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Distributed Mobility Management Protocol for WiFi Users in Fixed Network  
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Abstract

As networks are moving towards flat architectures, a distributed approach is needed to mobility management. This document defines a distributed mobility management protocol called Distributed Mobility Management for Wi-Fi protocol. The protocol is based on mobility aware virtualized routing system with software-defined network support. Routing is in Layer 2 in the access network and in Layer 3 in the core network. Smart phones access the network over IEEE 802.11 (Wi-Fi) interface and can move in home, hotspot and enterprise buildings.

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## [1.](#) Introduction

Centralized mobility anchoring has several drawbacks such as single point of failure, routing in a non optimal route, overloading of the centralized data anchor point due to the data traffic increase, low scalability of the centralized route and context management [[I-D.ietf-dmm-requirements](#)].

In this document, we define a routing based distributed mobility management protocol. The protocol assumes a flat network architecture as shown in Figure 1. No client software is assumed at the mobile node.

IP level mobility signaling needs to be used even when MN is connected to a home network or a hotspot. Distributed anchors in the protocol are called Unified Gateways and they represent an evolution from the Broadband Network Gateway (BNG) currently in use.

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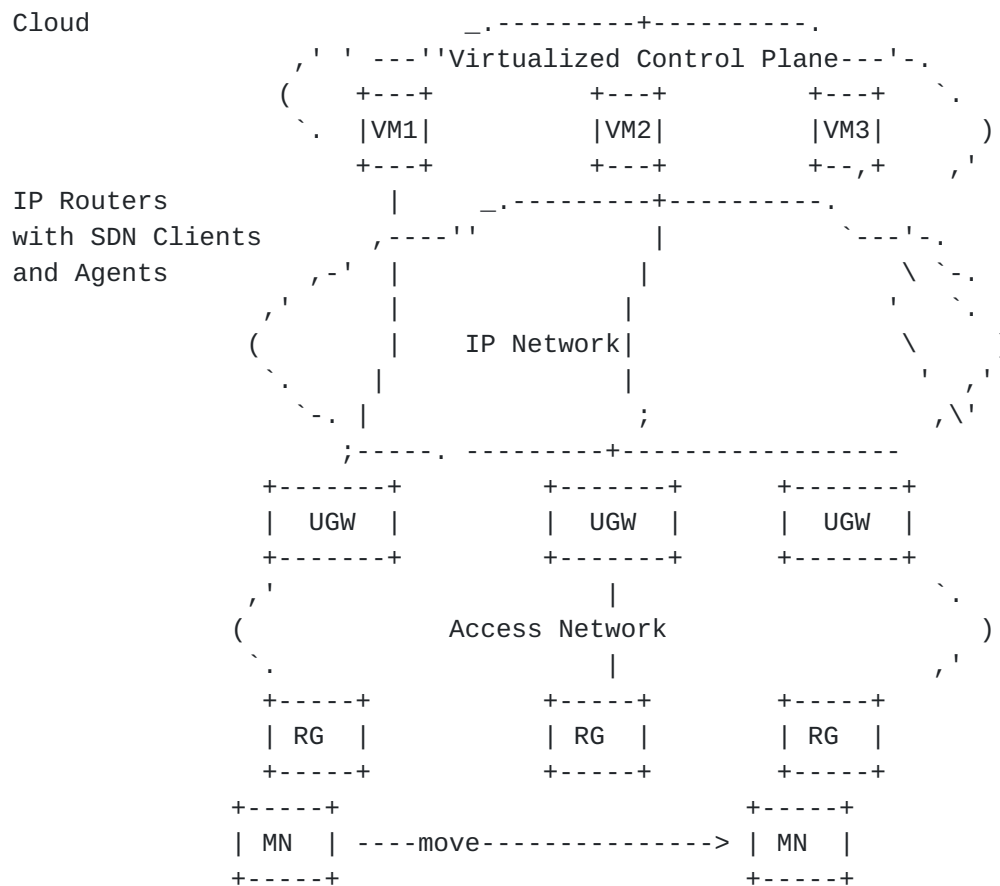


Figure 1: Architecture of DMM for Wi-Fi Protocol

## 2. Terminology

This document uses the terminology defined in [\[I-D.matsushima-stateless-uplane-vepc\]](#).

## 3. Overview

This section presents an overview of the protocol, Distributed Mobility Management for Wi-Fi protocol (DMM4WiFi). See also Figure 1.

Access routers (AR) are Unified Gateways (UGW) that are the access network gateways that behave similarly as Evolved Packet Core (EPC) Edge Router (EPC-E) in [\[I-D.matsushima-stateless-uplane-vepc\]](#). UGW is configured an anycast address on the interface facing the Residential Gateway (RG). RGs use this address to forward packets from the users. The fixed access network delivers the packets to geographically closest UGW.



