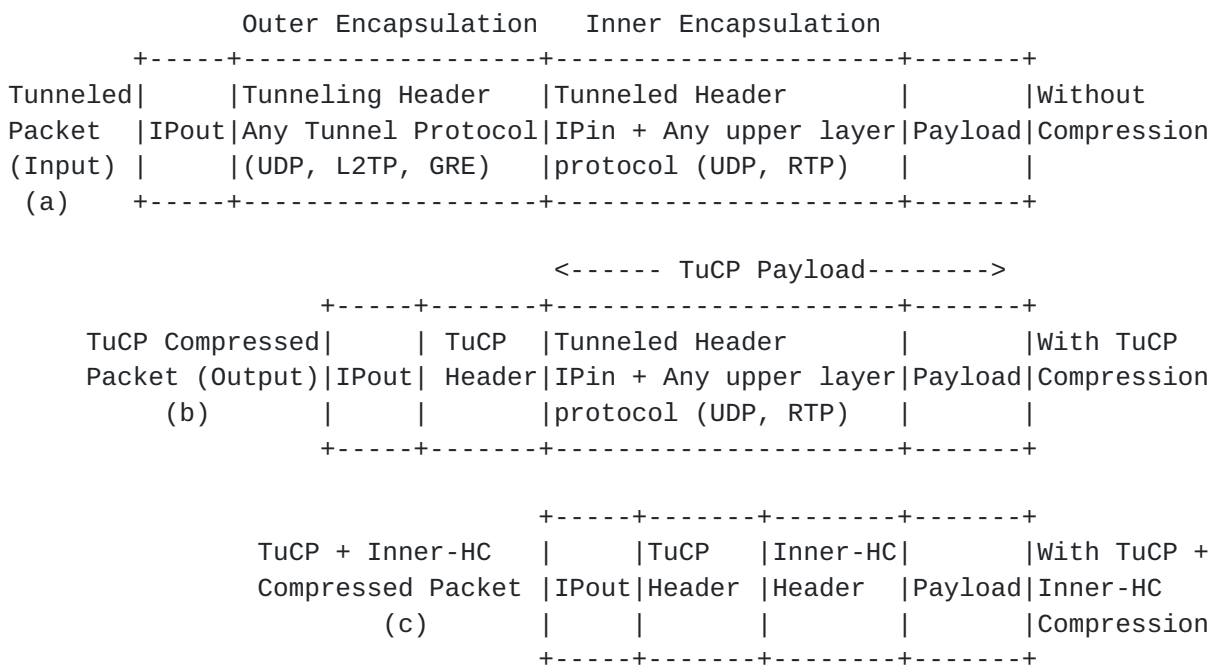
In order to reduce the tunneling header overhead and save the link resources, header compression mechanisms may be used independently of the payload type. Header compression mechanisms can reduce the size of a header by removing redundancies from the header fields. However, much of the existing work in header compression [IPHC], [ROHC], [ECRTP], [CTCP] centers around the compression of inner headers (for example, IP, UDP and [RTP]) of the IP packet passing through the tunnel and does not deal with the compression of the outer headers used by tunneling mechanisms (for example, L2TP and [GRE]).

This document presents a tunneling header compression protocol, TuCP (Tunneling Compression Protocol), that can be used over IP tunneling mechanisms. It can compress headers of various tunneling protocols such as UDP, L2TP, GRE etc. In addition, TuCP provides a solution for the packet reordering or out-of-sequence problem (in tunneling)

and thus it extends the usage of existing header compression mechanisms such as ROHC from point to point links to the IP tunnels passing over the Internet.

2. Motivations

IP tunneling consists of inner and outer encapsulations as shown in Figure 1. The tunneled protocol gives the inner encapsulation and the tunneling protocol is the outer encapsulation. In mobile networks ([[MobileIP](#)] and [[NEMO](#)]), the overhead due to inner IP encapsulation can be reduced using an existing header compression mechanism. However, the tunnel itself has overhead due to its IP header (IPout) and the tunneling header. The legacy header compression mechanisms do not define a profile to compress tunneling headers. Furthermore, in order to use them for outer encapsulation, it will be required to modify them to take into account tunneling.



