

Network Working Group
Internet-Draft
Intended status: BCP
Expires: September 26, 2013

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March 25, 2013

An Acceptable Use Policy for New ICMP Types and Codes
draft-shore-icmp-aup-03

Abstract

Concerns about lack of clarity concerning when to add new Internet Control Message Protocol (ICMP) types and/or codes have highlighted a need to describe policies for when adding new features to ICMP is desirable and when it is not. In this document we provide a basic description of ICMP's role in the IP stack and some guidelines for the future.

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ICMP AUP

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1. Introduction

There has been some recent concern expressed about a lack of clarity around when to add new message types and codes to ICMP (including ICMPv4 [[RFC792](#)] and ICMPv6 [[RFC4443](#)]). We attempt to lay out a description of when (and when not) to move functionality into ICMP.

This document is the result of discussions among ICMP experts within the OPS area's IP Diagnostics Technical Interest Group [[1](#)] and concerns expressed by the OPS area leadership.

[2.](#) Acceptable use policy

In this document we describe a proposed acceptable use policy for new ICMP message types and codes, and provide some background behind the proposed policy.

In summary, we propose that any future message types added to ICMP should be limited to two broad categories:

1. to inform a datagram's originator that a forwarding plane anomaly has been encountered downstream. The datagram originator must be able to determine whether or not the datagram was discarded by examining the ICMP message
2. to discover on-link routers and hosts, and network-specific parameters

While we do not want ICMP to be a general-purpose network management protocol it does have a role to play in conveying dynamic information about a network.

[2.1.](#) Classification of existing message types

This section provides a rough breakdown of existing message types according to the taxonomy described in [Section 2](#).

IPv4 forwarding plane anomaly reporting

- 3: Destination unreachable
- 4: Source quench (deprecated)
- 5: Redirect
- 6: Alternate host address
- 11 Time exceeded
- 12 Parameter problem
- 31: Datagram conversion error
- 32: Mobile host redirect

- 41: ICMP messages utilized by experimental mobility protocols,
such as Seamoby

IPv4 router or host discovery

- 0: Echo reply
- 8: Echo
- 9: Router advertisement
- 10: Router solicitation
- 13: Timestamp
- 14: Timestamp reply
- 15: Information request
- 16: Information reply

- 17: Address mask request
- 18: Address mask reply
- 30: Traceroute
- 33: IPv6 Where-Are-You
- 34: IPv6 I-Am-Here
- 35: Mobile registration request
- 36: Mobile registration reply
- 37: Domain name request
- 38: Domain name reply
- 39: SKIP
- 40: Photuris

- 41: ICMP messages utilized by experimental mobility protocols,
such as Seamoby

IPv6 forwarding plane anomaly reporting

- 1: Destination unreachable
- 2: Packet too big
- 3: Time exceeded
- 4: Parameter problem

137: Redirect message

150: ICMP messages utilized by experimental mobility protocols,
such as Seamoby

IPv6 router or host discovery

128: Echo request

129: Echo reply

130: Multicast listener query

131: Multicast listener report

132: Multicast listener done

133: Router solicitation

134: Router advertisement

135: Neighbor solicitation

136: Neighbor advertisement

138: Router renumbering

139: ICMP node information query

140: ICMP node information response

141: Inverse neighbor discovery solicitation message

142: Inverse neighbor discovery advertisement message

143: Version 2 multicast listener report

- 144: Home agent address discovery request message
- 145: Home agent address discovery reply message
- 146: Mobile prefix solicitation
- 147: Mobile prefix advertisement
- 148: Certification path solicitation message
- 149: Certification path advertisement message
- 150: ICMP messages utilized by experimental mobility protocols, such as Seamoby
- 151: Multicast router advertisement
- 152: Multicast router solicitation
- 153: Multicast router termination
- 154: FMIPv6 messages
- 155: RPL control message

[2.1.1.](#) A few notes on RPL

RPL, the IPv6 Routing protocol for low-power and lossy networks (see [\[RFC6550\]](#)) appears to be something of an outlier among the existing ICMP message types, as the expansion of its acronym appears to be an actual routing protocol using ICMP for transport.

