

COSE Working Group
Internet-Draft
Obsoletes: [8152](#) (if approved)
Intended status: Standards Track
Expires: June 25, 2019

J. Schaad
August Cellars
December 22, 2018

CBOR Object Signing and Encryption (COSE) - Structures and Process draft-schaad-cose-rfc8152bis-struct-01

Abstract

Concise Binary Object Representation (CBOR) is a data format designed for small code size and small message size. There is a need for the ability to have basic security services defined for this data format. This document defines the CBOR Object Signing and Encryption (COSE) protocol. This specification describes how to create and process signatures, message authentication codes, and encryption using CBOR for serialization. This specification additionally describes how to represent cryptographic keys using CBOR.

This document along with [[I-D.schaad-cose-rfc8152bis-algs](#)] obsoletes [RFC8152](#).

Contributing to this document

The source for this draft is being maintained in GitHub. Suggested changes should be submitted as pull requests at <<https://github.com/cose-wg/cose-rfc8152bis>>. Instructions are on that page as well. Editorial changes can be managed in GitHub, but any substantial issues need to be discussed on the COSE mailing list.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of [BCP 78](#) and [BCP 79](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <https://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on June 25, 2019.

Copyright Notice

Copyright (c) 2018 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to [BCP 78](https://trustee.ietf.org/license-info) and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

| | | |
|------------------------|--|--------------------|
| 1. | Introduction | 4 |
| 1.1. | Design Changes from JOSE | 5 |
| 1.2. | Requirements Terminology | 6 |
| 1.3. | CBOR Grammar | 6 |
| 1.4. | CBOR-Related Terminology | 7 |
| 1.5. | Document Terminology | 8 |
| 2. | Basic COSE Structure | 8 |
| 3. | Header Parameters | 10 |
| 3.1. | Common COSE Headers Parameters | 12 |
| 4. | Signing Objects | 16 |
| 4.1. | Signing with One or More Signers | 16 |
| 4.2. | Signing with One Signer | 18 |
| 4.3. | Externally Supplied Data | 19 |
| 4.4. | Signing and Verification Process | 20 |
| 4.5. | Computing Counter Signatures | 21 |
| 5. | Encryption Objects | 22 |
| 5.1. | Enveloped COSE Structure | 22 |
| 5.1.1. | Content Key Distribution Methods | 24 |
| 5.2. | Single Recipient Encrypted | 24 |
| 5.3. | How to Encrypt and Decrypt for AEAD Algorithms | 25 |
| 5.4. | How to Encrypt and Decrypt for AE Algorithms | 27 |
| 6. | MAC Objects | 29 |
| 6.1. | MACed Message with Recipients | 29 |
| 6.2. | MACed Messages with Implicit Key | 30 |
| 6.3. | How to Compute and Verify a MAC | 31 |
| 7. | Key Objects | 32 |
| 7.1. | COSE Key Common Parameters | 33 |
| 8. | Signature Algorithms | 36 |
| 9. | Message Authentication Code (MAC) Algorithms | 37 |
| 10. | Content Encryption Algorithms | 38 |
| 11. | Key Derivation Functions (KDFs) | 38 |

Schaad

Expires June 25, 2019

[Page 2]

| | | |
|-----------------------------|---|--------------------|
| 12. | Content Key Distribution Methods | 39 |
| 12.1. | Direct Encryption | 39 |
| 12.2. | Key Wrap | 40 |
| 12.3. | Key Transport | 40 |
| 12.4. | Direct Key Agreement | 41 |
| 12.5. | Key Agreement with Key Wrap | 42 |
| 13. | CBOR Encoder Restrictions | 42 |
| 14. | Application Profiling Considerations | 43 |
| 15. | IANA Considerations | 44 |
| 15.1. | CBOR Tag Assignment | 44 |
| 15.2. | COSE Header Parameters Registry | 44 |
| 15.3. | COSE Header Algorithm Parameters Registry | 44 |
| 15.4. | COSE Key Common Parameters Registry | 45 |
| 15.5. | Media Type Registrations | 45 |
| 15.5.1. | COSE Security Message | 45 |
| 15.5.2. | COSE Key Media Type | 46 |
| 15.6. | CoAP Content-Formats Registry | 48 |
| 15.7. | Expert Review Instructions | 48 |
| 16. | Security Considerations | 49 |
| 17. | References | 51 |
| 17.1. | Normative References | 51 |
| 17.2. | Informative References | 52 |
| Appendix A. | Guidelines for External Data Authentication of Algorithms | 55 |
| A.1. | Algorithm Identification | 55 |
| A.2. | Counter Signature without Headers | 58 |
| Appendix B. | Two Layers of Recipient Information | 59 |
| Appendix C. | Examples | 60 |
| C.1. | Examples of Signed Messages | 61 |
| C.1.1. | Single Signature | 61 |
| C.1.2. | Multiple Signers | 62 |
| C.1.3. | Counter Signature | 63 |
| C.1.4. | Signature with Criticality | 64 |
| C.2. | Single Signer Examples | 65 |
| C.2.1. | Single ECDSA Signature | 65 |
| C.3. | Examples of Enveloped Messages | 66 |
| C.3.1. | Direct ECDH | 66 |
| C.3.2. | Direct Plus Key Derivation | 67 |
| C.3.3. | Counter Signature on Encrypted Content | 68 |
| C.3.4. | Encrypted Content with External Data | 70 |
| C.4. | Examples of Encrypted Messages | 70 |
| C.4.1. | Simple Encrypted Message | 70 |
| C.4.2. | Encrypted Message with a Partial IV | 71 |
| C.5. | Examples of MACed Messages | 71 |
| C.5.1. | Shared Secret Direct MAC | 71 |
| C.5.2. | ECDH Direct MAC | 72 |
| C.5.3. | Wrapped MAC | 73 |
| C.5.4. | Multi-Recipient MACed Message | 74 |

| | | |
|------------------------|-------------------------------------|--------------------|
| C.6. | Examples of MAC0 Messages | 75 |
| C.6.1. | Shared Secret Direct MAC | 75 |
| C.7. | COSE Keys | 76 |
| C.7.1. | Public Keys | 76 |
| C.7.2. | Private Keys | 77 |
| | Acknowledgments | 79 |
| | Author's Address | 80 |

[1.](#) Introduction

There has been an increased focus on small, constrained devices that make up the Internet of Things (IoT). One of the standards that has come out of this process is "Concise Binary Object Representation (CBOR)" [[RFC7049](#)]. CBOR extended the data model of the JavaScript Object Notation (JSON) [[RFC8259](#)] by allowing for binary data, among other changes. CBOR has been adopted by several of the IETF working groups dealing with the IoT world as their encoding of data structures. CBOR was designed specifically to be both small in terms of messages transport and implementation size and be a schema-free decoder. A need exists to provide message security services for IoT, and using CBOR as the message-encoding format makes sense.

The JOSE working group produced a set of documents [[RFC7515](#)] [[RFC7516](#)] [[RFC7517](#)] [[RFC7518](#)] using JSON that specified how to process encryption, signatures, and Message Authentication Code (MAC) operations and how to encode keys using JSON. This document along with [[I-D.schaad-cose-rfc8152bis-algs](#)] defines the CBOR Object Signing and Encryption (COSE) standard, which does the same thing for the CBOR encoding format. While there is a strong attempt to keep the flavor of the original JSON Object Signing and Encryption (JOSE) documents, two considerations are taken into account:

- o CBOR has capabilities that are not present in JSON and are appropriate to use. One example of this is the fact that CBOR has a method of encoding binary directly without first converting it into a base64-encoded string.
- o COSE is not a direct copy of the JOSE specification. In the process of creating COSE, decisions that were made for JOSE were re-examined. In many cases, different results were decided on as the criteria were not always the same.

This document contains:

- o The description of the structure for the CBOR objects which are transmitted over the wire. Two objects are defined for encryption, signing and message authentication. One object is

defined for transporting keys and one for transporting groups of keys.

- o The procedures used to compute build the inputs to the cryptographic functions required for each of the structures.
- o A starting set of attributes that apply to the different security objects.

This document does not contain the rules and procedures for using specific cryptographic algorithms. Details on specific algorithms can be found in [[I-D.schaad-cose-rfc8152bis-algs](#)] and [[RFC8230](#)]. Details for additional algorithms are expected to be defined in future documents.

One feature that is present in CMS [[RFC5652](#)] that is not present in this standard is a digest structure. This omission is deliberate. It is better for the structure to be defined in each document as different protocols will want to include a different set of fields as part of the structure. While an algorithm identifier and the digest value are going to be common to all applications, the two values may not always be adjacent as the algorithm could be defined once with multiple values. Applications may additionally want to define additional data fields as part of the structure. A common structure is going to include a URI or other pointer to where the data that is being hashed is kept, allowing this to be application specific.

[1.1.](#) Design Changes from JOSE

- o Define a single top message structure so that encrypted, signed, and MACed messages can easily be identified and still have a consistent view.
- o Signed messages distinguish between the protected and unprotected parameters that relate to the content from those that relate to the signature.
- o MACed messages are separated from signed messages.
- o MACed messages have the ability to use the same set of recipient algorithms as enveloped messages for obtaining the MAC authentication key.
- o Use binary encodings for binary data rather than base64url encodings.
- o Combine the authentication tag for encryption algorithms with the ciphertext.

- o The set of cryptographic algorithms has been expanded in some directions and trimmed in others.

1.2. Requirements Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#) [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

1.3. CBOR Grammar

There was not a standard CBOR grammar available when COSE was originally written. For that reason the CBOR structures defined here are described in prose. Since that time CBOR Data Definition Language (CDDL) [[I-D.ietf-cbor-cddl](#)] has been published as an RFC. The CBOR grammar presented in this document is compatible with CDDL.

The document was developed by first working on the grammar and then developing the prose to go with it. An artifact of this is that the prose was written using the primitive type strings defined by CBOR Data Definition Language (CDDL) [[I-D.ietf-cbor-cddl](#)]. In this specification, the following primitive types are used:

any -- non-specific value that permits all CBOR values to be placed here.

bool -- a boolean value (true: major type 7, value 21; false: major type 7, value 20).

bstr -- byte string (major type 2).

int -- an unsigned integer or a negative integer.

nil -- a null value (major type 7, value 22).

nint -- a negative integer (major type 1).

tstr -- a UTF-8 text string (major type 3).

uint -- an unsigned integer (major type 0).

Two syntaxes from CDDL appear in this document as shorthand. These are:

F00 / BAR -- indicates that either F00 or BAR can appear here.

[+ F00] -- indicates that the type F00 appears one or more times in an array.

As well as the prose description, a version of a CBOR grammar is presented in CDDL. The CDDL grammar is informational; the prose description is normative.

The collected CDDL can be extracted from the XML version of this document via the following XPath expression below. (Depending on the XPath evaluator one is using, it may be necessary to deal with > as an entity.)

```
//artwork[@type='CDDL']/text()
```

CDDL expects the initial non-terminal symbol to be the first symbol in the file. For this reason, the first fragment of CDDL is presented here.

```
start = COSE_Messages / COSE_Key / COSE_KeySet / Internal_Types
```

```
; This is defined to make the tool quieter:
```

```
Internal_Types = Sig_structure / Enc_structure / MAC_structure /  
                COSE_KDF_Context
```

The non-terminal `Internal_Types` is defined for dealing with the automated validation tools used during the writing of this document. It references those non-terminals that are used for security computations but are not emitted for transport.

1.4. CBOR-Related Terminology

In JSON, maps are called objects and only have one kind of map key: a string. In COSE, we use strings, negative integers, and unsigned integers as map keys. The integers are used for compactness of encoding and easy comparison. The inclusion of strings allows for an additional range of short encoded values to be used as well. Since the word "key" is mainly used in its other meaning, as a cryptographic key, we use the term "label" for this usage as a map key.

The presence of a label in a COSE map that is not a string or an integer is an error. Applications can either fail processing or process messages by ignoring incorrect labels; however, they MUST NOT create messages with incorrect labels.

A CDDL grammar fragment defines the non-terminal 'label', as in the previous paragraph, and 'values', which permits any value to be used.

label = int / tstr
values = any

1.5. Document Terminology

In this document, we use the following terminology:

Byte is a synonym for octet.

Constrained Application Protocol (CoAP) is a specialized web transfer protocol for use in constrained systems. It is defined in [[RFC7252](#)].

Authenticated Encryption (AE) [[RFC5116](#)] algorithms are those encryption algorithms that provide an authentication check of the contents algorithm with the encryption service.

Authenticated Encryption with Authenticated Data (AEAD) [[RFC5116](#)] algorithms provide the same content authentication service as AE algorithms, but they additionally provide for authentication of non-encrypted data as well.

2. Basic COSE Structure

The COSE object structure is designed so that there can be a large amount of common code when parsing and processing the different types of security messages. All of the message structures are built on the CBOR array type. The first three elements of the array always contain the same information:

1. The set of protected header parameters wrapped in a bstr.
2. The set of unprotected header parameters as a map.
3. The content of the message. The content is either the plaintext or the ciphertext as appropriate. The content may be detached (i.e. transported separately from the COSE structure), but the location is still used. The content is wrapped in a bstr when present and is a nil value when detached.

Elements after this point are dependent on the specific message type.

COSE messages are built using the concept of layers to separate different types of cryptographic concepts. As an example of how this works, consider the COSE_Encrypt message ([Section 5.1](#)). This message type is broken into two layers: the content layer and the recipient layer. In the content layer, the plaintext is encrypted and information about the encrypted message is placed. In the recipient layer, the content encryption key (CEK) is encrypted and information

about how it is encrypted for each recipient is placed. A single layer version of the encryption message COSE_Encrypt0 ([Section 5.2](#)) is provided for cases where the CEK is pre-shared.