Wi-Fi smart phone, the mobile node (MN) is assigned a unique prefix using either Stateless Address Auto Configuration (SLAAC) or by a DHCP server which could be placed in the cloud. In case of SLAAC, RG is delegated the prefixes by DHCP server using [[RFC3633](#)].

Prefix assignments to MNs are consistent with the prefixes assigned to UGWs that are shorter than /64. These prefixes are part of the operator's prefix(es) which could be /32, /24, etc.

The mobile node can move at home or in a hot spot from one Access Point (AP) to another AP and MN mobility will be handled in Layer 2 using IEEE 802.11k and 802.11r. Authentication is handled in Layer 2 using [[IEEE-802.11i](#)] and [[IEEE-802.11-2007](#)] (as described in [Section 4.4](#)).

When MN moves from one UGW into another UGW, IP mobility signaling needs to be introduced. In this document we use Handover Initiate/Handover Acknowledge (HI/HAck) messages defined in [[RFC5949](#)]. Handover Initiate message can be initiated by either previous UGW (predictive handover) or the next UGW (reactive UGW). In reactive handover, RG establishes a new connection with the next UGW when MN moves to this RG and provides previous UGW address. This will trigger the next UGW to send HI message to the previous UGW. Previous UGW sends HAck messages which establishes a tunnel between previous and next UGWs. Previous UGW sends packets destined to MN to the new UGW which in turn sends them to MN.

Note that the mobility signaling just described is control plane functionality. Control plane in our document is moved to the cloud, thus mobility signaling happens at the cloud, possibly between two virtual machines (VM).

Upstream packets from MN at the new UGW establish the initial routing path when MN first enters the system. This path needs to be updated as MN moves from one UGW to another, i.e. MN handover. Since MN keeps the prefix initially assigned, after handover, the new upstream path establishment may establish host routes in the upstream routers. This route is refreshed as long as MN stays under the same UGW. Handover signaling and subsequent upstream path establishment is very critical because the downstream packets may need to follow the path that is established for MN.

Software-Defined Networking (SDN) is used in DMM4WiFi in both Layer 2 and Layer 3 routing management. In case of Layer 2 routing, the Open Flow Switch Protocol is used as the south bound interface between the SDN Controller and Layer 2 access network switches. Extensible Messaging and Presence Protocol (XMPP) is used as the north bound interface between the SDN controller and DMM4WiFi application.



DMM4WiFi Layer 3 routing is based on SDN controllers manipulating Routing Information Bases (RIB) in a subset of the upstream routers. In this case south bound interface is the NETCONF protocol which is based on the Remote Procedure Call (RPC) protocol and YANG. I2RS architecture is used in this context.

Mobile node generates interface identifier using [[RFC7217](#)] in SLAAC. With this method, MN interface identifiers will be different when MN moves from one UGW to another UGW. MN MAY have different IPv6 addresses due to this method of interface identifier generation.

#### **4. Detailed Protocol Operation**

In this section, Layer 2 and Layer 3 mobility procedures are explained.

##### **4.1. Layer 2 Mobility in Access Network**

In the access network, RG MAC address acts as an identifier for the MN. Access network switches are controlled by SDN. Controller to Switch interface uses a protocol such as Extensible Messaging and Presence Protocol (XMPP)[[RFC6121](#)]. XMPP is based on a general subscribe-publish message bus. SDN controller publishes forwarding instructions to the subscribing switch. Forwarding instructions could be Open Flow like match-forward instructions. Open Flow protocol can also be used [[ONFv1.5](#)].

Access network is organized as interconnected switches. The switch connected to the RG is called egress switch. The switch connected to the UGW is called ingress switch. IEEE 802.1ad standard for VLAN (Q-in-Q) is used in the access network, where S-VLAN denotes RG groups, and C-VLAN determines traffic classes. One S-VLAN tag is assigned to create one or more VLAN paths between egress and ingress switches.

MN mobility in the access network can be tracked by keeping a table consisting of MN IP address and RG MAC address pairs. In this document SDN controllers keep the mobility table. This table is used to select proper S-VLAN downstream path from ingress switch to egress switch and upstream path from egress switch to ingress switch.

After a new MN with WiFi associates with RG, RG sends an Unsolicited Neighbor Advertisement (NA) message upstream. This NA message is constructed as per [[RFC4861](#)] but the Source Address field is set to a unicast address of MN. NA message is received by SDN controller and it enables SDN controller to update the mobility table. SDN controller selects proper path including S-VLAN and ingress switch to forward the traffic from this MN. The controller establishes the forwarding needed on these switches [[UTD-Paper](#)], i.e. Layer 2 route.





