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CoAP Communication with Alternative Transports
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Abstract

CoAP has been standardised as an application level REST-based protocol. A single CoAP message is typically encapsulated and transmitted using UDP or DTLS as transports. These transports are optimal solutions for CoAP use in IP-based constrained environments and nodes. However compelling motivation exists for understanding how CoAP can operate with other transports, such as the need for M2M communication using non-IP networks, improved transport level end-to-end reliability and security, NAT and firewall traversal issues, and mechanisms possibly incurring a lower overhead to CoAP/HTTP translation gateways. This draft examines the requirements for conveying CoAP messages to end points over such alternative transports. It also provides a new URI format for representing CoAP resources over alternative transports.

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1. Introduction

The Constrained Application Protocol (CoAP) [RFC7252] has been standardised by the CoRE WG as a lightweight, HTTP-like protocol providing a request/response model that constrained nodes can use to communicate with other nodes, be those servers, proxies, gateways, less constrained nodes, or other constrained nodes.

As the Internet continues taking shape by integrating new kinds of networks, services and devices, the need for a consistent, lightweight method for resource representation, retrieval and

manipulation becomes evident. Owing to its simplicity and low overhead, CoAP is a highly suitable protocol for this purpose. However, the CoAP endpoint can reside in a non-IP network, be separated from its peer by NATs and firewalls or simply has no possibility to communicate over UDP. Consequently in addition to UDP, alternative transport channels for conveying CoAP messages could be considered.

Extending CoAP over alternative transports allows implementations to have a significantly larger relevance in constrained as well as non-constrained networked environments. It leads to better code optimisation in constrained nodes and broader implementation reuse across new transport channels. As opposed to implementing new resource retrieval mechanisms, an application in an end-node can continue relying on using CoAP's REST-based resource retrieval and manipulation for this purpose, while changes in end point identification and the transport protocol can be addressed by a transport-specific messaging sublayer. This simplifies development and memory requirements. Resource representations are also visible in an end-to-end manner for any CoAP client. The processing and computational overhead for conveying CoAP Requests and Responses from one underlying transport to another, would be less than that of an application-level gateway performing protocol translation of individual messages between CoAP and another resource retrieval protocol such as HTTP.

This document first provides scenarios where usage of CoAP over alternative transports is either currently underway, or may prove advantageous in the future. A simple transport type classification for CoAP-capable nodes is provided next. Then a new URI format is described through which a CoAP resource representation can be formulated that expresses transport identification in addition to endpoint information and resource paths. Following that, a discussion of the various transport properties which influence how CoAP Requests and Responses are mapped to transport level payloads, is presented.

This document however, does not touch on application QoS requirements, user policies or network adaptation, nor does it advocate replacing the current practice of UDP-based CoAP communication.

2. Usage Cases

Apart from UDP and DTLS, CoAP usage is being specified for the following environments as of this writing:

2.1. Use of SMS

CoAP Request and Response messages can be sent via SMS between CoAP end-points in a cellular network [I-D.becker-core-coap-sms-gprs]. A CoAP Request message can also be sent via SMS from a CoAP client to a sleeping CoAP Server as a wake-up mechanism and trigger communication via IP. The Open Mobile Alliance (OMA) specifies both UDP and SMS as transports for M2M communication in cellular networks. The OMA Lightweight M2M protocol being drafted uses CoAP, and as transports, specifies both UDP binding as well as Short Message Service (SMS) bindings [OMALWM2M] for the same reason.

2.2. Use of WebSockets

The WebSocket protocol is being proposed as a transport channel between WebSocket enabled CoAP end-points on the Internet [I-D.savolainen-core-coap-websockets]. This is particularly useful as a means for web browsers, especially in smart devices, to allow embedded client side scripts to create new WebSocket connections to various WebSocket-enabled servers, through which CoAP Request and Response messages can be exchanged. This also allows a browser containing an embedded CoAP server to behave as a WebSocket client by opening a connection to a WebSocket enabled CoAP Mirror Server [I-D.vial-core-mirror-server] to register and update its resources.

2.3. Use of P2P Overlays

[I-D.jimenez-p2psip-coap-reload] specifices how CoAP nodes can use a peer-to-peer overlay network called RELOAD, as a resource caching facility for storing wireless sensor data. When a CoAP node registers its resources with a RELOAD Proxy Node (PN), the node computes a hash value from the CoAP URI and stores it as a structure together with the PN's Node ID as well as the resources. Resource retrieval by CoAP nodes is accomplished by computing the hash key over the Request URI,opening a connection to the overlay and using its message routing system to contact the CoAP server via its PN.