Inner-HC = ROHC, CTCP, ECRTCP, IPHC, VJCOMP (see [section 7](#))

Figure 1: Inner and Outer Encapsulations in IP Tunneling

Often, the protocol stack is much complex, for example, in the case of IP tunneling it can use L2TP protocol and thus it will include UDP/L2TP/PPP headers (stack). Hence, the global stack will be IPout/

UDP/L2TP/PPP/IPin/UDP/RTP, where IPout is the outer IP header and IPin implies the inner IP header. The only header which is necessary to reach the tunnel endpoint is the IPout header, therefore we can compress all other headers present in the packet.

The existing header compression mechanisms only compress the inner IP encapsulation such as IPin/UDP/RTP. Therefore, there is a lack of a method to compress the outer encapsulation, which is UDP/L2TP/PPP encapsulation in the above example. It should be noted that the outermost IP header of the tunnel SHOULD NOT be compressed as it is required by the intermediate routers to route the packet to the tunnel endpoint.

Moreover, present header compression mechanisms do not deal with the case of nested tunnels even if supplementary headers used for inner tunnels are useless for the outermost tunnel packet routing purpose. Furthermore, most of these compression algorithms have been defined to work in a point to point link where reordering of packets does not take place. Tunneling over IP does not guarantee the ordered arrival of packets to the tunnel endpoint; hence these mechanisms are not very effective in the case of tunneling.

To address these issues, this document introduces a header compression mechanism for IP tunneling; TuCP (Tunneling Compression Protocol). TuCP is defined to compress the outer encapsulation when tunneling is used (see Figure 1 (b)). It compresses the tunneling header overhead into 3-5 bytes. TuCP is extensible to general tunneling headers compression. In addition, TuCP provides a solution for the packet reordering problem so that legacy compression mechanisms, such as ROHC can also be used to compress the inner encapsulation as shown in Figure 1 (c). TuCP is much simpler than ROHC since tunneling headers are mostly static and do not change from one packet to another.

3. Overview of Header Compression with TuCP

Header compression can be applied on tunneling headers because there is significant redundancy between header fields between consecutive packets belonging to the same packet flow. TuCP removes the redundant header information by classifying the tunneling header fields into static and dynamic fields depending on their changing characteristics. TuCP installs a compressor and decompressor entity at each tunnel endpoint. The TuCP compressor first sends both the static and dynamic fields to establish the complete tunneling header information (static and dynamic information) at the TuCP decompressor. After that, the compressor sends only the dynamic fields to the decompressor and the static fields are not sent.

This reduces the overhead due to redundant header information. For example, an IP/UDP/L2TP/PPP packet consists of a 20 bytes IPv4 header, an 8 bytes UDP header, a 6 bytes L2TP header (maximum L2TP header is 16 bytes), and a 4 bytes PPP header. Thus, the total header transmitted over wireless link has a minimum length of 38 bytes, which will further increase to 58 bytes in presence of IPv6 (40 bytes). But most of the fields are static and do not change between two successive packets belonging to the same tunnel flow (see [section 4](#)). Thus, it is not REQUIRED to send static information to avoid needless burden, especially on wireless links.

4. Classification of Tunneling Header Fields

This section gives a general classification of UDP, L2TP, PPP and GRE tunneling header fields as shown in Figures 2, 3, 4 and 5, respectively.

TuCP classifies the header fields into the following three classes:

INFERRED (NOT SENT): These fields contain values that can be inferred from other values, and thus they are easily compressed by the compression scheme. The values in these fields are not sent as they can be inferred.

STATIC: These fields contain values that remain constant throughout the lifetime of the flow. Static information is communicated only once. In this document, the terminology "flow" refers to a set of packets having the same values in their STATIC fields.