The packet eventually reaches the closest UGW due to the anycast addressing used at the access network interfaces. UGW forwards this packet to the upstream router and so on. The upstream router establishes a route for MN in its routing table with MN's prefix and with the UGW as the next hop. Prefixes in those routes get smaller and smaller as the packet moves upstream in the routing hierarchy. The routing protocol used could be BGP or other protocols like IS-IS.

#### **4.2. Layer 3 Mobility and Routing in Core Network**

MN moving from one RG to another may eventually require MN moving from one UGW to another. This is Layer 3 mobility.

Predictive handover happens when MN just before leaving the previous RG (pRG) for the next RG (nRG) MN is able to send an 802.11 message containing MN MAC address and nRG MAC address, e.g. learned from beacons to the pRG (called Leave Report in Figure 2. pRG then sends a handover indication message to pUGW providing MN and nRG addresses (called Leave Indication) and this could happen between two respective virtual machines in the cloud. This message results in pUGW getting nUGW information and then sending Handover Initiate message to nUGW, which also could happen in the cloud. nUGW replies with Handover Acknowledge message. pUGW sends any packets destined to MN to nUGW after being alerted by the control plane. MN moves to nRG and nUGW is informed about this from Layer 2 mobility [Section 4.1](#). uGW delivers MN's outstanding packets to MN.

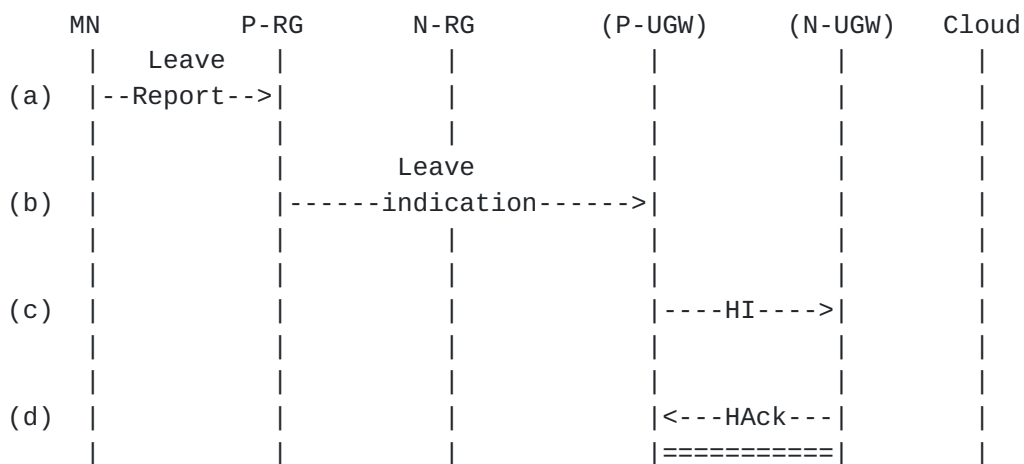


Figure 2: Predictive Handover

Reactive handover happens when MN attaches the new RG from the previous RG (called Join Report in Figure 3. MN is able to signal in 802.11 association messages previous RG MAC address. nUGW receives new association information together with pRG information, possibly in the cloud (called Handover Indication). nUGW finds pUGW



address and sends HI message to pUGW, again happening between two virtual machines in the cloud. pUGW after receiving indication from the cloud server delivers any outstanding MN's packets to nUGW which in turn delivers them to MN.

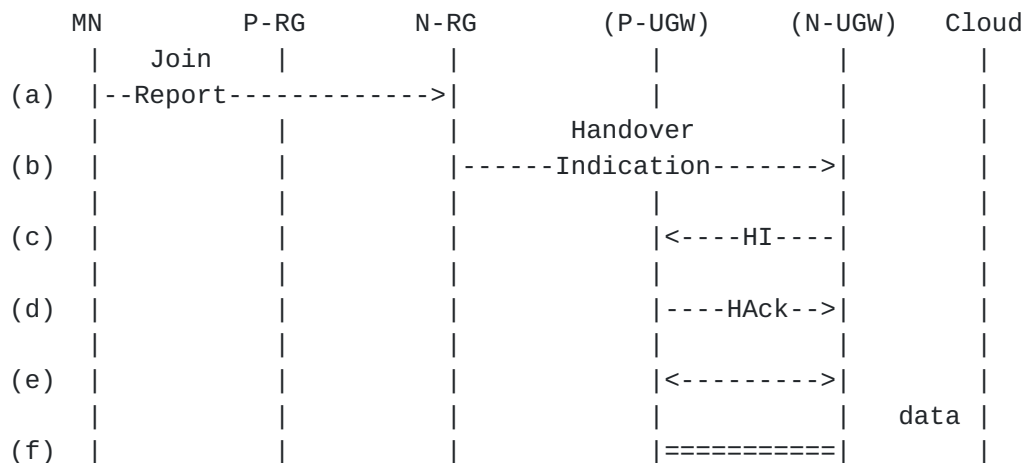


Figure 3: Reactive Handover

Note that Handover Initiate and Handover Acknowledge messages used in this document carry only a subset of parameters defined in [RFC5949]. Also no involvement with the Local Mobility Anchor (LMA) is needed.