Identification of which type of message has been presented is done by the following methods:

1. The specific message type is known from the context. This may be defined by a marker in the containing structure or by restrictions specified by the application protocol.
2. The message type is identified by a CBOR tag. Messages with a CBOR tag are known in this specification as tagged messages, while those without the CBOR tag are known as untagged messages. This document defines a CBOR tag for each of the message structures. These tags can be found in Table 1.
3. When a COSE object is carried in a media type of 'application/cose', the optional parameter 'cose-type' can be used to identify the embedded object. The parameter is OPTIONAL if the tagged version of the structure is used. The parameter is REQUIRED if the untagged version of the structure is used. The value to use with the parameter for each of the structures can be found in Table 1.
4. When a COSE object is carried as a CoAP payload, the CoAP Content-Format Option can be used to identify the message content. The CoAP Content-Format values can be found in Table 2. The CBOR tag for the message structure is not required as each security message is uniquely identified.

| CBOR Tag | cose-type | Data Item | Semantics |
|----------|---------------|---------------|---|
| 98 | cose-sign | COSE_Sign | COSE Signed Data Object |
| 18 | cose-sign1 | COSE_Sign1 | COSE Single Signer Data Object |
| 96 | cose-encrypt | COSE_Encrypt | COSE Encrypted Data Object |
| 16 | cose-encrypt0 | COSE_Encrypt0 | COSE Single Recipient Encrypted Data Object |
| 97 | cose-mac | COSE_Mac | COSE MACed Data Object |
| 17 | cose-mac0 | COSE_Mac0 | COSE Mac w/o Recipients Object |

Table 1: COSE Message Identification

| Media Type | Encoding | ID | Reference |
|---|----------|-----|---------------------------|
| application/cose; cose-type="cose-sign" | | 98 | [RFC8152] |
| application/cose; cose-type="cose-sign1" | | 18 | [RFC8152] |
| application/cose; cose-type="cose-encrypt" | | 96 | [RFC8152] |
| application/cose; cose-type="cose-encrypt0" | | 16 | [RFC8152] |
| application/cose; cose-type="cose-mac" | | 97 | [RFC8152] |
| application/cose; cose-type="cose-mac0" | | 17 | [RFC8152] |
| application/cose-key | | 101 | [RFC8152] |
| application/cose-key-set | | 102 | [RFC8152] |

Table 2: CoAP Content-Formats for COSE

The following CDDL fragment identifies all of the top messages defined in this document. Separate non-terminals are defined for the tagged and the untagged versions of the messages.

```
COSE_Messages = COSE_Untagged_Message / COSE_Tagged_Message
```

```
COSE_Untagged_Message = COSE_Sign / COSE_Sign1 /
    COSE_Encrypt / COSE_Encrypt0 /
    COSE_Mac / COSE_Mac0
```

```
COSE_Tagged_Message = COSE_Sign_Tagged / COSE_Sign1_Tagged /
    COSE_Encrypt_Tagged / COSE_Encrypt0_Tagged /
    COSE_Mac_Tagged / COSE_Mac0_Tagged
```

3. Header Parameters

The structure of COSE has been designed to have two buckets of information that are not considered to be part of the payload itself, but are used for holding information about content, algorithms, keys, or evaluation hints for the processing of the layer. These two buckets are available for use in all of the structures except for keys. While these buckets are present, they may not all be usable in all instances. For example, while the protected bucket is defined as part of the recipient structure, some of the algorithms used for recipient structures do not provide for authenticated data. If this is the case, the protected bucket is left empty.

Both buckets are implemented as CBOR maps. The map key is a 'label' ([Section 1.4](#)). The value portion is dependent on the definition for the label. Both maps use the same set of label/value pairs. The integer and string values for labels have been divided into several sections including a standard range, a private range, and a range that is dependent on the algorithm selected. The defined labels can be found in the "COSE Header Parameters" IANA registry ([Section 15.2](#)).

Two buckets are provided for each layer:

protected: Contains parameters about the current layer that are cryptographically protected. This bucket **MUST** be empty if it is not going to be included in a cryptographic computation. This bucket is encoded in the message as a binary object. This value is obtained by CBOR encoding the protected map and wrapping it in a bstr object. Senders **SHOULD** encode a zero-length map as a zero-length byte string rather than as a zero-length map (encoded as h'a0'). The zero-length binary encoding is preferred because it is both shorter and the version used in the serialization structures for cryptographic computation. After encoding the map, the value is wrapped in the binary object. Recipients **MUST** accept both a zero-length binary value and a zero-length map encoded in the binary value. The wrapping allows for the encoding of the protected map to be transported with a greater chance that it will not be altered in transit. (Badly behaved intermediates could decode and re-encode, but this will result in a failure to verify unless the re-encoded byte string is identical to the decoded byte string.) This avoids the problem of all parties needing to be able to do a common canonical encoding.

unprotected: Contains parameters about the current layer that are not cryptographically protected.

Only parameters that deal with the current layer are to be placed at that layer. As an example of this, the parameter 'content type' describes the content of the message being carried in the message. As such, this parameter is placed only in the content layer and is not placed in the recipient or signature layers. In principle, one should be able to process any given layer without reference to any other layer. With the exception of the COSE_Sign structure, the only data that needs to cross layers is the cryptographic key.

The buckets are present in all of the security objects defined in this document. The fields in order are the 'protected' bucket (as a CBOR 'bstr' type) and then the 'unprotected' bucket (as a CBOR 'map' type). The presence of both buckets is required. The parameters that go into the buckets come from the IANA "COSE Header Parameters"

registry ([Section 15.2](#)). Some common parameters are defined in the next section, but a number of parameters are defined throughout this document.

Labels in each of the maps MUST be unique. When processing messages, if a label appears multiple times, the message MUST be rejected as malformed. Applications SHOULD verify that the same label does not occur in both the protected and unprotected headers. If the message is not rejected as malformed, attributes MUST be obtained from the protected bucket before they are obtained from the unprotected bucket.

The following CDDL fragment represents the two header buckets. A group "Headers" is defined in CDDL that represents the two buckets in which attributes are placed. This group is used to provide these two fields consistently in all locations. A type is also defined that represents the map of common headers.

```
Headers = (  
    protected : empty_or_serialized_map,  
    unprotected : header_map  
)  
  
header_map = {  
    Generic_Headers,  
    * label => values  
}  
  
empty_or_serialized_map = bstr .cbor header_map / bstr .size 0
```

[3.1.1.](#) Common COSE Headers Parameters

This section defines a set of common header parameters. A summary of these parameters can be found in Table 3. This table should be consulted to determine the value of label and the type of the value.

The set of header parameters defined in this section are:

alg: This parameter is used to indicate the algorithm used for the security processing. This parameter MUST be authenticated where the ability to do so exists. This support is provided by AEAD algorithms or construction (COSE_Sign, COSE_Sign0, COSE_Mac, and COSE_Mac0). This authentication can be done either by placing the parameter in the protected header bucket or as part of the externally supplied data. The value is taken from the "COSE Algorithms" registry (see [[COSE.Algorithms](#)]).

crit: The parameter is used to indicate which protected header labels an application that is processing a message is required to understand. Parameters defined in this document do not need to be included as they should be understood by all implementations. When present, this parameter **MUST** be placed in the protected header bucket. The array **MUST** have at least one value in it. Not all labels need to be included in the 'crit' parameter. The rules for deciding which header labels are placed in the array are:

- * Integer labels in the range of 0 to 8 **SHOULD** be omitted.
- * Integer labels in the range -1 to -128 can be omitted as they are algorithm dependent. If an application can correctly process an algorithm, it can be assumed that it will correctly process all of the common parameters associated with that algorithm. Integer labels in the range -129 to -65536 **SHOULD** be included as these would be less common parameters that might not be generally supported.
- * Labels for parameters required for an application **MAY** be omitted. Applications should have a statement if the label can be omitted.

The header parameter values indicated by 'crit' can be processed by either the security library code or an application using a security library; the only requirement is that the parameter is processed. If the 'crit' value list includes a value for which the parameter is not in the protected bucket, this is a fatal error in processing the message.

content type: This parameter is used to indicate the content type of the data in the payload or ciphertext fields. Integers are from the "CoAP Content-Formats" IANA registry table [[COAP.Formats](#)]. Text values following the syntax of "<type-name>/<subtype-name>" where <type-name> and <subtype-name> are defined in [Section 4.2 of \[RFC6838\]](#). Leading and trailing whitespace is also omitted. Textual content values along with parameters and subparameters can be located using the IANA "Media Types" registry. Applications **SHOULD** provide this parameter if the content structure is potentially ambiguous.

kid: This parameter identifies one piece of data that can be used as input to find the needed cryptographic key. The value of this parameter can be matched against the 'kid' member in a COSE_Key structure. Other methods of key distribution can define an equivalent field to be matched. Applications **MUST NOT** assume that 'kid' values are unique. There may be more than one key with the

same 'kid' value, so all of the keys associated with this 'kid' may need to be checked. The internal structure of 'kid' values is not defined and cannot be relied on by applications. Key identifier values are hints about which key to use. This is not a security-critical field. For this reason, it can be placed in the unprotected headers bucket.

IV: This parameter holds the Initialization Vector (IV) value. For some symmetric encryption algorithms, this may be referred to as a nonce. The IV can be placed in the unprotected header as modifying the IV will cause the decryption to yield plaintext that is readily detectable as garbled.

Partial IV: This parameter holds a part of the IV value. When using the COSE_Encrypt0 structure, a portion of the IV can be part of the context associated with the key. This field is used to carry a value that causes the IV to be changed for each message. The IV can be placed in the unprotected header as modifying the IV will cause the decryption to yield plaintext that is readily detectable as garbled. The 'Initialization Vector' and 'Partial Initialization Vector' parameters MUST NOT both be present in the same security layer.

The message IV is generated by the following steps:

1. Left-pad the Partial IV with zeros to the length of IV.
2. XOR the padded Partial IV with the context IV.

counter signature: This parameter holds one or more counter signature values. Counter signatures provide a method of having a second party sign some data. The counter signature parameter can occur as an unprotected attribute in any of the following structures: COSE_Sign1, COSE_Signature, COSE_Encrypt, COSE_recipient, COSE_Encrypt0, COSE_Mac, and COSE_Mac0. These structures all have the same beginning elements, so that a consistent calculation of the counter signature can be computed. Details on computing counter signatures are found in [Section 4.5](#).

| Name | Label | Value Type | Value Registry | Description |
|-------------------|-------|-------------------------------------|--|-----------------------------------|
| alg | 1 | int / tstr | COSE Algorithms registry | Cryptographic algorithm to use |
| crit | 2 | [+ label] | COSE Header Parameters registry | Critical headers to be understood |
| content type | 3 | tstr / uint | CoAP Content-Formats or Media Types registries | Content type of the payload |
| kid | 4 | bstr | | Key identifier |
| IV | 5 | bstr | | Full Initialization Vector |
| Partial IV | 6 | bstr | | Partial Initialization Vector |
| counter signature | 7 | COSE_Signature / [+ COSE_Signature] | | CBOR-encoded signature structure |

Table 3: Common Header Parameters

The CDDL fragment that represents the set of headers defined in this section is given below. Each of the headers is tagged as optional because they do not need to be in every map; headers required in specific maps are discussed above.

```
Generic_Headers = (
  ? 1 => int / tstr,    ; algorithm identifier
  ? 2 => [+label],      ; criticality
  ? 3 => tstr / int,     ; content type
  ? 4 => bstr,           ; key identifier
  ? 5 => bstr,           ; IV
  ? 6 => bstr,           ; Partial IV
  ? 7 => COSE_Signature / [+COSE_Signature] ; Counter signature
)
```


4. Signing Objects

COSE supports two different signature structures. COSE_Sign allows for one or more signatures to be applied to the same content. COSE_Sign1 is restricted to a single signer. The structures cannot be converted between each other; as the signature computation includes a parameter identifying which structure is being used, the converted structure will fail signature validation.

4.1. Signing with One or More Signers

The COSE_Sign structure allows for one or more signatures to be applied to a message payload. Parameters relating to the content and parameters relating to the signature are carried along with the signature itself. These parameters may be authenticated by the signature, or just present. An example of a parameter about the content is the content type. Examples of parameters about the signature would be the algorithm and key used to create the signature and counter signatures.

[RFC 5652](#) indicates that:

When more than one signature is present, the successful validation of one signature associated with a given signer is usually treated as a successful signature by that signer. However, there are some application environments where other rules are needed. An application that employs a rule other than one valid signature for each signer must specify those rules. Also, where simple matching of the signer identifier is not sufficient to determine whether the signatures were generated by the same signer, the application specification must describe how to determine which signatures were generated by the same signer. Support for different communities of recipients is the primary reason that signers choose to include more than one signature.

For example, the COSE_Sign structure might include signatures generated with the Edwards-curve Digital Signature Algorithm (EdDSA) [[RFC8032](#)] and with the Elliptic Curve Digital Signature Algorithm (ECDSA) [[DSS](#)]. This allows recipients to verify the signature associated with one algorithm or the other. More-detailed information on multiple signature evaluations can be found in [[RFC5752](#)].

The signature structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Sign structure is identified by the CBOR tag 98. The CDDL fragment that represents this is:


```
COSE_Sign_Tagged = #6.98(COSE_Sign)
```

A COSE Signed Message is defined in two parts. The CBOR object that carries the body and information about the body is called the COSE_Sign structure. The CBOR object that carries the signature and information about the signature is called the COSE_Signature structure. Examples of COSE Signed Messages can be found in [Appendix C.1](#).

The COSE_Sign structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

payload: This field contains the serialized content to be signed.

If the payload is not present in the message, the application is required to supply the payload separately. The payload is wrapped in a bstr to ensure that it is transported without changes. If the payload is transported separately ("detached content"), then a nil CBOR object is placed in this location, and it is the responsibility of the application to ensure that it will be transported without changes.

Note: When a signature with a message recovery algorithm is used ([Section 8](#)), the maximum number of bytes that can be recovered is the length of the payload. The size of the payload is reduced by the number of bytes that will be recovered. If all of the bytes of the payload are consumed, then the payload is encoded as a zero-length binary string rather than as being absent.

signatures: This field is an array of signatures. Each signature is represented as a COSE_Signature structure.

The CDDL fragment that represents the above text for COSE_Sign follows.

```
COSE_Sign = [  
    Headers,  
    payload : bstr / nil,  
    signatures : [+ COSE_Signature]  
]
```

The COSE_Signature structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

signature: This field contains the computed signature value. The type of the field is a bstr. Algorithms MUST specify padding if the signature value is not a multiple of 8 bits.

The CDDL fragment that represents the above text for COSE_Signature follows.

```
COSE_Signature = [  
    Headers,  
    signature : bstr  
]
```

[4.2.](#) Signing with One Signer

The COSE_Sign1 signature structure is used when only one signature is going to be placed on a message. The parameters dealing with the content and the signature are placed in the same pair of buckets rather than having the separation of COSE_Sign.

The structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Sign1 structure is identified by the CBOR tag 18. The CDDL fragment that represents this is:

```
COSE_Sign1_Tagged = #6.18(COSE_Sign1)
```

The CBOR object that carries the body, the signature, and the information about the body and signature is called the COSE_Sign1 structure. Examples of COSE_Sign1 messages can be found in [Appendix C.2](#).

The COSE_Sign1 structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

payload: This is as described in [Section 4.1](#).

signature: This field contains the computed signature value. The type of the field is a bstr.