DYNAMIC: These fields vary randomly or in a predictable pattern within a limited range.

Header Field	Classification	Size (bits)
Source Port	STATIC	16
Destination Port	STATIC	16
Datagram Length	INFERRED	16
Checksum	DYNAMIC	16

Figure 2: UDP Header Fields

The header size of UDP is 8 bytes when UDP checksum is enabled. In IPv6, UDP checksum must be enabled.

Header Field	Classification	Size (bits)
T flag	STATIC	1
L flag	STATIC	1
S flag	STATIC	1
O flag	STATIC	1
P flag	STATIC	1
Reserved	STATIC	7
Version	STATIC	4
Length (opt)	INFERRED	16
Tunnel ID (opt)	STATIC	16
Session ID (opt)	STATIC	16
Ns (opt)	DYNAMIC	16
Nr (opt)	STATIC	16
Offset Size (opt)	DYNAMIC	16
Offset Pad (opt)	INFERRED	16

Figure 3: L2TP Header Fields

The L2TP header is of 6-16 bytes. Minimum header size of L2TP is 6 bytes.

Header Field	Classification	Size (bits)
Address	STATIC	8
Control	STATIC	8
Protocol	STATIC	16

Figure 4: PPP Header Fields

NOTE: There are additional headers in PPP like Flag, Information, Padding, FCS which are not considered here. This draft considers the minimum PPP header (4 bytes) used in the IP/UDP/L2TP/PPP encapsulation.

Header Field	Classification	Size (bits)
C flag	STATIC	1
Blank	STATIC	1
K flag	STATIC	1
S flag	STATIC	1
Reserved0	STATIC	9
Version	STATIC	3
Protocol Type	STATIC	16
Checksum (opt)	DYNAMIC	16
Reserved1 (opt)	STATIC	16
Key (opt)	STATIC	32
Sequence Number (opt)	DYNAMIC	32

Figure 5: GRE Header Fields

The GRE header is of 4-16 bytes. Minimum header size of GRE is 4 bytes.

The above figures show that most of the header fields in the tunneling headers can be compressed as they do not vary. However a small number of fields, (e.g., Checksum, Sequence Number) vary and some of them, for example, Sequence Number vary in a predictable manner. Hence, by sending only static fields' information initially and utilizing dependencies and predictability for dynamic fields, header size can be significantly reduced for most packets.

As the static fields are constant values, (for example, source and destination addresses, ports), for a (tunnel) flow it would be enough to send these fields initially to the destination. Once these static fields are received at the destination, there would be no need to send them again in every packet. As long as their values are stored at the endpoints of the tunnel, they can be used again for each packet belonging to the same tunnel.

On the contrary, dynamic fields (for example, sequence numbers, checksum) of the same (tunnel) flow will show variations in their values from one packet to another. These changes may follow a pattern. Header fields whose values are always incrementing, such as counters, can be predicted at the destination by keeping a reference value. Whereas, dynamic fields with values that show random changes and do not follow any set pattern, will have to be sent as they are for each packet.

There are many optional fields (opt) in some of the tunneling

The following figure shows a NEMO network scenario, where TuCP compression and decompression are applied at the tunnel endpoints, MR (Mobile Router) and HA (Home Agent).

after the tunneling header has been added and before the packet is sent into the tunnel, i.e., before the routing decision is taken. At the decompressor side of the tunnel, TuCP decompression is applied once the TuCP packet is received and before it is passed to the decapsulation entity of the tunnel.

5.1. TuCP Profiles

A header compression profile specifies how to compress the headers of a certain type of packet. TuCP defines various profiles called TuCP profiles for compression of different tunneling headers.

TuCP defines five profiles; profile 0, 1, 2, 3 and 4 as shown below in Figure 7. Further compression profiles MAY be defined in TuCP as it is extensible to general tunneling headers compression. We can use the TuCP profiles together with any header compression mechanism to reduce the protocol header size.