#### 4.3. Route Establishment

After handover, SDN route establishment in upstream routers needs to take place. In this case NETCONF protocol [RFC6241] and YANG modeling [RFC6020] are used.

Client and Server exchange their capabilities using NETCONF message layer message called hello messages. Client builds and sends an operation defined in YANG module, encoded in XML, within RPC request message [RFC6244]. Server verifies the contents of the request against the YANG module and then performs the requested operation and then sends a response, encoded in XML, in RPC reply message.

Defining configuration data is the primary focus of YANG. Configuration data is writable (rw - read-write) data that is required to transform a system from its initial default state into its current state. There is also state data (ro - read-only) which is a set of data that has been obtained by the system at runtime. An example is routing table changes made by routing protocols in response to the ongoing traffic. A YANG module for routing management is given in [I-D.ietf-netmod-routing-cfg]. The core



routing data model consists of three YANG modules, `ietf-routing`, `ietf-ipv4-unicast-routing`, `ietf-ipv6-unicast-routing`. The core routing data model has two trees: configuration data and state data trees. "routing-instance" or "rib" trees have to be populated with at least one entry in the device, and additional entries may be configured by a client. Normally the server creates the required item as an entry in state data. Additional entries may be created in the configuration by a client via the NETCONF protocol using RPC messages like `edit-config` and `copy-config`.

The user may provide supplemental configuration of system- controlled entries by creating new entries in the configuration with the desired contents. In order to bind these entries with the corresponding entry in the state data list, the key of the configuration entry has to be set to the same value as the key of the state entry. RPC `get` message can be used to retrieve all or part of the running configuration data store merged with the device's state data. RPC `get-config` operation retrieves configuration data only. RPC `fib-route` message retrieves a routing instance for the active route in the Forwarding Information Base (FIB) which is the route that is currently used for sending datagrams to a destination host whose address is passed as an input parameter.

NETCONF protocol and `ietf-routing` YANG module can be used for route establishment after handover. As a result for MNs that handover, upstream routing that takes place is not modified up to the lowest level of routers. The lowest level of routers handle the mobility but only proper modifications are needed so that the packets reach the right Unified Gateway, i.e. nUGW.

I2RS Agent as NETCONF Server in nUGW and in pUGW inform the handover to I2RS Clients as NETCONF Client upstream. I2RS Agent at pUGW removes any routing information for MN by first using `fib-route` to retrieve the active route for MN and then an `edit-config` message with `delete` operation to delete the active route making sure that the same key is used.

I2RS Agent in nUGW after the handover needs to add a new routing table entry for MN. Due to the topological correctness of MN's prefix, the new route could be a host route. Next this route is propagated upstream. In this case, nUGW starts the process by acting as I2RS Client for the I2RS Agent at the upstream router. Either a new route or the host route is added with shorter prefix. Route propagation continues until MN's prefix becomes topologically correct at which point route propagation stops.