The CDDL fragment that represents the above text for COSE_Sign1 follows.


```
COSE_Sign1 = [  
    Headers,  
    payload : bstr / nil,  
    signature : bstr  
]
```

4.3. Externally Supplied Data

One of the features offered in the COSE document is the ability for applications to provide additional data to be authenticated, but that is not carried as part of the COSE object. The primary reason for supporting this can be seen by looking at the CoAP message structure [[RFC7252](#)], where the facility exists for options to be carried before the payload. Examples of data that can be placed in this location would be the CoAP code or CoAP options. If the data is in the header section, then it is available for proxies to help in performing its operations. For example, the Accept Option can be used by a proxy to determine if an appropriate value is in the proxy's cache. But the sender can cause a failure at the server if a proxy, or an attacker, changes the set of accept values by including the field in the application supplied data.

This document describes the process for using a byte array of externally supplied authenticated data; the method of constructing the byte array is a function of the application. Applications that use this feature need to define how the externally supplied authenticated data is to be constructed. Such a construction needs to take into account the following issues:

- o If multiple items are included, applications need to ensure that the same byte string cannot be produced if there are different inputs. This could occur by appending the strings 'AB' and 'CDE' or by appending the strings 'ABC' and 'DE'. This is usually addressed by making fields a fixed width and/or encoding the length of the field as part of the output. Using options from CoAP [[RFC7252](#)] as an example, these fields use a TLV structure so they can be concatenated without any problems.
- o If multiple items are included, an order for the items needs to be defined. Using options from CoAP as an example, an application could state that the fields are to be ordered by the option number.
- o Applications need to ensure that the byte string is going to be the same on both sides. Using options from CoAP might give a problem if the same relative numbering is kept. An intermediate node could insert or remove an option, changing how the relative number is done. An application would need to specify that the

relative number must be re-encoded to be relative only to the options that are in the external data.

4.4. Signing and Verification Process

In order to create a signature, a well-defined byte string is needed. The Sig_structure is used to create the canonical form. This signing and verification process takes in the body information (COSE_Sign or COSE_Sign1), the signer information (COSE_Signature), and the application data (external source). A Sig_structure is a CBOR array. The fields of the Sig_structure in order are:

1. A text string identifying the context of the signature. The context string is:

"Signature" for signatures using the COSE_Signature structure.

"Signature1" for signatures using the COSE_Sign1 structure.

"CounterSignature" for signatures used as counter signature attributes.
2. The protected attributes from the body structure encoded in a bstr type. If there are no protected attributes, a bstr of length zero is used.
3. The protected attributes from the signer structure encoded in a bstr type. If there are no protected attributes, a bstr of length zero is used. This field is omitted for the COSE_Sign1 signature structure.
4. The protected attributes from the application encoded in a bstr type. If this field is not supplied, it defaults to a zero-length binary string. (See [Section 4.3](#) for application guidance on constructing this field.)
5. The payload to be signed encoded in a bstr type. The payload is placed here independent of how it is transported.

The CDDL fragment that describes the above text is:

```
Sig_structure = [  
  context : "Signature" / "Signature1" / "CounterSignature",  
  body_protected : empty_or_serialized_map,  
  ? sign_protected : empty_or_serialized_map,  
  external_aad : bstr,  
  payload : bstr  
]
```


How to compute a signature:

1. Create a Sig_structure and populate it with the appropriate fields.
2. Create the value ToBeSigned by encoding the Sig_structure to a byte string, using the encoding described in [Section 13](#).
3. Call the signature creation algorithm passing in K (the key to sign with), alg (the algorithm to sign with), and ToBeSigned (the value to sign).
4. Place the resulting signature value in the 'signature' field of the array.

The steps for verifying a signature are:

1. Create a Sig_structure object and populate it with the appropriate fields.
2. Create the value ToBeSigned by encoding the Sig_structure to a byte string, using the encoding described in [Section 13](#).
3. Call the signature verification algorithm passing in K (the key to verify with), alg (the algorithm used sign with), ToBeSigned (the value to sign), and sig (the signature to be verified).

In addition to performing the signature verification, the application performs the appropriate checks to ensure that the key is correctly paired with the signing identity and that the signing identity is authorized before performing actions.

[4.5](#). Computing Counter Signatures

Counter signatures provide a method of associating a different signature generated by different signers with some piece of content. This is normally used to provide a signature on a signature allowing for a proof that a signature existed at a given time (e.g., a Timestamp). In this document, we allow for counter signatures to exist in a greater number of environments. As an example, it is possible to place a counter signature in the unprotected attributes of a COSE_Encrypt object. This would allow for an intermediary to either verify that the encrypted byte string has not been modified, without being able to decrypt it, or assert that an encrypted byte string either existed at a given time or passed through it in terms of routing (e.g., a proxy signature).

An example of a counter signature on a signature can be found in [Appendix C.1.3](#). An example of a counter signature in an encryption object can be found in [Appendix C.3.3](#).

The creation and validation of counter signatures over the different items relies on the fact that the objects have the same structure. The elements are a set of protected attributes, a set of unprotected attributes, and a body, in that order. This means that the Sig_structure can be used in a uniform manner to get the byte string for processing a signature. If the counter signature is going to be computed over a COSE_Encrypt structure, the body_protected and payload items can be mapped into the Sig_structure in the same manner as from the COSE_Sign structure.

It should be noted that only a signature algorithm with appendix (see [Section 8](#)) can be used for counter signatures. This is because the body should be able to be processed without having to evaluate the counter signature, and this is not possible for signature schemes with message recovery.

5. Encryption Objects

COSE supports two different encryption structures. COSE_Encrypt0 is used when a recipient structure is not needed because the key to be used is known implicitly. COSE_Encrypt is used the rest of the time. This includes cases where there are multiple recipients or a recipient algorithm other than direct (i.e. pre-shared secret) is used.

5.1. Enveloped COSE Structure

The enveloped structure allows for one or more recipients of a message. There are provisions for parameters about the content and parameters about the recipient information to be carried in the message. The protected parameters associated with the content are authenticated by the content encryption algorithm. The protected parameters associated with the recipient are authenticated by the recipient algorithm (when the algorithm supports it). Examples of parameters about the content are the type of the content and the content encryption algorithm. Examples of parameters about the recipient are the recipient's key identifier and the recipient's encryption algorithm.

The same techniques and nearly the same structure is used for encrypting both the plaintext and the keys. This is different from the approach used by both "Cryptographic Message Syntax (CMS)" [[RFC5652](#)] and "JSON Web Encryption (JWE)" [[RFC7516](#)] where different structures are used for the content layer and for the recipient

layer. Two structures are defined: COSE_Encrypt to hold the encrypted content and COSE_recipient to hold the encrypted keys for recipients. Examples of encrypted messages can be found in [Appendix C.3](#).

The COSE_Encrypt structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Encrypt structure is identified by the CBOR tag 96. The CDDL fragment that represents this is:

```
COSE_Encrypt_Tagged = #6.96(COSE_Encrypt)
```

The COSE_Encrypt structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

ciphertext: This field contains the ciphertext encoded as a bstr. If the ciphertext is to be transported independently of the control information about the encryption process (i.e., detached content), then the field is encoded as a nil value.

recipients: This field contains an array of recipient information structures. The type for the recipient information structure is a COSE_recipient.

The CDDL fragment that corresponds to the above text is:

```
COSE_Encrypt = [  
    Headers,  
    ciphertext : bstr / nil,  
    recipients : [+COSE_recipient]  
]
```

The COSE_recipient structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

ciphertext: This field contains the encrypted key encoded as a bstr. All encoded keys are symmetric keys; the binary value of the key is the content. If there is not an encrypted key, then this field is encoded as a nil value.

recipients: This field contains an array of recipient information structures. The type for the recipient information structure is a COSE_recipient (an example of this can be found in [Appendix B](#)). If there are no recipient information structures, this element is absent.

The CDDL fragment that corresponds to the above text for COSE_recipient is:

```
COSE_recipient = [  
    Headers,  
    ciphertext : bstr / nil,  
    ? recipients : [+COSE_recipient]  
]
```

[5.1.1](#). Content Key Distribution Methods

An encrypted message consists of an encrypted content and an encrypted CEK for one or more recipients. The CEK is encrypted for each recipient, using a key specific to that recipient. The details of this encryption depend on which class the recipient algorithm falls into. Specific details on each of the classes can be found in [Section 12](#). A short summary of the five content key distribution methods is:

direct: The CEK is the same as the identified previously distributed symmetric key or is derived from a previously distributed secret. No CEK is transported in the message.

symmetric key-encryption keys (KEK): The CEK is encrypted using a previously distributed symmetric KEK. Also known as key wrap.

key agreement: The recipient's public key and a sender's private key are used to generate a pairwise secret, a Key Derivation Function (KDF) is applied to derive a key, and then the CEK is either the derived key or encrypted by the derived key.

key transport: The CEK is encrypted with the recipient's public key. No key transport algorithms are defined in this document.

passwords: The CEK is encrypted in a KEK that is derived from a password. No password algorithms are defined in this document.

[5.2](#). Single Recipient Encrypted

The COSE_Encrypt0 encrypted structure does not have the ability to specify recipients of the message. The structure assumes that the recipient of the object will already know the identity of the key to

be used in order to decrypt the message. If a key needs to be identified to the recipient, the enveloped structure ought to be used.

Examples of encrypted messages can be found in [Appendix C.3](#).

The COSE_Encrypt0 structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Encrypt0 structure is identified by the CBOR tag 16. The CDDL fragment that represents this is:

```
COSE_Encrypt0_Tagged = #6.16(COSE_Encrypt0)
```

The COSE_Encrypt0 structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

ciphertext: This is as described in [Section 5.1](#).

The CDDL fragment for COSE_Encrypt0 that corresponds to the above text is:

```
COSE_Encrypt0 = [  
    Headers,  
    ciphertext : bstr / nil,  
]
```

[5.3](#). How to Encrypt and Decrypt for AEAD Algorithms

The encryption algorithm for AEAD algorithms is fairly simple. The first step is to create a consistent byte string for the authenticated data structure. For this purpose, we use an Enc_structure. The Enc_structure is a CBOR array. The fields of the Enc_structure in order are:

1. A text string identifying the context of the authenticated data structure. The context string is:

"Encrypt0" for the content encryption of a COSE_Encrypt0 data structure.

"Encrypt" for the first layer of a COSE_Encrypt data structure (i.e., for content encryption).

"Enc_Recipient" for a recipient encoding to be placed in a COSE_Encrypt data structure.

"Mac_Recipient" for a recipient encoding to be placed in a MACed message structure.

"Rec_Recipient" for a recipient encoding to be placed in a recipient structure.

2. The protected attributes from the body structure encoded in a bstr type. If there are no protected attributes, a bstr of length zero is used.
3. The protected attributes from the application encoded in a bstr type. If this field is not supplied, it defaults to a zero-length bstr. (See [Section 4.3](#) for application guidance on constructing this field.)

The CDDL fragment that describes the above text is:

```
Enc_structure = [  
  context : "Encrypt" / "Encrypt0" / "Enc_Recipient" /  
    "Mac_Recipient" / "Rec_Recipient",  
  protected : empty_or_serialized_map,  
  external_aad : bstr  
]
```

How to encrypt a message:

1. Create an Enc_structure and populate it with the appropriate fields.
2. Encode the Enc_structure to a byte string (Additional Authenticated Data (AAD)), using the encoding described in [Section 13](#).
3. Determine the encryption key (K). This step is dependent on the class of recipient algorithm being used. For:

No Recipients: The key to be used is determined by the algorithm and key at the current layer. Examples are key transport keys ([Section 12.3](#)), key wrap keys ([Section 12.2](#)), or pre-shared secrets.

Direct Encryption and Direct Key Agreement: The key is determined by the key and algorithm in the recipient structure. The encryption algorithm and size of the key to be used are inputs into the KDF used for the recipient. (For

direct, the KDF can be thought of as the identity operation.)
Examples of these algorithms are found in Sections !!! DIRECT-
KDF !!! and !!! ECDH !!! of
[\[I-D.schaad-cose-rfc8152bis-algs\]](#).

Other: The key is randomly or pseudorandomly generated.

4. Call the encryption algorithm with K (the encryption key), P (the plaintext), and AAD. Place the returned ciphertext into the 'ciphertext' field of the structure.
5. For recipients of the message, recursively perform the encryption algorithm for that recipient, using K (the encryption key) as the plaintext.

How to decrypt a message:

1. Create an Enc_structure and populate it with the appropriate fields.
2. Encode the Enc_structure to a byte string (AAD), using the encoding described in [Section 13](#).
3. Determine the decryption key. This step is dependent on the class of recipient algorithm being used. For:

No Recipients: The key to be used is determined by the algorithm and key at the current layer. Examples are key transport keys ([Section 12.3](#)), key wrap keys ([Section 12.2](#)), or pre-shared secrets.

Direct Encryption and Direct Key Agreement: The key is determined by the key and algorithm in the recipient structure. The encryption algorithm and size of the key to be used are inputs into the KDF used for the recipient. (For direct, the KDF can be thought of as the identity operation.)

Other: The key is determined by decoding and decrypting one of the recipient structures.

4. Call the decryption algorithm with K (the decryption key to use), C (the ciphertext), and AAD.

[5.4.](#) How to Encrypt and Decrypt for AE Algorithms

How to encrypt a message:

1. Verify that the 'protected' field is empty.

2. Verify that there was no external additional authenticated data supplied for this operation.
3. Determine the encryption key. This step is dependent on the class of recipient algorithm being used. For:

No Recipients: The key to be used is determined by the algorithm and key at the current layer. Examples are key transport keys ([Section 12.3](#)), key wrap keys ([Section 12.2](#)), or pre-shared secrets.

Direct Encryption and Direct Key Agreement: The key is determined by the key and algorithm in the recipient structure. The encryption algorithm and size of the key to be used are inputs into the KDF used for the recipient. (For direct, the KDF can be thought of as the identity operation.) Examples of these algorithms are found in Sections !!!DIRECT-KDF!!! and !!!ECDH !!! .

Other: The key is randomly generated.

4. Call the encryption algorithm with K (the encryption key to use) and P (the plaintext). Place the returned ciphertext into the 'ciphertext' field of the structure.
5. For recipients of the message, recursively perform the encryption algorithm for that recipient, using K (the encryption key) as the plaintext.

How to decrypt a message:

1. Verify that the 'protected' field is empty.
2. Verify that there was no external additional authenticated data supplied for this operation.
3. Determine the decryption key. This step is dependent on the class of recipient algorithm being used. For:

No Recipients: The key to be used is determined by the algorithm and key at the current layer. Examples are key transport keys ([Section 12.3](#)), key wrap keys ([Section 12.2](#)), or pre-shared secrets.