2.4. Use of TCP

Using TCP to facilitate the traversal of CoAP Request and Response messages [I-D.bormann-core-coap-tcp], allows easier communication between CoAP clients and servers separated by firewalls and NATs. This also allows CoAP messages to be transported over push notification services from a notification server to a client app on a smartphone, that may previously have subscribed to receive change notifications of CoAP resource representations, possibly by using CoAP Observe-functionality [I-D.ietf-core-observe].

2.5. Others

CoAP could in addition be extended atop other transport channels, such as:

1. The transportation of CoAP messages in Delay-Tolerant Networks [RFC4838], using the Bundle Protocol [RFC5050] for reaching sensors in extremely challenging environments such as acoustic, underwater and deep space networks.

2. Any type of non-IP networks supporting constrained nodes and low-energy sensors, such as Bluetooth and Bluetooth Low Energy (either through L2CAP or with GATT) [BTCorev4.1], ZigBee, Z-Wave, 1-Wire, DASH7 and so on.

3. Instant Messaging and Social Networking channels, such as Jabber and Twitter.

3. Node Types based on Transport Availability

The term "alternative transport" in this document thus far has been used to refer to any non-UDP and non-DTLS transport that can convey CoAP messages in its payload. A node however, may in fact possess the capability to utilise CoAP over multiple transport channels at its disposal, simultaneously or otherwise, at any point in time to communicate with a CoAP end-point. Such communication can obviously take place over UDP and DTLS as well. Inevitably, if two CoAP endpoints reside in distinctly separate networks with orthogonal transports, a CoAP proxy node is needed between the two networks so that CoAP Requests and Responses can be exchanged properly.

In [RFC7228], Tables 1, 3 and 4 introduced classification schemes for devices, in terms of their resource constraints, energy limitations and communication power. For this document, in addition to these capabilities, it seems useful to additionally identify devices based on their transport capabilities.

Name	Transport Availability
T0	Single transport
T1	Multiple transports, with one or more active at any point in time
T2	Multiple active transports

Table 1: Classes of Available Transports

Nodes falling under Type T0 possess the capability of exactly 1 type of transport channel for CoAP, at all times. These include both active and sleepy nodes, which may choose to perform duty cycling for power saving.

Type T1 nodes possess multiple different transports, and can retrieve or expose CoAP resources over any or all of these transports. However, not all transports are constantly active and certain transport channels and interfaces could be kept in a mostly-off state for energy-efficiency, such as when using CoAP over SMS (refer to section 2.1)

Type T2 nodes possess more than 1 transport, and multiple transports are simultaneously active at all times. CoAP proxy nodes which allow CoAP endpoints from disparate transports to communicate with each other, are a good example of this.

4. CoAP Alternative Transport URI

Based on the usage scenarios as well as the transport classes presented in the preceding sections, this section discusses the formulation of a new URI for representing CoAP resources over alternative transports.

CoAP is logically divided into 2 sublayers, whereby a request/response layer is responsible for the protocol functionality of exchanging request and response messages, while the messaging layer is bound to UDP. These 2 sublayers are tightly coupled, both being responsible for properly encoding the header and body of the CoAP message. The CoAP URI is used by both logical sublayers. For a URI that is expressed generically as

```
URI = scheme ":" "//" authority path-abempty ["?"query ]
```

a simple example CoAP URI, "coap://server.example.com/sensors/temperature" is interpreted as follows:

```
   coap :// server.example.com /sensors/temperature
   \___/    \______  ________/  \______  _________/
     |             \/                  \/
  protocol      endpoint          parameterised
 identifier    identifier           resource
                                   identifier
```

Figure 1: The CoAP URI format

The resource path is explicitly expressed, and the endpoint identifier, which contains the host address at the network-level is also directly bound to the scheme name containing the application-level protocol identifier. The choice of a specific transport for a scheme, however, cannot be embedded with a URI, but is defined by convention or standardisation of the protocol using the scheme. As examples, [RFC5092] defines the 'imap' scheme for the IMAP protocol over TCP, while [RFC2818] requires that the 'https' protocol identifier be used to differentiate using HTTP over TLS instead of TCP.

4.1. Design Considerations

Several ways of formulating a URI which express an alternative transport binding to CoAP, can be envisioned. When such a URI is provided from an end-application to its CoAP implementation, the URI component containing transport-specific information can be checked to allow CoAP to use the appropriate transport for a target endpoint identifier.