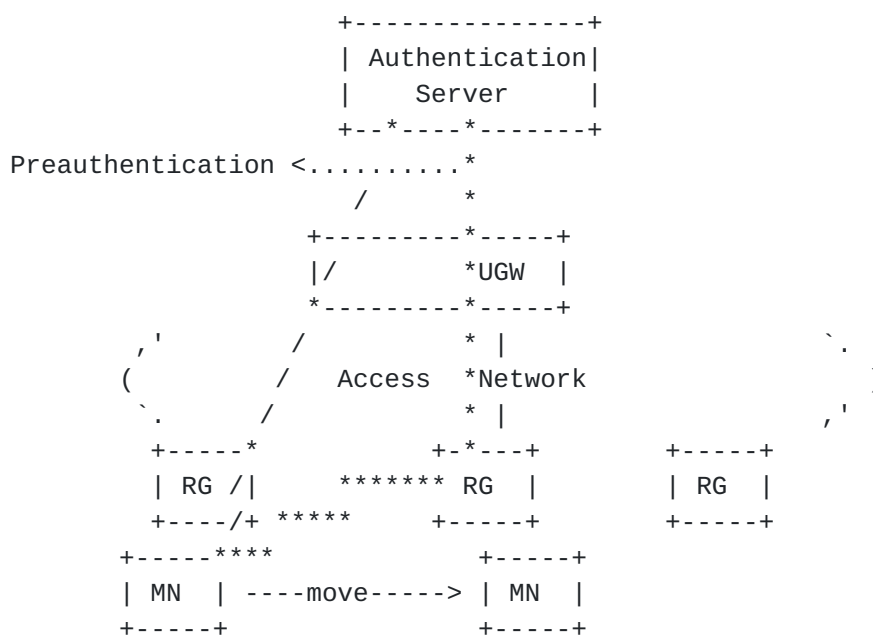
#### 4.4. Authentication and Charging

##### 4.4.1. Authentication

Extensible Authentication Protocol (EAP)[[RFC3748](#)] is preferred for MN authentication in IEEE 802.11 (Wi-Fi) network. When a MN tries to connect to the WiFi, it needs to mutually authenticate with the network server first. A successful EAP authentication procedure must result in a Pairwise Master Key(PMK) (defined in [[IEEE-802.11i](#)]) for the traffic encryption between the MN and the AR.

When a MN moves at home or in a hot spot from one AP to another AP in the same UGW, it is possible that it may undergo a full EAP authentication (as defined in [[RFC3748](#)]). However, there are simplified several authentication methods (defined in [[IEEE-802.11-2007](#)] ):

- o Preauthentication: When The MN supplicant may authenticate with both pRG and nRG at a time. Successful completion of EAP authentication between the MN and nRG establishes a pair of PMKSA on both the MN and nRG. When the MN moves to the nRG, the authentication has already done, which is shown as follows.



#### Preauthentication

- o Cached PMK: The RG reserves the PMK as a result of previous authentication. When the MN is roaming back to the previous RG, if a successful EAP authentication has happened. The MN can retain the 802.11 connection based on PMK information reserved.





When the authentication is handled by the UGW as an Authenticator. When the MN moves to the nRG, a join report packet will be initiated from the MN to nRG for IEEE802.11 connection to the same UGW. The nRG can retain the PMK information from the UGW which is reserved during the successful authentication procedure between the MN and the pRG, as shown in Figure 4.