Direct Encryption and Direct Key Agreement: The key is determined by the key and algorithm in the recipient structure. The encryption algorithm and size of the key to be used are inputs into the KDF used for the recipient. (For

direct, the KDF can be thought of as the identity operation.)
Examples of these algorithms are found in Sections !!! DIRECT-
KDF !!! and !!! ECDH !!! .

Other: The key is determined by decoding and decrypting one of
the recipient structures.

4. Call the decryption algorithm with K (the decryption key to use)
and C (the ciphertext).

6. MAC Objects

COSE supports two different MAC structures. COSE_MAC0 is used when a recipient structure is not needed because the key to be used is implicitly known. COSE_MAC is used for all other cases. These include a requirement for multiple recipients, the key being unknown, and a recipient algorithm of other than direct.

In this section, we describe the structure and methods to be used when doing MAC authentication in COSE. This document allows for the use of all of the same classes of recipient algorithms as are allowed for encryption.

When using MAC operations, there are two modes in which they can be used. The first is just a check that the content has not been changed since the MAC was computed. Any class of recipient algorithm can be used for this purpose. The second mode is to both check that the content has not been changed since the MAC was computed and to use the recipient algorithm to verify who sent it. The classes of recipient algorithms that support this are those that use a pre-shared secret or do static-static (SS) key agreement (without the key wrap step). In both of these cases, the entity that created and sent the message MAC can be validated. (This knowledge of the sender assumes that there are only two parties involved and that you did not send the message to yourself.) The origination property can be obtained with both of the MAC message structures.

6.1. MACed Message with Recipients

The multiple recipient MACed message uses two structures: the COSE_Mac structure defined in this section for carrying the body and the COSE_recipient structure ([Section 5.1](#)) to hold the key used for the MAC computation. Examples of MACed messages can be found in [Appendix C.5](#).

The MAC structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Mac

structure is identified by the CBOR tag 97. The CDDL fragment that represents this is:

```
COSE_Mac_Tagged = #6.97(COSE_Mac)
```

The COSE_Mac structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

payload: This field contains the serialized content to be MACed. If the payload is not present in the message, the application is required to supply the payload separately. The payload is wrapped in a bstr to ensure that it is transported without changes. If the payload is transported separately (i.e., detached content), then a nil CBOR value is placed in this location, and it is the responsibility of the application to ensure that it will be transported without changes.

tag: This field contains the MAC value.

recipients: This is as described in [Section 5.1](#).

The CDDL fragment that represents the above text for COSE_Mac follows.

```
COSE_Mac = [  
    Headers,  
    payload : bstr / nil,  
    tag : bstr,  
    recipients : [+COSE_recipient]  
]
```

[6.2](#). MACed Messages with Implicit Key

In this section, we describe the structure and methods to be used when doing MAC authentication for those cases where the recipient is implicitly known.

The MACed message uses the COSE_Mac0 structure defined in this section for carrying the body. Examples of MACed messages with an implicit key can be found in [Appendix C.6](#).

The MAC structure can be encoded as either tagged or untagged depending on the context it will be used in. A tagged COSE_Mac0

structure is identified by the CBOR tag 17. The CDDL fragment that represents this is:

```
COSE_Mac0_Tagged = #6.17(COSE_Mac0)
```

The COSE_Mac0 structure is a CBOR array. The fields of the array in order are:

protected: This is as described in [Section 3](#).

unprotected: This is as described in [Section 3](#).

payload: This is as described in [Section 6.1](#).

tag: This field contains the MAC value.

The CDDL fragment that corresponds to the above text is:

```
COSE_Mac0 = [  
    Headers,  
    payload : bstr / nil,  
    tag : bstr,  
]
```

[6.3](#). How to Compute and Verify a MAC

In order to get a consistent encoding of the data to be authenticated, the MAC_structure is used to have a canonical form. The MAC_structure is a CBOR array. The fields of the MAC_structure in order are:

1. A text string that identifies the structure that is being encoded. This string is "MAC" for the COSE_Mac structure. This string is "MAC0" for the COSE_Mac0 structure.
2. The protected attributes from the COSE_MAC structure. If there are no protected attributes, a zero-length bstr is used.
3. The protected attributes from the application encoded as a bstr type. If this field is not supplied, it defaults to a zero-length binary string. (See [Section 4.3](#) for application guidance on constructing this field.)
4. The payload to be MACed encoded in a bstr type. The payload is placed here independent of how it is transported.

The CDDL fragment that corresponds to the above text is:


```
MAC_structure = [  
    context : "MAC" / "MAC0",  
    protected : empty_or_serialized_map,  
    external_aad : bstr,  
    payload : bstr  
]
```

The steps to compute a MAC are:

1. Create a MAC_structure and populate it with the appropriate fields.
2. Create the value ToBeMaced by encoding the MAC_structure to a byte string, using the encoding described in [Section 13](#).
3. Call the MAC creation algorithm passing in K (the key to use), alg (the algorithm to MAC with), and ToBeMaced (the value to compute the MAC on).
4. Place the resulting MAC in the 'tag' field of the COSE_Mac or COSE_Mac0 structure.
5. For COSE_Mac structures, encrypt and encode the MAC key for each recipient of the message.

The steps to verify a MAC are:

1. Create a MAC_structure object and populate it with the appropriate fields.
2. Create the value ToBeMaced by encoding the MAC_structure to a byte string, using the encoding described in [Section 13](#).
3. For COSE_Mac structures, obtain the cryptographic key from one of the recipients of the message.
4. Call the MAC creation algorithm passing in K (the key to use), alg (the algorithm to MAC with), and ToBeMaced (the value to compute the MAC on).
5. Compare the MAC value to the 'tag' field of the COSE_Mac or COSE_Mac0 structure.

[7.](#) Key Objects

A COSE Key structure is built on a CBOR map object. The set of common parameters that can appear in a COSE Key can be found in the IANA "COSE Key Common Parameters" registry ([Section 15.4](#)).

Additional parameters defined for specific key types can be found in the IANA "COSE Key Type Parameters" registry ([[COSE.KeyParameters](#)]).

A COSE Key Set uses a CBOR array object as its underlying type. The values of the array elements are COSE Keys. A COSE Key Set MUST have at least one element in the array. Examples of COSE Key Sets can be found in [Appendix C.7](#).

Each element in a COSE Key Set MUST be processed independently. If one element in a COSE Key Set is either malformed or uses a key that is not understood by an application, that key is ignored and the other keys are processed normally.

The element "kty" is a required element in a COSE_Key map.

The CDDL grammar describing COSE_Key and COSE_KeySet is:

```
COSE_Key = {  
    1 => tstr / int,          ; kty  
    ? 2 => bstr,              ; kid  
    ? 3 => tstr / int,        ; alg  
    ? 4 => [+ (tstr / int) ], ; key_ops  
    ? 5 => bstr,              ; Base IV  
    * label => values  
}  
  
COSE_KeySet = [+COSE_Key]
```

[7.1.](#) COSE Key Common Parameters

This document defines a set of common parameters for a COSE Key object. Table 4 provides a summary of the parameters defined in this section. There are also parameters that are defined for specific key types. Key-type-specific parameters can be found in [[I-D.schaad-cose-rfc8152bis-algs](#)].

| Name | Label | CBOR Type | Value Registry | Description |
|---------|-------|----------------|-----------------|---|
| kty | 1 | tstr / int | COSE Key Types | Identification of the key type |
| kid | 2 | bstr | | Key identification value -- match to kid in message |
| alg | 3 | tstr / int | COSE Algorithms | Key usage restriction to this algorithm |
| key_ops | 4 | [+ (tstr/int)] | | Restrict set of permissible operations |
| Base IV | 5 | bstr | | Base IV to be xor-ed with Partial IVs |

Table 4: Key Map Labels

kty: This parameter is used to identify the family of keys for this structure and, thus, the set of key-type-specific parameters to be found. The set of values defined in this document can be found in [[COSE.KeyTypes](#)]. This parameter MUST be present in a key object. Implementations MUST verify that the key type is appropriate for the algorithm being processed. The key type MUST be included as part of the trust decision process.

alg: This parameter is used to restrict the algorithm that is used with the key. If this parameter is present in the key structure, the application MUST verify that this algorithm matches the algorithm for which the key is being used. If the algorithms do not match, then this key object MUST NOT be used to perform the cryptographic operation. Note that the same key can be in a different key structure with a different or no algorithm specified; however, this is considered to be a poor security practice.

kid: This parameter is used to give an identifier for a key. The identifier is not structured and can be anything from a user-provided string to a value computed on the public portion of the

key. This field is intended for matching against a 'kid' parameter in a message in order to filter down the set of keys that need to be checked.

key_ops: This parameter is defined to restrict the set of operations that a key is to be used for. The value of the field is an array of values from Table 5. Algorithms define the values of key ops that are permitted to appear and are required for specific operations. The set of values matches that in [[RFC7517](#)] and [[W3C.WebCrypto](#)].

Base IV: This parameter is defined to carry the base portion of an IV. It is designed to be used with the Partial IV header parameter defined in [Section 3.1](#). This field provides the ability to associate a Partial IV with a key that is then modified on a per message basis with the Partial IV.

Extreme care needs to be taken when using a Base IV in an application. Many encryption algorithms lose security if the same IV is used twice.

If different keys are derived for each sender, using the same Base IV with Partial IVs starting at zero is likely to ensure that the IV would not be used twice for a single key. If different keys are derived for each sender, starting at the same Base IV is likely to satisfy this condition. If the same key is used for multiple senders, then the application needs to provide for a method of dividing the IV space up between the senders. This could be done by providing a different base point to start from or a different Partial IV to start with and restricting the number of messages to be sent before rekeying.

| Name | Value | Description |
|-------------|-------|---|
| sign | 1 | The key is used to create signatures. Requires private key fields. |
| verify | 2 | The key is used for verification of signatures. |
| encrypt | 3 | The key is used for key transport encryption. |
| decrypt | 4 | The key is used for key transport decryption. Requires private key fields. |
| wrap key | 5 | The key is used for key wrap encryption. |
| unwrap key | 6 | The key is used for key wrap decryption. Requires private key fields. |
| derive key | 7 | The key is used for deriving keys. Requires private key fields. |
| derive bits | 8 | The key is used for deriving bits not to be used as a key. Requires private key fields. |
| MAC create | 9 | The key is used for creating MACs. |
| MAC verify | 10 | The key is used for validating MACs. |

Table 5: Key Operation Values

8. Signature Algorithms

There are two signature algorithm schemes. The first is signature with appendix. In this scheme, the message content is processed and a signature is produced; the signature is called the appendix. This is the scheme used by algorithms such as ECDSA and the RSA Probabilistic Signature Scheme (RSASSA-PSS). (In fact, the SSA in RSASSA-PSS stands for Signature Scheme with Appendix.)

The signature functions for this scheme are:

```
signature = Sign(message content, key)
```

```
valid = Verification(message content, key, signature)
```

The second scheme is signature with message recovery (an example of such an algorithm is [PVSig](#)). In this scheme, the message content is processed, but part of it is included in the signature. Moving bytes of the message content into the signature allows for smaller signatures; the signature size is still potentially large, but the message content has shrunk. This has implications for systems implementing these algorithms and for applications that use them. The first is that the message content is not fully available until

after a signature has been validated. Until that point, the part of the message contained inside of the signature is unrecoverable. The second is that the security analysis of the strength of the signature is very much based on the structure of the message content. Messages that are highly predictable require additional randomness to be supplied as part of the signature process. In the worst case, it becomes the same as doing a signature with appendix. Finally, in the event that multiple signatures are applied to a message, all of the signature algorithms are going to be required to consume the same number of bytes of message content. This means that the mixing of the different schemes in a single message is not supported, and if a recovery signature scheme is used, then the same amount of content needs to be consumed by all of the signatures.

The signature functions for this scheme are:

```
signature, message sent = Sign(message content, key)
```

```
valid, message content = Verification(message sent, key, signature)
```

Signature algorithms are used with the COSE_Signature and COSE_Sign1 structures. At this time, only signatures with appendixes are defined for use with COSE; however, considerable interest has been expressed in using a signature with message recovery algorithm due to the effective size reduction that is possible. Implementations will need to keep this in mind for later possible integration.

9. Message Authentication Code (MAC) Algorithms

Message Authentication Codes (MACs) provide data authentication and integrity protection. They provide either no or very limited data origination. A MAC, for example, cannot be used to prove the identity of the sender to a third party.

MACs use the same scheme as signature with appendix algorithms. The message content is processed and an authentication code is produced. The authentication code is frequently called a tag.

The MAC functions are:

```
tag = MAC_Create(message content, key)
```

```
valid = MAC_Verify(message content, key, tag)
```

MAC algorithms can be based on either a block cipher algorithm (i.e., AES-MAC) or a hash algorithm (i.e., a Hash-based Message Authentication Code (HMAC)). This document defines a MAC algorithm using each of these constructions.

MAC algorithms are used in the COSE_Mac and COSE_Mac0 structures.

10. Content Encryption Algorithms

Content encryption algorithms provide data confidentiality for potentially large blocks of data using a symmetric key. They provide integrity on the data that was encrypted; however, they provide either no or very limited data origination. (One cannot, for example, be used to prove the identity of the sender to a third party.) The ability to provide data origination is linked to how the CEK is obtained.

COSE restricts the set of legal content encryption algorithms to those that support authentication both of the content and additional data. The encryption process will generate some type of authentication value, but that value may be either explicit or implicit in terms of the algorithm definition. For simplicity's sake, the authentication code will normally be defined as being appended to the ciphertext stream. The encryption functions are:

```
ciphertext = Encrypt(message content, key, additional data)
```

```
valid, message content = Decrypt(ciphertext, key, additional data)
```

Most AEAD algorithms are logically defined as returning the message content only if the decryption is valid. Many but not all implementations will follow this convention. The message content MUST NOT be used if the decryption does not validate.

These algorithms are used in COSE_Encrypt and COSE_Encrypt0.

11. Key Derivation Functions (KDFs)

KDFs are used to take some secret value and generate a different one. The secret value comes in three flavors:

- o Secrets that are uniformly random: This is the type of secret that is created by a good random number generator.
- o Secrets that are not uniformly random: This is type of secret that is created by operations like key agreement.
- o Secrets that are not random: This is the type of secret that people generate for things like passwords.

General KDFs work well with the first type of secret, can do reasonably well with the second type of secret, and generally do

poorly with the last type of secret. Functions like PBES2 [[RFC8018](#)] need to be used for non-random secrets.