Profiles	Tunnel Headers
Profile 0	No tunneling header
Profile 1	UDP
Profile 2	UDP/L2TP/PPP
Profile 3	L2TP/PPP
Profile 4	GRE

Figure 7: TuCP Profiles

Profile 0: This profile is defined for sending uncompressed mobile IP (IP/IP) packets. This is the most basic profile which is used when there are no tunneling headers, this profile adds a TuCP header to the original (input) packet at the compressor side. This TuCP header will be used for CRC and TSN fields ([section 5.2](#)) to be able to detect packet damage, loss or reordering at the decompressor side. This makes it possible to take appropriate action at the decompressor if packets arrive out of order. This profile can treat any kind of tunnel packets. A specific use of this profile will be in a scenario when TuCP is used in conjunction with another header compression scheme e.g., ROHC. In this scenario, the tunneling header (outer IP header) is not compressed by TuCP as it is used for routing purposes, but the tunneled header (inner IP header) is optionally compressed by ROHC or any other header compression scheme.

Profile 1: For UDP compression when the tunnel is UDP based. This profile can be used for basic UDP based tunnels, for example, to compress UDP header when the protocol header stack is IP/UDP.

Profile 2: For L2TP based tunnels. This profile can be used to compress the protocol header stack, UDP/L2TP/PPP. Profile 2 compresses only L2TP data messages. It does not compress L2TP control messages.

Profile 3: This profile is a variant of profile 2. This profile is defined for L2TP/PPP compression, i.e., compression of the UDP header is not attempted when UDP protocol is being used to traverse a NAT. The advantage of this profile is that it can be used to traverse firewalls and NATs.

Profile 4: For GRE based tunnels. For example, for GRE over IP tunnel with protocol header stack IP/GRE, this profile can be used to compress GRE header.

5.2. TuCP Packets and Packet Types

As mentioned in [section 4](#), in order to compress the header, TuCP classifies the header fields based on how their values change during a flow. These fields are classified and assigned to the static and dynamic chain of the compressed header packets. TuCP defines two different header types; Initializing-Static Dynamic (IN-SD) and Compressing-Dynamic (COMP-D). Figures 8 and 9 show the structure of general header format of TuCP packets. TuCP uses these two packet types to establish the information in the decompressor. First, the static and dynamic information are sent to the decompressor, and after that only the dynamic information or its compressed value is sent to the decompressor. The static information is sent only in IN-SD packets. The dynamic information is sent in both packet types.

NOTE: In COMP-D packets, dynamic fields MAY be encoded to obtain further improvement in terms of compression efficiency.

For each TuCP profile, the static and dynamic fields will be composed of different header fields according to the stack of headers forming the tunneling headers. The TuCP payload (data) consists of the tunneled IP packet plus its payload that was initially tunneled by the remote host to be carried into the tunnel. TuCP does not compress the payload and it is transmitted as it is to the other endpoint.

