Network Working Group Internet-Draft Expires: December 8, 2005 M. Wong W. Schlitt June 6, 2005

Sender Policy Framework (SPF) for Authorizing Use of Domains in E-MAIL, version 1 <u>draft-schlitt-spf-classic-02</u>

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Abstract

E-mail on the Internet can be forged in a number of ways. In particular, existing protocols place no restriction on what a sending host can use as the reverse-path of a message or the domain given on the SMTP HELO/EHLO commands. This document describes version 1 of the SPF protocol, whereby a domain may explicitly authorize the hosts that are allowed to use its domain name, and a receiving host may check such authorization.

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<u>1</u>. Introduction

The current e-mail infrastructure has the property that any host injecting mail into the mail system can identify itself as any domain name it wants. Hosts can do this at a variety of levels: in particular, the session, the envelope, and the mail headers. While this feature is desirable in some circumstances, it is a major obstacle to reducing Unsolicited Bulk E-mail (UBE, aka "spam"). Furthermore, many domain name holders are understandably concerned about the ease with which other entities may make use of their domain names, often with malicious intent.

This document defines a protocol by which domain owners may authorize hosts to use their domain name in the "MAIL FROM" or "HELO" identity. Compliant domain holders publish SPF records specifying which hosts are permitted to use their names, and compliant mail receivers use the published SPF records to test the authorization of sending MTAs using a given "HELO" or "MAIL FROM" identity during a mail transaction.

An additional benefit to mail receivers is that after the use of an identity is verified, local policy decisions about the mail can be made based on the sender's domain, rather than the host's IP address. This is advantageous because reputation of domain names is likely to be more accurate than reputation of host IP addresses. Furthermore, if a claimed identity fails verification, local policy can take stronger action against such e-mail, such as rejecting it.

<u>1.1</u>. State of this draft

This draft version attempts to resolve all known issues and address all comments received from the IESG review of 2005/02/17, as well reviews from the namedroppers, ietf-smtp, ietf-822 and spf-discuss mailing lists both in January and in May.

Please check the Change log in <u>Appendix C</u> before proposing changes, as it is possible that your idea has already been discussed. Please post comments on the spf-discuss@v2.listbox.com mailing list or e-mail them directly to the author.

I am sorry for the length of this I-D; I have not had time to make it shorter.

RFC Editor Note: Please remove this section for the final publication of the document. It has been inspired by draft-ietf-tools-draft-submission-09.txt.

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<u>1.2</u>. Protocol Status

SPF has been in development since the Summer of 2003, and has seen deployment beyond the developers beginning in December, 2003. The design of SPF slowly evolved until the spring of 2004 and has since stabilized. There have been quite a number of forms of SPF, some written up as documents, some submitted as Internet Drafts, and many discussed and debated in development forums.

The goal of this document is to clearly document the protocol defined by earlier draft specifications of SPF as used in existing implementations. This conception of SPF is sometimes called "SPF Classic". It is understood that particular implementations and deployments may differ from, and build upon, this work. It is hoped that we have nonetheless captured the common understanding of SPF version 1.

<u>1.3</u>. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [<u>RFC2119</u>].

This document is concerned with the portion of a mail message commonly called "envelope sender", "return path", "reverse path", "bounce address", "2821 FROM", or "MAIL FROM". Since these terms are either not well defined, or often used casually, this document defines the "MAIL FROM" identity in <u>Section 2.2</u>. Note that other terms that may superficially look like the common terms, such as "reverse-path", are used only with the defined meanings from normative documents.

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2. Operation

2.1. The HELO Identity

The "HELO" identity derives from either the SMTP HELO or EHLO command (see [RFC2821]). These commands supply the SMTP client (sending host) for the SMTP session. Note that requirements for the domain presented in the EHLO or HELO command are not always clear to the sending party, and SPF clients must be prepared for the "HELO" identity to be malformed or an IP address literal. At the time of this writing, many legitimate e-mails are delivered with invalid HELO domains.

It is RECOMMENDED that SPF clients check not only the "MAIL FROM" identity, but also separately check the "HELO" identity by applying the check_host() function (<u>Section 4</u>) to the "HELO" identity as the <sender>.

2.2. The MAIL FROM Identity

The "MAIL FROM" identity derives from the SMTP MAIL command (see [<u>RFC2821</u>]). This command supplies the "reverse-path" for a message, which generally consists of the sender mailbox, and is the mailbox to which notification messages are to be sent if there are problems delivering the message.

[RFC2821] allows the reverse-path to be null (see <u>Section 4.5.5</u>). In this case, there is no explicit sender mailbox, and such a message can be assumed to be a notification message from the mail system itself. When the reverse-path is null, this document defines the "MAIL FROM" identity to be the mailbox composed of the localpart "postmaster" and the "HELO" identity (which may or may not have been checked separately before).

SPF clients MUST check the "MAIL FROM" identity. SPF clients check the "MAIL FROM" identity by applying the check_host() function to the "MAIL FROM" identity as the <sender>.

<u>2.3</u>. Publishing Authorization

An SPF-compliant domain MUST publish a valid SPF record as described in <u>Section 3</u>. This record authorizes the use of the domain name in the "HELO" and "MAIL FROM" identities by the MTAs it specifies.

If domain owners choose to publish SPF records, it is RECOMMENDED that they end in "-all", or redirect to other records that do, so that a definitive determination of authorization can be made.

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Domain holders may publish SPF records that explicitly authorize no hosts if mail should never originate using that domain.

When changing SPF records, care must be taken to ensure that there is a transition period so that the old policy remains valid until all legitimate e-mail has been checked.

<u>2.4</u>. Checking Authorization

A mail receiver can perform a set of SPF checks for each mail message it receives. An SPF check tests the authorization of a client host to emit mail with a given identity. Typically, such checks are done by a receiving MTA, but can be performed elsewhere in the mail processing chain so long as the required information is available and reliable. At least the "MAIL FROM" identity MUST be checked, but it is RECOMMENDED that the "HELO" identity also be checked beforehand.

Without explicit approval of the domain owner, checking other identities against SPF version 1 records is NOT RECOMMENDED because there are cases that are known to give incorrect results. For example, almost all mailing lists rewrite the "MAIL FROM" identity (see <u>Section 9.2</u>), but some do not change any other identities in the message. The scenario described in <u>Section 9.3.1.2</u> is another example. Documents that define other identities should define the method for explicit approval.

It is possible that mail receivers will use the SPF check as part of a larger set of tests on incoming mail. The results of other tests may influence whether or not a particular SPF check is performed. For example, finding the sending host's IP address on a local white list may cause all other tests to be skipped and all mail from that host to be accepted.

When a mail receiver decides to perform an SPF check, it MUST use a correctly-implemented check_host() function (<u>Section 4</u>) evaluated with the correct parameters. While the test as a whole is optional, once it has been decided to perform a test it must be performed as specified so that the correct semantics are preserved between publisher and receiver.

To make the test, the mail receiver MUST evaluate the check_host() function with the arguments set as follows:

<ip> - the IP address of the SMTP client that is emitting the mail, either IPv4 or IPv6.

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<domain> - the domain portion of the "MAIL FROM" or "HELO" identity.

<sender> - the "MAIL FROM" or "HELO" identity.

Note that the <domain> argument may not be a well-formed domain name. For example, if the reverse-path was null, then the EHLO/HELO domain is used, with its associated problems (see <u>Section 2.1</u>). In these cases, check_host() is defined in <u>Section 4.3</u> to return a "None" result.

While invalid, malformed, or non-existent domains cause SPF checks to return "None" because no SPF record can be found, it has long been the policy of many MTAs to reject e-mail from such domains, especially in the case of invalid "MAIL FROM". In order to prevent the circumvention of SPF records, rejecting e-mail from invalid domains should be considered.

Implementations must take care to correctly extract the <domain> from the data given with the SMTP MAIL FROM command as many MTAs will still accept such things as source routes (see [RFC2821] appendix C), the %-hack (see [RFC1123]), and bang paths (see [RFC1983]). These archaic features have been maliciously used to bypass security systems.

<u>2.5</u>. Interpreting the Result

This section describes how software that performs the authorization should interpret the results of the check_host() function. The authorization check SHOULD be performed during the processing of the SMTP transaction that sends the mail. This allows errors to be returned directly to the sending server by way of SMTP replies.

Performing the authorization after the SMTP transaction has finished may cause problems, such as: 1) It may be difficult to accurately extract the required information from potentially deceptive headers. 2) Legitimate e-mail may fail because the sender's policy may have since changed.

Generating non-delivery notifications to forged identities that have failed the authorization check is generally abusive and against the explicit wishes of the identity owner.

2.5.1. None

A result of "None" means that no records were published by the domain, or that no checkable sender domain could be determined from the given identity. The checking software cannot ascertain whether the client host is authorized or not.

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2.5.2. Neutral

The domain owner has explicitly stated that they cannot or do not want to assert whether the IP address is authorized or not. A "Neutral" result MUST be treated exactly like the "None" result; the distinction exists only for informational purposes. Treating "Neutral" more harshly than "None" will discourage domain owners from testing the use of SPF records (see Section 9.1).

2.5.3. Pass

A "Pass" result means that the client is authorized to inject mail with the given identity. The domain can now, in the sense of reputation, be considered responsible for sending the message. Further policy checks can now proceed with confidence in the legitimate use of the identity.