The same KDF can be set up to deal with the first two types of secrets in a different way. The KDF defined in !!! HKDF !!! (section XXXX of [[I-D.schaad-cose-rfc8152bis-algs](#)]) is such a function. This is reflected in the set of algorithms defined around the HMAC-based Extract-and-Expand Key Derivation Function (HKDF).

When using KDFs, one component that is included is context information. Context information is used to allow for different keying information to be derived from the same secret. The use of context-based keying material is considered to be a good security practice.

[12.](#) Content Key Distribution Methods

Content key distribution methods (recipient algorithms) can be defined into a number of different classes. COSE has the ability to support many classes of recipient algorithms. In this section, a number of classes are listed. The names of the recipient algorithm classes used here are the same as those defined in [[RFC7516](#)]. Other specifications use different terms for the recipient algorithm classes or do not support some of the recipient algorithm classes.

[12.1.](#) Direct Encryption

The direct encryption class algorithms share a secret between the sender and the recipient that is used either directly or after manipulation as the CEK. When direct encryption mode is used, it MUST be the only mode used on the message.

The COSE_Recipient structure for the recipient is organized as follows:

- o The 'protected' field MUST be a zero-length item unless it is used in the computation of the content key.
- o The 'alg' parameter MUST be present.
- o A parameter identifying the shared secret SHOULD be present.
- o The 'ciphertext' field MUST be a zero-length item.
- o The 'recipients' field MUST be absent.

12.2. Key Wrap

In key wrap mode, the CEK is randomly generated and that key is then encrypted by a shared secret between the sender and the recipient. All of the currently defined key wrap algorithms for COSE are AE algorithms. Key wrap mode is considered to be superior to direct encryption if the system has any capability for doing random key generation. This is because the shared key is used to wrap random data rather than data that has some degree of organization and may in fact be repeating the same content. The use of key wrap loses the weak data origination that is provided by the direct encryption algorithms.

The COSE_Encrypt structure for the recipient is organized as follows:

- o The 'protected' field MUST be absent if the key wrap algorithm is an AE algorithm.
- o The 'recipients' field is normally absent, but can be used. Applications MUST deal with a recipient field being present that has an unsupported algorithms, not being able to decrypt that recipient is an acceptable way of dealing with it. Failing to process the message is not an acceptable way of dealing with it.
- o The plaintext to be encrypted is the key from next layer down (usually the content layer).
- o At a minimum, the 'unprotected' field MUST contain the 'alg' parameter and SHOULD contain a parameter identifying the shared secret.

12.3. Key Transport

Key transport mode is also called key encryption mode in some standards. Key transport mode differs from key wrap mode in that it uses an asymmetric encryption algorithm rather than a symmetric encryption algorithm to protect the key. This document does not define any key transport mode algorithms.

When using a key transport algorithm, the COSE_Encrypt structure for the recipient is organized as follows:

- o The 'protected' field MUST be absent.
- o The plaintext to be encrypted is the key from the next layer down (usually the content layer).

- o At a minimum, the 'unprotected' field MUST contain the 'alg' parameter and SHOULD contain a parameter identifying the asymmetric key.

12.4. Direct Key Agreement

The 'direct key agreement' class of recipient algorithms uses a key agreement method to create a shared secret. A KDF is then applied to the shared secret to derive a key to be used in protecting the data. This key is normally used as a CEK or MAC key, but could be used for other purposes if more than two layers are in use (see [Appendix B](#)).

The most commonly used key agreement algorithm is Diffie-Hellman, but other variants exist. Since COSE is designed for a store and forward environment rather than an online environment, many of the DH variants cannot be used as the receiver of the message cannot provide any dynamic key material. One side effect of this is that perfect forward secrecy (see [[RFC4949](#)]) is not achievable. A static key will always be used for the receiver of the COSE object.

Two variants of DH that are supported are:

Ephemeral-Static (ES) DH: where the sender of the message creates a one-time DH key and uses a static key for the recipient. The use of the ephemeral sender key means that no additional random input is needed as this is randomly generated for each message.

Static-Static (SS) DH: where a static key is used for both the sender and the recipient. The use of static keys allows for the recipient to get a weak version of data origination for the message. When static-static key agreement is used, then some piece of unique data for the KDF is required to ensure that a different key is created for each message.

When direct key agreement mode is used, there MUST be only one recipient in the message. This method creates the key directly, and that makes it difficult to mix with additional recipients. If multiple recipients are needed, then the version with key wrap needs to be used.

The COSE_Encrypt structure for the recipient is organized as follows:

- o At a minimum, headers MUST contain the 'alg' parameter and SHOULD contain a parameter identifying the recipient's asymmetric key.
- o The headers SHOULD identify the sender's key for the static-static versions and MUST contain the sender's ephemeral key for the ephemeral-static versions.

12.5. Key Agreement with Key Wrap

Key Agreement with Key Wrap uses a randomly generated CEK. The CEK is then encrypted using a key wrap algorithm and a key derived from the shared secret computed by the key agreement algorithm. The function for this would be:

```
encryptedKey = KeyWrap(KDF(DH-Shared, context), CEK)
```

The COSE_Encrypt structure for the recipient is organized as follows:

- o The 'protected' field is fed into the KDF context structure.
- o The plaintext to be encrypted is the key from the next layer down (usually the content layer).
- o The 'alg' parameter MUST be present in the layer.
- o A parameter identifying the recipient's key SHOULD be present. A parameter identifying the sender's key SHOULD be present.

13. CBOR Encoder Restrictions

There has been an attempt to limit the number of places where the document needs to impose restrictions on how the CBOR Encoder needs to work. We have managed to narrow it down to the following restrictions:

- o The restriction applies to the encoding of the COSE_KDF_Context, the Sig_structure, the Enc_structure, and the MAC_structure.
- o The rules for "Canonical CBOR" ([Section 3.9 of RFC 7049](#)) MUST be used in these locations. The main rule that needs to be enforced is that all lengths in these structures MUST be encoded such that they are using definite lengths, and the minimum length encoding is used.
- o Applications MUST NOT generate messages with the same label used twice as a key in a single map. Applications MUST NOT parse and process messages with the same label used twice as a key in a single map. Applications can enforce the parse and process requirement by using parsers that will fail the parse step or by using parsers that will pass all keys to the application, and the application can perform the check for duplicate keys.

14. Application Profiling Considerations

This document is designed to provide a set of security services, but not impose algorithm implementation requirements for specific usage. The interoperability requirements are provided for how each of the individual services are used and how the algorithms are to be used for interoperability. The requirements about which algorithms and which services are needed are deferred to each application.

An example of a profile can be found in [\[I-D.ietf-core-object-security\]](#) where a profiles was developed for carrying content in combination with CoAP headers.

It is intended that a profile of this document be created that defines the interoperability requirements for that specific application. This section provides a set of guidelines and topics that need to be considered when profiling this document.

- o Applications need to determine the set of messages defined in this document that they will be using. The set of messages corresponds fairly directly to the set of security services that are needed and to the security levels needed.
- o Applications may define new header parameters for a specific purpose. Applications will often times select specific header parameters to use or not to use. For example, an application would normally state a preference for using either the IV or the Partial IV parameter. If the Partial IV parameter is specified, then the application also needs to define how the fixed portion of the IV is determined.
- o When applications use externally defined authenticated data, they need to define how that data is encoded. This document assumes that the data will be provided as a byte string. More information can be found in [Section 4.3](#).
- o Applications need to determine the set of security algorithms that are to be used. When selecting the algorithms to be used as the mandatory-to-implement set, consideration should be given to choosing different types of algorithms when two are chosen for a specific purpose. An example of this would be choosing HMAC-SHA512 and AES-CMAC as different MAC algorithms; the construction is vastly different between these two algorithms. This means that a weakening of one algorithm would be unlikely to lead to a weakening of the other algorithms. Of course, these algorithms do not provide the same level of security and thus may not be comparable for the desired security functionality.

- o Applications may need to provide some type of negotiation or discovery method if multiple algorithms or message structures are permitted. The method can be as simple as requiring preconfiguration of the set of algorithms to providing a discovery method built into the protocol. S/MIME provided a number of different ways to approach the problem that applications could follow:
 - * Advertising in the message (S/MIME capabilities) [[RFC5751](#)].
 - * Advertising in the certificate (capabilities extension) [[RFC4262](#)].
 - * Minimum requirements for the S/MIME, which have been updated over time [[RFC2633](#)] [[RFC5751](#)] (note that [[RFC2633](#)] has been obsoleted by [[RFC5751](#)]).

15. IANA Considerations

The registries and registrations listed below were created during processing of [RFC 8152](#) [[RFC8152](#)]. The only known action at this time is to update the references.

15.1. CBOR Tag Assignment

IANA assigned tags in the "CBOR Tags" registry as part of processing [[RFC8152](#)]. IANA is requested to update the references from [[RFC8152](#)] to this document.

15.2. COSE Header Parameters Registry

IANA created a registry titled "COSE Header Parameters" as part of processing [[RFC8152](#)]. The registry has been created to use the "Expert Review Required" registration procedure [[RFC8126](#)].

IANA is requested to update the reference for entries in the table from [[RFC8152](#)] to this document. This document does not update the expert review guidelines provided in [[RFC8152](#)].

15.3. COSE Header Algorithm Parameters Registry

IANA created a registry titled "COSE Header Algorithm Parameters" as part of processing [[RFC8152](#)]. The registry has been created to use the "Expert Review Required" registration procedure [[RFC8126](#)].

IANA is requested to update the references from [[RFC8152](#)] to this document. This document does not update the expert review guidelines provided in [[RFC8152](#)].

15.4. COSE Key Common Parameters Registry

IANA created a registry titled "COSE Key Common Parameters" as part of the processing of [\[RFC8152\]](#). The registry has been created to use the "Expert Review Required" registration procedure [\[RFC8126\]](#).

IANA is requested to update the reference for entries in the table from [\[RFC8152\]](#) to this document. This document does not update the expert review guidelines provided in [\[RFC8152\]](#).

15.5. Media Type Registrations

15.5.1. COSE Security Message

This section registers the 'application/cose' media type in the "Media Types" registry. These media types are used to indicate that the content is a COSE message.

Type name: application

Subtype name: cose

Required parameters: N/A

Optional parameters: cose-type

Encoding considerations: binary

Security considerations: See the Security Considerations section of [\[\[This Document\]\]](#).

Interoperability considerations: N/A

Published specification: [RFC 8152](#)

Applications that use this media type: IoT applications sending security content over HTTP(S) transports.

Fragment identifier considerations: N/A

Additional information:

- * Deprecated alias names for this type: N/A

- * Magic number(s): N/A

- * File extension(s): cbor

* Macintosh file type code(s): N/A

Person & email address to contact for further information:
iesg@ietf.org

Intended usage: COMMON

Restrictions on usage: N/A

Author: Jim Schaad, ietf@augustcellars.com

Change Controller: IESG

Provisional registration? No

15.5.2. COSE Key Media Type

This section registers the 'application/cose-key' and 'application/cose-key-set' media types in the "Media Types" registry. These media types are used to indicate, respectively, that content is a COSE_Key or COSE_KeySet object.

The template for registering 'application/cose-key' is:

Type name: application

Subtype name: cose-key

Required parameters: N/A

Optional parameters: N/A

Encoding considerations: binary

Security considerations: See the Security Considerations section of [[This Document]].

Interoperability considerations: N/A

Published specification: [RFC 8152](#)

Applications that use this media type: Distribution of COSE based keys for IoT applications.

Fragment identifier considerations: N/A

Additional information:

- * Deprecated alias names for this type: N/A

- * Magic number(s): N/A

- * File extension(s): cbor

- * Macintosh file type code(s): N/A

Person & email address to contact for further information:
iesg@ietf.org

Intended usage: COMMON

Restrictions on usage: N/A

Author: Jim Schaad, ietf@augustcellars.com

Change Controller: IESG

Provisional registration? No

The template for registering 'application/cose-key-set' is:

Type name: application

Subtype name: cose-key-set

Required parameters: N/A

Optional parameters: N/A

Encoding considerations: binary

Security considerations: See the Security Considerations section of [[This Document]].

Interoperability considerations: N/A

Published specification: [RFC 8152](#)

Applications that use this media type: Distribution of COSE based keys for IoT applications.

Fragment identifier considerations: N/A

Additional information:

- * Deprecated alias names for this type: N/A

* Magic number(s): N/A

* File extension(s): cbor

* Macintosh file type code(s): N/A

Person & email address to contact for further information:
iesg@ietf.org

Intended usage: COMMON

Restrictions on usage: N/A

Author: Jim Schaad, ietf@augustcellars.com

Change Controller: IESG

Provisional registration? No

15.6. CoAP Content-Formats Registry

IANA added the following entries to the "CoAP Content-Formats" registry while processing [[RFC8152](#)]. IANA is requested to update the reference value from [[RFC8152](#)] to [[This Document]].

15.7. Expert Review Instructions

All of the IANA registries established in this document are defined as expert review. This section gives some general guidelines for what the experts should be looking for, but they are being designated as experts for a reason, so they should be given substantial latitude.

Expert reviewers should take into consideration the following points:

- o Point squatting should be discouraged. Reviewers are encouraged to get sufficient information for registration requests to ensure that the usage is not going to duplicate one that is already registered, and that the point is likely to be used in deployments. The zones tagged as private use are intended for testing purposes and closed environments; code points in other ranges should not be assigned for testing.
- o Specifications are required for the standards track range of point assignment. Specifications should exist for specification required ranges, but early assignment before a specification is available is considered to be permissible. Specifications are needed for the first-come, first-serve range if they are expected

to be used outside of closed environments in an interoperable way. When specifications are not provided, the description provided needs to have sufficient information to identify what the point is being used for.

- o Experts should take into account the expected usage of fields when approving point assignment. The fact that there is a range for standards track documents does not mean that a standards track document cannot have points assigned outside of that range. The length of the encoded value should be weighed against how many code points of that length are left, the size of device it will be used on, and the number of code points left that encode to that size.
- o When algorithms are registered, vanity registrations should be discouraged. One way to do this is to require registrations to provide additional documentation on security analysis of the algorithm. Another thing that should be considered is requesting an opinion on the algorithm from the Crypto Forum Research Group (CFRG). Algorithms that do not meet the security requirements of the community and the messages structures should not be registered.

16. Security Considerations

There are a number of security considerations that need to be taken into account by implementers of this specification. The security considerations that are specific to an individual algorithm are placed next to the description of the algorithm. While some considerations have been highlighted here, additional considerations may be found in the documents listed in the references.

Implementations need to protect the private key material for any individuals. There are some cases in this document that need to be highlighted on this issue.

