Network Working Group

R. Stager, PDC

Internet Draft Category: Informational D. Hitz, Network Appliance August 1997

Network Data Management Protocol <<u>draft-stager-pdc-netapp-backup-04.txt</u>>

Status of this Memo

This document is an Internet-Draft. Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups can also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as ``work in progress.''

To learn the current status of any Internet-Draft, please check the ``1id-abstracts.txt'' listing in the Internet-Drafts Shadow Directories on ftp.is.co.za (Africa), nic.nordu.net (Europe), munnari.oz.au (Pacific Rim), ds.internic.net (US East Coast), or ftp.isi.edu (US West Coast).

Abstract

The Network Data Management Protocol (NDMP) is an open protocol for enterprise-wide network based backup. The NDMP architecture allows network attached storage vendors to ship NDMP compliant file servers which can be used by any NDMP-compliant backup administration application. This same architecture is also used for network-attached backup devices, such as tape drives and tape libraries.

Filename:

<<u>draft-stager-pdc-netapp-backup-03.txt</u> >

Expires: March 1998

Internet Draft Network Data Management Protocol	09/04/97
<u>1</u> . OVERVIEW	<u>5</u>
<u>1.1</u> MOTIVATION	<u>5</u>
<u>1.2</u> AUDIENCE	<u>5</u>
<u>1.3</u> TERMINOLOGY	<u>6</u>
2. ARCHITECTURE	<u>8</u>
2.1 ARCHITECTURAL MODEL	<u>8</u>
2.2 COMPARISON ARCHITECTURES	<u>18</u>
2.3 STATE DESCRIPTION	<u>19</u>
<u>2.3.1</u> The Data State Diagram	<u>19</u>
2.3.2 The Mover State Diagram	<u>21</u>
2.4 PROTOCOL INTERFACES	<u>26</u>
<u>2.4.1</u> Messages from NDMP Client to NDMP Server	<u>26</u>
2.4.2 Messages from NDMP Server to NDMP Client	<u>28</u>
2.5 MESSAGING PROTOCOL	<u>28</u>
2.6 HEADER	<u>29</u>
2.7 ERROR CODES	<u>32</u>
2.8 MESSAGE NUMBERS	<u>36</u>
2.9 MESSAGE DEFINITIONS	<u>38</u>
3. NDMP SERVER INTERFACES	<u>39</u>
3.1 CONNECT INTERFACE	<u>39</u>
<u>3.1.1</u> Open Connection	<u>40</u>
3.1.2 Authorization	<u>40</u>
<u>3.1.3</u> Close Connection	<u>46</u>
3.2 CONFIG INTERFACE	<u>46</u>
<u>3.2.1</u> Get Host Info	<u>46</u>
3.2.2 Get Backup Type Attribute	<u>48</u>
<u>3.2.3</u> Get Mover Type	<u>50</u>
<u>3.2.4</u> Get authentication Type Attribute	<u>51</u>
3.3 SCSI INTERFACE	<u>53</u>
<u>3.3.1</u> Open SCSI Device	<u>53</u>
<u>3.3.2</u> Close Device	<u>55</u>
<u>3.3.3</u> Get SCSI State	<u>56</u>
<u>3.3.4</u> Set SCSI Target	<u>57</u>
<u>3.3.5</u> Reset Device	<u>59</u>
<u>3.3.6</u> Reset Bus	<u>60</u>
<u>3.3.7</u> Execute CDB	<u>61</u>
3.4 TAPE INTERFACE	<u>64</u>
<u>3.4.1</u> Open Tape Device	<u>64</u>
<u>3.4.2</u> Close Device	<u>66</u>
<u>3.4.3</u> Get Tape State	<u>67</u>

	<u>3.4.4</u> MTIO	. <u>69</u>
	<u>3.4.5</u> Write	. <u>72</u>
	<u>3.4.6</u> Read	. <u>73</u>
	<u>3.4.7</u> Execute CDB	. <u>75</u>
3	B.5 DATA INTERFACE	. <u>75</u>
	<u>3.5.1</u> Get Data State	. <u>75</u>

Internet	Draft	Network	Data	Management	Protocol	09/04/97
lincornicc	Diaic	Network	Ducu	nanagemente	TTOCOCOL	007 047 07

	<u>3.5.2</u>	Backup					 	 	 					<u>79</u>
	<u>3.5.3</u>	Recover .					 	 	 					<u>82</u>
	<u>3.5.4</u>	Abort					 	 	 					<u>85</u>
	<u>3.5.5</u>	Stop					 	 	 					<u>87</u>
	<u>3.5.6</u>	Get ENV .					 	 	 					<u>86</u>
	3.6 MOV	/ER INTERF	ACE				 	 	 					<u>88</u>
	3.6.1	Get Mover	State				 	 	 					<u>88</u>
	3.6.2	Listen					 	 	 					<u>92</u>
	3.6.3	Set Recor	d Size				 	 	 					<u>95</u>
	3.6.4	Set Windo	w				 	 	 					<u>96</u>
	3.6.5	Continue					 	 	 					<u>97</u>
	3.6.6	Abort					 	 	 					<u>98</u>
	3.6.7	Stop					 	 	 					<u>99</u>
	3.6.8	Read					 	 	 				<u>1</u>	00
	<u>3.6.9</u>	Close					 	 	 				1	01
<u>4</u> .	NDMP (CLIENT INT	ERFACES	5			 	 	 				<u>1</u>	<u>103</u>
1	<u>4.1</u> NO	TIFY INTER	FACE .		• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	03
	<u>4.1.1</u>	Notify Da	ta Halt	ed	• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	03
	<u>4.1.2</u>	Notify Co	nnected	k	• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	.04
	<u>4.1.3</u>	Notify Mo	ver Hal	Lted	• •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	.06
	4.1.4	Notify Mo	ver Pau	used	• •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	<u>.07</u>
	<u>4.1.5</u>	Notify DA	TA Read	k	• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	.08
1	<u>4.2</u> LO	GING INTE	RFACE		• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	.09
	4.2.1	Log			• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	09
	4.2.2	Debug			• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	09
	4.2.3	File Reco	vered		• • •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	11
1	<u>4.3</u> FI	E HISTORY	INTER	ACE	• •	• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	13
	<u>4.3.1</u>	Add Unix	Path .			• •	 • • •	 • •	 • •		• •	• •	<u>1</u>	13
	<u>4.3.2</u>	Add Unix	Dir				 • • •	 	 		• •		<u>1</u>	16
	<u>4.3.3</u>	Add Unix	Node .				 • • •	 • •	 • •		• •	• •	<u>1</u>	17
<u>5</u> .	REFERI	ENCES	•••••		• • •	• •	 • • •	 • •	 • •	• • •	• •	• •	<u>1</u>	19
6	SECUP	TV											1	10
<u>u</u> .	SECOR.						 	 	 			• •	• • <u>-</u>	<u>13</u>

<u>7</u> . AUTHORS	
FIGURE 1. SIMPLE CONFIGURATION	
FIGURE 2. TWO DRIVE CONFIGURATION	
FIGURE 3. JUKEBOX CONFIGURATION <u>15</u>	
FIGURE 4. BACKING UP NDMP HOST THROUGH THE NETWORK TO ANOTHER ND	MP
HOST	
FIGURE 5 - DATA STATE DIAGRAM	
FIGURE 6 - MOVER STATE DIAGRAM	

Internet Draft Network Data Management Protocol 09/04/97

1. Overview

1.1 Motivation

The purpose of this protocol is to allow a network backup application to control the backup and retrieval of an NDMP-compliant server without installing third-party software on the server.

The control and data transfer components of the backup/restore are separated. The separation allows complete interoperability at a network level. The file system vendors need only be concerned with maintaining compatibility with one, well-defined protocol. The backup vendors can place their primary focus on the sophisticated central backup administration software.

The NDMP protocol is targeted towards the process of backup and restore. There are extensive references to these tasks. The protocol is specifically intended to support tape drives. However, the protocol can be used for other applications and support other media in the future.

1.2 Audience

This document is intended for use by software developers to implement Network Data Management Protocol. The reader is assumed to be familiar with network protocol specifications and with the general operation of backup software. The user is not expected to have knowledge of internal backup software behavior.

1.3 Terminology

NDMP

Network Data Management Protocol. An open protocol for enterprise-wide network-based backup.

NDMP client

The application that controls the NDMP server.

NDMP host

Stager, Hitz

6

Internet Draft Network Data Management Protocol 09/04/97

The host that executes the NDMP server application. Data is backed up from the NDMP host to either a local tape drive or to a backup device on a remote NDMP host.

NDMP server

The virtual state machine on the NDMP host that is controlled using the NDMP protocol. There is one of these for each connection to the NDMP host. This term is used independent of implementation.

Internet Draft Network Data Management Protocol 09/04/97

2. Architecture

2.1 Architectural Model

The architecture is a client server model and backup management software is considered a client to the NDMP server. For every connection between the client on the backup management software host and the NDMP host, there is a virtual state machine on the NDMP host that is controlled using NDMP. This virtual state machine is referred to as the NDMP server. Each state machine controls at most one device used to run backups. The protocol is a set of XDR-encoded messages that are exchanged over a bi-directional TCP/IP connection and are used to control and monitor the state of the NDMP server and to collect detailed information about the data that is backed up.

In the most simple configuration, backup software will backup the data from the NDMP host to a backup device connected to the NDMP host.

Internet Draft Network Data Management Protocol 09/04/97



Network Boundary

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

9

Figure 1. Simple configuration

Internet Draft Network Data Management Protocol 09/04/97

It is also possible to use NDMP to simultaneously back up to two backup devices physically attached to the NDMP host. In this configuration, there are two instances of the NDMP server on the NDMP host.

Internet Draft Network Data Management Protocol 09/04/97



*	Λ		Server
*	Backup Backu	- qu	
*	Data Data	Backup ^	Backup
*		V Data	Data
*			I
*	רן ן	Гаре	I
*	Disk [Drive	V
*			
*	_		Tape
*		Disk	Drive
*			
*			
*			
*			

Network Boundary

|_____|

12

Internet Draft Network Data Management Protocol 09/04/97

Figure 2. Two drive configuration

NDMP can be used to back up data to a backup device in a jukebox that

is physically attached to the NDMP host. In this configuration, there is a separate instance of the NDMP server to control the robotics within the jukebox.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Network Boundary *



Network Boundary

Internet Draft Network Data Management Protocol 09/04/97

Figure 3. Jukebox configuration

Stager,Hitz

It is possible to back up a host that supports NDMP but does not have a locally attached backup device by sending the data through a raw TCP/IP connection to another NDMP host.

Internet Draft Network Data Management Protocol 09/04/97



Network Boundary

Internet Draft Network Data Management Protocol 09/04/97

Figure 4. Backing up NDMP host through the network to another NDMP host

2.2 Comparison Architectures

It is useful to compare the NDMP architecture to other architectures and note the similarities and differences.

rmt

The NDMP architecture is similar to the rmt architecture in that connection is made to a generic server and the server is instructed to open a specific tape device. NDMP differs in that it uses a TCP/IP connection to a dedicated port whereas rmt uses the rsh demon to launch a server.