2.5.4. Fail

A "Fail" result is an explicit statement that the client is not authorized to use the domain in the given identity. The checking software can choose to mark the mail based on this, or to reject the mail outright.

If the checking software chooses to reject the mail during the SMTP transaction, then it SHOULD use an SMTP reply code of 550 (see [RFC2821]) and, if supported, the 5.7.1 Delivery Status Notification (DSN) code (see [RFC3464]), in addition to an appropriate reply text. The check_host() function may return either a default explanation string, or one from the domain that published the SPF records (see Section 6.2). If the information doesn't originate with the checking software, it should be made clear that the text is provided by the sender's domain. For example:

550-5.7.1 SPF MAIL FROM check failed: 550-5.7.1 The domain example.com explains: 550 5.7.1 Please see http://www.example.com/mailpolicy.html

2.5.5. SoftFail

A "SoftFail" result should be treated as somewhere between a "Fail" and a "Neutral". The domain believes the host isn't authorized but isn't willing to make that strong of a statement. Receiving software SHOULD NOT reject the message based solely on this result, but MAY subject the message to closer scrutiny than normal.

The domain owner wants to discourage the use of this host and so they desire limited feedback when a "SoftFail" result occurs. For

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example, the recipient's MUA could highlight the "SoftFail" status, or the receiving MTA could give the sender a message using a technique called "greylisting" whereby the MTA can issue an SMTP reply code of 451 (4.3.0 DSN code) with a note the first time the message is received, but accept it the second time.

2.5.6. TempError

A "TempError" result means that the SPF client encountered a transient error while performing the check. Checking software can choose to accept or temporarily reject the message. If the message is rejected during the SMTP transaction for this reason, the software SHOULD use an SMTP reply code of 451 and, if supported, the 4.4.3 DSN code.

2.5.7. PermError

A "PermError" result means that the domain's published records couldn't be correctly interpreted. This signals an error condition that requires manual intervention to be resolved, as opposed to the TempError result. Be aware that if the domain owner uses macros (<u>Section 8</u>), it is possible that this result is due to the checked identities having an unexpected format.

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Sender Policy Framework (SPF)

3. SPF Records

An SPF record is a DNS Resource Record (RR) that declares which hosts are, and are not, authorized to use a domain name for the "HELO" and "MAIL FROM" identities. Loosely, the record partitions all hosts into permitted and not-permitted sets. (Though some hosts might fall into neither category.)

The SPF record is a single string of text. An example record is:

v=spf1 +mx a:colo.example.com/28 -all

This record has a version of "spf1" and three directives: "+mx", "a:colo.example.com/28" (the + is implied), and "-all".

<u>3.1</u>. Publishing

Domain owners wishing to be SPF compliant must publish SPF records for the hosts that are used in the "MAIL FROM" and "HELO" identities. The SPF records are placed in the DNS tree at the host name it pertains to, not a subdomain under it, such as is done with SRV records. This is the same whether the TXT or SPF RR type is used.

The example above in <u>Section 3</u> might be published via this lines in a domain zone file:

example.com. TXT "v=spf1 +mx a:colo.example.com/28 -all" smtp-out.example.com. TXT "v=spf1 a -all"

When publishing via TXT records, beware of other TXT records published there for other purposes. They may cause problems with size limits (see Section 3.1.4).

3.1.1. DNS Resource Record Types

This document defines a new DNS RR of type SPF, type code to be determined. The format of this type is identical to the TXT RR [RFC1035]. For either type, the character content of the record is encoded as [US-ASCII].

RFC Editor Note: Please add the DNS RR type code once it has been allocated by the IANA.

It is recognized that the current practice (using a TXT record) is not optimal, but it is necessary because there are a number of DNS server and resolver implementations in common use that cannot handle the new RR type. The two-record-type scheme provides a forward path to the better solution of using an RR type reserved for this purpose.

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An SPF-compliant domain name SHOULD have SPF records of both RR types. A compliant domain name MUST have a record of at least one type. If a domain has records of both types, they MUST have identical content. For example, instead of just publishing one record as in <u>Section 3.1</u> above, it is better to publish:

example.com. IN TXT "v=spf1 +mx a:colo.example.com/28 -all"
example.com. IN SPF "v=spf1 +mx a:colo.example.com/28 -all"

Example RRs in this document are shown with the TXT record type, however they could be published with the SPF type or with both types.

3.1.2. Multiple DNS Records

A domain name MUST NOT have multiple records that would cause an authorization check to select more than one record. See <u>Section 4.5</u> for the selection rules.

3.1.3. Multiple Strings in a Single DNS record

As defined in [RFC1035] sections 3.3.14 and 3.3, a single text DNS record (either TXT and SPF RR types) can be composed of more than one string. If a published record contains multiple strings, then the record MUST be treated as if those strings are concatenated together without adding spaces. For example:

IN TXT "v=spf1 first" "second string..."

MUST be treated as equivalent to

IN TXT "v=spf1 firstsecond string..."

SPF or TXT records containing multiple strings are useful in order to construct records which would exceed the 255 byte maximum length of a string within a single TXT or SPF RR record.

3.1.4. Record Size

The published SPF record for a given domain name SHOULD remain small enough that the results of a query for it will fit within 512 octets. This will keep even older DNS implementations from falling over to TCP. Since the answer size is dependent on many things outside the scope of this document, it is only possible to give this guideline: If the combined length of the DNS name and the text of all the records of a given type (TXT or SPF) is under 450 characters, then DNS answers should fit in UDP packets. Note that when computing the sizes for queries of the TXT format, one must take into account any other TXT records published at the domain name. Records that are too

long to fit in a single UDP packet MAY be silently ignored by SPF clients.

3.1.5. Wildcard Records

Use of wildcard records for publishing is not recommended. Care must be taken if wildcard records are used. If a domain publishes wildcard MX records, it may want to publish wildcard declarations, subject to the same requirements and problems. In particular, the declaration must be repeated for any host that has any RR records at all, and for subdomains thereof. For example, the example given in [RFC1034], Section 4.3.3, could be extended with:

X.COM.	MX	10	A.X.COM
X.COM.	ТХТ	"v=spf1	a:A.X.COM -all"
*.X.COM.	MX	10	A.X.COM
*.X.COM.	ТХТ	"v=spf1	a:A.X.COM -all"
A.X.COM.	А	1.2.3.4	
A.X.COM.	MX	10	A.X.COM
A.X.COM.	ТХТ	"v=spf1	a:A.X.COM -all"
*.A.X.COM.	MX	10	A.X.COM
*.A.X.COM.	ТХТ	"v=spf1	a:A.X.COM -all"

Notice that SPF records must be repeated twice for every name within the domain: once for the name, and once with a wildcard to cover the tree under the name.

Use of wildcards is discouraged in general as they cause every name under the domain to exist and queries against arbitrary names will never return RCODE 3 (Name Error).

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4. The check_host() Function

The check_host() function fetches SPF records, parses them, and interprets them to determine whether a particular host is or is not permitted to send mail with a given identity. Mail receivers that perform this check MUST correctly evaluate the check_host() function as described here.

Implementations MAY use a different algorithm than the canonical algorithm defined here, so long as the results are the same in all cases.

<u>4.1</u>. Arguments

The function check_host() takes these arguments:

- <ip> the IP address of the SMTP client that is emitting the mail, either IPv4 or IPv6.
- <domain> the domain that provides the sought-after authorization information; initially the domain portion of the "MAIL FROM" or "HELO" identity.

<sender> - the "MAIL FROM" or "HELO" identity.

The domain portion of <sender> will usually be the same as the <domain> argument when check_host() is initially evaluated. However, this will generally not be true for recursive evaluations (see Section 5.2 below).

Actual implementations of the check_host() function may need additional arguments.

4.2. Results

The function check_host() can return one of several results described in <u>Section 2.5</u>. Based on the result, the action to be taken is determined by the local policies of the receiver.

4.3. Initial Processing

If the <domain> is malformed (label longer than 63 characters, zero length label not at the end, etc.), is not a fully qualified domain name, or if the DNS lookup returns "domain does not exist" (RCODE 3), check_host() immediately returns the result "None".

If the <sender> has no localpart, substitute the string "postmaster" for the localpart.

4.4. Record Lookup

In accordance with how the records are published, see <u>Section 3.1</u> above, a DNS query needs to be made for the <domain> name, querying for either RR type TXT, SPF, or both. If both SPF and TXT RRs are looked up, the queries MAY be done in parallel.

If the DNS lookup returns a server failure (RCODE 2), or other error (RCODE other than 0 or 3), or the query times out, check_host() exits immediately with the result "TempError".

4.5. Selecting Records

Records begin with a version section:

record	=	version	terms	*SP
version	=	"v=spf1'	I	

Starting with the set of records that were returned by the lookup, record selection proceeds in three steps:

- Records that do not begin with a version section of exactly "v=spf1" are discarded. Note that the version section is terminated either by a SP character or the end of the record. A record with a version section of "v=spf10" does not match and must be discarded.
- If there are both SPF and TXT records in the set and if they are not all identical, return a "PermError".
- If any records of type SPF are in the set, then all records of type TXT are discarded.