- o Using the same key for two different algorithms can leak information about the key. It is therefore recommended that keys be restricted to a single algorithm.
- o Use of 'direct' as a recipient algorithm combined with a second recipient algorithm exposes the direct key to the second recipient.
- o Several of the algorithms in this document have limits on the number of times that a key can be used without leaking information about the key.

The use of ECDH and direct plus KDF (with no key wrap) will not directly lead to the private key being leaked; the one way function of the KDF will prevent that. There is, however, a different issue that needs to be addressed. Having two recipients requires that the CEK be shared between two recipients. The second recipient therefore has a CEK that was derived from material that can be used for the weak proof of origin. The second recipient could create a message using the same CEK and send it to the first recipient; the first recipient would, for either static-static ECDH or direct plus KDF, make an assumption that the CEK could be used for proof of origin even though it is from the wrong entity. If the key wrap step is added, then no proof of origin is implied and this is not an issue.

Although it has been mentioned before, the use of a single key for multiple algorithms has been demonstrated in some cases to leak information about a key, provide the opportunity for attackers to forge integrity tags, or gain information about encrypted content. Binding a key to a single algorithm prevents these problems. Key creators and key consumers are strongly encouraged not only to create new keys for each different algorithm, but to include that selection of algorithm in any distribution of key material and strictly enforce the matching of algorithms in the key structure to algorithms in the message structure. In addition to checking that algorithms are correct, the key form needs to be checked as well. Do not use an 'EC2' key where an 'OKP' key is expected.

Before using a key for transmission, or before acting on information received, a trust decision on a key needs to be made. Is the data or action something that the entity associated with the key has a right to see or a right to request? A number of factors are associated with this trust decision. Some of the ones that are highlighted here are:

- o What are the permissions associated with the key owner?
- o Is the cryptographic algorithm acceptable in the current context?
- o Have the restrictions associated with the key, such as algorithm or freshness, been checked and are they correct?
- o Is the request something that is reasonable, given the current state of the application?
- o Have any security considerations that are part of the message been enforced (as specified by the application or 'crit' parameter)?

There are a large number of algorithms presented in this document that use nonce values. For all of the nonces defined in this

document, there is some type of restriction on the nonce being a unique value either for a key or for some other conditions. In all of these cases, there is no known requirement on the nonce being both unique and unpredictable; under these circumstances, it's reasonable to use a counter for creation of the nonce. In cases where one wants the pattern of the nonce to be unpredictable as well as unique, one can use a key created for that purpose and encrypt the counter to produce the nonce value.

One area that has been starting to get exposure is doing traffic analysis of encrypted messages based on the length of the message. This specification does not provide for a uniform method of providing padding as part of the message structure. An observer can distinguish between two different strings (for example, 'YES' and 'NO') based on the length for all of the content encryption algorithms that are defined in this document. This means that it is up to the applications to document how content padding is to be done in order to prevent or discourage such analysis. (For example, the strings could be defined as 'YES' and 'NO '.)

17. References

17.1. Normative References

[COAP.Formats]

IANA, "CoAP Content-Formats",
<<https://www.iana.org/assignments/core-parameters/core-parameters.xhtml#content-formats>>.

[COSE.Algorithms]

IANA, "COSE Algorithms",
<<https://www.iana.org/assignments/cose/cose.xhtml#algorithms>>.

[COSE.KeyParameters]

IANA, "COSE Key Parameters",
<<https://www.iana.org/assignments/cose/cose.xhtml#algorithms>>.

[COSE.KeyTypes]

IANA, "COSE Key Types",
<<https://www.iana.org/assignments/cose/cose.xhtml#algorithms>>.

- [DSS] National Institute of Standards and Technology, "Digital Signature Standard (DSS)", FIPS PUB 186-4, DOI 10.6028/NIST.FIPS.186-4, July 2013, <<http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.186-4.pdf>>.
- [I-D.schaad-cose-rfc8152bis-algs]
Schaad, J., "CBOR Algorithms for Object Signing and Encryption (COSE)", [draft-schaad-cose-rfc8152bis-algs-00](#) (work in progress), August 2018.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC7049] Bormann, C. and P. Hoffman, "Concise Binary Object Representation (CBOR)", [RFC 7049](#), DOI 10.17487/RFC7049, October 2013, <<https://www.rfc-editor.org/info/rfc7049>>.
- [RFC8032] Josefsson, S. and I. Liusvaara, "Edwards-Curve Digital Signature Algorithm (EdDSA)", [RFC 8032](#), DOI 10.17487/RFC8032, January 2017, <<http://www.rfc-editor.org/info/rfc8032>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in [RFC 2119](#) Key Words", [BCP 14](#), [RFC 8174](#), DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.

[17.2. Informative References](#)

- [I-D.ietf-cbor-cddl]
Birkholz, H., Vigano, C., and C. Bormann, "Concise data definition language (CDDL): a notational convention to express CBOR and JSON data structures", [draft-ietf-cbor-cddl-06](#) (work in progress), November 2018.
- [I-D.ietf-core-object-security]
Selander, G., Mattsson, J., Palombini, F., and L. Seitz, "Object Security for Constrained RESTful Environments (OSCORE)", [draft-ietf-core-object-security-15](#) (work in progress), August 2018.
- [PVSig] Brown, D. and D. Johnson, "Formal Security Proofs for a Signature Scheme with Partial Message Recovery", DOI 10.1007/3-540-45353-9_11, LNCS Volume 2020, June 2000.

- [RFC2633] Ramsdell, B., Ed., "S/MIME Version 3 Message Specification", [RFC 2633](#), DOI 10.17487/RFC2633, June 1999, <<https://www.rfc-editor.org/info/rfc2633>>.
- [RFC4262] Santesson, S., "X.509 Certificate Extension for Secure/Multipurpose Internet Mail Extensions (S/MIME) Capabilities", [RFC 4262](#), DOI 10.17487/RFC4262, December 2005, <<https://www.rfc-editor.org/info/rfc4262>>.
- [RFC4949] Shirey, R., "Internet Security Glossary, Version 2", FYI 36, [RFC 4949](#), DOI 10.17487/RFC4949, August 2007, <<https://www.rfc-editor.org/info/rfc4949>>.
- [RFC5116] McGrew, D., "An Interface and Algorithms for Authenticated Encryption", [RFC 5116](#), DOI 10.17487/RFC5116, January 2008, <<https://www.rfc-editor.org/info/rfc5116>>.
- [RFC5652] Housley, R., "Cryptographic Message Syntax (CMS)", STD 70, [RFC 5652](#), DOI 10.17487/RFC5652, September 2009, <<https://www.rfc-editor.org/info/rfc5652>>.
- [RFC5751] Ramsdell, B. and S. Turner, "Secure/Multipurpose Internet Mail Extensions (S/MIME) Version 3.2 Message Specification", [RFC 5751](#), DOI 10.17487/RFC5751, January 2010, <<https://www.rfc-editor.org/info/rfc5751>>.
- [RFC5752] Turner, S. and J. Schaad, "Multiple Signatures in Cryptographic Message Syntax (CMS)", [RFC 5752](#), DOI 10.17487/RFC5752, January 2010, <<https://www.rfc-editor.org/info/rfc5752>>.
- [RFC5990] Randall, J., Kaliski, B., Brainard, J., and S. Turner, "Use of the RSA-KEM Key Transport Algorithm in the Cryptographic Message Syntax (CMS)", [RFC 5990](#), DOI 10.17487/RFC5990, September 2010, <<https://www.rfc-editor.org/info/rfc5990>>.
- [RFC6838] Freed, N., Klensin, J., and T. Hansen, "Media Type Specifications and Registration Procedures", [BCP 13](#), [RFC 6838](#), DOI 10.17487/RFC6838, January 2013, <<https://www.rfc-editor.org/info/rfc6838>>.
- [RFC7252] Shelby, Z., Hartke, K., and C. Bormann, "The Constrained Application Protocol (CoAP)", [RFC 7252](#), DOI 10.17487/RFC7252, June 2014, <<https://www.rfc-editor.org/info/rfc7252>>.

- [RFC7515] Jones, M., Bradley, J., and N. Sakimura, "JSON Web Signature (JWS)", [RFC 7515](#), DOI 10.17487/RFC7515, May 2015, <<https://www.rfc-editor.org/info/rfc7515>>.
- [RFC7516] Jones, M. and J. Hildebrand, "JSON Web Encryption (JWE)", [RFC 7516](#), DOI 10.17487/RFC7516, May 2015, <<https://www.rfc-editor.org/info/rfc7516>>.
- [RFC7517] Jones, M., "JSON Web Key (JWK)", [RFC 7517](#), DOI 10.17487/RFC7517, May 2015, <<https://www.rfc-editor.org/info/rfc7517>>.
- [RFC7518] Jones, M., "JSON Web Algorithms (JWA)", [RFC 7518](#), DOI 10.17487/RFC7518, May 2015, <<https://www.rfc-editor.org/info/rfc7518>>.
- [RFC8018] Moriarty, K., Ed., Kaliski, B., and A. Rusch, "PKCS #5: Password-Based Cryptography Specification Version 2.1", [RFC 8018](#), DOI 10.17487/RFC8018, January 2017, <<https://www.rfc-editor.org/info/rfc8018>>.
- [RFC8126] Cotton, M., Leiba, B., and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", [BCP 26](#), [RFC 8126](#), DOI 10.17487/RFC8126, June 2017, <<https://www.rfc-editor.org/info/rfc8126>>.
- [RFC8152] Schaad, J., "CBOR Object Signing and Encryption (COSE)", [RFC 8152](#), DOI 10.17487/RFC8152, July 2017, <<https://www.rfc-editor.org/info/rfc8152>>.
- [RFC8230] Jones, M., "Using RSA Algorithms with CBOR Object Signing and Encryption (COSE) Messages", [RFC 8230](#), DOI 10.17487/RFC8230, September 2017, <<https://www.rfc-editor.org/info/rfc8230>>.
- [RFC8259] Bray, T., Ed., "The JavaScript Object Notation (JSON) Data Interchange Format", STD 90, [RFC 8259](#), DOI 10.17487/RFC8259, December 2017, <<https://www.rfc-editor.org/info/rfc8259>>.
- [W3C.WebCrypto]
Watson, M., "Web Cryptography API", W3C Recommendation, January 2017, <<https://www.w3.org/TR/WebCryptoAPI/>>.

Appendix A. Guidelines for External Data Authentication of Algorithms

A portion of the working group has expressed a strong desire to relax the rule that the algorithm identifier be required to appear in each level of a COSE object. There are two basic reasons that have been advanced to support this position. First, the resulting message will be smaller if the algorithm identifier is omitted from the most common messages in a CoAP environment. Second, there is a potential bug that will arise if full checking is not done correctly between the different places that an algorithm identifier could be placed (the message itself, an application statement, the key structure that the sender possesses, and the key structure the recipient possesses).

This appendix lays out how such a change can be made and the details that an application needs to specify in order to use this option. Two different sets of details are specified: those needed to omit an algorithm identifier and those needed to use a variant on the counter signature attribute that contains no attributes about itself.

A.1. Algorithm Identification

In this section, three sets of recommendations are laid out. The first set of recommendations apply to having an implicit algorithm identified for a single layer of a COSE object. The second set of recommendations apply to having multiple implicit algorithms identified for multiple layers of a COSE object. The third set of recommendations apply to having implicit algorithms for multiple COSE object constructs.

The key words from [\[RFC2119\]](#) are deliberately not used here. This specification can provide recommendations, but it cannot enforce them.

This set of recommendations applies to the case where an application is distributing a fixed algorithm along with the key information for use in a single COSE object. This normally applies to the smallest of the COSE objects, specifically COSE_Sign1, COSE_Mac0, and COSE_Encrypt0, but could apply to the other structures as well.

The following items should be taken into account:

- o Applications need to list the set of COSE structures that implicit algorithms are to be used in. Applications need to require that the receipt of an explicit algorithm identifier in one of these structures will lead to the message being rejected. This requirement is stated so that there will never be a case where there is any ambiguity about the question of which algorithm should be used, the implicit or the explicit one. This applies

even if the transported algorithm identifier is a protected attribute. This applies even if the transported algorithm is the same as the implicit algorithm.

- o Applications need to define the set of information that is to be considered to be part of a context when omitting algorithm identifiers. At a minimum, this would be the key identifier (if needed), the key, the algorithm, and the COSE structure it is used with. Applications should restrict the use of a single key to a single algorithm. As noted for some of the algorithms in this document, the use of the same key in different related algorithms can lead to leakage of information about the key, leakage about the data or the ability to perform forgeries.
- o In many cases, applications that make the algorithm identifier implicit will also want to make the context identifier implicit for the same reason. That is, omitting the context identifier will decrease the message size (potentially significantly depending on the length of the identifier). Applications that do this will need to describe the circumstances where the context identifier is to be omitted and how the context identifier is to be inferred in these cases. (An exhaustive search over all of the keys would normally not be considered to be acceptable.) An example of how this can be done is to tie the context to a transaction identifier. Both would be sent on the original message, but only the transaction identifier would need to be sent after that point as the context is tied into the transaction identifier. Another way would be to associate a context with a network address. All messages coming from a single network address can be assumed to be associated with a specific context. (In this case, the address would normally be distributed as part of the context.)
- o Applications cannot rely on key identifiers being unique unless they take significant efforts to ensure that they are computed in such a way as to create this guarantee. Even when an application does this, the uniqueness might be violated if the application is run in different contexts (i.e., with a different context provider) or if the system combines the security contexts from different applications together into a single store.
- o Applications should continue the practice of protecting the algorithm identifier. Since this is not done by placing it in the protected attributes field, applications should define an application-specific external data structure that includes this value. This external data field can be used as such for content encryption, MAC, and signature algorithms. It can be used in the SuppPrivInfo field for those algorithms that use a KDF to derive a

key value. Applications may also want to protect other information that is part of the context structure as well. It should be noted that those fields, such as the key or a Base IV, are protected by virtue of being used in the cryptographic computation and do not need to be included in the external data field.

The second case is having multiple implicit algorithm identifiers specified for a multiple layer COSE object. An example of how this would work is the encryption context that an application specifies, which contains a content encryption algorithm, a key wrap algorithm, a key identifier, and a shared secret. The sender omits sending the algorithm identifier for both the content layer and the recipient layer leaving only the key identifier. The receiver then uses the key identifier to get the implicit algorithm identifiers.

The following additional items need to be taken into consideration:

- o Applications that want to support this will need to define a structure that allows for, and clearly identifies, both the COSE structure to be used with a given key and the structure and algorithm to be used for the secondary layer. The key for the secondary layer is computed as normal from the recipient layer.