The following design considerations influence the formulation of a new URI expressing CoAP resources over alternative transports:

1. A CoAP Transport URI can be supplied as a Proxy-Uri option by a CoAP end-point to a CoAP forward proxy. This allows communication with a CoAP end-point residing in a network using a different transport. Section 6.4 of [RFC7252] provides an algorithm for parsing a received URI to obtain the request's options. Also, the generic syntax for a URI is described in [RFC3986]. By ensuring conformance to RFC3986, the need for custom URI parsers as well as resolution algorithms can be obviated. In particular, a URI format needs to be described in

which each URI component clearly meets the syntax and percent-encoding rules described.

2. Request messages sent to a CoAP endpoint using a CoAP Transport URI may be responded to with a relative URI reference, for example, of the form "../../path/to/resource". In such cases, the requesting endpoint needs to resolve the relative reference against the original CoAP Transport URI to then obtain a new target URI to which a request can be sent to, to obtain a resource representation. [RFC3986] provides an algorithm to establish how relative references can be resolved against a base URI to obtain a target URI. Given this algorithm, a URI format needs to be described in which relative reference resolution does not result in a target URI that loses its transport-specific information

3. The host component of current CoAP URIs can either be an IPv4 address, an IPv6 address or a resolvable hostname. While the usage of DNS can sometimes be useful for distinguishing transport information (see section 4.3.1), accessing DNS over some alternative transport environments may be challenging. Therefore, a URI format needs to be described which is able to represent a resource without heavy reliance on a naming infrastructure, such as DNS.

4.2. URI format

To meet the design considerations previously discussed, the transport information is expressed as part of the URI scheme component. This is performed by minting new schemes for alternative transports using the form "coap+<transport-name>", where the name of the transport is clearly and unambiguously described. Each scheme name formed in this manner is used to differentiate the use of CoAP over an alternative transport instead of the use of CoAP over UDP or DTLS. The endpoint identifier, path and query components together with each scheme name would be used to uniquely identify each resource.

Examples of such URIs are:

- coap+tcp://[2001:db8::1]:5683/sensors/temperature for using CoAP over TCP

- coap+sms://0015105550101/sensors/temperature for using CoAP over SMS or USSD with the endpoint identifier being a telephone subscriber number

- coap+ws://www.example.com/sensors/temperature for using CoAP over WebSockets

A URI of this format to distinguish transport types is simple to understand and not dissimilar to the CoAP URI format. As the usage of each alternative transport results in an entirely new scheme, IANA intervention is required for the registration of each scheme name. The registration process follows the guidelines stipulated in [I-D.ietf-appsawg-uri-scheme-reg], particularly where permanent URI scheme registration is concerned.

It is also entirely possible for each new scheme to specify its own rules for how resource and transport endpoint information can be presented. However, the URIs and resource representations arising from their usage should meet the URI design considerations and guidelines mentioned in this document. In addition, each new transport being defined should take into consideration the various transport-level properties that can have an impact on how CoAP messages are conveyed as payload. This is elaborated on in the next section.

5. Alternative Transport Analysis and Properties

In this section the various characteristics of alternative transports for successfully supporting various kinds of functionality for CoAP are considered. CoAP factors lossiness, unreliability, small packet sizes and connection statelessness into its protocol logic. General transport differences and their impact on carrying CoAP messages here are discussed. Note that Properties 1, 2, and 3 are related.

Property 1: Uniqueness of an end-point identifier.

Transport protocols providing non-unique end-point IDs for nodes may only convey a subset of the CoAP functionality. Such nodes may only serve as CoAP servers that announce data at specific intervals to a pre-specified end point, or to a shared medium.

Property 2: Unidirectional or bidirectional CoAP communication support.

This refers to the ability of the CoAP end-point to use a single transport channel for both request and response messages. Depending on the scenario, having a unidirectional transport layer would mean the CoAP end-point might utilise it only for outgoing data or incoming data. Should both functionalities be needed, 2 unidirectional transport channels would be necessary.

Property 3: 1:N communication support.

This refers to the ability of the transport protocol to support broadcast and multicast communication. CoAP's request/response

behaviour depends on unicast messaging. Group communication in CoAP is bound to using multicasting. Therefore a protocol such as TCP would be ill-suited for group communications using multicast. Anycast support, where a message is sent to a well defined destination address to which several nodes belong, on the other hand, is supported by TCP.

Property 4: Transport-level reliability.

This refers to the ability of the transport protocol to provide a guarantee of reliability against packet loss, ensuring ordered packet delivery and having error control. When CoAP Request and Response messages are delivered over such transports, the CoAP implementations elide certain fields in the packet header. As an example, if the usage of a connection-oriented transport renders it unnecessary to specify the various CoAP message types, the Type field can be elided. For some connection-oriented transports, such as WebSockets, the version of CoAP being used can be negotiated during the opening transfer. Consequently, the Version field in CoAP packets can also be elided.