X11

The NDMP architecture is similar to the X11 architecture in that it uses a single connection to a TCP/IP port. NDMP differs in that the NDMP server is not assigned to a device until the client opens a device and that there is only one client per NDMP server, whereas X11 is assigned to a display device before the first client connects and accepts connections from many clients.

RPC

The NDMP architecture is similar to the RPC architecture in that it uses XDR encoding. NDMP differs in that it is only defined for a TCP/IP connection and that it is not a call-return model, but rather a bi-directional asynchronous messaging model.

Internet Draft Network Data Management Protocol 09/04/97

2.3 State Description

Two interfaces have states associated with them: the Data interface and the Mover interface.

2.3.1 The Data State Diagram

The following defines the DATA state diagram.

Internet Draft Network Data Management Protocol 09/04/97





20

Internet Draft Network Data Management Protocol 09/04/97

Figure 5 - data state diagram

The following defines the state machine for the data interface and the rules for transitions between states.

2.3.1.1 Idle State

This is the start state of the state machine.

- . Transition to active state upon receipt of NDMP_DATA_START_BACKUP message.
- . Transition to active state upon receipt of NDMP_DATA_START_RECOVER message.

2.3.1.2 Active State

The NDMP server remains in this state while a backup or restore is active.

. Transition to halted state upon detection of a backup/restore

error.

- . Transition to halted state upon receipt of NDMP_DATA_ABORT message.
- . Transition to halted state upon completion of backup/restore.

2.3.1.3 Halted State

The NDMP server enters this state after a backup/restore has either completed or been aborted.

. Transition to idle state upon receipt of NDMP_DATA_STOP message.

2.3.2 The Mover State Diagram

Stager,Hitz

21

Internet Draft Network Data Management Protocol 09/04/97

The following defines the state diagram for the Mover interface.

Internet Draft Network Data Management Protocol 09/04/97





23

Internet Draft Network Data Management Protocol 09/04/97

Figure 6 - Mover state diagram

Internet Draft Network Data Management Protocol 09/04/97

2.3.2.1 Idle State

This is the start state of the state machine.

. Transition to listen state upon receipt of NDMP_MOVER_LISTEN message.

2.3.2.2 Listen State

The NDMP server remains in this state while waiting for a connection from either a local or remote NDMP data server.

- . Transition to active state upon establishment of connection with NDMP data server.
- . Transition to halted state upon receipt of NDMP_MOVER_ABORT message.

2.3.2.3 Active State

The NDMP server remains in this state while a backup or restore is active.

- . Transition to halted state upon detection of an internal error.
- . Transition to halted state upon receipt of NDMP_MOVER_ABORT message.
- . Transition to halted state upon detection of a connection error.
- . Transition to halted state upon detection of connection close.

25

- . Transition to paused state upon detection of EOM.
- . Transition to paused state upon detection of EOF.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

- . Transition to paused state upon detection of media error.
- . Transition to paused state upon reaching end of data window.

2.3.2.4 Halted State

The NDMP server enters this state after a backup/restore has either completed or been aborted.

. Transition to idle state upon receipt of NDMP_MOVER_STOP message.

2.3.2.5 Paused State

The NDMP server remains in this state while waiting for a tape to be changed.

- . Transition to active state upon receipt of NDMP_MOVER_CONTINUE message.
- . Transition to halted state upon receipt of NDMP_MOVER_ABORT message.
- . Transition to halted state upon receipt of NDMP_MOVER_CLOSE message.

2.4 Protocol Interfaces

Messages are grouped together by functionality into several interfaces.

2.4.1 Messages from NDMP Client to NDMP Server

The NDMP server must implement the following interfaces:

. CONNECT interface

This interface will be used after a client first establishes a connection to an NDMP server. The CONNECT interface allows the NDMP

Stager,Hitz

26

Internet Draft Network Data Management Protocol 09/04/97

server to authenticate the client and negotiate the version of protocol used.

. CONFIG interface

This interface allows an NDMP client to discover the configuration of the NDMP server. The CONFIG interface can be used to discover NDMP server configuration and attributes.

. SCSI interface

This interface is used to pass SCSI CDBs through to a SCSI device and retrieve the resulting SCSI status. The NDMP client will use the SCSI interface to control a locally attached jukebox. Software on the NDMP client will construct SCSI CDBs and will interpret the returned status and data. The SCSI interface can also be used to exploit special features of SCSI backup devices.

. TAPE interface

This interface will support both tape positioning and tape read/write operations. The NDMP client will typically use the TAPE interface to write tape volume header and trailer files. The NDMP client will also use the TAPE interface to position the tape during backups and restores.

. DATA interface

This is the interface that actually deals with the format of the backup data. The NDMP client will initiate backups and restores using the DATA interface. The NDMP client provides all of the parameters that may affect the backup or restore using the DATA interface. The NDMP client does not place any constraints on the format of the backup data other than it must be a stream of data that can be written to the tape device.

. MOVER interface

This interface is used to control the reading/writing of backup data from/to a tape device. During a backup the MOVER reads data from the data connection, buffers the data into tape records, and writes the data to the tape device. During a restore the MOVER reads data from the tape device and writes the data to the data connection. The MOVER Internet Draft Network Data Management Protocol 09/04/97

is responsible for handling tape exceptions and notifying the NDMP client.

2.4.2 Messages from NDMP Server to NDMP Client

The NDMP server's implementation might send the following messages to the NDMP client. All the messages that the NDMP client accepts are asynchronous. None of these messages will generate a reply message.

NOTIFY interface

The NDMP server uses this message to notify the NDMP client that the NDMP server requires attention.

. FILE HISTORY interface

These messages allow the NDMP server to make entries in the file history for the current backup. The file history will be used by the NDMP client to select files for retrieval.

. LOGGING interface

These messages allow the NDMP server to make entries in the backup log. The operator uses the backup log to monitor the progress and completion status of the backup. The log is also used to diagnose problems.

2.5 Messaging Protocol

The NDMP protocol is based on XDR encoded messages transmitted over a TCP/IP connection.

NDMP messages are asynchronous. Not all request messages have an associated reply message. An NDMP message consists of a message header optionally followed by a message body. Each message is identified by a message number that is sent as part of the message header. Each message (message header plus message body) will be XDR encoded and sent within a single XDR record.

Internet Draft Network Data Management Protocol 09/04/97

Messages that cannot be parsed or have invalid sequence information can be logged on the receiving host but no response is returned to the sender.

2.6 Header

Each message is preceded by a message header. The header is used to identify the message and defines how to deserialize the arguments and dispatch the message.

Internet Draft Network Data Management Protocol 09/04/97

 .	ndmp_header		message_request	 _
- _	ndmp_header		message_reply	- _

Internet Draft Network Data Management Protocol 09/04/97

The message headers are defined by the following XDR block

```
enum ndmp_header_message_type
{
   NDMP_MESSAGE_REQUEST,
   NDMP_MESSAGE_REPLY
};
struct ndmp_header
{
   u_long
                                 sequence;
   u_long
                                 time_stamp;
   ndmp_header_message_type
                                 message_type;
   enum ndmp_message
                                 message;
   u_long
                                 reply_sequence;
   ndmp_error
                                 error;
};
```

Message header data definitions:

- sequence The sequence number is a connection local counter that starts at one and increases by one for every message sent. The client and the server both start with one and increase independently.
- time_stamp The time_stamp identifies the time, in seconds since 00:00:00 GMT, Jan 1, 1970, that the message was sent.

message_type	The message_type enum identifies the message as a request or a reply message.
message	The message field identifies the message.
reply_sequence	The reply_sequence field is 0 in a request message. In reply messages, the reply_sequence is the sequence number from

31

Internet Draft Network Data Management Protocol 09/04/97

the request message to which the reply is associated.

error The error field is 0 in request messages. In reply messages, the error field identifies any problem that occurred receiving or decoding the message. If the error value is nonzero, no message body will follow the message header. The complete list of error codes is in the next section.

2.7 Error Codes

The following error codes are defined:

```
enum ndmp_error
{
    NDMP_NO_ERR,
    NDMP_NOT_SUPPORTED_ERR,
    NDMP_DEVICE_BUSY_ERR,
    NDMP_DEVICE_OPENED_ERR,
    NDMP_NOT_AUTHORIZED_ERR,
    NDMP_PERMISSION_ERR,
    NDMP_DEV_NOT_OPEN_ERR,
    NDMP_IO_ERR,
    NDMP_TIMEOUT_ERR,
    NDMP_ILLEGAL_ARGS_ERR,
    NDMP_WRITE_PROTECT_ERR,
```

NDMP_EOF_ERR, NDMP_EOM_ERR, NDMP_FILE_NOT_FOUND_ERR, NDMP_BAD_FILE_ERR, NDMP_NO_DEVICE_ERR, NDMP_NO_BUS_ERR, NDMP_XDR_DECODE_ERR, NDMP_ILLEGAL_STATE_ERR, NDMP_UNDEFINED_ERR, NDMP_XDR_ENCODE_ERR, NDMP_NO_MEM_ERR

};

Stager, Hitz

32

Internet Draft Network Data Management Protocol 09/04/97

NDMP_NO_ERR

No error.

NDMP_NOT_SUPPORTED_ERR

Specified message not supported. Some NDMP implementations might only support a subset of the NDMP protocol.

NDMP_DEVICE_BUSY_ERR

Specified device is in use. This error will be returned if an attempt is made to open a tape or SCSI device that is already in use.

NDMP_DEVICE_OPENED_ERR

A device is already open. NDMP connections are limited to having a single device opened at a time.

NDMP_NOT_AUTHORIZED_ERR

NDMP connection not yet authenticated. Prior to issuing most requests, the NDMP connection must first be authenticated via the connect_auth message. This error is returned if a message requiring connection authentication is received when the connection has not yet been authenticated.

NDMP_PERMISSION_ERR

The user that was used to authenticate the connection does not have the access permissions to execute this message.

NDMP_DEV_NOT_OPEN_ERR

Device not open. An attempt was made to access a device that was not open.

Stager, Hitz

33

Internet Draft Network Data Management Protocol 09/04/97

NDMP_IO_ERR

Device I/O error.

NDMP_TIMEOUT_ERR

Command timeout error.

NDMP_ILLEGAL_ARGS_ERR

Message received containing one or more invalid arguments.

NDMP_NO_TAPE_LOADED_ERR

Tape device could not be opened because no tape was loaded.

NDMP_WRITE_PROTECT_ERR

Tape device could not be opened in write mode because the tape is write protected.

NDMP_EOF_ERR

The tape command failed because end-of-file was encountered.

NDMP_EOM_ERR

The tape command failed because the end of media mark was encountered.

NDMP_FILE_NOT_FOUND_ERR

During a recover operation, a specified file was not found.

Stager, Hitz

34

Internet Draft Network Data Management Protocol 09/04/97

NDMP_BAD_FILE_ERR

Error due to invalid file descriptor.

NDMP_NO_DEVICE_ERR

Specified device does not exist.

NDMP_NO_BUS_ERR

Specified SCSI controller does not exist.