The third case is having multiple implicit algorithm identifiers, but targeted at potentially unrelated layers or different COSE objects. There are a number of different scenarios where this might be applicable. Some of these scenarios are:

- o Two contexts are distributed as a pair. Each of the contexts is for use with a COSE_Encrypt message. Each context will consist of distinct secret keys and IVs and potentially even different algorithms. One context is for sending messages from party A to party B, and the second context is for sending messages from party B to party A. This means that there is no chance for a reflection attack to occur as each party uses different secret keys to send its messages; a message that is reflected back to it would fail to decrypt.
- o Two contexts are distributed as a pair. The first context is used for encryption of the message, and the second context is used to place a counter signature on the message. The intention is that the second context can be distributed to other entities independently of the first context. This allows these entities to validate that the message came from an individual without being able to decrypt the message and see the content.

- o Two contexts are distributed as a pair. The first context contains a key for dealing with MACed messages, and the second context contains a key for dealing with encrypted messages. This allows for a unified distribution of keys to participants for different types of messages that have different keys, but where the keys may be used in a coordinated manner.

For these cases, the following additional items need to be considered:

- o Applications need to ensure that the multiple contexts stay associated. If one of the contexts is invalidated for any reason, all of the contexts associated with it should also be invalidated.

A.2. Counter Signature without Headers

There is a group of people who want to have a counter signature parameter that is directly tied to the value being signed, and thus the authenticated and unauthenticated buckets can be removed from the message being sent. The focus on this is an even smaller size, as all of the information on the process of creating the counter signature is implicit rather than being explicitly carried in the message. This includes not only the algorithm identifier as presented above, but also items such as the key identification, which is always external to the signature structure. This means that the entities that are doing the validation of the counter signature are required to infer which key is to be used from context rather than being explicit. One way of doing this would be to presume that all data coming from a specific port (or to a specific URL) is to be validated by a specific key. (Note that this does not require that the key identifier be part of the value signed as it does not serve a cryptographic purpose. If the key validates the counter signature, then it should be presumed that the entity associated with that key produced the signature.)

When computing the signature for the bare counter signature header, the same `Sig_structure` defined in [Section 4.4](#) is used. The `sign_protected` field is omitted, as there is no protected header field in this counter signature header. The value of "CounterSignature0" is placed in the context field of the `Sig_structure`.

| Name | Label | Value Type | Description |
|-------------------|-------|------------|---|
| CounterSignature0 | 9 | bstr | Counter signature with implied signer and headers |

Table 6: Header Parameter for CounterSignature0

Appendix B. Two Layers of Recipient Information

All of the currently defined recipient algorithm classes only use two layers of the COSE_Encrypt structure. The first layer is the message content, and the second layer is the content key encryption. However, if one uses a recipient algorithm such as the RSA Key Encapsulation Mechanism (RSA-KEM) (see [Appendix A](#) of RSA-KEM [RFC5990]), then it makes sense to have three layers of the COSE_Encrypt structure.

These layers would be:

- o Layer 0: The content encryption layer. This layer contains the payload of the message.
- o Layer 1: The encryption of the CEK by a KEK.
- o Layer 2: The encryption of a long random secret using an RSA key and a key derivation function to convert that secret into the KEK.

This is an example of what a triple layer message would look like. The message has the following layers:

- o Layer 0: Has a content encrypted with AES-GCM using a 128-bit key.
- o Layer 1: Uses the AES Key Wrap algorithm with a 128-bit key.
- o Layer 2: Uses ECDH Ephemeral-Static direct to generate the layer 1 key.

In effect, this example is a decomposed version of using the ECDH-ES+A128KW algorithm.

Size of binary file is 183 bytes


```

96(
  [
    / protected / h'a10101' / {
      \ alg \ 1:1 \ AES-GCM 128 \
    } / ,
    / unprotected / {
      / iv / 5:h'02d1f7e6f26c43d4868d87ce'
    },
    / ciphertext / h'64f84d913ba60a76070a9a48f26e97e863e2852948658f0
811139868826e89218a75715b',
    / recipients / [
      [
        / protected / h'',
        / unprotected / {
          / alg / 1:-3 / A128KW /
        },
        / ciphertext / h'dbd43c4e9d719c27c6275c67d628d493f090593db82
18f11',
        / recipients / [
          [
            / protected / h'a1013818' / {
              \ alg \ 1:-25 \ ECDH-ES + HKDF-256 \
            } / ,
            / unprotected / {
              / ephemeral / -1:{
                / kty / 1:2,
                / crv / -1:1,
                / x / -2:h'b2add44368ea6d641f9ca9af308b4079aeb519f11
e9b8a55a600b21233e86e68',
                / y / -3:false
              },
              / kid / 4:'meriadoc.brandybuck@buckland.example'
            },
            / ciphertext / h''
          ]
        ]
      ]
    ]
  )

```

[Appendix C. Examples](#)

This appendix includes a set of examples that show the different features and message types that have been defined in this document. To make the examples easier to read, they are presented using the extended CBOR diagnostic notation (defined in [[I-D.ietf-cbor-cddl](#)]) rather than as a binary dump.

A GitHub project has been created at <<https://github.com/cose-wg/Examples>> that contains not only the examples presented in this document, but a more complete set of testing examples as well. Each example is found in a JSON file that contains the inputs used to create the example, some of the intermediate values that can be used in debugging the example and the output of the example presented in both a hex and a CBOR diagnostic notation format. Some of the examples at the site are designed failure testing cases; these are clearly marked as such in the JSON file. If errors in the examples in this document are found, the examples on GitHub will be updated, and a note to that effect will be placed in the JSON file.

As noted, the examples are presented using the CBOR's diagnostic notation. A Ruby-based tool exists that can convert between the diagnostic notation and binary. This tool can be installed with the command line:

```
gem install cbor-diag
```

The diagnostic notation can be converted into binary files using the following command line:

```
diag2cbor.rb < inputfile > outputfile
```

The examples can be extracted from the XML version of this document via an XPath expression as all of the artwork is tagged with the attribute type='CBORDdiag'. (Depending on the XPath evaluator one is using, it may be necessary to deal with > as an entity.)

```
//artwork[@type='CDDL']/text()
```

C.1. Examples of Signed Messages

C.1.1. Single Signature

This example uses the following:

- o Signature Algorithm: ECDSA w/ SHA-256, Curve P-256

Size of binary file is 103 bytes


```

98(
  [
    / protected / h'',
    / unprotected / {},
    / payload / 'This is the content.',
    / signatures / [
      [
        / protected / h'a10126' / {
          \ alg \ 1:-7 \ ECDSA 256 \
        } / ,
        / unprotected / {
          / kid / 4:'11'
        },
        / signature / h'e2aeafd40d69d19dfe6e52077c5d7ff4e408282cbefb
5d06cbf414af2e19d982ac45ac98b8544c908b4507de1e90b717c3d34816fe926a2b
98f53afd2fa0f30a'
      ]
    ]
  ]
)

```

C.1.2. Multiple Signers

This example uses the following:

- o Signature Algorithm: ECDSA w/ SHA-256, Curve P-256
- o Signature Algorithm: ECDSA w/ SHA-512, Curve P-521

Size of binary file is 277 bytes


```

98(
  [
    / protected / h'',
    / unprotected / {},
    / payload / 'This is the content.',
    / signatures / [
      [
        / protected / h'a10126' / {
          \ alg \ 1:-7 \ ECDSA 256 \
        } / ,
        / unprotected / {
          / kid / 4:'11'
        },
        / signature / h'e2aeafd40d69d19dfe6e52077c5d7ff4e408282cbefb
5d06cbf414af2e19d982ac45ac98b8544c908b4507de1e90b717c3d34816fe926a2b
98f53afd2fa0f30a'
      ],
      [
        / protected / h'a1013823' / {
          \ alg \ 1:-36
        } / ,
        / unprotected / {
          / kid / 4:'bilbo.baggins@hobbiton.example'
        },
        / signature / h'00a2d28a7c2bdb1587877420f65adf7d0b9a06635dd1
de64bb62974c863f0b160dd2163734034e6ac003b01e8705524c5c4ca479a952f024
7ee8cb0b4fb7397ba08d009e0c8bf482270cc5771aa143966e5a469a09f613488030
c5b07ec6d722e3835adb5b2d8c44e95fffb13877dd2582866883535de3bb03d01753f
83ab87bb4f7a0297'
      ]
    ]
  ]
)

```

C.1.3. Counter Signature

This example uses the following:

- o Signature Algorithm: ECDSA w/ SHA-256, Curve P-256
- o The same parameters are used for both the signature and the counter signature.

Size of binary file is 180 bytes


```

98(
  [
    / protected / h'',
    / unprotected / {
      / countersign / 7:[
        / protected / h'a10126' / {
          \ alg \ 1:-7 \ ECDSA 256 \
        } / ,
        / unprotected / {
          / kid / 4:'11'
        },
        / signature / h'5ac05e289d5d0e1b0a7f048a5d2b643813ded50bc9e4
9220f4f7278f85f19d4a77d655c9d3b51e805a74b099e1e085aacd97fc29d72f887e
8802bb6650cceb2c'
      ]
    },
    / payload / 'This is the content.',
    / signatures / [
      [
        / protected / h'a10126' / {
          \ alg \ 1:-7 \ ECDSA 256 \
        } / ,
        / unprotected / {
          / kid / 4:'11'
        },
        / signature / h'e2aeafd40d69d19dfe6e52077c5d7ff4e408282cbefb
5d06cbf414af2e19d982ac45ac98b8544c908b4507de1e90b717c3d34816fe926a2b
98f53afd2fa0f30a'
      ]
    ]
  ]
)

```

[C.1.4.](#) Signature with Criticality

This example uses the following:

- o Signature Algorithm: ECDSA w/ SHA-256, Curve P-256
- o There is a criticality marker on the "reserved" header parameter

Size of binary file is 125 bytes


```

98(
  [
    / protected / h'a2687265736572766564f40281687265736572766564' /
  {
    "reserved":false,
    \ crit \ 2:[
      "reserved"
    ]
  } / ,
  / unprotected / {},
  / payload / 'This is the content.',
  / signatures / [
    [
      / protected / h'a10126' / {
        \ alg \ 1:-7 \ ECDSA 256 \
      } / ,
      / unprotected / {
        / kid / 4:'11'
      },
      / signature / h'3fc54702aa56e1b2cb20284294c9106a63f91bac658d
69351210a031d8fc7c5ff3e4be39445b1a3e83e1510d1aca2f2e8a7c081c7645042b
18aba9d1fad1bd9c'
    ]
  ]
)

```

[C.2.](#) Single Signer Examples

[C.2.1.](#) Single ECDSA Signature

This example uses the following:

- o Signature Algorithm: ECDSA w/ SHA-256, Curve P-256

Size of binary file is 98 bytes


```
18(  
  [  
    / protected / h'a10126' / {  
      \ alg \ 1:-7 \ ECDSA 256 \  
    } / ,  
    / unprotected / {  
      / kid / 4:'11'  
    },  
    / payload / 'This is the content.',  
    / signature / h'8eb33e4ca31d1c465ab05aac34cc6b23d58fef5c083106c4  
d25a91aef0b0117e2af9a291aa32e14ab834dc56ed2a223444547e01f11d3b0916e5  
a4c345cacb36'  
  ]  
)
```

[C.3.](#) Examples of Enveloped Messages

[C.3.1.](#) Direct ECDH

This example uses the following:

- o CEK: AES-GCM w/ 128-bit key
- o Recipient class: ECDH Ephemeral-Static, Curve P-256

Size of binary file is 151 bytes


```

96(
  [
    / protected / h'a10101' / {
      \ alg \ 1:1 \ AES-GCM 128 \
    } / ,
    / unprotected / {
      / iv / 5:h'c9cf4df2fe6c632bf7886413'
    },
    / ciphertext / h'7adbe2709ca818fb415f1e5df66f4e1a51053ba6d65a1a0
c52a357da7a644b8070a151b0',
    / recipients / [
      [
        / protected / h'a1013818' / {
          \ alg \ 1:-25 \ ECDH-ES + HKDF-256 \
        } / ,
        / unprotected / {
          / ephemeral / -1:{
            / kty / 1:2,
            / crv / -1:1,
            / x / -2:h'98f50a4ff6c05861c8860d13a638ea56c3f5ad7590bbf
bf054e1c7b4d91d6280',
            / y / -3:true
          },
          / kid / 4:'meriadoc.brandybuck@buckland.example'
        },
        / ciphertext / h''
      ]
    ]
  ]
)

```

C.3.2. Direct Plus Key Derivation

This example uses the following:

- o CEK: AES-CCM w/ 128-bit key, truncate the tag to 64 bits
- o Recipient class: Use HKDF on a shared secret with the following implicit fields as part of the context.
 - * salt: "aabbccddeeffgghh"
 - * PartyU identity: "lighting-client"
 - * PartyV identity: "lighting-server"
 - * Supplementary Public Other: "Encryption Example 02"

Size of binary file is 91 bytes

```

96(
  [
    / protected / h'a1010a' / {
      \ alg \ 1:10 \ AES-CCM-16-64-128 \
    } / ,
    / unprotected / {
      / iv / 5:h'89f52f65a1c580933b5261a76c'
    },
    / ciphertext / h'753548a19b1307084ca7b2056924ed95f2e3b17006dfe93
1b687b847',
    / recipients / [
      [
        / protected / h'a10129' / {
          \ alg \ 1:-10
        } / ,
        / unprotected / {
          / salt / -20:'aabbccddeeffgghh',
          / kid / 4:'our-secret'
        },
        / ciphertext / h''
      ]
    ]
  ]
)

```

C.3.3. Counter Signature on Encrypted Content

This example uses the following:

- o CEK: AES-GCM w/ 128-bit key
- o Recipient class: ECDH Ephemeral-Static, Curve P-256