After the above steps, there should be exactly one record remaining and evaluation can proceed. If there are two or more records remaining, then check_host() exits immediately with the result of "PermError".

If no matching records are returned, an SPF client MUST assume that the domain makes no SPF declarations. SPF processing MUST stop and return "None".

4.6. Record Evaluation

After one SPF record has been selected, the check_host() function parses and interprets it to find a result for the current test. If there are any syntax errors, check_host() returns immediately with the result "PermError".

Implementations MAY choose to parse the entire record first and return "PermError" if the record is not syntactically well formed. However, in all cases, any syntax errors anywhere in the record MUST be detected.

<u>4.6.1</u>. Term Evaluation

There are two types of terms: mechanisms and modifiers. A record contains an ordered list of these as specified in the following ABNF.

terms	= *(1*SP (directive / modifier))
directive qualifier	= [qualifier] mechanism = "+" / "-" / "?" / "~"
mechanism	= (all / include / A / MX / PTR / IP4 / IP6 / exists)
modifier unknown-modifier	= redirect / explanation / unknown-modifier = name "=" macro-string
name	= ALPHA *(ALPHA / DIGIT / "-" / "_" / ".")

Most mechanisms allow a ":" or "/" character after the name.

Modifiers always contain an equals ('=') character immediately after the name, and before any ":" or "/" characters that may be part of the macro-string.

Terms that do not contain any of "=", ":" or "/" are mechanisms, as defined in <u>Section 5</u>.

As per the definition of the ABNF notation in [I-D.crocker-abnfrfc2234bis], mechanism and modifier names are case-insensitive.

4.6.2. Mechanisms

Each mechanism is considered in turn from left to right. If there are no more mechanisms, the result is specified in <u>Section 4.7</u>.

When a mechanism is evaluated, one of three things can happen: it can match, it can not match, or it can throw an exception.

If it matches, processing ends and the qualifier value is returned as the result of that record. If it does not match, processing continues with the next mechanism. If it throws an exception, mechanism processing ends and the exception value is returned.

The possible qualifiers, and the results they return are:

"+" Pass "-" Fail "~" SoftFail "?" Neutral

The qualifier is optional and defaults to "+".

When a mechanism matches and the qualifier is "-", then a "Fail" result is returned and the explanation string is computed as described in <u>Section 6.2</u>.

The specific mechanisms are described in <u>Section 5</u>.

4.6.3. Modifiers

Modifiers are not mechanisms: they do not return match or not-match. Instead they provide additional information. While modifiers do not directly affect the evaluation of the record, the "redirect" modifier has an effect after all the mechanisms have been evaluated.

4.7. Default Result

If none of the mechanisms match and there is no "redirect" modifier, then the check_host() returns a result of "Neutral", just as if "?all" were specified as the last directive. If there is a "redirect" modifier, check_host() proceeds as defined in <u>Section 6.1</u>.

Note that records SHOULD always either use a "redirect" modifier or an "all" mechanism to explicitly terminate processing.

For example:

```
v=spf1 +mx -all
```

or

v=spf1 +mx redirect=_spf.example.com

<u>4.8</u>. Domain Specification

Several of these mechanisms and modifiers have a <domain-spec> section. The <domain-spec> string is macro expanded (see <u>Section 8</u>). The resulting string is the common presentation form of a fullyqualified DNS name: a series of labels separated by periods. This domain is called the <target-name> in the rest of this document.

Note: The result of the macro expansion is not subject to any further escaping. Hence, this facility cannot produce all characters that are legal in a DNS label (e.g. the control characters). However, this facility is powerful enough to express legal host names, and

common utility labels (such as "_spf") that are used in DNS.

For several mechanisms, the <domain-spec> is optional. If it is not provided, the <domain> is used as the <target-name>.

5. Mechanism Definitions

This section defines two types of mechanisms.

Basic mechanisms contribute to the language framework. They do not specify a particular type of authorization scheme.

all include

Designated sender mechanisms are used to designate a set of <ip> addresses as being permitted or not permitted to use the <domain> for sending mail.

a mx ptr ip4 ip6 exists

The following conventions apply to all mechanisms that perform a comparison between <ip> and an IP address at any point:

If no CIDR-length is given in the directive, then <ip> and the IP address are compared for equality.

If a CIDR-length is specified, then only the specified number of high-order bits of <ip> and the IP address are compared for equality.

When any mechanism fetches host addresses to compare with <ip>, when <ip> is an IPv4 address, A records are fetched, when <ip> is an IPv6 address, AAAA records are fetched. Even if the SMTP connection is via IPv6, an IPv4-mapped IPv6 IP address (see [<u>RFC3513</u>] <u>section</u> <u>2.5.5</u>) MUST still be considered an IPv4 address.

Several mechanisms rely on information fetched from DNS. For these DNS queries, except where noted, if the DNS server returns an error (RCODE other than 0 or 3) or the query times out, the mechanism throws the exception "TempError". If the server returns "domain does not exist" (RCODE 3), then evaluation of the mechanism continues as if the server returned no error (RCODE 0) and zero answer records.

5.1. "all"

all = "all"

The "all" mechanism is a test that always matches. It is used as the

rightmost mechanism in a record to provide an explicit default.

For example:

v=spf1 a mx -all

Mechanisms after "all" will never be tested. Any "redirect" modifier (Section 6.1) has no effect when there is an "all" mechanism.

5.2. "include"

include = "include" ":" domain-spec

The "include" mechanism triggers a recursive evaluation of check_host(). The domain-spec is expanded as per <u>Section 8</u>. Then check_host() is evaluated with the resulting string as the <domain>. The <ip> and <sender> arguments remain the same as in the current evaluation of check_host().

In hindsight, the name "include" was poorly chosen. Only the evaluated result of the referenced SPF record is used, rather than acting as if the referenced SPF record was literally included in the first. For example, evaluating a "-all" directive in the referenced record does not terminate the overall processing and does not necessarily result in an overall "Fail". (Better names for this mechanism would have been "if-pass", "on-pass", etc.)

The "include" mechanism makes it possible for one domain to designate multiple administratively-independent domains. For example, a vanity domain "example.net" might send mail using the servers of administratively-independent domains example.com and example.org.

Example.net could say

IN TXT "v=spf1 include:example.com include:example.org -all"

This would direct check_host() to, in effect, check the records of example.com and example.org for a "Pass" result. Only if the host were not permitted for either of those domains would the result be "Fail".

Whether this mechanism matches, does not match, or throws an error, depends on the result of the recursive evaluation of check_host():

<pre>+</pre>	Causes the "include" mechanism to:
Pass	match
Fail	not match
SoftFail	not match
Neutral	not match
TempError	throw TempError
 PermError	throw PermError
 None +	throw PermError

The "include" mechanism is intended for crossing administrative boundaries. While it is possible to use includes to consolidate multiple domains that share the same set of designated hosts, domains are encouraged to use redirects where possible, and to minimize the number of includes within a single administrative domain. For example, if example.com and example.org were managed by the same entity, and if the permitted set of hosts for both domains were "mx:example.com", it would be possible for example.org to specify "include:example.com", but it would be preferable to specify "redirect=example.com" or even "mx:example.com".

<u>5.3</u>. "a"

This mechanism matches if <ip> is one of the <target-name>'s IP addresses.

A = "a" [":" domain-spec] [dual-cidr-length]

An address lookup is done on the <target-name>. The <ip> is compared to the returned address(es). If any address matches, the mechanism matches.

<u>5.4</u>. "mx"

This mechanism matches if $<\!\mathrm{ip}\!>$ is one of the MX hosts for a domain name.

MX = "mx" [":" domain-spec] [dual-cidr-length]

check_host() first performs an MX lookup on the <target-name>. Then it performs an address lookup on each MX name returned. The <ip> is compared to each returned IP address. To prevent DoS attacks, more than 10 MX names MUST NOT be looked up during the evaluation of an "mx" mechanism (see <u>Section 10</u>). If any address matches, the mechanism matches.

Note regarding implicit MXes: If the <target-name> has no MX records, check_host() MUST NOT pretend the target is its single MX, and MUST NOT default to an A lookup on the <target-name> directly. This behavior breaks with the legacy "implicit MX" rule. See [RFC2821] Section 5. If such behavior is desired, the publisher should specify an "a" directive.

<u>5.5</u>. "ptr"

This mechanism tests whether the DNS reverse mapping for <ip> exists and correctly points to a domain name within a particular domain.

PTR = "ptr" [":" domain-spec]

First the <ip>'s name is looked up using this procedure: perform a DNS reverse-mapping for <ip>, looking up the corresponding PTR record in "in-addr.arpa." if the address is an IPv4 one and in "ip6.arpa." if it is an IPv6 address. For each record returned, validate the domain name by looking up its IP address. To prevent DoS attacks, more than 10 PTR names MUST NOT be looked up during the evaluation of a "ptr" mechanism (see <u>Section 10</u>). If <ip> is among the returned IP addresses, then that domain name is validated. In pseudocode:

```
sending-domain_names := ptr_lookup(sending-host_IP);
if more than 10 sending-domain_names are found, use at most 10.
for each name in (sending-domain_names) {
    IP_addresses := a_lookup(name);
    if the sending-domain_IP is one of the IP_addresses {
      validated-sending-domain_names += name;
    }
}
```

Check all validated domain names to see if they end in the <target-name> domain. If any do, this mechanism matches. If no validated domain name can be found, or if none of the validated domain names end in the <target-name>, this mechanism fails to match. If a DNS error occurs while doing the PTR RR lookup, then this mechanism fails to match. If a DNS error occurs while doing an A RR lookup, then that domain name is skipped and the search continues.