NDMP_XDR_DECODE_ERR
Error decoding message.

NDMP_ILLEGAL_STATE_ERR

Message cannot be processed in the current state.

NDMP_UNDEFINED_ERR

Undefined error.

NDMP_XDR_ENCODE_ERR

Error encoding reply message.

NDMP_NO_MEM_ERR

Memory allocation error.

Stager,Hitz

35

Internet Draft Network Data Management Protocol 09/04/97

2.8 Message Numbers

The following messages are defined:

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

enum ndmp_message
{
 /* Config Interface */
 NDMP_CONFIG_GET_HOST_INFO = 0x100,
 NDMP_CONFIG_GET_BUTYPE_ATTR,
 NDMP_CONFIG_GET_MOVER_TYPE,
 NDMP_CONFIG_GET_AUTH_ATTR,

/* SCSI Interface */

NDMP_SCSI_OPEN $= 0 \times 200,$ NDMP_SCSI_CLOSE, NDMP_SCSI_GET_STATE, NDMP_SCSI_SET_TARGET, NDMP_SCSI_RESET_DEVICE, NDMP_SCSI_RESET_BUS, NDMP_SCSI_EXECUTE_CDB, /* Tape Interface */ NDMP_TAPE_OPEN $= 0 \times 300$, NDMP_TAPE_CLOSE, NDMP_TAPE_GET_STATE, NDMP_TAPE_MTIO, NDMP_TAPE_WRITE, NDMP_TAPE_READ, NDMP_TAPE_RESVD1, NDMP_TAPE_EXECUTE_CDB, /* Data Interface */ NDMP_DATA_GET_STATE = 0x400, NDMP_DATA_START_BACKUP, NDMP_DATA_START_RECOVER, NDMP_DATA_ABORT, NDMP_DATA_GET_ENV, NDMP_DATA_RESVD1, NDMP_DATA_RESVD2, NDMP_DATA_STOP, /* Notify Interface */ NDMP_NOTIFY_RESVD1 = 0x500, NDMP_NOTIFY_HALTED, NDMP_NOTIFY_CONNECTED, NDMP_NOTIFY_MOVER_HALTED, NDMP_NOTIFY_MOVER_PAUSED, NDMP_NOTIFY_DATA_READ, /* Log Interface */ Stager, Hitz Internet Draft Network Data Management Protocol

> NDMP_LOG_LOG = 0x600, NDMP_LOG_DEBUG, NDMP_LOG_FILE,

37

09/04/97

```
/* File History Interface */
NDMP_FH_ADD_UNIX_PATH
                               = 0 \times 700,
NDMP_FH_ADD_UNIX_DIR,
NDMP_FH_ADD_UNIX_NODE,
/* Connect Interface */
NDMP CONNECT OPEN
                               = 0 \times 900,
NDMP_CONNECT_AUTH,
NDMP_CONNECT_CLOSE,
/* Mover Interface */
NDMP_MOVER_GET_STATE
                             = 0 \times A \otimes 0,
NDMP_MOVER_LISTEN,
NDMP_MOVER_CONTINUE,
NDMP_MOVER_ABORT,
NDMP_MOVER_STOP,
NDMP_MOVER_SET_WINDOW,
NDMP_MOVER_READ,
NDMP_MOVER_CLOSE,
NDMP_MOVER_SET_RECORD_SIZE,
/* Reserved for prototyping */
/* 0xFF00 through 0xFFFF */
NDMP_RESERVED
                              = 0 \times FF00
```

2.9 Message Definitions

};

Each message is described using a block of XDR specification in the following format:

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

```
struct message_name_request
{
   type request_argument1;
   ...
   type request_argumentN;
};
struct message_name_reply
{
   enum ndmp_error error;
   type reply_argument1;
   ...
   type reply_argumentN;
};
```

Each XDR specification conforms to rpcgen format. No XDR specification is provided for the request message if the request message does not contain any arguments. No XDR specification is provided for the reply message if the reply message does not contain any argument or if no reply message is defined. Not all request messages have an associated reply message. Following the XDR specification is a description of each request and reply argument. Each reply message contains an error code. If an error code is returned that is not equal to NDMP_NO_ERR, some of the reply arguments might be meaningless. A list of errors that typically might be returned in the reply is provided for each message. Note that this is not an exhaustive list. Generic errors, such as NDMP_NO_MEM_ERR, are not listed.

3. NDMP Server Interfaces

This section defines the protocol interfaces implemented by the NDMP server.

3.1 CONNECT Interface

This interface is used to authenticate the client and negotiate the version of protocol which will be used.

The NDMP client first connects to a well known port (10,000). The NDMP server accepts the connection and sends an NDMP_NOTIFY_CONNECTED message. The NDMP client then sends an NDMP_CONNECT_OPEN message, usually followed by an NDMP_CONNECT_AUTH message.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

```
3.1.1 Open Connection
```

Used to negotiate the protocol version to be used between the NDMP client and server.

```
Message XDR definition
```

```
/* NDMP_CONNECT_OPEN */
struct ndmp_connect_open_request
{
    u_short protocol_version;
};
struct ndmp_connect_open_reply
{
    ndmp_error error;
};
```

Request Arguments

```
protocol_version Protocol version suggested by the NDMP client.
```

Reply Errors

- NDMP_NO_ERR Protocol version suggested by the client is supported by the server.
- NDMP_ILLEGAL_ARGS_ERR Protocol version suggested by the client is not supported by the server. The client should retry the request with a lower protocol version number.
- NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

Used to authenticate the NDMP connection. Only request messages within the CONFIG and CONNECT interfaces may be processed on a connection that has

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

not yet been authenticated. A reply message containing an NDMP_NOT_AUTHORIZED_ERR error will be returned in response to any other request message received when the connection has not yet been authenticated.

NDMP servers must support at least one of the following authentication methods.

- . NONE: no authentication required.
- . TEXT: connection is authenticated using a user name and clear text password.
- . MD5: connection is authenticated to both the client and the server using an MD5 algorithm.

The MD5 method uses the MD5 message-digest algorithm described in <u>RFC1321</u> to authenticate the client and the server using a shared secret (password). The message used to compute the MD5 digest is a concatenation of the password, null padding, the 64 byte fixed length challenge and a repeat of the password. The length of the null padding is chosen to result in a 128 byte fixed length message. The length of the padding can be computed as 64 - 2*(length of the password). Both the client_digest and server_digest use the same password. The client_digest is computed using the server_challenge from the NDMP_CONFIG_GET_AUTH_ATTR reply. The server_digest is computed using the client_challenge from the NDMP_CONNECT_AUTH request.

l	Password	Padding	Challenge	Password
I				

<-----> 128 Bytes ----->

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97 (This page is intended to be blank.) Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Message XDR definition

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

```
/* NDMP_CONNECT_AUTH */
enum ndmp_auth_type
{
    NDMP_AUTH_NONE,
    NDMP_AUTH_TEXT,
    NDMP_AUTH_MD5
};
struct ndmp_auth_text
{
                user <>;
    string
    string
                password<>;
};
struct ndmp_auth_md5
{
    string
                user <>;
    opaque
                client_digest[16];
    opaque
                client_challenge[64];
};
union ndmp_auth_data switch (enum ndmp_auth_type auth_type)
{
    case NDMP_AUTH_NONE:
        void;
    case NDMP_AUTH_TEXT:
        struct ndmp_auth_text
                                  auth_text;
    case NDMP_AUTH_MD5:
        struct ndmp_auth_md5
                                 auth_md5;
};
```

```
union ndmp_auth_result switch (enum ndmp_auth_type auth_type)
{
    case NDMP_AUTH_NONE:
        void;
   case NDMP_AUTH_TEXT:
        void;
   case NDMP_AUTH_MD5:
        opaque
                    server_digest[16];
};
struct ndmp_connect_auth_request
{
    ndmp_auth_data auth_data;
};
struct ndmp_connect_auth_reply
{
```

```
Stager, Hitz
```

44

Internet Draft Network Data Management Protocol 09/04/97

```
ndmp_error error;
ndmp_auth_result auth_result;
};
```

Request Arguments

- auth_data Authentication data. NDMP servers must support at least one of the following authentication methods:
 - . NONE: no authentication required.
 - . TEXT: connection is authenticated using a user name and non-encrypted password.
 - . MD5: connection is authenticated using user name and MD5 digest of the server_challenge and the user password.

Reply Arguments	
error	Error code.
auth_result	Authentication results. NDMP servers may return information to the NDMP client to authenticate the server to the client.
	. NONE: no authentication returned.
	. TEXT: no authentication returned.

45 Stager, Hitz		
Internet Draft Network Data Management Protocol 09/04/97		
. MD5: connection is authenticated using user name and MD5 digest of the client_challenge and the user password.		
Reply Errors		
NDMP_NO_ERR Connection successfully authenticated.		
NDMP_NOT_AUTHORIZED_ERR Incorrect authentication data.		
NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.		
NDMP_ ILLEGAL_ARGS _ERR Specified authentication method not supported.		
3.1.3 Close Connection		

This message is used when the client wants to close the NDMP connection. This message should be sent by the NDMP client before shutting down the TCP/IP connection.

Message XDR definition

```
/* NDMP_CONNECT_CLOSE */
/* no request arguments */
/* no reply message */
```

3.2 CONFIG Interface

This interface allows the NDMP client to discover the configuration of the NDMP server.

3.2.1 Get Host Info

This request is used to get information about the NDMP server.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

Message XDR definition

```
/* NDMP_CONFIG_GET_HOST_INFO */
/* no request arguments */
struct ndmp_config_get_host_info_reply
{
    ndmp_error
                    error;
    string
                    hostname<>;
    string
                    os_type<>;
    string
                    os_vers<>;
    string
                    hostid<>;
    ndmp_auth_type auth_type<>;
};
```

Request Arguments

This request does not have a message body.

Reply Arguments

error	Error code.
hostname	Host name of the NDMP server
os_type	Name of NDMP server operating system (for example, Solaris).
os_vers	Version of NDMP server operating system (for example, 2.5).
hostid	NDMP server host identifier.
auth_types	Connection authentication types supported by the NDMP server.

Stager, Hitz

47

Internet Draft Network Data Management Protocol 09/04/97

Reply Errors

NDMP_NO_ERR Request successfully processed.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

3.2.2 Get Backup Type Attribute

This message is used to query the capability of the supported backup type.

Message XDR definition

```
/* NDMP_CONFIG_GET_BUTYPE_ATTR */
const NDMP_NO_BACKUP_FILELIST =
                                     0x0001;
const NDMP_N0_BACKUP_FHINF0 =
                                     0x0002;
const NDMP_NO_RECOVER_FILELIST =
                                     0x0004;
const NDMP_NO_RECOVER_FHINF0 =
                                     0x0008;
const NDMP_NO_RECOVER_RESVD =
                                     0x0010;
const NDMP_NO_RECOVER_INC_ONLY =
                                     0x0020;
struct ndmp_config_get_butype_attr_request
{
    string
                    name <>;
};
struct ndmp_config_get_butype_attr_reply
{
    ndmp_error
                 error;
    u_long
                    attrs;
};
```

Request Arguments

name	Name of backup t	ype for which	attributes are
	being requested	(such as dump	, tar, cpio).