Size of binary file is 326 bytes


```

96(
  [
    / protected / h'a10101' / {
      \ alg \ 1:1 \ AES-GCM 128 \
    } / ,
    / unprotected / {
      / iv / 5:h'c9cf4df2fe6c632bf7886413',
      / countersign / 7:[
        / protected / h'a1013823' / {
          \ alg \ 1:-36
        } / ,
        / unprotected / {
          / kid / 4:'bilbo.baggins@hobbiton.example'
        },
        / signature / h'00929663c8789bb28177ae28467e66377da12302d7f9
594d2999afa5dfa531294f8896f2b6cdf1740014f4c7f1a358e3a6cf57f4ed6fb02f
cf8f7aa989f5dfd07f0700a3a7d8f3c604ba70fa9411bd10c2591b483e1d2c31de00
3183e434d8fba18f17a4c7e3dfa003ac1cf3d30d44d2533c4989d3ac38c38b71481c
c3430c9d65e7ddff'
      ],
    },
    / ciphertext / h'7adbe2709ca818fb415f1e5df66f4e1a51053ba6d65a1a0
c52a357da7a644b8070a151b0',
    / recipients / [
      [
        / protected / h'a1013818' / {
          \ alg \ 1:-25 \ ECDH-ES + HKDF-256 \
        } / ,
        / unprotected / {
          / ephemeral / -1:{
            / kty / 1:2,
            / crv / -1:1,
            / x / -2:h'98f50a4ff6c05861c8860d13a638ea56c3f5ad7590bbf
bf054e1c7b4d91d6280',
            / y / -3:true
          },
          / kid / 4:'meriadoc.brandybuck@buckland.example'
        },
      ],
    / ciphertext / h''
  ]
]
)

```


C.3.4. Encrypted Content with External Data

This example uses the following:

- o CEK: AES-GCM w/ 128-bit key
- o Recipient class: ECDH static-Static, Curve P-256 with AES Key Wrap
- o Externally Supplied AAD: h'0011bbcc22dd44ee55ff660077'

Size of binary file is 173 bytes

```
96(
  [
    / protected / h'a10101' / {
      \ alg \ 1:1 \ AES-GCM 128 \
    } / ,
    / unprotected / {
      / iv / 5:h'02d1f7e6f26c43d4868d87ce'
    },
    / ciphertext / h'64f84d913ba60a76070a9a48f26e97e863e28529d8f5335
e5f0165eee976b4a5f6c6f09d',
    / recipients / [
      [
        / protected / h'a101381f' / {
          \ alg \ 1:-32 \ ECHD-SS+A128KW \
        } / ,
        / unprotected / {
          / static kid / -3:'peregrin.took@tuckborough.example',
          / kid / 4:'meriadoc.brandybuck@buckland.example',
          / U nonce / -22:h'0101'
        },
        / ciphertext / h'41e0d76f579dbd0d936a662d54d8582037de2e366fd
e1c62'
      ]
    ]
  ]
)
```

C.4. Examples of Encrypted Messages

C.4.1. Simple Encrypted Message

This example uses the following:

- o CEK: AES-CCM w/ 128-bit key and a 64-bit tag

Size of binary file is 52 bytes


```

16(
  [
    / protected / h'a1010a' / {
      \ alg \ 1:10 \ AES-CCM-16-64-128 \
    } / ,
    / unprotected / {
      / iv / 5:h'89f52f65a1c580933b5261a78c'
    },
    / ciphertext / h'5974e1b99a3a4cc09a659aa2e9e7fff161d38ce71cb45ce
460ffb569'
  ]
)

```

C.4.2. Encrypted Message with a Partial IV

This example uses the following:

- o CEK: AES-CCM w/ 128-bit key and a 64-bit tag
- o Prefix for IV is 89F52F65A1C580933B52

Size of binary file is 41 bytes

```

16(
  [
    / protected / h'a1010a' / {
      \ alg \ 1:10 \ AES-CCM-16-64-128 \
    } / ,
    / unprotected / {
      / partial iv / 6:h'61a7'
    },
    / ciphertext / h'252a8911d465c125b6764739700f0141ed09192de139e05
3bd09abca'
  ]
)

```

C.5. Examples of MACed Messages

C.5.1. Shared Secret Direct MAC

This example uses the following:

- o MAC: AES-CMAC, 256-bit key, truncated to 64 bits
- o Recipient class: direct shared secret

Size of binary file is 57 bytes


```

97(
  [
    / protected / h'a1010f' / {
      \ alg \ 1:15 \ AES-CBC-MAC-256//64 \
    } / ,
    / unprotected / {},
    / payload / 'This is the content.',
    / tag / h'9e1226ba1f81b848',
    / recipients / [
      [
        / protected / h'',
        / unprotected / {
          / alg / 1:-6 / direct / ,
          / kid / 4:'our-secret'
        },
        / ciphertext / h''
      ]
    ]
  ]
)

```

C.5.2. ECDH Direct MAC

This example uses the following:

- o MAC: HMAC w/SHA-256, 256-bit key
- o Recipient class: ECDH key agreement, two static keys, HKDF w/ context structure

Size of binary file is 214 bytes


```

97(
  [
    / protected / h'a10105' / {
      \ alg \ 1:5 \ HMAC 256//256 \
    } / ,
    / unprotected / {},
    / payload / 'This is the content.',
    / tag / h'81a03448acd3d305376eaa11fb3fe416a955be2cbe7ec96f012c99
4bc3f16a41',
    / recipients / [
      [
        / protected / h'a101381a' / {
          \ alg \ 1:-27 \ ECDH-SS + HKDF-256 \
        } / ,
        / unprotected / {
          / static kid / -3:'peregrin.took@tuckborough.example',
          / kid / 4:'meriadoc.brandybuck@buckland.example',
          / U nonce / -22:h'4d8553e7e74f3c6a3a9dd3ef286a8195cbf8a23d
19558ccfec7d34b824f42d92bd06bd2c7f0271f0214e141fb779ae2856abf585a583
68b017e7f2a9e5ce4db5'
        },
        / ciphertext / h''
      ]
    ]
  ]
)

```

[C.5.3.](#) Wrapped MAC

This example uses the following:

- o MAC: AES-MAC, 128-bit key, truncated to 64 bits
- o Recipient class: AES Key Wrap w/ a pre-shared 256-bit key

Size of binary file is 109 bytes


```

97(
  [
    / protected / h'a1010e' / {
      \ alg \ 1:14 \ AES-CBC-MAC-128//64 \
    } / ,
    / unprotected / {},
    / payload / 'This is the content.',
    / tag / h'36f5afaf0bab5d43',
    / recipients / [
      [
        / protected / h'',
        / unprotected / {
          / alg / 1:-5 / A256KW / ,
          / kid / 4:'018c0ae5-4d9b-471b-bfd6-eef314bc7037'
        },
        / ciphertext / h'711ab0dc2fc4585dce27effa6781c8093eba906f227
b6eb0'
      ]
    ]
  ]
)

```

C.5.4. Multi-Recipient MACed Message

This example uses the following:

- o MAC: HMAC w/ SHA-256, 128-bit key
- o Recipient class: Uses three different methods
 1. ECDH Ephemeral-Static, Curve P-521, AES Key Wrap w/ 128-bit key
 2. AES Key Wrap w/ 256-bit key

Size of binary file is 309 bytes


```

97(
  [
    / protected / h'a10105' / {
      \ alg \ 1:5 \ HMAC 256//256 \
    } / ,
    / unprotected / {},
    / payload / 'This is the content.',
    / tag / h'bf48235e809b5c42e995f2b7d5fa13620e7ed834e337f6aa43df16
1e49e9323e',
    / recipients / [
      [
        / protected / h'a101381c' / {
          \ alg \ 1:-29 \ ECHD-ES+A128KW \
        } / ,
        / unprotected / {
          / ephemeral / -1:{
            / kty / 1:2,
            / crv / -1:3,
            / x / -2:h'0043b12669acac3fd27898ffba0bcd2e6c366d53bc4db
71f909a759304acfb5e18cdc7ba0b13ff8c7636271a6924b1ac63c02688075b55ef2
d613574e7dc242f79c3',
            / y / -3:true
          },
          / kid / 4:'bilbo.baggins@hobbiton.example'
        },
        / ciphertext / h'339bc4f79984cdc6b3e6ce5f315a4c7d2b0ac466fce
a69e8c07dfbca5bb1f661bc5f8e0df9e3eff5'
      ],
      [
        / protected / h'',
        / unprotected / {
          / alg / 1:-5 / A256KW / ,
          / kid / 4:'018c0ae5-4d9b-471b-bfd6-eef314bc7037'
        },
        / ciphertext / h'0b2c7cfce04e98276342d6476a7723c090dfdd15f9a
518e7736549e998370695e6d6a83b4ae507bb'
      ]
    ]
  ]
)

```

[C.6.](#) Examples of MAC0 Messages

[C.6.1.](#) Shared Secret Direct MAC

This example uses the following:

- o MAC: AES-CMAC, 256-bit key, truncated to 64 bits

- o Recipient class: direct shared secret

Size of binary file is 37 bytes

```
17(  
  [  
    / protected / h'a1010f' / {  
      \ alg \ 1:15 \ AES-CBC-MAC-256//64 \  
    } / ,  
    / unprotected / {},  
    / payload / 'This is the content.',  
    / tag / h'726043745027214f'  
  ]  
)
```

Note that this example uses the same inputs as [Appendix C.5.1](#).

[C.7.](#) COSE Keys

[C.7.1.](#) Public Keys

This is an example of a COSE Key Set. This example includes the public keys for all of the previous examples.

In order the keys are:

- o An EC key with a kid of "meriadoc.brandybuck@buckland.example"
- o An EC key with a kid of "peregrin.took@tuckborough.example"
- o An EC key with a kid of "bilbo.baggins@hobbiton.example"
- o An EC key with a kid of "11"

Size of binary file is 481 bytes


```
[
  {
    -1:1,
    -2:h'65eda5a12577c2bae829437fe338701a10aaa375e1bb5b5de108de439c0
8551d',
    -3:h'1e52ed75701163f7f9e40ddf9f341b3dc9ba860af7e0ca7ca7e9eecd008
4d19c',
    1:2,
    2:'meriadoc.brandybuck@buckland.example'
  },
  {
    -1:1,
    -2:h'bac5b11cad8f99f9c72b05cf4b9e26d244dc189f745228255a219a86d6a
09eff',
    -3:h'20138bf82dc1b6d562be0fa54ab7804a3a64b6d72ccfed6b6fb6ed28bbf
c117e',
    1:2,
    2:'11'
  },
  {
    -1:3,
    -2:h'0072992cb3ac08ecf3e5c63dedec0d51a8c1f79ef2f82f94f3c737bf5de
7986671eac625fe8257bbd0394644caaa3aaf8f27a4585fbbcad0f2457620085e5c8
f42ad',
    -3:h'01dca6947bce88bc5790485ac97427342bc35f887d86d65a089377e247e
60baa55e4e8501e2ada5724ac51d6909008033ebc10ac999b9d7f5cc2519f3fe1ea1
d9475',
    1:2,
    2:'bilbo.baggins@hobbiton.example'
  },
  {
    -1:1,
    -2:h'98f50a4ff6c05861c8860d13a638ea56c3f5ad7590bbfbf054e1c7b4d91
d6280',
    -3:h'f01400b089867804b8e9fc96c3932161f1934f4223069170d924b7e03bf
822bb',
    1:2,
    2:'peregrin.took@tuckborough.example'
  }
]
```

[C.7.2.](#) Private Keys

This is an example of a COSE Key Set. This example includes the private keys for all of the previous examples.

In order the keys are:

- o An EC key with a kid of "meriadoc.brandybuck@buckland.example"
- o A shared-secret key with a kid of "our-secret"
- o An EC key with a kid of "peregrin.took@tuckborough.example"
- o A shared-secret key with a kid of "018c0ae5-4d9b-471b-bfd6-eef314bc7037"
- o An EC key with a kid of "bilbo.baggins@hobbiton.example"
- o An EC key with a kid of "11"

Size of binary file is 816 bytes

```
[
  {
    1:2,
    2:'meriadoc.brandybuck@buckland.example',
    -1:1,
    -2:h'65eda5a12577c2bae829437fe338701a10aaa375e1bb5b5de108de439c0
8551d',
    -3:h'1e52ed75701163f7f9e40ddf9f341b3dc9ba860af7e0ca7ca7e9eecd008
4d19c',
    -4:h'aff907c99f9ad3aae6c4cdf21122bce2bd68b5283e6907154ad911840fa
208cf'
  },
  {
    1:2,
    2:'11',
    -1:1,
    -2:h'bac5b11cad8f99f9c72b05cf4b9e26d244dc189f745228255a219a86d6a
09eff',
    -3:h'20138bf82dc1b6d562be0fa54ab7804a3a64b6d72ccfed6b6fb6ed28bbf
c117e',
    -4:h'57c92077664146e876760c9520d054aa93c3afb04e306705db609030850
7b4d3'
  },
  {
    1:2,
    2:'bilbo.baggins@hobbiton.example',
    -1:3,
    -2:h'0072992cb3ac08ecf3e5c63dedec0d51a8c1f79ef2f82f94f3c737bf5de
7986671eac625fe8257bbd0394644caaa3aaf8f27a4585fbbcad0f2457620085e5c8
f42ad',
    -3:h'01dca6947bce88bc5790485ac97427342bc35f887d86d65a089377e247e
60baa55e4e8501e2ada5724ac51d6909008033ebc10ac999b9d7f5cc2519f3fe1ea1
d9475',
```



```

    -4:h'00085138ddabf5ca975f5860f91a08e91d6d5f9a76ad4018766a476680b
55cd339e8ab6c72b5facdb2a2a50ac25bd086647dd3e2e6e99e84ca2c3609fdf177f
eb26d'
  },
  {
    1:4,
    2:'our-secret',
    -1:h'849b57219dae48de646d07dbb533566e976686457c1491be3a76dcea6c4
27188'
  },
  {
    1:2,
    -1:1,
    2:'peregrin.took@tuckborough.example',
    -2:h'98f50a4ff6c05861c8860d13a638ea56c3f5ad7590bbfbf054e1c7b4d91
d6280',
    -3:h'f01400b089867804b8e9fc96c3932161f1934f4223069170d924b7e03bf
822bb',
    -4:h'02d1f7e6f26c43d4868d87ceb2353161740aacf1f7163647984b522a848
df1c3'
  },
  {
    1:4,
    2:'our-secret2',
    -1:h'849b5786457c1491be3a76dcea6c4271'
  },
  {
    1:4,
    2:'018c0ae5-4d9b-471b-bfd6-eef314bc7037',
    -1:h'849b57219dae48de646d07dbb533566e976686457c1491be3a76dcea6c4
27188'
  }
]

```

Acknowledgments

This document is a product of the COSE working group of the IETF.

The following individuals are to blame for getting me started on this project in the first place: Richard Barnes, Matt Miller, and Martin Thomson.

The initial version of the specification was based to some degree on the outputs of the JOSE and S/MIME working groups.

The following individuals provided input into the final form of the document: Carsten Bormann, John Bradley, Brain Campbell, Michael B.

Jones, Ilari Liusvaara, Francesca Palombini, Ludwig Seitz, and Goran Selander.

Author's Address

Jim Schaad
August Cellars

Email: ietf@augustcellars.com