Pseudocode:

```
for each name in (validated-sending-domain_names) {
   if name ends in <domain-spec>, return match.
   if name is <domain-spec>, return match.
}
return no-match.
```

This mechanism matches if the <target-name> is either an ancestor of a validated domain name, or if the <target-name> and a validated domain name are the same. For example: "mail.example.com" is within the domain "example.com", but "mail.bad-example.com" is not.

Note: Use of this mechanism is discouraged because it is slow, is not as reliable as other mechanisms in cases of DNS errors and it places a large burden on the arpa name servers. If used, proper PTR records must be in place for the domain's hosts and the "ptr" mechanism should be one of the last mechanisms checked.

5.6. "ip4" and "ip6"

These mechanisms test whether ${\rm <ip>}$ is contained within a given IP network.

":" ip4-network [ip4-cidr-length] = "ip4" IP4 = "ip6" ":" ip6-network [ip6-cidr-length] IP6 ip4-cidr-length = "/" 1*DIGIT ip6-cidr-length = "/" 1*DIGIT dual-cidr-length = [ip4-cidr-length] ["/" ip6-cidr-length] = qnum "." qnum "." qnum "." qnum ip4-network ; 0-9 = DIGIT qnum / %x31-39 DIGIT ; 10-99 ; 100-199 / "1" 2DIGIT / "2" %x30-34 DIGIT ; 200-249 / "25" %x30-35 ; 250-255 ; as per conventional dotted quad notation. e.g. 192.0.2.0 ip6-network = <as per <u>[RFC 3513]</u>, section 2.2> ; e.g. 2001:DB8::CD30

The <ip> is compared to the given network. If CIDR-length high-order bits match, the mechanism matches.

If ip4-cidr-length is omitted it is taken to be "/32". If ip6-cidr-length is omitted it is taken to be "/128". It is not permitted to omit parts of the IP address instead of using CIDR notations. That is, use 192.0.2.0/24 instead of 192.0.2.

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<u>5.7</u>. "exists"

This mechanism is used to construct an arbitrary domain name that is used for a DNS A record query. It allows for complicated schemes involving arbitrary parts of the mail envelope to determine what is permitted.

exists = "exists" ":" domain-spec

The domain-spec is expanded as per <u>Section 8</u>. The resulting domain name is used for a DNS A RR lookup. If any A record is returned, this mechanism matches. The lookup type is 'A' even when the connection type is IPv6.

Domains can use this mechanism to specify arbitrarily complex queries. For example, suppose example.com publishes the record:

```
v=spf1 exists:%{ir}.%{l1r+-}._spf.%{d} -all
```

The <target-name> might expand to "1.2.0.192.someuser._spf.example.com". This makes fine-grained decisions possible at the level of the user and client IP address.

This mechanism enables queries that mimic the style of tests that existing anti-spam DNS blacklists (DNSBL) use.

6. Modifier Definitions

Modifiers are name/value pairs that provide additional information. Modifiers always have an "=" separating the name and the value.

The modifiers defined in this document ("redirect" and "exp") MAY appear anywhere in the record, but SHOULD appear at the end, after all mechanisms. Ordering of these two modifiers does not matter. These two modifiers MUST NOT appear in a record more than once each. If they do, then check_host() exits with a result of "PermError".

Unrecognized modifiers MUST be ignored no matter where in a record, or how often. This allows implementations of this document to gracefully handle records with modifiers that are defined in other specifications.

6.1. redirect: Redirected Query

If all mechanisms fail to match, and a "redirect" modifier is present, then processing proceeds as follows:

= "redirect" "=" domain-spec redirect

The domain-spec portion of the redirect section is expanded as per the macro rules in <u>Section 8</u>. Then check_host() is evaluated with the resulting string as the <domain>. The <ip> and <sender> arguments remain the same as current evaluation of check_host().

The result of this new evaluation of check_host() is then considered the result of the current evaluation with the exception that if no SPF record is found, or if the target-name is malformed, the result is a "PermError" rather than "None".

Note that the newly-queried domain may itself specify redirect processing.

This facility is intended for use by organizations that wish to apply the same record to multiple domains. For example:

la.example.com. TXT "v=spf1 redirect=_spf.example.com" ny.example.com. TXT "v=spf1 redirect=_spf.example.com" sf.example.com. TXT "v=spf1 redirect=_spf.example.com" _spf.example.com. TXT "v=spf1 mx:example.com -all"

In this example, mail from any of the three domains is described by the same record. This can be an administrative advantage.

Note: In general, the domain "A" cannot reliably use a redirect to

another domain "B" not under the same administrative control. Since the <sender> stays the same, there is no guarantee that the record at domain "B" will correctly work for mailboxes in domain "A", especially if domain "B" uses mechanisms involving localparts. An "include" directive may be more appropriate.

For clarity it is RECOMMENDED that any "redirect" modifier appear as the very last term in a record.

<u>6.2</u>. exp: Explanation

explanation = "exp" "=" domain-spec

If check_host() results in a "Fail" due to a mechanism match (such as "-all"), and the "exp" modifier is present, then the explanation string returned is computed as described below. If no "exp" modifier is present, then either a default explanation string or an empty explanation string may be returned.

The <domain-spec> is macro expanded (see <u>Section 8</u>) and becomes the <target-name>. The DNS TXT record for the <target-name> is fetched.

If <domain-spec> is empty, or there are any DNS processing errors (any RCODE other than 0), or if no records are returned, or if more than one record is returned, or if there are syntax errors in the explanation string, then proceed as if no exp modifier was given.

The fetched TXT record's strings are concatenated with no spaces, and then treated as an <explain-string> which is macro-expanded. This final result is the explanation string. Implementations MAY limit the length of the resulting explanation string to allow for other protocol constraints and/or reasonable processing limits. Since the explanation string is intended for an SMTP response and [RFC2821] section 2.4 says that responses are in [US-ASCII], the explanation string is also limited to US-ASCII.

Software evaluating check_host() can use this string to communicate information from the publishing domain in the form of a short message or URL. Software SHOULD make it clear that the explanation string comes from a third party. For example, it can prepend the macro string "%{o} explains: " to the explanation, such as shown in Section 2.5.4.

Suppose example.com has this record:

v=spf1 mx -all exp=explain._spf.%{d}

Here are some examples of possible explanation TXT records at

explain._spf.example.com:

"Mail from example.com should only be sent by its own servers." -- a simple, constant message

"%{i} is not one of %{d}'s designated mail servers."
 -- a message with a little more info, including the IP address
 that failed the check

"See http://%{d}/why.html?s=%{S}&i=%{I}"

-- a complicated example that constructs a URL with the arguments to check_host() so that a web page can be generated with detailed, custom instructions

Note: During recursion into an "include" mechanism, an exp= modifier from the <target-name> MUST NOT be used. In contrast, when executing a "redirect" modifier, an exp= modifier from the original domain MUST NOT be used.

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7. The Received-SPF header field

It is RECOMMENDED that SMTP receivers record the result of SPF processing in the message headers. If an SMTP receiver chooses to do so, it SHOULD use the "Received-SPF" header defined here for each identity that was checked. This information is intended for the recipient. (Information intended for the sender is described in Section 6.2, Explanation.)

The Received-SPF header is a trace field (see [RFC2822] section 3.6.7) and SHOULD be prepended to existing headers, above the Received: header that is generated by the SMTP receiver. It MUST appear above any other Received-SPF headers in the message. The header has the format:

header	<pre>= "Received-SPF:" [CFWS] result FWS [comment FWS] [key-value-list] CRLF</pre>
result	= "Pass" / "Fail" / "SoftFail" / "Neutral" / "None" / "TempError" / "PermError"
key-value-list	= key-value-pair *(";" [CFWS] key-value-pair) [";"]
key-value-pair	= key [CFWS] "=" (dot-atom / quoted-string)
key	<pre>= "client-ip" / "envelope-from" / "helo" / "problem" / "receiver" / "identity" / mechanism / "x-" name / name</pre>
identity	<pre>= "mailfrom" ; for the "MAIL FROM" identity / "helo" ; for the "HELO" identity / name ; other identities</pre>
dot-atom quoted-string comment CFWS FWS CRLF	<pre>= <unquoted [rfc2822]="" as="" per="" word=""> = <quoted [rfc2822]="" as="" per="" string=""> = <comment [rfc2822]="" as="" per="" string=""> = <comment [rfc2822]="" as="" folding="" or="" per="" space="" white=""> = <folding [rfc2822]="" as="" per="" space="" white=""> = <standard [rfc2822]="" as="" end-of-line="" per="" token=""></standard></folding></comment></comment></quoted></unquoted></pre>

The header SHOULD include a "(...)" style <comment> after the result, conveying supporting information for the result, such as <ip>, <sender> and <domain>.