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Backup types are NDMP server implementation dependent.

Reply Arguments

error Error code.

NDMP_NO_BACKUP_FILELIST NDMP server doesn't support archiving of selective files as specified by a file list. (only supports dumping the entire specified filesystem/directory.)

NDMP_NO_BACKUP_FILEINFO NDMP server doesn't support the file history.

NDMP_NO_RECOVER_FILELIST NDMP server doesn't support restoration of individual files.

NDMP_NO_RECOVER_FHINFO NDMP server doesn't support direct access restore (positioning to the offset of a backup image and restoring the specified file).

NDMP_NO_RECOVER_RESVD This value is reserved.

NDMP_NO_RECOVER_INC_ONLY NDMP server doesn't support incremental-only restoration (a full restore must be done before an incremental restore).

Reply Errors

Stager, Hitz

49

Internet Draft Network Data Management Protocol 09/04/97

NDMP_NO_ERR Attributes for specified backup type successfully returned.

NDMP_ILLEGAL_ARGS_ERR Specified backup type not supported.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

3.2.3 Get Mover Type

This request returns a list of the connection methods which NDMP server supports. LOCAL and TCP are the only types supported in the current version of the protocol.

Message XDR definition

```
/* NDMP_CONFIG_GET_MOVER_TYPE */
/* no request arguments */
enum ndmp_mover_addr_type
{
    NDMP_ADDR_LOCAL,
    NDMP_ADDR_TCP
};
struct ndmp_config_get_mover_type_reply
{
    ndmp_error error;
    ndmp_mover_addr_type methods<>;
};
```

Request Arguments

This request does not have a message body.

Reply Arguments

error Error code.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

methods Array of supported mover methods.

Reply Errors

NDMP_NO_ERR Returned the supported mover type successfully.

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

3.2.4 Get authentication Type Attribute

This message is used to query the attributes of the supported authentication methods.

Message XDR definition

```
Internet Draft Network Data Management Protocol 09/04/97
```

```
/* NDMP_CONFIG_GET_AUTH_ATTR */
union ndmp_auth_client_attr
    switch (enum ndmp_auth_type auth_type){
    case NDMP_AUTH_NONE:
      void;
   case NDMP_AUTH_TEXT:
      void;
   case NDMP_AUTH_MD5:
      void;
};
union ndmp_auth_server_attr
    switch (enum ndmp_auth_type auth_type){
    case NDMP_AUTH_NONE:
       void;
    case NDMP_AUTH_TEXT:
      void;
    case NDMP_AUTH_MD5:
       opaque server_challenge[64];
};
struct ndmp_config_get_auth_attr_request
{
    union ndmp_auth_client_attr client_attr;
};
struct ndmp_config_get_auth_attr_reply
{
    ndmp_error
                    error;
    union ndmp_auth_server_attr server_attr;
};
```

Request Arguments

client_attr None of the currently defined authentication schemes require arguments.

Reply Arguments

error Error code.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

server_attr Return the attributes required for a specific authentication scheme:

The following attribute is defined:

server_challenge For NDMP_AUTH_MD5 the NDMP server will return a per session challenge.

3.3 SCSI Interface

The SCSI interface allows low level control of SCSI jukebox devices.

3.3.1 Open SCSI Device

Opens the specified SCSI device. This operation is required before any other SCSI requests can be executed. The open must be an exclusive open. Only one NDMP server can open a SCSI device at a time. The NDMP server can open only one SCSI or tape device at a time. An NDMP_DEVICE_BUSY_ERR is returned if the NDMP server already has a tape or SCSI device opened.

For security reasons, NDMP_SCSI_OPEN should be supported only for the jukebox devices.

Message XDR definition

```
/* NDMP_SCSI_OPEN */
struct ndmp_scsi_device
{
   string name<>;
};
struct ndmp_scsi_open_request
{
```

```
ndmp_scsi_device device;
};
struct ndmp_scsi_open_reply
{
    ndmp_error error;
};
```

53

```
Stager,Hitz
```

Internet Draft Network Data Management Protocol 09/04/97

Request Arguments

name	Name of SCSI interface device to open. The
	usage of this argument is NDMP-server
	implementation dependent. This argument can
	be used to specify the name of an actual
	SCSI device but more typically the argument
	will be used to specify the name of a SCSI
	pass-through driver pseudo device. The
	specific device to be controlled is selected
	through the set SCSI target request.

Rep	oly Arguments		
	error	Error code.	
Rep	oly Errors		
	NDMP_NO_ERR	SCSI interface device successfully opened.	
	NDMP_DEVICE_OPENED_ERRThe connection already has a tape device SCSI device open.		
	NDMP_DEVICE_BUSY_ERR	Another NDMP connection currently has the specified device open.	

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized or device is not a tape or jukebox.

NDMP_NO_DEVICE_ERR Invalid device specified.

NDMP_IO_ERR IO error while opening SCSI device.

Stager,Hitz

54

Internet Draft Network Data Management Protocol 09/04/97

3.3.2 Close Device

This request closes the currently open SCSI interface device. No further requests can be made until another open request is successfully executed.

Message XDR definition

/* NDMP_SCSI_CLOSE */
/* no request arguments */
struct ndmp_scsi_close_reply
{
 ndmp_error error;
};

Request Arguments

This request does not have a message body.

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERR Device successfully closed.

NDMP_DEV_NOT_OPEN_ERR No device currently open by the connection.

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

55

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.3.3 Get SCSI State

This request returns the current state of the SCSI interface. The target information provides information about which SCSI device is controlled by this interface.

Message XDR definition

```
/* NDMP_SCSI_GET_STATE */
/* no request arguments */
struct ndmp_scsi_get_state_reply
{
    ndmp_error error;
    short target_controller;
    short target_id;
    short target_lun;
};
```

Request Arguments

This request does not have a message body.

Reply Arguments

error Error code.
target_controller Identifier of the SCSI controller to which
the currently targeted SCSI device is
attached. -1 if no device currently is
targeted.
target_id SCSI target identifier. Specifies the SCSI
bus address of the targeted device. -1 if no
device currently is targeted.

56 Stager, Hitz Internet Draft Network Data Management Protocol 09/04/97 target_lun Logic unit number of the targeted device. -1 if no device currently is targeted. Reply Errors NDMP_NO_ERR Target device information successfully returned.

NDMP_DEV_NOT_OPEN_ERR No SCSI device currently open by the connection.

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.3.4 Set SCSI Target

This request selects or changes the SCSI target. When the SCSI interface is opened, it is not known if the NDMP server has opened a device file that can pass commands to a single SCSI target or to multiple SCSI targets. This request is used to pass the information describing the SCSI target to which to send commands. Additionally, if the target can talk to multiple targets, this allows _scanning_ the SCSI bus on the NDMP host for diagnostics or for jukebox discovery.

For security reasons this message should only be supported for tape or jukebox devices.

Message XDR definition

Stager, Hitz

57

Internet Draft Network Data Management Protocol 09/04/97

```
/* NDMP_SCSI_SET_TARGET */
struct ndmp_scsi_set_target_request
{
   ndmp_scsi_device
                      device;
                    target_controller;
   u_short
   u_short
                      target_id;
   u_short
                      target_lun;
};
struct ndmp_scsi_set_target_reply
{
   ndmp_error error;
};
```

Request Arguments

device	SCSI device name. This argument is NDMP server implementation dependent. Some implementations might support the targeting of a device through a logical device name. If this argument is used, the following arguments might be ignored. If this argument is not specified or supported, then the following arguments must be specified.
target_controller	Identifier of the SCSI controller to which the targeted SCSI device is attached.
target_id	SCSI target identifier. Specifies the SCSI bus address of the targeted device.
target_lun	Logic unit number of the targeted device.
Reply Arguments	
error	Error code.
Reply Errors	
	58
Stager,Hitz	
Internet Draft Network Da	ata Management Protocol 09/04/97
NDMP_NO_ERR	Specified SCSI device successfully targeted.
NDMP_NO_BUS_ERR	No SCSI device currently open by the connection.
NDMP_NO_DEVICE_ERR	No device at this specified target.
NDMP_NOT_SUPPORTED_ER	RThe request sequence is not supported for this implementation.

```
NDMP_NOT_AUTHORIZED_ERR Connection not authorized or device is not a tape or jukebox.
```

3.3.5 Reset Device

This request sends a SCSI device reset message to the currently targeted SCSI device.

Message XDR definition

```
/* NDMP_SCSI_RESET_DEVICE */
/* no request arguments */
struct ndmp_scsi_reset_device_reply
{
    ndmp_error error;
};
```

Request Arguments

This request does not have a message body.

Reply Arguments

error Error code.

Stager,Hitz

59

Internet Draft Network Data Management Protocol 09/04/97

Reply Errors

```
NDMP_NO_ERR SCSI device successfully reset.
```

NDMP_DEV_NOT_OPEN_ERR No SCSI device currently open by the

```
connection.
```

```
NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.
```

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.3.6 Reset Bus

This request asserts a SCSI bus reset on the SCSI bus to which the SCSI device is attached.

Message XDR definition

```
/* NDMP_SCSI_RESET_BUS */
/* no request arguments */
struct ndmp_scsi_reset_bus_reply
{
    ndmp_error error;
};
```

Request Arguments

This request does not have a message body.

Reply Arguments

error Error code.

Stager, Hitz

60

Internet Draft Network Data Management Protocol 09/04/97

Reply Errors

NDMP_NO_ERR SCSI device successfully reset.

NDMP_DEV_NOT_OPEN_ERR No SCSI device currently open by the connection.

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.3.7 Execute CDB

This request sends a SCSI Control Data Block to a SCSI device. If a check condition is generated, then the extended sense data is also retrieved. Data can be transferred to or from the SCSI device as part of the command.

The server selects the SCSI target. The cdb is sent to the SCSI device in command mode. If DATA_OUT is set in the flags, then the dataout is sent to the SCSI device in data mode. Sometimes the host will disconnect from the target and wait for a reselect. If timeout is zero, the host will wait indefinitely for the target to reselect. If timeout is not zero, the host will wait timeout milliseconds for the target to reselect. If the reselect does not occur, an NDMP_TIMEOUT_ERR is returned. If the target reselects and the status is CHECK CONDITION, then the server executes a REQUEST SENSE cdb. If the DATA_IN flag is set, the server reads data from the target in data mode. The SCSI status, the DATA_IN, and the extended sense data are returned.