Property 5: Message encoding.

While parts of the CoAP payload are human readable or are transmitted in XML, JSON or SenML format, CoAP is essentially a low overhead binary protocol. Efficient transmission of such packets would therefore be met with a transport offering binary encoding support, although techniques exist in allowing binary payloads to be transferred over text-based transport protocols such as base-64 encoding. A fuller discussion about performing CoAP message encoding for SMS can be found in Appendix A.5 of [I-D.bormann-coap-misc]

Property 6: Network byte order.

CoAP, as well as transports based on the IP stack use a Big Endian byte order for transmitting packets over the air or wire, while transports based on Bluetooth and Zigbee prefer Little Endian byte ordering for packet fields and transmission. Any CoAP implementation that potentially uses multiple transports has to ensure correct byte ordering for the transport used.

Property 7: MTU correlation with CoAP PDU size.

Section 4.6 of [RFC7252] discusses the avoidance of IP fragmentation by ensuring CoAP message fit into a single UDP datagram. End-points on constrained networks using 6LoWPAN may use blockwise transfers to accommodate even smaller packet sizes to avoid fragmentation. The MTU sizes for Bluetooth Low Energy as well as Classic Bluetooth are

provided in Section 2.4 of [I-D.ietf-6lo-btle]. Transport MTU correlation with CoAP messages helps ensure minimal to no fragmentation at the transport layer. On the other hand, allowing a CoAP message to be delivered using a delay-tolerant transport service such as the Bundle Protocol [RFC5050] would imply that the CoAP message may be fragmented (or reconstituted) along various nodes in the DTN as various sized bundles and bundle fragments.

Property 8: Framing

When using CoAP over a streaming transport protocol such as TCP, as opposed to datagram based protocols, care must be observed in preserving message boundaries. Commonly applied techniques at the transport level include the use of delimiting characters for this purpose as well as message framing and length prefixing.

Property 9: Transport latency.

A confirmable CoAP request would be retransmitted by a CoAP end-point if a response is not obtained within a certain time. A CoAP end-point registering to a Resource Directory uses a POST message that could include a lifetime value. A sleepy end-point similarly uses a lifetime value to indicate the freshness of the data to a CoAP Mirror Server. Care needs to be exercised to ensure the latency of the transport being used to carry CoAP messages is small enough not to interfere with these values for the proper operation of these functionalities.

Property 10: Connection Management.

A CoAP endpoint using a connection-oriented transport should be responsible for proper connection establishment prior to sending a CoAP Request message. Both communicating endpoints may monitor the connection health during the Data Transfer phase. Finally, once data transfer is complete, at least one end point should perform connection teardown gracefully.

6. IANA Considerations

This memo includes no request to IANA.

7. Security Considerations

While no new security risks are envisaged simply from the introduction of support for alternative transports, end-applications and CoAP implementations should take note if certain transports require privacy trade-offs that may arise if identifiers such as MAC addresses or phone numbers are made public in addition to FQDNs.

8. Acknowledgements

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Appendix A. Expressing transport in the URI in other ways

Other means of indicating the transport as a distinguishable component within the CoAP URI are possible, but have been deemed unsuitable by not meeting the design considerations listed, or are incompatible with existing practices outlined in [RFC7252]. They are however, retained in this section for historical documentation and completeness.

A.1. Transport information as part of the URI authority

A single URI scheme, "coap-at" can be introduced, as part of an absolute URI which expresses the transport information within the authority component. One approach is to structure the component with a transport prefix to the endpoint identifier and a delimiter, such as "<transport-name>-endpoint_identifier".

Examples of resulting URIs are:

- coap-at://tcp-server.example.com/sensors/temperature

- coap-at://sms-0015105550101/sensors/temperature

An implementation note here is that some generic URI parsers will fail when encountering a URI such as "coap-at://tcp-[2001:db8::1]/sensors/temperature". Consequently, an equivalent, but parseable URI from the ip6.arpa domain needs to be formulated

instead. For [2001:db8::1] using TCP, this would result in the following URL:

coap-at://tcp-1.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.8.b.d.0
.1.0.0.2.ip6.arpa:5683/sensors/temperature

Usage of an IPv4-mapped IPv6 address such as [::ffff.192.100.0.1] can similarly be expressed with a URI from the ip6.arpa domain.