The following key-value pairs are designed for later machine parsing. SPF clients SHOULD give enough information so that the SPF results can be verified. That is, at least the "client-ip", "helo", and, if

the "MAIL FROM" identity was checked, the "envelope-from".

client-ip the IP address of the SMTP client

envelope-from the envelope sender mailbox

helo the host name given in the HELO or EHLO command

mechanism the mechanism that matched (if no mechanisms matched, substitute the word "default".)

problem if an error was returned, details about the error

receiver the host name of the SPF client

identity the identity that was checked, see the <identity> ABNF rule.

Other keys may be defined by SPF clients. Until a new key name becomes widely accepted, new key names should start with "x-".

SPF clients MUST make sure that the Received-SPF header does not contain invalid characters, is not excessively long, and does not contain malicious data that has been provided by the sender.

Examples of various header styles that could be generated:

Received-SPF: Pass (mybox.example.org: domain of myname@example.com designates 192.0.2.1 as permitted sender) receiver=mybox.example.org; client-ip=192.0.2.1; envelope-from=<myname@example.com>; helo=foo.example.com;

Received-SPF: Fail (mybox.example.org: domain of myname@example.com does not designate 192.0.2.1 as permitted sender) identity=mailfrom; client-ip=192.0.2.1; envelope-from=<myname@example.com>;

8. Macros

8.1. Macro definitions

```
Many mechanisms and modifiers perform macro expansion on part of the term.
```

```
domain-spec = macro-string domain-end
              = ( "." toplabel ) / macro-expand
domain-end
         = ALPHA / ALPHA *[ alphanum / "-" ] alphanum
toplabel
                 ; LDH rule (See [RFC3696])
alphanum
               = ALPHA / DIGIT
explain-string = *( macro-string / SP )
               = *( macro-expand / macro-literal )
macro-string
macro-expand
                = ( "%{" macro-letter transformers *delimiter "}" )
                 / "%%" / "% " / "%-"
macro-literal = %x21-24 / %x26-7E
                 ; visible characters except "%"
macro-letter = "s" / "l" / "o" / "d" / "i" / "p" / "h" /
                 "c" / "r" / "t"
transformers = *DIGIT [ "r" ]
               = "." / "-" / "+" / "," / "/" / " " / "="
delimiter
A literal "%" is expressed by "%%".
  "%_" expands to a single " " space.
  "%-" expands to a URL-encoded space, viz. "%20".
The following macro letters are expanded in term arguments:
  s = <sender>
```

```
l = local-part of <sender>
o = domain of <sender>
d = <domain>
i = <ip>
p = the validated domain name of <ip>
v = the string "in-addr" if <ip> is ipv4, or "ip6" if <ip> is ipv6
h = HELO/EHLO domain
```

The following macro letters are only allowed in "exp" text:

c = SMTP client IP (easily readable format)
r = domain name of host performing the check
t = current timestamp

A '%' character not followed by a '{', '%', '-', or '_' character is a syntax error. So,

-exists:%(ir).sbl.spamhaus.example.org

is incorrect and will cause check_host() to return a "PermError". Instead, say

-exists:%{ir}.sbl.spamhaus.example.org

Optional transformers are:

*DIGIT = zero or more digits 'r' = reverse value, splitting on dots by default

If transformers or delimiters are provided, the replacement value for a macro letter is split into parts. After performing any reversal operation and/or removal of left-hand parts, the parts are rejoined using "." and not the original splitting characters.

By default, strings are split on "." (dots). Note that no special treatment is given to leading, trailing or consecutive delimiters, and so the list of parts may contain empty strings. Macros may specify delimiter characters which are used instead of ".".

The 'r' transformer indicates a reversal operation: if the client IP address were 192.0.2.1, the macro %{i} would expand to "192.0.2.1" and the macro %{ir} would expand to "1.2.0.192".

The DIGIT transformer indicates the number of right-hand parts to use, after optional reversal. If a DIGIT is specified, the value MUST be nonzero. If no DIGITs are specified, or if the value specifies more parts than are available, all the available parts are used. If the DIGIT was 5, and only 3 parts were available, the macro interpreter would pretend the DIGIT was 3. Implementations MUST support at least a value of 128, as that is the maximum number of labels in a domain name.

The "s" macro expands to the <sender> argument. It is an e-mail address with a localpart, an "@" character, and a domain. The "l" macro expands to just the localpart. The "o" macro expands to just the domain part. Note that these values remain the same during recursive and chained evaluations due to "include" and/or "redirect". Note also that if the original <sender> had no localpart, the localpart was set to "postmaster" in initial processing (see Section 4.3).

For IPv4 addresses, both the "i" and "c" macros expand to the

standard dotted-quad format.

For IPv6 addresses, the "i" macro expands to a dot-format address; it is intended for use in %{ir}. The "c" macro may expand to any of the hexadecimal colon-format addresses specified in [RFC3513] section 2.2. It is intended for humans to read.

The "p" macro expands to the validated domain name of <ip>. The procedure for finding the validated domain name is defined in Section 5.5. If the <domain> is present in the list of validated domains, it SHOULD be used. Otherwise, if a subdomain of the <domain> is present, it SHOULD be used. Otherwise, any name from the list may be used. If there are no validated domain names or if a DNS error occurs, the string "unknown" is used.

The "r" macro expands to the name of the receiving MTA. This SHOULD be a fully qualified domain name, but if one does not exist (as when the checking is done by a MUA) or if policy restrictions dictate otherwise, the word "unknown" SHOULD be substituted. The domain name may be different than the name found in the MX record that the client MTA used to locate the receiving MTA.

The "t" macro expands to the decimal representation of the approximate number of seconds since the Epoch (Midnight, January 1st, 1970, UTC). This is the same value as is returned by the POSIX time() function in most standards-compliant libraries.

When the result of macro expansion is used in a domain name query, if the expanded domain name exceeds 253 characters (the maximum length of a domain name), the left side is truncated to fit, by removing successive domain labels until the total length does not exceed 253 characters.

Uppercased macros expand exactly as their lower case equivalents, and are then URL escaped. URL escaping must be performed for characters not in the "uric" set, which is defined in [RFC3986].

Note: Care must be taken so that macro expansion for legitimate e-mail does not exceed the 63 character limit on DNS labels. The localpart of e-mail addresses, in particular, can have more than 63 characters between dots.

Note: Domains should avoid using the "s", "l", "o", or "h" macros in conjunction with any mechanism directive. While these macros are powerful and allow per-user records to be published, they severely limit the ability of implementations to cache results of check_host() and they reduce the effectiveness of DNS caches.

Implementations should be aware that if no directive processed during the evaluation of check_host() contains an "s", "l", "o" or "h" macro, then the results of the evaluation can be cached on the basis of <domain> and <ip> alone for as long as the shortest TTL of all the DNS records involved.

8.2. Expansion Examples

The <sender> is strong-bad@email.example.com. The IPv4 SMTP client IP is 192.0.2.3. The IPv6 SMTP client IP is 2001:DB8::CB01. The PTR domain name of the client IP is mx.example.org.

macro	expansion		
%{s}	strong-bad@email.example.com		
%{0}	email.example.com		
%{d}	email.example.com		
%{d4}	email.example.com		
%{d3}	email.example.com		
%{d2}	example.com		
%{d1}	COM		
%{dr}	com.example.email		
%{d2r}	example.email		
%{1}	strong-bad		
%{1-}	strong.bad		
%{lr}	strong-bad		
%{lr-}	bad.strong		
%{l1r-}	strong		
macro-st	ring	expansion	
	v}spf.%{d2} 3.2. pspf.%{d2}	0.192.in-addrspf.example.com bad.strong.lpspf.example.com	
%{lr-}.lp.%{ir}.%{v}spf.%{d2} bad.strong.lp.3.2.0.192.in-addrspf.example.com			
%{ir}.%{v}.%{l1r-}.lpspf.%{d2} 3.2.0.192.in-addr.strong.lpspf.example.com			
%{d2}.trusted-domains.example.net example.com.trusted-domains.example.net			
IPv6:			
%{ir}.%{	v}spf.%{d2} 0.0.0.0.0.0.0.0.0.0.0.0.8.B.D.	1.0.B.C.0.0.0.0. 0.1.0.0.2.ip6spf.example.com	

9. Implications

This section outlines the major implications that adoption of this document will have on various entities involved in Internet e-mail. It is intended to make clear to the reader where this document knowingly affects the operation of such entities. This section is not a "how-to" manual, nor a "best practices" document, and is not a comprehensive list of what such entities should do in light of this document.

This section is non-normative.

<u>9.1</u>. Sending Domains

Domains that wish to be compliant with this specification will need to determine the list of hosts that they allow to use their domain name in the "HELO" and "MAIL FROM" identities. It is recognized that forming such a list is not just a simple technical exercise, but involves policy decisions with both technical and administrative considerations.

It can be helpful to publish records that include a "tracking exists:" mechanism. By looking at the name server logs, a rough list may then be generated. For example:

v=spf1 exists:_h.%{h}._l.%{l}._o.%{o}._i.%{i}._spf.%{d} ?all

<u>9.2</u>. Mailing Lists

Mailing lists must be aware of how they re-inject mail that is sent to the list. Mailing lists MUST comply with the requirements in [RFC2821] Section 3.10 and [RFC1123] Section 5.3.6 that say that the reverse-path MUST be changed to be the mailbox of a person or other entity who administers the list. While the reasons for changing the reverse-path are many and long standing, SPF adds enforcement to this requirement.