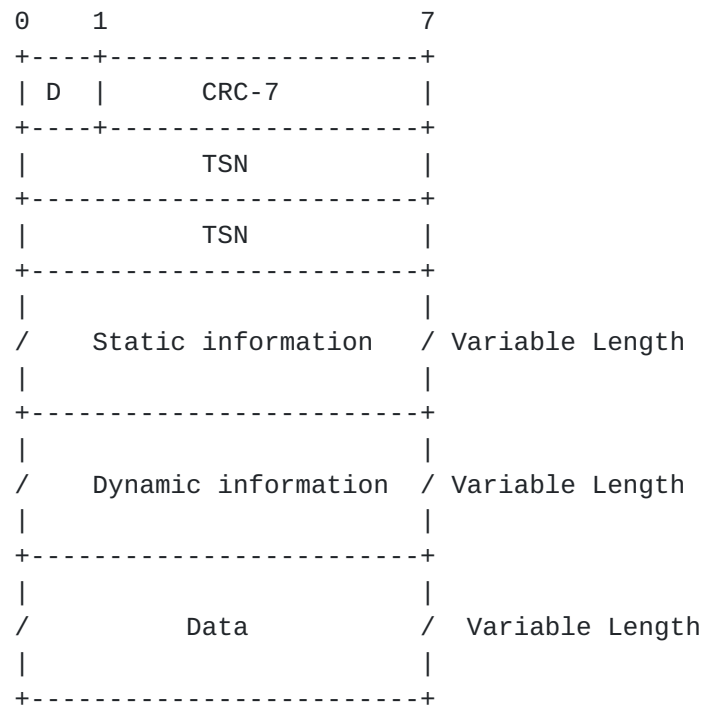


Figure 8: TuCP General Header Format Packets: IN-SD Packet

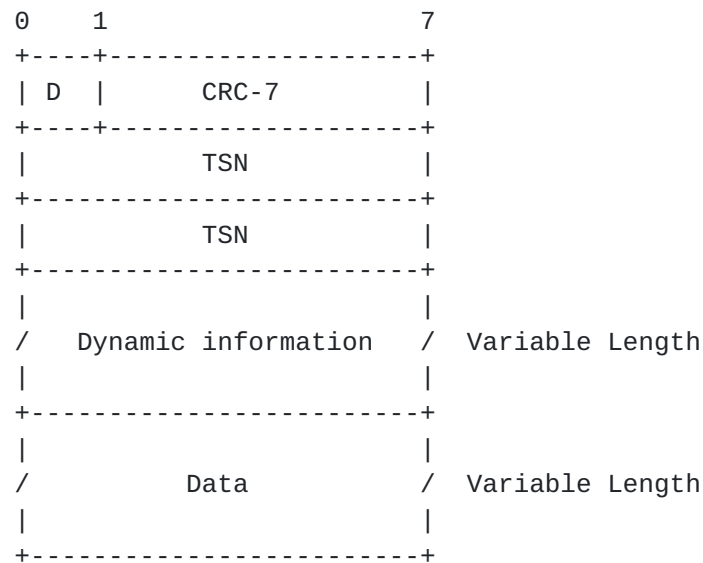


Figure 9: TuCP General Header Format Packets: COMP-D Packet

A description of the fields present in the TuCP header is given below, in the order of their appearance in the header as shown in figures 8 and 9.

D (Description Type Bit): It is a 1-bit field. The value of D-bit is

interpreted as shown in Figure 10. The D bit indicates the type of TuCP header format; IN-SD or COMP-D.

+-----+-----+	
D-bit	Packet Type
+-----+-----+	
0	IN-SD
1	COMP-SD
+-----+-----+	

Figure 10: Description (D) type bits

CRC-7: This is a 7-bit field. The CRC (Cyclic Redundancy Check) covers the entire original header (tunneling header). A 7-bit CRC is computed over the TuCP header, the static fields, and the dynamic fields, before compression. Similarly, CRC is computed at the decompressor side, after decompression. If the CRC check is successful, the decompressor can update its header fields' information previously stored, using the information in the TuCP packet received. The CRC computation in TuCP is dependent on TuCP packet type. For IN-SD packet, CRC is computed over the entire original header (TuCP header, static fields, and dynamic fields). For COMP-D packet, CRC is computed over TuCP header plus original tunneling header. The CRC coverage **MUST** include TuCP header because TSN can be wrong in the TuCP header at the decompressor side. It should be noted that since CRC bits are part of TuCP header itself, therefore in order to compute CRC, first we **MUST** set all bits of CRC field to zero, and then we should compute CRC over the header.

TSN (Transfer Sequence Number): It is a 16-bit field. This field is introduced in the TuCP header to tackle the problem of disordering of packets. TSN gives the decompressor the transmission order in which packets have been sent (by the compressor) and hence allows identifying out of order packets. The value of TSN is incremented with every packet sent. This field is used by the decompressor to detect the loss of packets or reordering (in a packet flow).