This should be considered anomalous and is not a model for future ICMP message types. Our understanding is that the working group initially defined a discovery protocol extending existing ICMPv6 ND messages before moving to its own native ICMP type.

[3.](#) ICMP's role in the internet

ICMP was originally intended to be a mechanism for routers to report error conditions back to hosts [[RFC792](#)]. The word "control" in the protocol name did not describe ICMP's function (i.e. it did not "control" the internet), but rather that it was used to communicate about the control functions in the internet. For example, even though ICMP included a redirect message type, it was and is not used as a routing protocol.

Most likely because of the presence of the word "control" in the protocol name, ICMP is often understood to be a control protocol, borrowing some terminology from circuit networks and the PSTN. That is probably not correct - it might be more correct to describe it as being closer to a management plane protocol, given the data plane/ control plane/ management plane taxonomy often used in describing telephony protocols. However, layering in IP networks is not very clean and there's often some intermingling of function that can tend to lead to confusion about where to place new functions.

In following sections we provide some background on the differences between control and management traffic.

4. Management vs. control

In this section we attempt to draw a distinction between management and control planes, acknowledging in advance that this may serve to muddle the differences even further. Ultimately the difference may not matter that much for the purpose of creating a policy for adding new types to ICMP, but because that terminology has become ubiquitous, even in IETF discussions, and because it has come up in prior discussions of ICMP policies, it seems worthwhile to take a few paragraphs to describe what they are and what they are not.

The terms "management plane" and "control plane" came into use to describe one aspect of layering in telecommunications networks. It is particularly important, in the context of this discussion, to understand that "control plane" in telecomm networks almost always refers to 'signaling,' or call control and network control information. This includes "call" establishment and teardown, route establishment and teardown, requesting QoS or other parameters, and so on.

"Management," on the other hand, tends to fall under the rubric "OAM," or "Operations, Administration, and Management." typical functions include fault management and performance monitoring (Service Level Agreement [SLA] compliance), discovery, etc.

The correct answer to the question of where ICMP fits into the management/control/data taxonomy is that it doesn't, at least not neatly. While some of the message types are unambiguously management message, at least within the narrow confines of a management/control dichotomy (ICMP type 3, or "unreachable" messages), others are less clearly identifiable. For example, the "redirect" (ICMP type 5) message can be construed to contain control (in this case, routing) information, even though it is in some very real sense an error message.

At this time,

- o there are many, many other protocols that can be (and are) used for control traffic, whether they're routing protocols, telephony signaling protocols, QoS protocols, middlebox protocols, AAA protocols, etc.
- o the transport characteristics needed by control traffic can be incompatible with the ICMP protocol standard -- for example, they may require reliable delivery, very large payloads, or have security requirements that cannot be met.

and because of this we propose that any future message types added to

ICMP must conform to the policy proposed in [Section 2](#). ICMP should not be used as a routing or network management protocol.

[5.](#) Security considerations

This document attempts to describe a high-level policy for adding ICMP types and codes. While special attention must be paid to the security implications of any particular new ICMP type or code, specific security considerations are outside the scope of this paper.

[6.](#) IANA considerations

There are no actions required by IANA.

[7.](#) Acknowledgments

This document was originally proposed by, and received substantial review and suggestions from, Ron Bonica. Discussions with Pascal Thubert helped clarify the history of RPL's use of ICMP. We are grateful for feedback from Joe Clarke and Wen Zhang.

8. Informative references

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Alexander, "RPL: IPv6 Routing Protocol for Low-Power and Lossy Networks", [RFC 6550](#), March 2012.

[1] <https://svn.tools.ietf.org/area/ops/trac/wiki/TIG_DIAGNOSTICS>

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