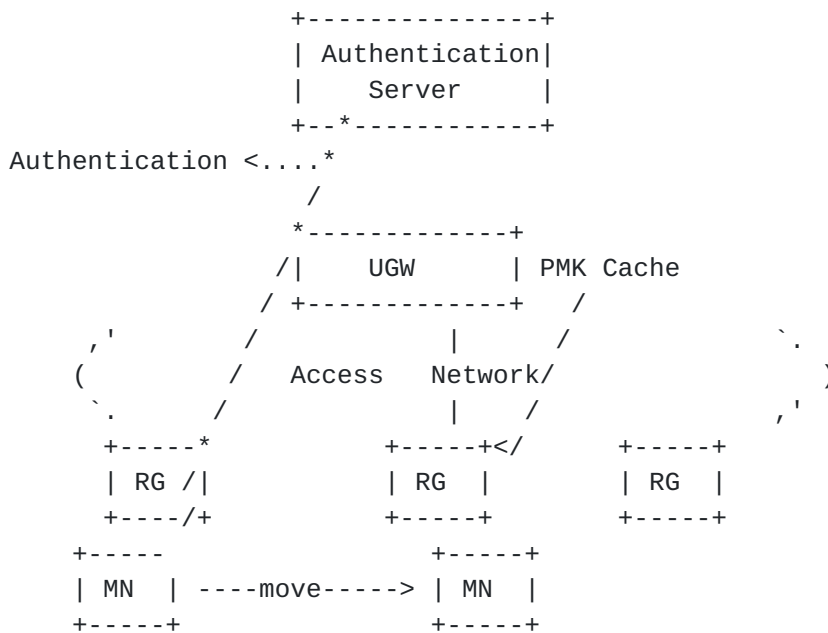


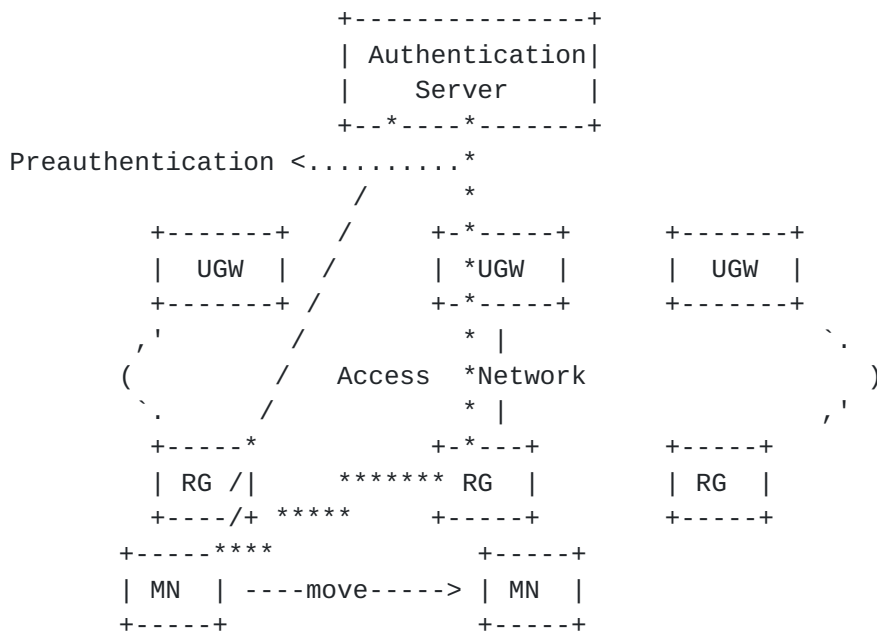
Figure 4: Cached PMK-UGW Authenticator

When a MN moves at home or in a hot spot from one AP to another AP in the same UGW, it is possible that it may undergo a full EAP authentication (as defined in[RFC3748]). However, there are several simple authentication methods (defined in [[IEEE-802.11-2007](#)] ):

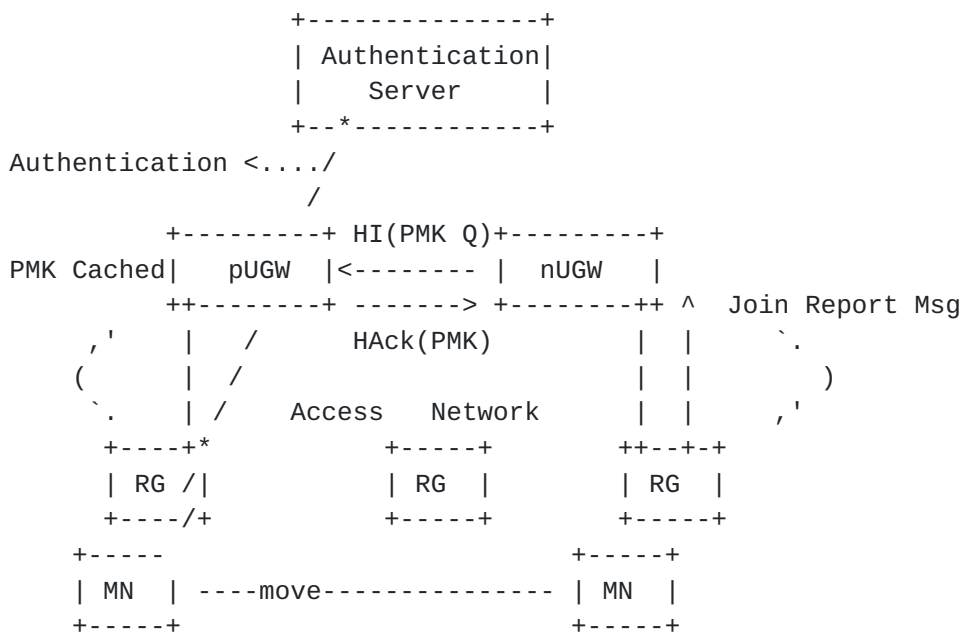
When MN moves from one UGW into another UGW, a join report packet will be initiated from the MN to nRG for IEEE802.11 connection. It is possible that it may undergo a full EAP authentication (as defined in[RFC3748]). However, because of service performance and continuity requirement, the operators prefer to avoid the full EAP authentication. There are several simplified authentication methods (defined in [[IEEE-802.11-2007](#)] ):

- o Preauthentication: MN supplicant may authenticate with both pRG and nRG at a time. Successful completion of EAP authentication between the MN and nRG establishes a pair of PMKSA on both the MN and nRG. When the MN moves to the nRG, the authentication has already been completed, which is shown as follows.





- o **Cached PMK:** The RG reserves the PMK as a result of previous authentication. When the MN is roaming back to the previous RG, if a successful EAP authentication has happened. The MN can retain the 802.11 connection based on PMK information reserved. When the authentication is handled by the UGW as an Authenticator. When the MN moves to the nRG, a join report packet will be initiated from the MN to nRG for IEEE802.11 connection to nUGW. The nRG can retain the PMK information from the nUGW, the nUGW may can retain the reserved PMK from the pUGW based on HI message.





The above Layer 2 operations do not affect Layer 3. MN does not change the prefix assigned to it initially.