In practice, almost all mailing list software in use already complies with this requirement. Mailing lists that do not comply may or may not encounter problems depending on how access to the list is restricted. Such lists that are entirely internal to a domain (only people in the domain can send to or receive from the list) are not affected.

9.3. Forwarding Services and Aliases

Forwarding services take mail that is received at a mailbox and direct it to some external mailbox. At the time of this writing, the

near-universal practice of such services is to use the original "MAIL FROM" of a message when re-injecting it for delivery to the external mailbox. [RFC1123] and [RFC2821] describe this action as an "alias" rather than a "mail list". This means the external mailbox's MTA sees all such mail in a connection from a host of the forwarding service, and so the "MAIL FROM" identity will not, in general, pass authorization.

There are three places that techniques can be used to ameliorate this problem.

- 1. The beginning, when e-mail is first sent.
 - 1. "Neutral" results could be given for IP addresses that may be forwarders, instead of "Fail" results. For example:

"v=spf1 mx -exists:%{ir}.sbl.spamhaus.example.org ?all"

This would cause a lookup on an anti-spam DNS blocklist (DNSBL) and cause a result of "Fail" only for e-mail coming from listed sources. All other e-mail, including e-mail sent through forwarders, would receive a "Neutral" result. By checking the DNSBL after the known good sources, problems with incorrect listing on the DNSBL are greatly reduced.

2. The "MAIL FROM" identity could have additional information in the localpart that cryptographically identifies the mail as coming from an authorized source. In this case, such an SPF record could be used:

"v=spf1 mx exists:%{l}._spf_verify.%{d} -all"

Then, a specialized DNS server can be set up to serve the _spf_verify subdomain which validates the localpart. While this requires an extra DNS lookup, this only happens when the e-mail would otherwise be rejected as not coming from a known good source.

Note that due to the 63 character limit for domain labels, this approach only works reliably if the localpart signature scheme is guaranteed either to only produce localparts with a maximum of 63 characters or to gracefully handle truncated localparts.

3. Similarly, a specialized DNS server could be set up that will rate-limit the e-mail coming from unexpected IP addresses.

"v=spf1 mx exists:%{ir}._spf_rate.%{d} -all"

 SPF allows the creation of per-user policies for special cases. For example, the following SPF record and appropriate wildcard DNS records can be used:

"v=spf1 mx redirect=%{l1r+}._at_.%{o}._spf.%{d}"

- 2. The middle, when e-mail is forwarded.
 - Forwarding services can solve the problem by rewriting the "MAIL FROM" to be in their own domain. This means that mail bounced from the external mailbox will have to be re-bounced by the forwarding service. Various schemes to do this exist though they vary widely in complexity and resource requirements on the part of the forwarding service.
 - 2. Several popular MTAs can be forced from "alias" semantics to "mailing list" semantics by configuring an additional alias with "owner-" prepended to the original alias name (e.g. an alias of "friends: george@example.com, fred@example.org" would need another alias of the form "owner-friends: localowner").
- 3. The end, when e-mail is received.
 - If the owner of the external mailbox wishes to trust the forwarding service, they can direct the external mailbox's MTA to skip SPF tests when the client host belongs to the forwarding service.
 - Tests against other identities, such as the "HELO" identity, may be used to override a failed test against the "MAIL FROM" identity.
 - 3. For larger domains, it may not be possible to have a complete or accurate list of forwarding services used by the owners of the domain's mailboxes. In such cases, whitelists of generally-recognized forwarding services could be employed.

<u>9.4</u>. Mail Services

Service providers that offer mail services to third-party domains, such as sending of bulk mail, may have to adjust their setup in light of the authorization check described in this document. If the "MAIL FROM" identity used for such e-mail uses the domain of the service provider, then the provider needs only to ensure that their sending host is authorized by their own SPF record, if any.

If the "MAIL FROM" identity does not use the mail service provider's domain, then extra care must be taken. The SPF record format has several options for the third party domain to authorize the service provider's MTAs to send mail on its behalf. For mail service providers, such as ISPs, that have a wide variety of customers using the same MTA, steps should be taken to prevent cross-customer forgery (see Section 10.4).

9.5. MTA Relays

The authorization check generally precludes the use of arbitrary MTA relays between sender and receiver of an e-mail message.

Within an organization, MTA relays can be effectively deployed. However, for purposes of this document, such relays are effectively transparent. The SPF authorization check is a check between border MTAs of different domains.

For mail senders, this means that published SPF records must authorize any MTAs that actually send across the Internet. Usually, these are just the border MTAs as internal MTAs simply forward mail to these MTAs for delivery.

Mail receivers will generally want to perform the authorization check at the border MTAs, specifically including all secondary MXes. This allows mail that fails to be rejected during the SMTP session rather than bounced. Internal MTAs then do not perform the authorization test. To perform the authorization test other than at the border, the host that first transferred the message to the organization must be determined, which can be difficult to extract from headers. Testing other than at the border is not recommended.

10. Security Considerations

<u>**10.1</u>**. Processing Limits</u>

As with most aspects of e-mail, there are a number of ways that malicious parties could use the protocol as an avenue for a Denialof-Service (DoS) attack. The processing limits outlined here are designed to prevent attacks such as:

- o A malicious party could create an SPF record with many references to a victim's domain and send many e-mails to different SPF clients; those SPF clients would then create a DoS attack. In effect, the SPF clients are being used to amplify the attacker's bandwidth by using fewer bytes in the SMTP session than are used by the DNS queries. Using SPF clients also allows the attacker to hide the true source of the attack.
- o While implementations of check_host() are supposed to limit the number of DNS lookups, malicious domains could publish records that exceed these limits in an attempt to waste computation effort at their targets when they send them mail. Malicious domains could also design SPF records that cause particular implementations to use excessive memory or CPU usage, or to trigger bugs.
- Malicious parties could send a large volume of mail purporting to come from the intended target to a wide variety of legitimate mail hosts. These legitimate machines would then present a DNS load on the target as they fetched the relevant records.

Of these, the case of a third party referenced in the SPF record is the easiest for a DoS attack to effectively exploit. As a result, limits that may seem reasonable for an individual mail server can still allow an unreasonable amount of bandwidth amplification. Therefore the processing limits need to be quite low.

SPF implementations MUST limit the number of mechanisms and modifiers that do DNS lookups to at most 10 per SPF check, including any lookups caused by the use of the "include" mechanism or the "redirect" modifier. If this number is exceeded during a check, a PermError MUST be returned. The "include", "a", "mx", "ptr", and "exists" mechanisms as well as the "redirect" modifier do count against this limit. The "all", "ip4" and "ip6" mechanisms do not require DNS lookups and therefore do not count against this limit. The "exp" modifier does not count against this limit because the DNS lookup to fetch the explanation string occurs after the SPF record has been evaluated.

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When evaluating the "mx" and "ptr" mechanisms, or the %{p} macro, there MUST be a limit of no more than 10 MX or PTR RRs looked up and checked.

SPF implementations SHOULD limit the total amount of data obtained from the DNS queries. For example, when DNS over TCP or EDNS0 are available, there may need to be an explicit limit to how much data will be accepted to prevent excessive bandwidth usage or memory usage, and DoS attacks.

MTAs or other processors MAY also impose a limit on the maximum amount of elapsed time to evaluate check_host(). Such a limit SHOULD allow at least 20 seconds. If such a limit is exceeded, the result of authorization SHOULD be "TempError".

Domains publishing records SHOULD try to keep the number of "include" mechanisms and chained "redirect" modifiers to a minimum. Domains SHOULD also try to minimize the amount of other DNS information needed to evaluate a record. This can be done by choosing directives that require less DNS information and placing lower-cost mechanisms earlier in the SPF record.

For example, consider a domain set up as:

example.com.	IN MX	10 mx.example.com.
mx.example.com.	IN A	192.0.2.1
a.example.com.	IN TXT	"v=spf1 mx:example.com -all"
b.example.com.	IN TXT	"v=spf1 a:mx.example.com -all"
c.example.com.	IN TXT	"v=spf1 ip4:192.0.2.1 -all"

Evaluating check_host() for the domain "a.example.com" requires the MX records for "example.com", and then the A records for the listed hosts. Evaluating for "b.example.com" only requires the A records. Evaluating for "c.example.com" requires none.

However, there may be administrative considerations: using "a" over "ip4" allows hosts to be renumbered easily. Using "mx" over "a" allows the set of mail hosts to be changed easily.

<u>10.2</u>. SPF-Authorized E-Mail May Be UBE

The "MAIL FROM" and "HELO" identity authorizations must not be construed to provide more assurance than they do. It is entirely possible for a malicious sender to inject a message using their own domain in the identities used by SPF, to have that domain's SPF record authorize the sending host, and yet the message content can easily claim other identities in the headers. Unless the user or the MUA takes care to note that the authorized identity does not match

the other more commonly-presented identities (such as the From: header), the user may be lulled into a false sense of security.

<u>10.3</u>. Spoofed DNS and IP Data

There are two aspects of this protocol that malicious parties could exploit to undermine the validity of the check_host() function:

- o The evaluation of check_host() relies heavily on DNS. A malicious attacker could attack the DNS infrastructure and cause check_host() to see spoofed DNS data, and then return incorrect results. This could include returning "Pass" for an <ip> value where the actual domain's record would evaluate to "Fail". See [RFC3833] for a description of the DNS weaknesses.
- o The client IP address, <ip>, is assumed to be correct. A malicious attacker could spoof TCP sequence numbers to make mail appear to come from a permitted host for a domain that the attacker is impersonating.