Message XDR definition

```
/* NDMP_SCSI_EXECUTE_CDB */
const NDMP_SCSI_DATA_IN = 0x00000001;
const NDMP_SCSI_DATA_OUT = 0x00000002;
struct ndmp_execute_cdb_request
{
   u_long
                    flags;
   u_long
                    timeout;
   u_long
                    datain_len;
   opaque
                    cdb<>;
   opaque
                    dataout<>;
};
struct
           ndmp_execute_cdb_reply
{
   ndmp_error
                    error;
   u_char
                    status;
   u_long
                    dataout_len;
    opaque
                    datain<>;
   opaque
                    ext_sense<>;
};
```

Request Arguments

flags	Specifies the data transfer (if any) direction. DATA_IN and DATA_OUT reference the host. They refer to data from the SCSI device into the host and data out of the host to the SCSI device.
timeout	Number of milliseconds to wait if a reselect occurs. If timeout is zero, then the host will wait indefinitely for the target to reselect.
datain_len	If the data transfer direction is DATA_IN, the expected number of data bytes to read.
cdb	The SCSI command data block.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97			
dataout	If the data transfer direction is DATA_OUT, the data to be transfered to the SCSI device.		
Reply Arguments			
error	Error code.		
status	SCSI status byte.		
dataout	If the data transfer direction is DATA_OUT, the number of data bytes transfered to the device.		
datain	If the data transfer direction is DATA_IN, the data transfered from the SCSI device.		
ext_sense	Extended SCSI sense data.		
Reply Errors			
NDMP_NO_ERR	Message successfully processed. Does not necessarily indicate that the SCSI command was successfully executed. The returned SCSI status byte must be used to determine if the command was successful.		
NDMP_DEV_NOT_OPEN_ERR	No SCSI device currently open by the connection.		
NDMP_IO_ERR	I/O error.		

NDMP_ILLEGAL_ARGS Invalid argument in request message.

NDMP_TIMEOUT_ERR The SCSI command timed out.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.4 TAPE Interface

The TAPE interface provides complete control of a tape drive. If the tape drive is a SCSI tape drive, then the TAPE interface also provides low level CDB access to the tape drive. This interface is analogous to the rmt protocol. The physical device is assigned when the server is started.

3.4.1 Open Tape Device

This request opens the tape device in the specified mode. This operation is required before any other tape requests can be executed. The device is opened exclusively; no other NDMP server can concurrently open the device. Each tape device can be opened only by one NDMP server at a time. Each NDMP server can have only one tape or SCSI device open at a time. If the drive does not have a tape loaded, an error is returned. If the loaded media is write-protected, then the device can be opened only in read-only mode.

Message XDR definition

```
/* NDMP_TAPE_OPEN */
struct ndmp_tape_device
{
    string name<>;
};
enum ndmp_tape_open_mode
{
    NDMP_TAPE_READ_MODE,
    NDMP_TAPE_WRITE_MODE
```

```
};
struct ndmp_tape_open_request
{
    ndmp_tape_device device;
    ndmp_tape_open_mode mode;
};
struct ndmp_tape_open_reply
{
    ndmp_error error;
};
```

64

Stager,Hitz

Internet Draft Network Data Management Pro	tocol 09/04/97
--	----------------

Request Arguments

mode Tape open mode.

Reply Arguments

error Error code.

Reply Errors

- NDMP_NO_ERR Tape device successfully opened.
- NDMP_DEVICE_OPENED_ERRThe NDMP server already has a SCSI device or tape device open.
- NDMP_NO_DEVICE_ERR The specified device does not exist.
- NDMP_DEVICE_BUSY_ERR The device is already open by another NDMP server or system process.

NDMP_IO_ERR Device I/O error.

NDMP_WRITE_PROTECT_ERRDevice cannot be opened in write mode because the tape is write protected.

NDMP_NO_TAPE_LOADED_ERR No tape loaded in the tape device.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

3.4.2 Close Device

This request closes the tape drive. No further tape requests can be processed until another tape open request is successfully executed.

Message XDR definition

/* NDMP_TAPE_CLOSE */
/* no request arguments */
struct ndmp_tape_close_reply
{
 ndmp_error error;
};

Request Arguments

This message does not have a message body.

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERRTape device successfully closed.NDMP_DEV_NOT_OPEN_ERRNo tape device currently open by the
connection.NDMP_IO_ERRDevice I/O error.NDMP_NOT_SUPPORTED_ERRThe request is not supported for this
implementation.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

66

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.4.3 Get Tape State

This request returns the state of the tape drive interface.

Message XDR definition

```
/* NDMP_TAPE_GET_STATE */
/* no request arguments */
struct ndmp_u_quad
{
    u_long high;
    u_long low;
};
const NDMP_TAPE_NOREWIND = 0x0008;
const NDMP_TAPE_WR_PROT = 0x0010;
```

```
const NDMP_TAPE_ERROR =
                              0x0020;
const NDMP_TAPE_UNLOAD =
                              0x0040;
struct ndmp_tape_get_state_reply
{
   ndmp_error
                   error;
   u_long
                   flags;
   u_long
                  file_num;
   u_long
                   soft_errors;
   u_long
                   block_size;
   u_long
                   blockno;
   ndmp_u_quad
                  total_space;
   ndmp_u_quad
                   space_remain;
```

```
};
```

Request Arguments

This message does not have a message body.

Stager,Hitz

67

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

error Error code.

flags Bitmask of the following tape device mode flags:

NDMP_TAPE_NOREWIND Upon device close, the tape will not be rewound.

NDMP_TAPE_WR_PROT The loaded tape is write-protected.

NDMP_TAPE_ERROR A media error was detected during the
previous tape operation. This bit is cleared at the start of each tape operation.

- NDMP_TAPE_UNLOADThe currently loaded tape will be unloaded automatically when the device is closed. Only applies to media changer devices such as tape stackers and jukeboxes.
- file_num Current file position. First file on the tape is file number 0.
- soft_errors Total number of soft media errors detected since the device was opened.

block_size Tape block size in bytes. 0 if the device is in variable block size mode.

- blockno Current tape block number. First tape block is block number 0.
- total_space Total tape capacity in bytes. 0 if this feature not supported by the NDMP server implementation.

68

Stager,Hitz	
Internet Draft Network Da	ata Management Protocol 09/04/97
space_remain	Total remaining tape capacity in bytes. 0 if this feature not supported by the NDMP server implementation.
Reply Errors	
NDMP_NO_ERR	Tape state successfully returned.

NDMP_DEV_NOT_OPEN_ERR No tape device currently open by the connection.

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

NDMP_IO_ERR Device I/O error.

3.4.4 MTIO

This request provides access to the standard magnetic tape I/O operations. When spacing forward over a record, the tape head is positioned in the tape gap between the record just skipped and the next record. When spacing forward over file marks, the tape head is positioned in the tape gap between the next file mark and the record that follows it. When spacing backward over a record data, the tape head is positioned in the tape gap immediately preceding the tape record where the tape head is currently positioned. When spacing backward over file marks, the tape head is positioned in the tape gap preceding the file mark. The next read would fetch the EOF.

Message XDR definition

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

/* NDMP_TAPE_MTIO */
enum ndmp_tape_mtio_op
{
 NDMP_MTIO_FSF,
 NDMP_MTIO_BSF,
 NDMP_MTIO_FSR,

```
NDMP_MTIO_BSR,
   NDMP_MTIO_REW,
   NDMP_MTIO_EOF,
   NDMP_MTI0_0FF
};
struct ndmp_tape_mtio_request
{
   ndmp_tape_mtio_op tape_op;
   u_long
                       count;
};
struct ndmp_tape_mtio_reply
{
   ndmp_error
                     error;
   u_long
                       resid_count;
};
```

tape_op	One of the following tape operations:
NDMP_MTIO_FSF	Forward space over file marks. The tape head is positioned in the tape gap between the file mark and the record that follows the file mark.
NDMP_MTIO_BSF	Backward space over file marks. The tape head is positioned in the tape gap preceding the file mark such that the next read encounters EOF.
NDMP_MTIO_FSR	Forward space over tape records. The tape head is positioned in the tape gap between the record just skipped and the next record.
Stager,Hitz	7
Internet Draft Network Da	ata Management Protocol 09/04/97

Backward space over tape records. The tape NDMP_MTIO_BSR head is positioned in the tape gap preceding

```
0
```

the tape record just skipped.

NDMP_MTIO_REW	Rewind the tape.
NDMP_MTIO_EOF	Write end of file marks.
NDMP_MTIO_OFF	Eject the tape from the device.
count	Number of operations to run.
Reply Arguments	
error	Error code.
resid_count	Residual operation count. Represents the number of operations that could not be done due to encountering beginning of tape, end of tape, end of written media, or a tape error.
Reply Errors	
NDMP_NO_ERR	Tape operation successfully completed.
NDMP_DEV_NOT_OPEN_ERR	No tape device currently open by the connection.
NDMP_IO_ERR	Device I/O error.
NDMP_ILLEGAL_ARGS_ERR	Invalid tape operation specified.
NDMP_NOT_SUPPORTED_ER	RThe request sequence is not supported for this implementation.
NDMP_NOT_AUTHORIZED_E	RR Connection not authorized.

Stager,Hitz

NDMP_WRITE_PROTECT_ERRTape is write protected.

3.4.5 Write

Writes data to the tape device. The NDMP server writes the specified data as a single record. It is the responsibility of the NDMP client to ensure that the length of the data is a multiple of the tape device block size if the device is a fixed block device. The NDMP server does not buffer or pad the data. This request is typically used by the NDMP client to write tape header and trailer file data.

Message XDR definition

Request Arguments

data_out	The data to be written to the tape device.
Reply Arguments	
error	Error code.
count	Number of data bytes written.

Reply Errors

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97 NDMP_NO_ERR All data successfully written to the tape device. NDMP_DEV_NOT_OPEN_ERR No tape device currently open by the connection. NDMP_IO_ERR Device I/O error. NDMP_EOM_ERR End of tape was encountered while writing. NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation. NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

NDMP_WRITE_PROTECT_ERRTape is write protected.

3.4.6 Read

This request reads the requested amount of data from the tape drive. The NDMP server always reads a complete record. If the specified number of bytes to read is not a multiple of the tape record size, then the NDMP server discards the bytes from the end of the record. The next read will return bytes starting from the beginning of the next record. To do contiguous reads, the number of bytes read must be a multiple of the tape record size. The client is responsible for ensuring that the data length is a multiple of the tape record size if the tape device is in fixed block size mode.

Message XDR definition

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

```
/* NDMP_TAPE_READ */
struct ndmp_tape_read_request
{
    u_long count;
};
struct ndmp_tape_read_reply
{
    ndmp_error error;
    opaque data_in<>;
};
```

```
      Request Arguments

      count
      Number of bytes to read.

      Reply Arguments

      error
      Error code.

      data_in
      The data read from the tape drive.

      Reply Errors
      NDMP_NO_ERR

      NDMP_NO_ERR
      Requested number of bytes successfully read from the tape device.
```

NDMP_DEV_NOT_OPEN_ERR No tape device currently open by the

connection.

NDMP_IO_ERR Device I/O error during read.

NDMP_EOF_ERR End of file was encountered while reading. The number of returned data bytes can be less than the number of bytes requested.

Stager, Hitz

74

Internet Draft Network Data Management Protocol 09/04/97

NDMP_NOT_SUPPORTED_ERRThe request sequence is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.4.7 Execute CDB

This message behaves in exactly the same way as the SCSI_EXECUTE_CDB request except that it sends the cdb to the tape device. This request should not be used to change the state of the tape device (such as tape positioning).