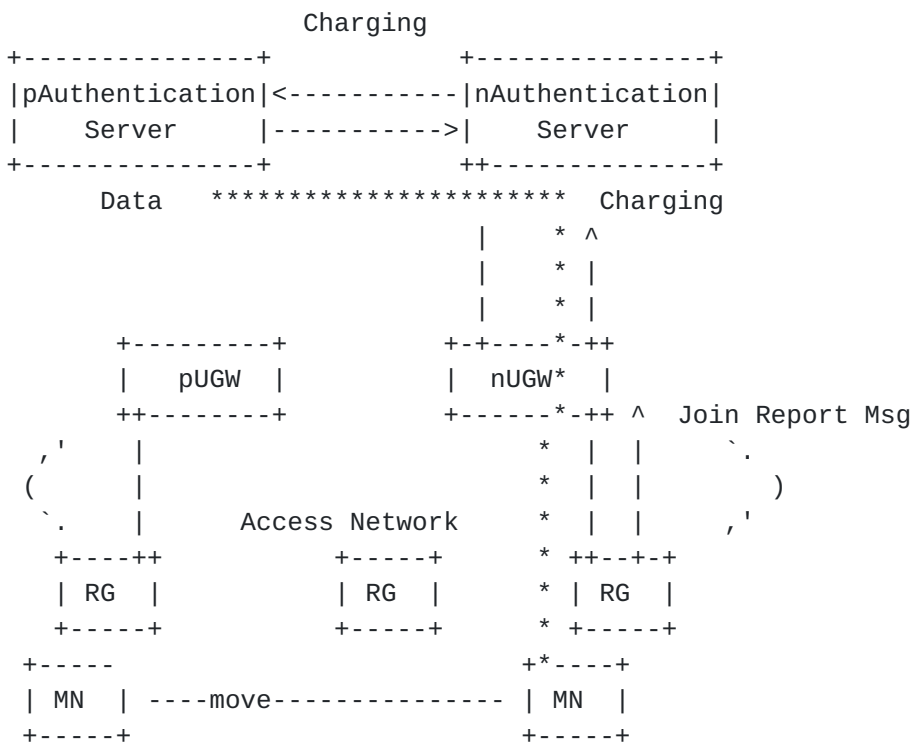
#### 4.4.2. Charging

When MN moves from one UGW into another UGW, the charging needs to be considered. In this document we describe two cases, one operator and two interworking operators.

One operator case.

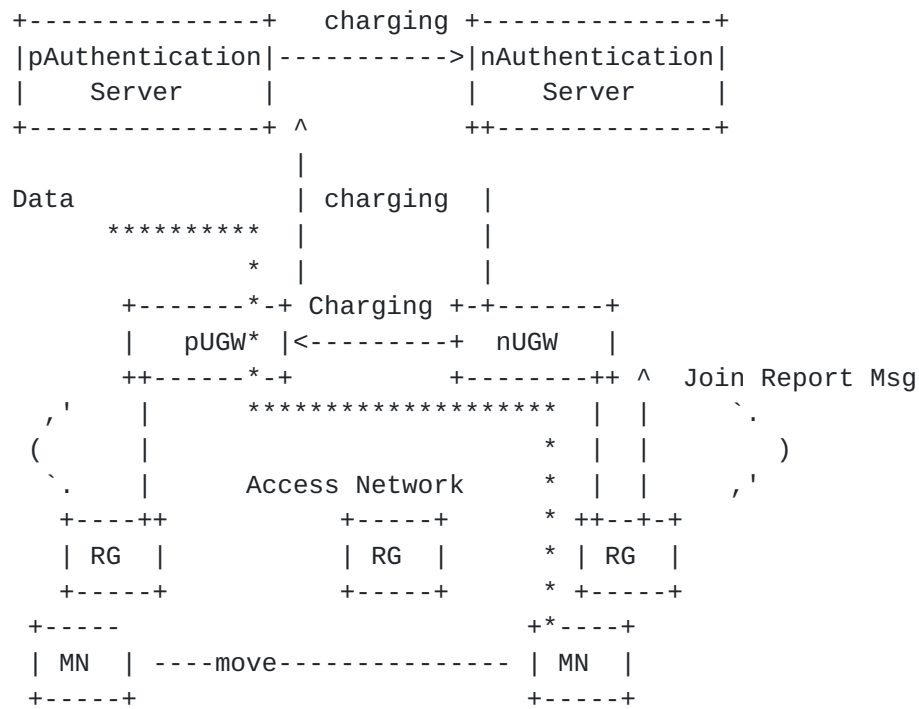
Two operators case. If the pUGW and nUGW are belonging to two different operators.

There are two possibilities. The traffic is directed to the visited network, and traffic routed back to home.