<u>10.4</u>. Cross-User Forgery

By definition, SPF policies just map domain names to sets of authorized MTAs, not whole e-mail addresses to sets of authorized users. Although the "l" macro (<u>Section 8</u>) provides a limited way to define individual sets of authorized MTAs for specific e-mail addresses, it is generally impossible to verify, through SPF, the use of specific e-mail addresses by individual users of the same MTA.

It is up to mail services and their MTAs to directly prevent crossuser forgery: based on SMTP AUTH ([<u>RFC2554</u>]), users should be restricted to using only those e-mail addresses that are actually under their control (see [<u>I-D.gellens-submit-bis</u>] <u>section 6.1</u>). Another means to verify the identity of individual users is message cryptography such as PGP ([<u>RFC2440</u>]) or S/MIME ([<u>RFC3851</u>]).

<u>10.5</u>. Untrusted Information Sources

SPF uses information supplied by third parties, such as the "HELO" domain name, the "MAIL FROM" address, and SPF records. This information is then passed to the receiver in the Received-SPF: mail headers and possibly returned to the client MTA in the form of an SMTP rejection message. This information must be checked for invalid characters and excessively long lines.

When the authorization check fails, an explanation string may be included in the reject response. Both the sender and the rejecting receiver need to be aware that the explanation was determined by the

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publisher of the SPF record checked and, in general, not the receiver. The explanation may contain malicious URLs, or it may be offensive or misleading.

This is probably less of a concern than it may initially seem since such messages are returned to the sender, and the explanation strings come from the sender policy published by the domain in the identity claimed by that very sender. As long as the DSN is not redirected to someone other than the actual sender, the only people who see malicious explanation strings are people whose messages claim to be from domains that publish such strings in their SPF records. In practice DSNs can be misdirected, such as when an MTA accepts an e-mail and then later generates a DSN to a forged address, or when an e-mail forwarder does not direct the DSN back to the original sender.

<u>10.6</u>. Privacy Exposure

Checking SPF records causes DNS queries to be sent to the domain owner. These DNS queries, especially if they are caused by the "exists" mechanism, can contain information about who is sending e-mail and likely to which MTA the e-mail is being sent to. This can introduce some privacy concerns, which may be more or less of an issue depending on local laws and the relationship between the domain owner and the person sending the e-mail.

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<u>11</u>. Contributors and Acknowledgements

This document is largely based on the work of Meng Weng Wong and Mark Lentczner. While, as this section acknowledges, many people have contributed to this document, a very large portion of the writing and editing are due to Meng and Mark.

This design owes a debt of parentage to [RMX] by Hadmut Danisch and to [DMP] by Gordon Fecyk. The idea of using a DNS record to check the legitimacy of an e-mail address traces its ancestry farther back through messages on the namedroppers mailing list by Paul Vixie [Vixie] (based on suggestion by Jim Miller) and by David Green [Green].

Philip Gladstone contributed the concept of macros to the specification, multiplying the expressiveness of the language and making per-user and per-IP lookups possible.

The authors would also like to thank the literally hundreds of individuals who have participated in the development of this design. They are far too numerous to name, but they include:

The folks on the spf-discuss mailing list. The folks on the SPAM-L mailing list. The folks on the IRTF ASRG mailing list. The folks on the IETF MARID mailing list. The folks on #perl.

<u>12</u>. IANA Considerations

12.1. The SPF DNS Record Type

The IANA needs to assign a new Resource Record Type and Qtype from the DNS Parameters Registry for the SPF RR type.

<u>12.2</u>. The Received-SPF mail header

Per [<u>RFC3864</u>], the "Received-SPF:" header field is added to the IANA Permanent Message Header Field Registry. The following is the registration template:

Header field name: Received-SPF Applicable protocol: mail ([RFC2822]) Status: standard (Note to RFC Editor: Replace the status with the final determination by the IESG) Author/Change controller: IETF Specification document(s): this Internet Draft (Note to RFC Editor: Replace this with RFC YYYY (RFC number of this spec)) Related information: Requesting SPF Council review of any proposed changes and additions to this field is recommended. For information about SPF Council see http://spf.mehnle.net/

13. References

<u>13.1</u> Normative References

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[US-ASCII]

American National Standards Institute (formerly United States of America Standards Institute), "USA Code for Information Interchange, X3.4", 1968.

ANSI X3.4-1968 has been replaced by newer versions with slight modifications, but the 1968 version remains definitive for the Internet.

<u>13.2</u> Informative References

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- [I-D.gellens-submit-bis] Gellens, R. and J. Klensin, "Message Submission for Mail", <u>draft-gellens-submit-bis-02</u> (work in progress), April 2005.
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Work In Progress

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Appendix A. Collected ABNF

This section is normative and any discrepancies with the ABNF fragments in the preceding text are to be resolved in favor of this grammar.

See [I-D.crocker-abnf-rfc2234bis] for ABNF notation. Please note that as per this ABNF definition, literal text strings (those in quotes) are case-insensitive. Hence, "mx" matches "mx", "MX", "mX" and "Mx".

record version	= version terms *SP = "v=spf1"
terms	= *(1*SP (directive / modifier))
directive qualifier mechanism	<pre>= [qualifier] mechanism = "+" / "-" / "?" / "~" = (all / include</pre>
all include A MX PTR IP4 IP6 exists	<pre>= "all" = "include" ":" domain-spec = "a" [":" domain-spec] [dual-cidr-length] = "mx" [":" domain-spec] [dual-cidr-length] = "ptr" [":" domain-spec] = "ip4" ":" ip4-network [ip4-cidr-length] = "ip6" ":" ip6-network [ip6-cidr-length] = "exists" ":" domain-spec</pre>
redirect explanation	<pre>= redirect / explanation / unknown-modifier = "redirect" "=" domain-spec = "exp" "=" domain-spec = name "=" macro-string</pre>
ip4-cidr-length ip6-cidr-length dual-cidr-length	
ip6-network	<pre>= qnum "." qnum "." qnum = DIGIT ; 0-9 / %x31-39 DIGIT ; 10-99 / "1" 2DIGIT ; 100-199 / "2" %x30-34 DIGIT ; 200-249 / "25" %x30-35 ; 250-255 entional dotted quad notation. e.g. 192.0.2.0 = <as 2.2="" 3513],="" [rfc="" per="" section=""> 2001:DB8::CD30</as></pre>

```
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                     Sender Policy Framework (SPF)
                   = macro-string domain-end
  domain-spec
                   = ( "." toplabel ) / macro-expand
  domain-end
                   = ALPHA / ALPHA *[ alphanum / "-" ] alphanum
  toplabel
                    ; LDH rule (See [RFC3696])
                  = ALPHA / DIGIT
  alphanum
  explain-string = *( macro-string / SP )
                   = *( macro-expand / macro-literal )
  macro-string
                   = ( "%{" macro-letter transformers *delimiter "}" )
  macro-expand
                    / "%%" / "% " / "%-"
  macro-literal
                   = %x21-24 / %x26-7E
                     ; visible characters except "%"
                   = "s" / "l" / "o" / "d" / "i" / "p" / "h" /
  macro-letter
                     "c" / "r" / "t"
  transformers
                   = *DIGIT [ "r" ]
                   = "." / "-" / "+" / "," / "/" / " " / "="
  delimiter
                   = ALPHA *( ALPHA / DIGIT / "-" / "_" / "." )
  name
  header
                   = "Received-SPF:" [CFWS] result FWS [comment FWS]
                     [ key-value-list ] CRLF
                   = "Pass" / "Fail" / "SoftFail" / "Neutral" /
  result
                     "None" / "TempError" / "PermError"
  key-value-list = key-value-pair *( ";" [CFWS] key-value-pair )
                     [";"]
  key-value-pair = key [CFWS] "=" ( dot-atom / quoted-string )
                   = "client-ip" / "envelope-from" / "helo" /
  kev
                     "problem" / "receiver" / "identity" /
                      mechanism / "x-" name / name
  identity
                   = "mailfrom" ; for the "MAIL FROM" identity
                                  ; for the "HELO" identity
                     / "helo"
                                  ; other identities
                     / name
  dot-atom
                   = <unquoted word as per [RFC2822]>
  quoted-string
                   = <quoted string as per [RFC2822]>
  comment
                   = <comment string as per [RFC2822]>
  CFWS
                   = <comment or folding white space as per [RFC2822]>
                   = <folding white space as per [RFC2822]>
  FWS
  CRLF
                  = <standard end-of-line token as per [<u>RFC2822</u>]>
```

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Appendix B. Extended Examples