Message XDR definition

/* NDMP_TAPE_EXECUTE_CDB */
typedef ndmp_scsi_execute_cdb_request
ndmp_tape_execute_cdb_request;
typedef ndmp_scsi_execute_cdb_reply ndmp_tape_execute_cdb_reply;

3.5 DATA Interface

This interface controls backup and recover operations.

3.5.1 Get Data State

This request returns data state information that can be used to monitor the progress of the current data operation.

Message XDR definition

```
Stager, Hitz
```

Internet Draft Network Data Management Protocol 09/04/97

```
/* NDMP_DATA_GET_STATE */
/* no request arguments */
enum ndmp_data_operation
{
     NDMP_DATA_OP_NOACTION,
     NDMP_DATA_OP_BACKUP,
     NDMP_DATA_OP_RESTORE
};
enum ndmp_data_state
{
     NDMP_DATA_STATE_IDLE,
     NDMP_DATA_STATE_ACTIVE,
     NDMP_DATA_STATE_HALTED,
```

```
};
```

```
enum ndmp_data_halt_reason
{
    NDMP_DATA_HALT_NA,
    NDMP_DATA_HALT_SUCCESSFUL,
    NDMP_DATA_HALT_ABORTED,
    NDMP_DATA_HALT_INTERNAL_ERROR,
    NDMP_DATA_HALT_CONNECT_ERROR,
```

};

struct ndmp_data_get_state_reply

{

	ndmp_error	error;
	ndmp_data_operation	operation;
	ndmp_data_state	state;
	ndmp_data_halt_reason	halt_reason;
	ndmp_u_quad	bytes_processed;
	ndmp_u_quad	est_bytes_remain;
	u_long	est_time_remain;
	ndmp_mover_addr	mover;
	ndmp_u_quad	read_offset;
	ndmp_u_quad	read_length;
٦·		

ι	
ſ	,
<u> </u>	'

Request Arguments

This message does not have a message body.

Stager,Hitz

76

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

error Error code.

operation Data operation currently in progress.

NDMP_DATA_OP_NOACTION No data operation currently in progress.

NDMP_DATA_OP_BACKUP Backup operation currently in progress.

NDMP_DATA_OP_RESTORE Restore operation currently in progress.

state Current state of the NDMP server.

NDMP_DATA_STATE_IDLE No active data operation.

NDMP_DATA_STATE_ACTIVE Data operation in progress.

NDMP_DATA_STATE_HALTED Data operation completed.

halt_reason Reason the data operation is halted.

NDMP_DATA_HALT_NA Data operation not in progress or not in the halt state.

NDMP_DATA_HALT_SUCCESSFUL

Data operation completed successfully.

NDMP_DATA_HALT_ABORTED

Data operation aborted by the NDMP client.

NDMP_DATA_HALT_INTERNAL_ERROR

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

Data operation halted due to unrecoverable error incurred by the NDMP server data backup/recover software.

NDMP_DATA_HALT_CONNECT_ERROR

Error establishing connection to tape server.

- bytes_processed Total number of bytes processed by the data operation.
- est_bytes_remain Estimated number of bytes processed remaining to be processed by the data operation. Can be set to 0 to indicate that this feature is not supported by the NDMP server.

	est_time_remain	Estimated number of seconds until the data operation to completes. Can be set to 0 to indicate that this feature is not supported by the NDMP server.
	read_offset	Offset value specified in last ndmp_notify_data_read request.
	read_len	Length value specified in last ndmp_notify_data_read request.
Rep	oly Errors	
	NDMP_NO_ERR	Data state successfully returned.
	NDMP_NOT_SUPPORTED_ER	RThe request is not supported for this implementation.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

Stager,Hitz

78

Internet Draft Network Data Management Protocol 09/04/97

3.5.2 Backup

This request begins a backup. The id identifies the object to be backed up. The meaning of id is implementation dependent. The type of backup is also implementation dependent. The env is a list of parameters that might affect the behavior of the backup. The env returned by the DATA_GET_ENV will be saved and made available to the retrieval process.

Message XDR definition

/* NDMP_DATA_START_BACKUP */

```
struct ndmp_data_start_backup_request
{
    ndmp_mover_addr mover;
    string bu_type<>;
    ndmp_pval env<>;
};
struct ndmp_data_start_backup_reply
{
    ndmp_error error;
};
```

bu_type	The name of the backup method. Backup methods are NDMP-server implementation dependent.
env	List of parameter names and values for configuring the backup method. Backup method parameters are NDMP server implementation dependent. See below for example parameters.
mover	The mover to receive data.

```
Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERR Backup operation successfully started.
```

NDMP_ILLEGAL_STATE_ERRA data operation is already in progress. Only one data operation per connection is allowed to be executing at a time.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_ILLEGAL_ARGS_ERR Invalid backup method, invalid backup method parameter, or invalid backup method parameter value specified.

NDMP_ NOT_AUTHORIZED _ERR Connection not authorized.

The following are examples of generic environment variables that can be defined by the NDMP client.

Variable Name Meaning Value

PREFIX prefix path for path name the request

TYPE the data type (dump,tar,cpio) USER user id to run user name backup

Stager, Hitz

80

Internet Draft Network Data Management Protocol 09/04/97

HIST a flag to y/n maintain file history The following are examples of environment variables that can be defined by dump type.

Variable Name	Meaning	Value
FILESYSTEM	device or file system name to be backed up	file system or device name (/dev/rsd0a)
LEVEL	dump level	0 - 9
EXTRACT	"y" specifies to use -x option for the extraction, or -r option for the extraction.	y/n
UPDATE	update the dumpdates file	TRUE/FALSE

The following are examples of environment variables that can be defined by tar type.

Variable Name Meaning Value

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

FILES list of files to for example, ./* be backed up ./*.c ./*h

The following are examples of environment variables that can be defined by cpio type.

Variable Name Meaning Value

CMD command to for example, find . generate the file -print list for cpio.

3.5.3 Recover

This request recovers the files specified in nlist from the backup. The env is the list of parameters and values saved at the end of the backup.

Message XDR definition

```
/* NDMP_DATA_START_RECOVER */
struct ndmp_name
{
                  name<>;
dest<>;
resvd;
   string
   string
   u_short
   ndmp_u_quad fh_info;
};
struct ndmp_data_start_recover_request
{
   ndmp_mover_addr
                      mover;
   ndmp_pval
                    env<>;
   ndmp_name
                    nlist<>;
   string
                      bu_type<>;
};
struct ndmp_data_start_recover_reply
{
   ndmp_error error;
};
```

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Request Arguments

mover	The mover from which to receive data.
env	The backup environment that was returned from a data get environment request made prior to notifying the NDMP server that the backup was complete through a data stop message.
nlist	List of files to be recovered and the location to which each file is to be recovered. Definition of list entry:
name	Name of a file/directory to be recovered. The name is the original backup path name and is relative to the backup root directory.
dest	Full destination pathname to be used when recovering the file.
resvd	Reserved.
fh_info	File history tape positioning data recorded when the file was backed up. This data may be used by the restore method to position tape for direct access data retrieval. The positioning data is NDMP-server dependent. Typically it will be the byte or record offset from the beginning of the tape of the file to be recovered. This field is ignored by data method implementations that do not support this feature.

Name of the recover method. Recover methods

Reply Arguments

84 Stager, Hitz Internet Draft Network Data Management Protocol 09/04/97 Error code. error Reply Errors NDMP_NO_ERR Recover operation successfully started. NDMP_ILLEGAL_STATE_ERRA data operation is already in progress. Only one data operation per connection is allowed to execute at a time. NDMP_ILLEGAL_ARGS_ERR Invalid recover method, invalid recover method parameter, invalid recover method parameter value, or invalid name list entry specified. NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation. NDMP_ NOT_AUTHORIZED _ERR Connection not authorized. 3.5.4 Abort This request sends a message to abort the current backup or restore operation. The operation should be terminated as soon as possible.

Message XDR definition

```
/* NDMP_DATA_ABORT */
/* no request arguments */
struct ndmp_data_abort_reply
{
```

```
ndmp_error error;
};
```

This message does not have a request body.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

85

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERR Data operation successfully terminated.

NDMP_ILLEGAL_STATE_ERRNo data operation in progress.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.5.5 Stop

This request sends a message to inform the NDMP server that the current backup is complete. The NDMP server will change to idle state and be ready to process another request.

Message XDR definition

```
/* NDMP_DATA_STOP */
/* no request arguments */
struct ndmp_data_stop_reply
{
    ndmp_error error;
};
```

This message does not have a message body.

Reply Arguments

error Error code.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

86

Reply Errors

NDMP_NO_ERR Message successfully processed.

NDMP_ILLEGAL_STATE_ERRThe request cannot be run in the current state.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.5.6 Get ENV

This request gets the backup environment. Returns the environment included in the data_start_backup request along with any additional parameters added or modified by the backup method. The returned environment should be saved and passed in the data_start_recover request whenever data from the backup is to be recovered.

Message XDR definition

/* NDMP_DATA_GET_ENV */
/* no request arguments */
struct ndmp_data_get_env_reply
{
 ndmp_error error;

};	ndmp_pval	env<>;
Request	t Arguments	
This me	essage does not hav	e a message body.
Reply A	Arguments	
erro	or	Error code.
env		The backup method environment parameters and values.
Stager	,Hitz	87
Internet Draft Network Data Management Protocol 09/04/97		
Reply E	Errors	
NDM	P_N0_ERR	Environment successfully returned.
NDM	P_NOT_SUPPORTED_ERR	The request is not supported for this implementation.
NDMF	P_ILLEGAL_STATE_ERR	Illegal state. A data operation is not currently in progress.
NDM	P_NOT_AUTHORIZED_ER	R Connection not authorized.

3.6 MOVER Interface

During a backup, the mover accepts data from the data connection and writes the data to tape using fixed size records. During restores, the mover accepts requests to read portions of the data from tape. If the requested data is not a multiple of the record size, the mover will do a full record read and only return the requested amount of data. If the mover encounters end-of-file (EOF), media error, or reaches the end-of-the-data window while reading, it halts and notifies the NDMP client.

3.6.1 Get Mover State

This request returns the state of the mover.

Message XDR definition

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

/* NDMP_MOVER_GET_STATE */ enum ndmp_mover_state { NDMP_MOVER_STATE_IDLE, NDMP_MOVER_STATE_LISTEN, NDMP_MOVER_STATE_ACTIVE, NDMP_MOVER_STATE_PAUSED, NDMP_MOVER_STATE_HALTED }; enum ndmp_mover_pause_reason { NDMP_MOVER_PAUSE_NA, NDMP_MOVER_PAUSE_EOM, NDMP_MOVER_PAUSE_EOF, NDMP_MOVER_PAUSE_SEEK, NDMP_MOVER_PAUSE_MEDIA_ERROR };

```
enum ndmp_mover_halt_reason
   {
       NDMP_MOVER_HALT_NA,
       NDMP_MOVER_HALT_CONNECT_CLOSED,
       NDMP_MOVER_HALT_ABORTED,
       NDMP_MOVER_HALT_INTERNAL_ERROR,
       NDMP_MOVER_HALT_CONNECT_ERROR
   };
   /* no request arguments */
   struct ndmp_mover_get_state_reply
   {
                               error;
       ndmp_error
       ndmp_mover_state
                               state;
       ndmp_mover_pause_reason pause_reason;
       ndmp_mover_halt_reason halt_reason;
       u_long
                               record_size;
       u_long
                               record_num;
       ndmp_u_quad
                               data_written;
       ndmp_u_quad
                               seek_position;
                               bytes_left_to_read;
       ndmp_u_quad
       ndmp_u_quad
                               window_offset;
                               window_length;
       ndmp_u_quad
   };
Request Arguments
Stager, Hitz
Internet Draft Network Data Management Protocol
                                                      09/04/97
   This message does not have a message body.
Reply Arguments
```

errorError code.stateCurrent state of the NDMP server.