This URI format allows the usage of a single scheme to represent multiple types of transport end-points. Consequently, it requires consistency in ensuring how various transport-specific endpoints are identified, as a single URI format is used. Attention must be paid towards the syntax rules and encoding for the URI host component. Additionally, against a base URI of the form "coap-at://tcp-server.example.com/sensors/temperature", resolving a relative reference, such as "//example.net/sensors/temperature" would result in the target URI "coap-at://example.net/sensors/temperature", in which transport information is lost.

A.1.1. Usage of DNS records

DNS names can be used instead of IPv6 address literals to mitigate lengthy URLs referring to the ip6.arpa domain, if usage of DNS is possible.

DNS SRV records can also be employed to formulate a URL such as:

coap-at://srv-_coap._tcp.example.com/sensors/temperature

in which the "srv" prefix is used to indicate that a DNS SRV lookup should be used for _coap._tcp.example.com, where usage of CoAP over TCP is specified for example.com, and is eventually resolved to a numerical IPv4 or IPv6 address.

A.2. Making CoAP Resources Available over Multiple Transports

The CoAP URI used thus far is as follows:

```
URI         = scheme ":" hier-part [ "?" query ]
hier-part   = "//" authority path-abempty
```

A new URI format could be introduced, that does not possess an "authority" component, and instead defining "hier-part" to instead use another component, "path-rootless", as specified by RFC3986 [RFC3986]. The partial ABNF format of this URI would then be:

```
URI          = scheme ":" hier-part [ "?" query ]
hier-part    = path-rootless
path-rootless = segment-nz *( "/" segment )
```

The full syntax of "path-rootless" is described in [RFC3986]. A generic URI defined this way would conform to the syntax of [RFC3986], while the path component can be treated as an opaque string to indicate transport types, endpoints as well as paths to CoAP resources. A single scheme can similarly be used.

A constrained node that is capable of communicating over several types of transports (such as UDP, TCP and SMS) would be able to convey a single CoAP resource over multiple transports. This is also beneficial for nodes performing caching and proxying from one type of transport to another.

Requesting and retrieving the same CoAP resource representation over multiple transports could be rendered possible by prefixing the transport type and endpoint identifier information to the CoAP URI. This would result in the following example representation:

```
coap-at:tcp://example.com?coap://example.com/sensors/temperature
        \_______  ______/ \________________  __________________/
                \/                         \/
         Transport-specific            CoAP Resource
             Prefix
```

Figure 2: Prefixing a CoAP URI with TCP transport

Such a representation would result in the URI being decomposed into its constituent components, with the CoAP resource residing within the query component as follows:

Scheme: coap-at

Path: tcp://example.com

Query: coap://example.com/sensors/temperature

The same CoAP resource, if requested over a WebSocket transport, would result the following URI:

```
coap-at:ws://example.com/endpoint?coap://example.com/sensors/temperature
        \___________  __________/ \_______________  ___________________/
                    \/                            \/
            Transport-specific             CoAP Resource
                  Prefix
```

Figure 3: Prefixing a CoAP URI with WebSocket transport

While the transport prefix changes, the CoAP resource representation remains the same in the query component:

Scheme: coap-at

Path: ws://example.com/endpoint

Query: coap://example.com/sensors/temperature

The URI format described here overcomes URI aliasing [WWWArchv1] when multiple transports are used, by ensuring each CoAP resource representation remains the same, but is prefixed with different transports. However, against a base URI of this format, resolving relative references of the form "//example.net/sensors/temperature" and "/sensor2/temperature" would again result in target URIs which lose transport-specific information.

Implementation note: While square brackets are disallowed within the path component, the '[' and ']' characters needed to enclose a literal IPv6 address can be percent-encoded into their respective equivalents. The ':' character does not need to be percent-encoded. This results in a significantly simpler URI string compared to section 2.2, particularly for compressed IPv6 addresses. Additionally, the URI format can be used to specify other similar address families and formats, such as Bluetooth addresses [BTCorev4.1].

A.3. Transport as part of a 'service:' URL scheme

The "service:" URL scheme name was introduced in [RFC2609] and forms the basis of service description used primarily by the Service Location Protocol. An abstract service type URI would have the form

"service:<abstract-type>:<concrete-type>"

where <abstract-type> refers to a service type name that can be associated with a variety of protocols, while the <concrete-type>

then providing the specific details of the protocol used, authority and other URI components.

Adopting the "service:" URL scheme to describe CoAP usage over alternative transports would be rather trivial. To use a previous example, a CoAP service to discover a Resource Directory and its base RD resource using TCP would take the form

service:coap:tcp://host.example.com/.well-known/core?rt=core-rd

The syntax of the "service:" URL scheme differs from the generic URI syntax and therefore such a representation should be treated as an opaque URI as Section 2.1 of [RFC2609] recommends.

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