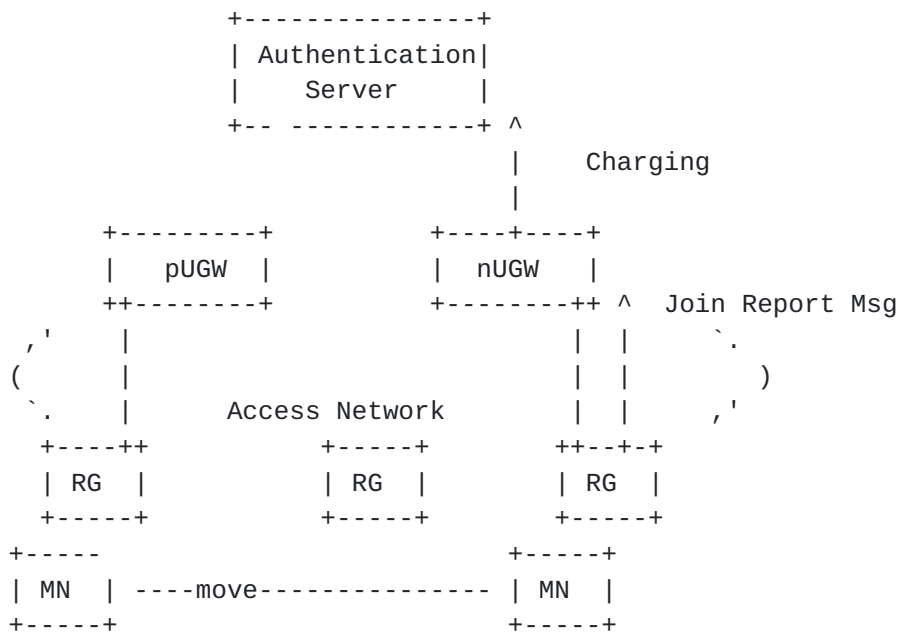


## Two operators interworking - Traffic offload in visited network





Two operators interworking - Traffic routed back to home



## Charging in one operator





## 5. Multicast Support

Multicast communication to the mobile nodes can be supported with an Multicast Listener Discovery (MLD) Proxy at the Unified Gateway [RFC4605]. Downstream protocol operations between the UGW and the mobile nodes, is the MLD protocol [RFC3810]. Both any source and source specific multicast are supported.

The mobile nodes send MLD Report message when joining a multicast group [RFC3590]. UGW or MLD Proxy sends an aggregated join message upstream. MN and UGW interface works as described in [RFC6224]. After MN joins the group it starts to receive multicast data.

After a handover the mobile node moves to the next UGW, the next UGW needs to get membership or listening state of this MN containing group address and source list. For this purpose, Active Multicast Subscription mobility option (Type 57 for IPv6) [RFC7161] can be used to transfer mobile node's multicast context or subscription information from the previous UGW to the next UGW, as explained below.

In case of predictive handover, pUGW and nUGW follow the sequence of steps shown in Figure 2. In case MN has multicast context established before handover pUGW MUST transfer MN's multicast context to nUGW. pUGW MUST add Active Multicast Subscription mobility option to HI message.

For reactive handover pUGW and nUGW follow the sequence of steps shown in Figure 3. In case MN has multicast context established before handover pUGW MUST transfer MN's multicast context to nUGW. pUGW MUST add Active Multicast Subscription mobility option to HAcK message.

After receiving the multicast context, nUGW upstream joins any new multicast groups on behalf of MN. Downstream, nUGW maps downstream point-to-point link to a proxy instance.

### 5.1. IPv4 Support for Multicast

For MNs with IPv4 addresses, multicast communication to MNs can be supported similar to the way explained above in [Section 5](#). Multicast group management is done using IGMP with IGMP Proxy at the UGW.

In case of handover, the Active Multicast Subscription option compatible with IGMP-based format which transports the multicast membership context of the mobile node is used in handover messaging. Active Multicast Subscription option has type value of 56 for IPv4 [RFC7161].



## **6. IPv4 Support**

IPv4 can be supported similarly as in vEPC [[I-D.matsushima-stateless-uplane-vepc](#)]. UGW stays as IPv6 node receiving from all RGs IPv6 packets and forwarding them upstream.

IPv4 MN is supported at the RG. RG has B4 functionality of DS-Lite [[RFC6333](#)], CLAT entity for 464XLAT [[RFC6877](#)], Lightweight B4 [[I-D.ietf-softwire-lw4over6](#)] or MAP Customer Edge [[I-D.ietf-softwire-map](#)]. RG encapsulates IPv4 packets using these protocols into IPv6 packets making sure that UGW stays IPv6 only.

## **7. Security Considerations**

TBD.

## **8. IANA Considerations**

TBD.

## **9. Acknowledgements**

We would like to thank Satoru Matsushima for valuable advice.

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