In addition, static and dynamic chains are added to the above fields according to the (tunneling) protocol to form the rest of the TuCP packet. The size of static and dynamic chains is variable. The data field is also variable.

6. TuCP Negotiation

The first phase in the TuCP protocol is negotiation of parameters

between the tunnel endpoints. During negotiation, the TuCP compressor and decompressor learn about the different characteristics of the connection (tunnel or link) and the parameters that will be used for compression. This negotiation is done during tunnel set up between the two endpoints. Presently, TuCP protocol operates in unidirectional mode (U mode), which implies that there is no feedback from the decompressor to the compressor end.

The TuCP parameters are classified as static-parameters (long term-parameters) and dynamic-parameters. Static parameters are those which do not change for a long period of time. Therefore, these parameters are negotiated during tunnel set up and are used during life time of one tunnel. On the other hand, dynamic parameters are those which change quite often, for a flow or packet. Thus, dynamic parameters (for example, TSN) are sent in TuCP header fields.

The negotiation of some of the parameters like MRU (Maximum Receive Unit), MTU (Maximum Transmission Unit), and MRRU (Maximum Received Reconstructed Unit) between the tunnel endpoints SHOULD be done at the tunnel level itself.

TuCP negotiation is profile dependent. Each TuCP profile will handle negotiation process itself. For example, in case of profile 2, negotiation will be done through (exchange of) L2TP control messages between tunnel endpoints. It should be noted that TuCP does not compress L2TP control messages. It compresses only L2TP data messages. The following parameters MUST be configured or established during TuCP negotiation which is one of the steps of tunnel set up process:

TuCP-Profile: This parameter indicates a profile supported by both the compressor and decompressor. Each profile has a different set of static and dynamic fields. For each TuCP profile, the static and dynamic fields will be composed of different header fields according to the stack of headers forming the tunneling headers. The decompressor needs to know the profile used in compression in order to know the header format of the received (TuCP) packet. The compressor MUST NOT compress using a profile that is not defined in TuCP profiles. Presently, five profiles are defined in TuCP as shown in Figure 7. The profile does not change for a tunnel between two nodes during tunnel life time. The tunnel flow will remain the same for a tunnel type, for example, for an IP over UDP tunnel, we will always use TuCP profile 1 to compress the UDP headers. Thus, this parameter SHOULD be negotiated during tunnel set up for a tunnel type.

Inner-HC (Inner-HeaderCompression): TuCP can be used in conjunction with an existing header compression protocol where the latter is used

(optionally) to compress the inner IP headers (inner encapsulation or tunneled header) of IP packet carried into the tunnel. The parameter, Inner-HC is configured during the TuCP negotiation and it identifies the compression type (for example, ROHC, VJCOMP, IPHC, CTCP, ECRTCP) for the inner header compression. The use of inner header compression is OPTIONAL. The use of Inner-HC and its type should be negotiated during TuCP negotiation. When the inner header compression is used, its compression parameters SHOULD be negotiated during the TuCP negotiation itself. For example, if compression type for inner headers is ROHC, then ROHC parameters are negotiated during TuCP negotiation.

The mobile/NEMO network scenario considered in this draft considers one tunnel flow during entire tunnel life time. However, in the core network scenario, there can be more than one tunnel flows. In the later scenario, TuCP establishes a context at both the endpoints of the tunnel to achieve a successful compression and decompression of packet headers. Each flow has its own compression context on the compressor side and decompression context on the decompressor side. A Context Identifier (CID) should be used to identify the context used to compress and decompress the packet. In this case, a CID field SHOULD be appended to the TuCP header. The size of CID field; small or large CID SHOULD be negotiated during tunnel set up. The CID field and its size is out of the scope of this draft as it considers the use of TuCP in a mobile network scenario, where CID is not used.