NDMP_MOVER_STATE_IDLE No active data operation.

NDMP_MOVER_STATE_LISTEN Awaiting connection for backup or restore.

NDMP_MOVER_STATE_ACTIVE Data operation in progress.

NDMP_MOVER_STATE_PAUSED Operation paused awaiting operator attention.

NDMP_MOVER_STATE_HALTED Operation completed.

pause_reason Reason the operation is paused.

NDMP_MOVER_PAUSE_NA Operation not in progress or not in the pause state.

NDMP_MOVER_PAUSE_EOM Operation encountered end of media. NDMP client attention required.

NDMP_MOVER_PAUSE_EOF Operation encountered end of file. NDMP client attention required.

NDMP_MOVER_PAUSE_SEEK Data operation requested a seek that requires NDMP client intervention.

NDMP_MOVER_PAUSE_MEDIA_ERROR Error while reading/writing tape.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

halt_reason Reason the operation is halted.

NDMP_MOVER_HALT_NA Operation not in progress or not in the halt state.

NDMP_MOVER_HALT_CONNECTION_CLOSED Connection to data server close detected.

NDMP_MOVER_HALT_ABORTED Operation aborted by the NDMP client.

NDMP_MOVER_HALT_INTERNAL_ERROR Operation halted due to unrecoverable error incurred by the mover.

NDMP_MOVER_HALT_CONNECT_ERROR Error establishing connection to data server.

record_size Size of tape data record.

record_num Current tape record number.

data_written Total number of bytes written to tape.

seek_position Offset value from last ndmp_mover_read request.

bytes_left_to_read Number of bytes remaining to be read to satisfy the last ndmp_mover_read request.

window_length Length value from last ndmp_mover_set_window request.

Reply Errors

Stager,Hitz Internet Draft Network Data Management Protocol 09/04/97 NDMP_NO_ERR Data state successfully returned. NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_ NOT_AUTHORIZED _ERR Connection not authorized.

3.6.2 Listen

The mover should begin listening for a data connection from a data server. The mover returns an address that may be used by a data server to connect to the mover.

Message XDR definition

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

```
/* NDMP_MOVER_LISTEN */
enum ndmp_mover_mode
{
   NDMP_MOVER_MODE_READ,
   NDMP_MOVER_MODE_WRITE
};
struct ndmp_mover_tcp_addr
{
   u_long
               ip_addr;
   u_short
               port;
};
union ndmp_mover_addr switch (ndmp_mover_addr_type addr_type)
{
    case NDMP_ADDR_LOCAL:
       void;
   case NDMP_ADDR_TCP:
        ndmp_mover_tcp_addr addr;
};
struct ndmp_mover_listen_request
{
    ndmp_mover_mode
                            mode;
   ndmp_mover_addr_type addr_type;
};
struct ndmp_mover_listen_reply
{
    ndmp_error
                            error;
   ndmp_mover_addr
                          mover;
};
```

mode

One of the following:

NDMP_MOVER_MODE_READ The mover should read data from the data connection and write the data to tape. This mode is used for backup operations.

Internet Draft Network Data Management Protocol 09/04/97

- NDMP_MOVER_MODE_WRITE The mover should read data from tape and write the data to the data connection. This mode is used for restore operations.
- addr_type One of the following:
 - NDMP_ADDR_LOCAL Mover should listen for a connection from a data server that is colocated with the mover. This means that the data server and mover are controlled via the same NDMP client connection. The communication mechanism is implementation dependent.
 - NDMP_ADDR_TCP Mover should listen for a connection from a remote data server using a TCP/IP port.

Reply Arguments

error Error code. mover Address on which the mover is listening for a connection. If the address type is TCP, then the returned address contains the IP address and port number that the mover is listening on.

Reply Errors

NDMP_NO_ERR Listen successful.

NDMP_ILLEGAL_STATE_ERRMover not currently in idle state.

NDMP_ILLEGAL_ARGS_ERR Invalid mode or address type specified.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this

implementation.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

NDMP_ NOT_AUTHORIZED _ERR Connection not authorized.

3.6.3 Set Record Size

This request sets the record size used by the mover for all tape reads and writes. When writing to tape, the mover will buffer data until a full record has been buffered before writing the record to tape. The client is responsible for setting the record size to a multiple of the tape block size if the tape device being used is a fixed block size device.

```
Message XDR definition
```

```
/* NDMP_MOVER_SET_RECORD_SIZE */
struct ndmp_mover_set_record_size_request
{
    u_long len;
};
struct ndmp_mover_set_record_size_reply
{
    ndmp_error error;
};
```

Request Arguments

len Record size in bytes.

Reply Arguments

error Error code.

Reply Errors

Record size successfully set.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

NDMP_ILLEGAL_ARGS_ERR Invalid record size specified. The maximum record size is implementation dependent

NDMP_ILLEGAL_STATE_ERRIllegal state. The record size may only be set when in the idle state.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_ NOT_AUTHORIZED _ERR Connection not authorized.

3.6.4 Set Window

This request defines the valid data window. The window begins at the first record of the current tape file and extends for the specified number of bytes. After reading all data specified by the window, the mover will notify the NDMP client that a tape change/seek is required.

```
Message XDR definition
/* NDMP_MOVER_SET_WINDOW */
struct ndmp_mover_set_window_request
{
    ndmp_u_quad offset;
    ndmp_u_quad length;
};
struct ndmp_mover_set_window_reply
{
    ndmp_error error;
};
```

offset	The data stream byte offset of the first byte in the window.	
length	Number of bytes in the window.	
Stager,Hitz	96	
Internet Draft Network Da	ata Management Protocol 09/04/97	
Reply Arguments		
error	Error code.	
Reply Errors		
NDMP_NO_ERR	Window successfully set.	
NDMP_ILLEGAL_ARGS_ERR	Invalid window specified.	
NDMP_NOT_SUPPORTED_ERF	RThe request is not supported for this implementation.	
NDMP_ILLEGAL_STATE_ERF	RIllegal state. A window can be set only when in the listen or paused state.	
NDMP_NOT_AUTHORIZED_EF	RR Connection not authorized.	
3.6.5 Continue		
This request notifies the mover to continue reading/writing tape data. This request is sent after the NDMP client has completed a tape change or tape positioning.		

Message XDR definition

```
/* NDMP_MOVER_CONTINUE */
/* no request arguments */
struct ndmp_mover_continue_reply
{
    ndmp_error error;
};
```

This message does not have a message body.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

97

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERR Mover successfully continued.

NDMP_NOT_SUPPORTED_ERRThe request is not supported for this implementation.

NDMP_ILLEGAL_STATE_ERRIllegal state. Mover not currently in the paused state.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.6.6 Abort

This request aborts the mover. The mover stops reading or writing data from/to tape and closes the data connection.

```
Message XDR definition
  /* NDMP_MOVER_ABORT */
  /* no request arguments */
  struct ndmp_mover_abort_reply
   {
          ndmp_error error;
  };
Request Arguments
  This message does not have a message body.
Reply Arguments
Stager, Hitz
Internet Draft Network Data Management Protocol 09/04/97
                   Error code.
  error
Reply Errors
  NDMP_NO_ERR
                  Mover successfully aborted.
   NDMP_ILLEGAL_STATE_ERRIllegal state. Mover not currently in the
                        listen, active, or paused state.
  NDMP_NOT_AUTHORIZED_ERR Connection not authorized.
3.6.7 Stop
This request frees any resources associated with the mover and returns
the mover to the idle state.
```

98

Message XDR definition

This message does not have a message body.

Reply Arguments

error Error code.

Reply Errors

NDMP_NO_ERR Mover successfully stopped.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

NDMP_ILLEGAL_STATE_ERRIllegal state. Mover not currently in the halted state.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.6.8 Read

This request notifies the mover to begin reading backup data from the tape drive and write the data to the data connection. The mover will continue to write data to the data connection until the requested number of bytes have been read from tape and written to the data connection. If EOF or the end-of-the-data window is encountered, the mover will notify the NDMP client and then enter the paused state. While fulfilling this request, the mover should continue to accept messages from the NDMP client. It is invalid to issue another read request while the current request is in progress.

```
/* NDMP_MOVER_READ */
struct ndmp_mover_read_request
{
    ndmp_u_quad offset;
    ndmp_u_quad length;
};
struct ndmp_mover_read_reply
{
    ndmp_error error;
};
```

Message XDR definition

offset Offset within the data stream of the first byte to be sent to the data connection. The mover should seek the tape to the record containing the requested offset and then read and discard data until the offset has been reached. If the offset is outside of the currently set data window, the mover should

100 Stager, Hitz Internet Draft Network Data Management Protocol 09/04/97 notify the NDMP client that a seek is required. length Number of data bytes to be read and send to the data connection. Reply Arguments

error Error code.
Reply Errors

NDMP_NO_ERR Read successfully started.

NDMP_ILLEGAL_STATE_ERRIllegal state. Mover not currently in the paused state.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

3.6.9 Close

This request notifies the mover to close the data connection. The NDMP client will send this request after a backup operation has completed or after all data for a restore operation has been read.

Message XDR definition

Request Arguments

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

101

This message does not have a message body.

Reply Arguments

error Error code.

NDMP_NO_ERR Data connection successfully closed.

NDMP_ILLEGAL_STATE_ERRIllegal state. Data connection not open.

NDMP_NOT_AUTHORIZED_ERR Connection not authorized.

Stager,Hitz

102

Internet Draft Network Data Management Protocol 09/04/97

4. NDMP Client Interfaces

This section defines the protocol interfaces implemented by the NDMP client.

4.1 NOTIFY Interface

This interface is used by the NDMP server to let the NDMP client know that the NDMP server requires attention.

4.1.1 Notify Data Halted

This message is used to notify the NDMP client that the NDMP data server has halted

Message XDR definition

```
/* NDMP_NOTIFY_DATA_HALTED */
struct ndmp_notify_data_halted_request
{
    ndmp_data_halt_reason reason;
    string text_reason<>;
};
```

Request Arguments

reason	Reason the data operation halted.
NDMP_HALT_NA	Data operation not in progress or not in the halt state.
NDMP_HALT_SUCCESSFU	L Data operation completed successfully.
NDMP_HALT_ABORTED	Data operation aborted by the NDMP client.