These examples are based on the following DNS setup: ; A domain with two mail servers, two hosts ; and two servers at the domain name \$ORIGIN example.com. MX 10 mail-a @ MX 20 mail-b 192.0.2.10 А 192.0.2.11 А amy А 192.0.2.65 bob A 192.0.2.66 A 192.0.2.129 mail-a mail-b A 192.0.2.130 www CNAME example.com. ; A related domain \$ORIGIN example.org. MX 10 mail-c @ mail-c А 192.0.2.140 ; The reverse IP for those addresses \$ORIGIN 2.0.192.in-addr.arpa. PTR example.com. 10 11 PTR example.com. 65 PTR amy.example.com. PTR bob.example.com. 66 PTR mail-a.example.com. 129 PTR mail-b.example.com. 130 140 PTR mail-c.example.org. ; A rogue reverse IP domain that claims to be ; something it's not \$ORIGIN 0.0.10.in-addr.arpa. PTR bob.example.com. 4

<u>B.1</u>. Simple Examples

These examples show various possible published records for example.com and which values if <ip> would cause check_host() to return "Pass". Note that <domain> is "example.com".

```
v=spf1 +all
   -- any <ip> passes
v=spf1 a -all
   -- hosts 192.0.2.10 and 192.0.2.11 pass
```

```
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  v=spf1 a:example.org -all
      -- no sending hosts pass since example.org has no A records
  v=spf1 mx -all
      -- sending hosts 192.0.2.129 and 192.0.2.130 pass
  v=spf1 mx:example.org -all
      -- sending host 192.0.2.140 passes
  v=spf1 mx mx:example.org -all
      -- sending hosts 192.0.2.129, 192.0.2.130, and 192.0.2.140 pass
  v=spf1 mx/30 mx:example.org/30 -all
      -- any sending host in 192.0.2.128/30 or 192.0.2.140/30 passes
  v=spf1 ptr -all
      -- sending host 192.0.2.65 passes (reverse DNS is valid and is in
        example.com)
      -- sending host 192.0.2.140 fails (reverse DNS is valid, but not
        in example.com)
      -- sending host 10.0.0.4 fails (reverse IP is not valid)
  v=spf1 ip4:192.0.2.128/28 -all
      -- sending host 192.0.2.65 fails
      -- sending host 192.0.2.129 passes
```

B.2. Multiple Domain Example

These examples show the effect of related records:

example.org: "v=spf1 include:example.com include:example.net -all"

This record would be used if mail from example.org actually came through servers at example.com and example.net. Example.org's designated servers are the union of example.com's and example.net's designated servers.

```
la.example.org: "v=spf1 redirect=example.org"
ny.example.org: "v=spf1 redirect=example.org"
sf.example.org: "v=spf1 redirect=example.org"
```

These records allow a set of domains that all use the same mail system to make use of that mail system's record. In this way, only the mail system's record needs to be updated when the mail setup changes. These domains' records never have to change.

B.3. DNSBL Style Example

Imagine that, in addition to the domain records listed above, there are these:

<pre>\$ORIGIN _spf.example.com.</pre>				
mary.mobile-users	A 127.0.0.2			
fred.mobile-users	A 127.0.0.2			
15.15.168.192.joel.remote-users	A 127.0.0.2			
16.15.168.192.joel.remote-users	A 127.0.0.2			

The following records describe users at example.com who mail from arbitrary servers, or who mail from personal servers.

example.com:

v=spf1 mx include:mobile-users._spf.%{d} include:remote-users._spf.%{d} -all

mobile-users._spf.example.com:

v=spf1 exists:%{l1r+}.%{d}

remote-users._spf.example.com:

v=spf1 exists:%{ir}.%{l1r+}.%{d}

B.4. Multiple Requirements Example

Say that your sender policy requires that both the IP address is within a certain range and that the reverse DNS for the IP matches. This can be done several ways, including:

```
example.com. SPF ( "v=spf1 "
    "-include:ip4._spf.%{d} "
    "-include:ptr._spf.%{d} "
    "+all" )
ip4._spf.example.com. SPF "v=spf1 -ip4:192.0.2.0/24 +all"
ptr._spf.example.com. SPF "v=spf1 -ptr +all"
```

This example shows how the "-include" mechanism can be useful, how an SPF record that ends in "+all" can be very restrictive and the use of De Morgan's Law.

Appendix C. Change Log

RFC Editor Note: This section is to be removed during the final publication of the document.

<u>C.1</u>. Changes in Version -02

- The abstract notes that SPF-classic covers both the HELO and MAIL FROM identities. (ietf-822 review)
- o In <u>section 2.3</u> "Publishing Authorization", it now makes it clear that publishing is optional. (ietf-smtp review)
- o The definition of the "SoftFail" result have been recast from Receiver Policy to Sender Policy.
- o The definitions of Neutral, Pass and PermError have been updated/ clarified to more correctly reflect the semantics of <u>draft-mengwong-spf-01</u>.
- o A note to the RFC editor was made indicating that the SPF DNS RR type number should be added to the draft once the IANA has made an allocation.
- o The ip4-network ABNF has been fixed to give the ABNF of the dotted-quad format, rather than just using words to explain it.
- The ABNF for the Received-SPF header now shows that it ends with a CRLF. (ietf-822 review)
- o The new, optional, "scope" keyword-value pair has been renamed to "identity".
- o The "exp=" modifier no longer counts toward the DoS DNS lookup limits.
- o In <u>section 10.5</u> "Untrusted Information Sources", the explanation about explanation strings going to only the sender has been fixed to note that, in some cases, it can go to other people. (ietf-822 review)
- Sections <u>3.1.2</u> and <u>3.1.3</u> were updated to make the distinction between "multiple TXT RRs" and "multiple strings within a TXT" clearer. (ietf-822 review)
- o A normative reference to US-ASCII has been added.

- o Text describing how to lookup and process the SPF records has been removed from <u>section 3.1.1</u> "DNS Resource Record Types" and merged into similar text in sections <u>4.4</u> "Record Lookup" and 4.5 "Selecting Records"
- o <u>Section 4.5</u> "Selecting Records" has been updated to give an algorithm that says to return a PermError when it discovers that SPF and TXT records don't match.
- o In <u>section 6.1</u> "redirect: Redirected Query", the semantics have been changed to specify a result of PermError instead of None in cases where the target domain does not have any SPF records. It makes no sense to return None, that is "no SPF records found", when SPF records were found.
- o In <u>section 6.2</u> "exp: Explanation", it is explained that the record must be in US-ASCII due to requirements of <u>RFC2821</u>.
- o In <u>section 6.2</u> "exp: Explanation", the duplicate warning about source being from a third party was deleted.
- o A note has been added to <u>section 9.3.1.2</u> warning about domain labels being over 63 characters.
- o The "prefix" ABNF rule was renamed to "qualifier" to reflect the semantics of the rule, rather than the syntax.

<u>C.2</u>. Changes in Version -01

- o IETF boilerplate was updated to <u>BCP 79</u>.
- o A version number was added to the title. (IESG review)
- Many grammatical, typographical and spelling errors were corrected, along with rephrasing sentences to make the intent and meaning clearer.
- Sections have been re-ordered in so that they conform to the instructions2authors.txt document. All required sections and arrangements are included, and only the "Security Considerations" section is not in the suggested order. Since the Security Considerations is such an important part of the spec, it has been moved before the Acknowledgement section.
- o The HELO identity checking has been changed from "MAY" to "RECOMMENDED".

- o The e-mail receiver policy definition on how to handle HELO checking was removed. It was copied incorrectly from draft-mengwong-spf-01, changing its meaning.
- o A note was added that when changing SPF records, there needs to be a transitional period to prevent incorrect results.
- o The RECOMMENDATION not to use other identities with version 1 SPF records has been clarified. Example cases where checking other identities will cause incorrect results have been cited. (IESG review)
- o The "zone cut" method of determining if there is an SPF record at the top of the zone has been removed. It wasn't implemented very often and could not always be easily done. (IESG/namedroppers' review)
- o A note was added that receivers should consider rejecting e-mail for non-existent domains in order to prevent circumvention of SPF policies. This is due to the remove of "zone cuts". (namedroppers' review)
- o The RECOMMENDATION to perform SPF checks during the SMTP session has been clarified and strengthened.
- o Note added about the consequences of treating "Neutral" results worse than "None".
- o The suggested e-mail receiver policy when a "PermError" is encountered has been changed to be, effectively, the same semantics as were in <u>draft-mengwong-spf-01</u>. (MAAWG review)
- o ABNF cleaned up to pass Bill Fenner's checker and not just the one at http://www.apps.ietf.org/abnf.html
- o A few host names/IP addresses were fixed to use appropriate ones for I-Ds.
- o A definition of what to should be done if there are syntax errors in the explanation string was added. (E.g. use the default.)
- o <u>Section 10</u> "Security Considerations" has been broken up into subsections and reorganized.
- o Section 7.1 "Process Limits" has been merged into the similar language in the "Security Considerations" section.

- o The ABNF for the Received-SPF e-mail header has been made to be more compatible with <u>draft-mengwong-spf-01</u>. It was fixed to require whitespace when needed and to show where the suggested comment should be added to the header.
- o The IANA Considerations section now has the required information to document the Received-SPF header.
- o A new, optional, "scope" keyword has added to the Received-SPF header.
- o The non-normative <u>Section 9.3</u> "Forwarding Services and Aliases" has been expanded to more thoroughly cover the subject.
- o New Security Considerations sections on "Privacy Exposure" and "Cross-User Forgery" have been added.
- o A new example of an SPF policy with a non-obvious implementation has been added.

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Acknowledgment

Funding for the RFC Editor function is currently provided by the Internet Society.