7. TuCP Compression and Decompression

First, there is a negotiation of static-parameters such as TuCP-Profile and Inner-HC between the compressor and decompressor (tunnel endpoints) during tunnel set up. Then, the compressor sends the static and dynamic information to the decompressor. The subsequent packets are compressed (and then decompressed) using this complete header information stored at the tunnel endpoints.

At the compressor side, once a tunneling packet is received, the tunneling headers are compressed using the TuCP profile negotiated during the tunnel set up. This generates a TuCP packet which is sent into the tunnel instead of the original (input) packet.

At the decompressor side, when the decompressor receives a TuCP (compressed) packet, it decompresses the compressed packet and regenerates the original packet. The decompressor MUST use the same profile (as supported by the compressor) for decompression and to reconstruct the original packet. The decompressor uses CRC and TSN checking to detect errors in the packet and to identify out-of-order

packets, respectively, as discussed below in Sections [8](#) and [9](#).

8. CRC Error Detection

The wireless and radio links have high BERs (Bit Error Rates) and PERs (Packet Error Rates) which can generate consecutive errors in the compressed headers and can cause loss of header fields' information synchronization between the endpoints. TuCP uses CRC mechanism to detect such errors on the decompression side and if CRC check fails, it discards the packets.

TuCP uses a 7-bit CRC for error detection at the decompressor side. At the compressor side, CRC is computed over TuCP header plus the original (tunneling) header fields before compression. Then decompressor verifies the CRC after decompressing the header fields and checks whether it has received the correct information or if the information has been corrupted due to transmission errors in the link. Erroneous packets are dropped (i.e., not decompressed) and only error free packets are considered by the decompressor to complete the decompression process.

The CRC check covers TuCP header because it contains TSN (sequence numbering) which should be included in the computation of CRC to protect it by CRC. This is because when there is an error in TSN, the decompressor should be able to detect it. Since, the decompressor uses TSN to detect packet loss or reordering, it SHOULD NOT use the corrupted TSN for this purpose.

9. Managing Packet Reordering

A significant feature of TuCP is that it is able to manage packet reordering problem. Packet reordering [[Mogul1992](#)], [[Leung07](#)] occurs when packets arrive in wrong order, at the destination. Due to various reasons, such as multipath routing, and retransmissions, packets belonging to the same flow may arrive out of order at the destination. Such packet reordering poses performance problems.

TuCP uses a TSN (Transfer Sequence Number) field in TuCP header to check for the order of the received packets at the decompressor side. The decompressor keeps a record of the last received TSN. On receiving a TuCP packet, the decompressor checks if it is in order. If the received packet is in (the correct) order, it will be decompressed.

TSN gives the decompressor, the transmission order in which the packets have been sent. In case of disordering in the delivery of

packets, the decompressor has to wait until the in-order packet arrives or a timer expires, before continuing the decompression. When the timer expires, missing packets are assumed to be lost. Then, they are not delivered at all, even if they eventually arrive. While waiting for the in-order packet, an early arriving packet is stored in a buffer. The timer and buffer are implementation parameters.

This feature of TuCP to be able to deal with packet reordering problem is significant since TuCP can be used in conjunction with Inner-Header-Compression, optionally. TuCP enables the use of existing header compression mechanisms like ROHC (for Inner-HC) which work over an ordered delivery transmission between the compressor and decompressor (endpoints). For example, ROHC can be used to compress the IP packets carried into the tunnel, but ROHC [[RFC3095](#)] is designed to work over an ordered delivery transmission between the endpoints and it does not support packet reordering. A solution for this problem has been suggested in [[RFC4224](#)] which supports disordered delivery of packets. However, this solution reduces robustness of ROHC, thereby reducing the performance of ROHC over wireless links. TuCP provides a solution to deal with packet disordering problem, which does not reduce the performance of ROHC or any other inner header compression and at the same time delivers packets in order.

10. IANA Considerations

This document defines a new IP protocol for tunneling header compression. It requires a protocol number to be attributed by IANA.

11. Security Considerations

This document by itself does not add any security risk to the use of header compression as they have already been defined in each mechanism.

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