Stager, Hitz

103

Internet Draft Network Data Management Protocol 09/04/97

NDMP_HALT_MEDIA_ERROR Data operation halted due to

```
unrecoverable media error.
```

NDMP_HALT_INTERNAL_ERROR Data operation halted due to unrecoverable error incurred by the NDMP server or the data backup/recover method.

NDMP_HALT_RESVD1 Reserved.

text_reason Diagnostic error message. NDMP server implementation dependent.

Reply Arguments

This message does not have a message body.

4.1.2 Notify Connected

This message is sent in response to a connection establishment attempt. This message is always the first message sent on a new connection. It is also used prior to NDMP server shutdown to inform the client that the server is shutting down.

Message XDR definition

```
/* NDMP_NOTIFY_CONNECTED */
enum ndmp_connect_reason
{
   NDMP_CONNECTED, /* Connect sucessfully */
   NDMP_SHUTDOWN, /* Connection shutdown */
   NDMP_REFUSED
                  /* reach the maximum number of connections */
};
struct ndmp_notify_connected_request
{
   ndmp_connect_reason reason;
   u_short
                   protocol_version;
              text_reason<>;
   string
};
```

Internet Draft Network Data Management Protocol 09/04/97

Request Arguments

reason	Reason code describing the current connection state.
NDMP_CONNECTED	NDMP connection successfully established. This code will be returned in a message sent immediately after successful connection establishment.
NDMP_SHUTDOWN	The NDMP server is shutting down the NDMP connection. Will typically used when shutting down the NDMP host to gracefully close down the NDMP connection.
NDMP_REFUSED	NDMP connection refused by the NDMP server. This code will be returned in a message sent immediately after a connection establishment attempt to notify the NDMP client that the NDMP server is not able to accept the connection at the current time. This will typically be used if the NDMP-server implementation limits the total number of concurrent NDMP connections, when NDMP services on the NDMP host are disabled, or when the NDMP host is in the process of shutting down.
protocol_version	Version of protocol being used.
taxt rasson	NDMD_server implementation dependent message

text_reason NDMP-server implementation dependent message indicating why the connection is being shutdown or refused.

Reply Arguments

This message does not have a message body.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

4.1.3 Notify Mover Halted

This message is used to notify the NDMP client that the NDMP mover has entered the halted state.

Message XDR definition

```
/* NDMP_NOTIFY_MOVER_HALTED */
struct ndmp_notify_mover_halted_request
{
    ndmp_mover_halt_reason reason;
    string text_reason<>;
};
```

Request Arguments

reason Reason the mover halted. NDMP_MOVER_HALT_NA Operation not in progress or not in the halt state. NDMP_MOVER_HALT_CONNECTION_CLOSED Connection to data server close detected. NDMP_MOVER_HALT_ABORTED Operation aborted by the NDMP client. NDMP_MOVER_HALT_INTERNAL_ERROR Operation halted due to unrecoverable error incurred by the mover.

NDMP_MOVER_HALT_CONNECT_ERROR Error establishing connection to

data server.

text reason Message providing additional diagnostic information. NDMP server implementation dependent.

Stager, Hitz

106

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

This message does not have a message body.

4.1.4 Notify Mover Paused

This message is used to notify the NDMP client that the NDMP mover has paused.

Message XDR definition

/* NDMP_NOTIFY_MOVER_PAUSED */
struct ndmp_notify_mover_paused_request
{
 ndmp_mover_pause_reason reason;
 ndmp_u_quad seek_position;
};

Request Arguments

reason Reason the mover paused.

NDMP_MOVER_PAUSE_NA Operation not in progress or not in the pause state.

NDMP_MOVER_PAUSE_EOM Operation encountered end of media. NDMP client attention required.

NDMP_MOVER_PAUSE_EOF Operation encountered end of file. NDMP client attention required.

NDMP_MOVER_PAUSE_SEEK Data operation requested a seek that is outside of the current data window. NDMP client attention required.

NDMP_MOVER_PAUSE_MEDIA_ERROR Error while reading/writing tape.

Stager, Hitz

107

Internet Draft Network Data Management Protocol 09/04/97

seek_position If reason is NDMP_MOVER_PAUSE_SEEK, indicates the desired data stream seek position. The NDMP client should load the tape containing the requested seek_position, position the tape appropriately, set a new data window, and then continue the mover.

Reply Arguments

This message does not have a message body.

4.1.5 Notify DATA Read

This message is used to notify the NDMP client that the NDMP server wants to read data from a remote mover. The NDMP server must send at least one NOTIFY_DATA_READ message to the NDMP client if the mover is remote. In response to this message, the NMDP client will sent an NDMP_MOVER_READ message to the remote mover.

Message XDR definition

```
/* NDMP_NOTIFY_DATA_READ */
struct ndmp_notify_data_read_request
{
    ndmp_u_quad offset;
    ndmp_u_quad length;
};
```

Request Arguments

offset	Data stream offset of first byte that should
	be sent to the data connection.
length	Number of data bytes the mover should read from tape and sent to the data connection.

Reply Arguments

Stager, Hitz

108

Internet Draft Network Data Management Protocol 09/04/97

This message does not have a message body.

4.2 LOGGING Interface

This interface is used by the NDMP server to send informational and diagnostic data to the NDMP client. This data is used by the client to monitor the progress of the currently running data operation and to diagnose problems.

4.2.1 Log

This request sends an informational message to the NDMP client. It is typically used to send log messages generated by the backup or recover method.

Message XDR definition

```
/* NDMP_LOG_LOG */
struct ndmp_log_log_request
{
    string entry<>;
};
```

Request Arguments

entry Text message.

Reply Arguments

This message does not have a message body.

4.2.2 Debug

};

This request sends a diagnostic message to the NDMP client. This message is typically used to diagnose NDMP server problems. The mechanism used to enable/disable diagnostic messages is NDMP server dependent. This feature is primarily intended to be used during software development and when troubleshooting.

```
Stager, Hitz
Internet Draft Network Data Management Protocol
                                                      09/04/97
Message XDR definition
   /* NDMP_LOG_DEBUG */
   enum ndmp_debug_level
   {
       NDMP_DBG_USER_INFO,
       NDMP_DBG_USER_SUMMARY,
       NDMP_DBG_USER_DETAIL,
       NDMP_DBG_DIAG_INFO,
       NDMP_DBG_DIAG_SUMMARY,
       NDMP_DBG_DIAG_DETAIL,
       NDMP_DBG_PROG_INFO,
       NDMP_DBG_PROG_SUMMARY,
       NDMP_DBG_PROG_DETAIL
   };
   struct ndmp_log_debug_request
   {
       ndmp_debug_level
                           level;
       string
                           message<>;
```

level	The level is divided into two components. The
	first component is the intended audience.
	The audience can be the end user (user), the
	technical support personnel (diag), or the
	development engineer (prog). The second
	component is the level of detail requested.
	The level of detail is specified as info,
	summary, and detail. There are no specific
	guidelines on the use of level of detail,
	but a message that typically is encountered
	less that 10 times during a backup should be
	an info level; a message that is encountered
	more than 100 times should be at a detail
	level.

message Diagnostic text message

Reply Arguments

Stager, Hitz

110

Internet Draft Network Data Management Protocol 09/04/97

This message does not have a message body.

4.2.3 File Recovered

This request sends a file recover message to the NDMP client. Used during recovery to notify the NDMP client that a file from the recovery list sent in the ndmp_data_start_recover request has or has not been recovered. This message should not be sent for every recovered or failed file, just files having a name that matches a name in the recovery list.

Message XDR definition

/* NDMP_LOG_FILE */
struct ndmp_log_file_request

{		
	string	name<>;
	u_short	reserved;
	ndmp_error	error;
};		

Request Arguments

	name	File	name.					
	reserved	Rese	rved.					
	error	Erro	r code	2.				
	NDMP_NO_ERR		File	successf	⁼ully	recove	ered.	
	NDMP_PERMISSION_ERR		Some	sort of	permi	ssion	problem	۱.
	NDMP_FILE_NOT_FOUND	_ERR	File	not four	nd dur	ing re	estore.	
Re	ply Arguments							

Stager,Hitz

111

Internet Draft Network Data Management Protocol 09/04/97

This message does not have a message body.

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

4.3 FILE HISTORY Interface

The NDMP server uses this interface to send file history entries to the NDMP client. The file history entries provide a file by file record of every file backed up by the backup method. The file history data is defined using a UNIX filesystem compatible format. There are two sets of messages for sending file history data. The first set consists of the add path message. The first set is for use by filename based backup methods (such as the UNIX tar and cpio commands) for which the full pathname and file attributes are available at the time

each file is backed up. The second set consists of the add directory and add node messages. The second set is for use by inode based backup methods (such as the UNIX dump command) for which the full pathname is not necessarily available at the time each file is backed up. Some backup methods might not support the sending of file history data.

4.3.1 Add Unix Path

This request adds a list of file paths with the corresponding attribute entries to the file history. The name could be the full pathname or the relative pathname to the backup root directory.

Message XDR definition

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

/* NDMP_FH_ADD_UNIX_PATH */
typedef string ndmp_unix_path<>;
enum ndmp_unix_file_type
{
 NDMP_FILE_DIR,
 NDMP_FILE_FIFO,

```
NDMP_FILE_CSPEC,
       NDMP_FILE_BSPEC,
       NDMP_FILE_REG,
       NDMP_FILE_SLINK,
       NDMP_FILE_SOCK
   };
   struct ndmp_unix_file_stat
   {
       ndmp_unix_file_type
                               ftype;
       u_long
                               mtime;
       u_long
                               atime;
       u_long
                               ctime;
       u_long
                               uid;
       u_long
                               gid;
       u_long
                               mode;
       ndmp_u_quad
                               size;
       ndmp_u_quad
                               fh_info;
   };
   struct ndmp_fh_unix_path
   {
       ndmp_unix_path
                               name;
       ndmp_unix_file_stat
                               fstat;
   };
   struct ndmp_fh_add_unix_path_request
   {
       ndmp_fh_unix_path
                               paths<>;
   };
Request Arguments
   paths
                         Array of file history path entries. Each
                         entry contains:
                         The full pathname of the backed up file, or
   name
                         relative to the backup root directory.
                                                                      114
Stager, Hitz
Internet Draft Network Data Management Protocol
                                                      09/04/97
   fstat
                         File attribute data consisting of:
```

ftype	File type.
mtime	Time the file was last modified (in seconds since 00:00:00 GMT, Jan 1, 1970).
atime	Time the file was last accessed (in seconds since 00:00:00 GMT, Jan 1, 1970).
ctime	Time the file status was last modified (in seconds since 00:00:00 GMT, Jan 1, 1970). Indicates the last time that either the file data or the file attributes were modified.
uid	File owner identifier.
gid	File group identifier.
mode	File mode flags.
size	File size.
fh_info	File history tape positioning data representing the tape position at the time the file was written to tape. This data may be used by the restore method to perform tape positioning for direct access file retrieval. The positioning data is NDMP server dependent. Typically it will be the byte or record offset from the beginning of the tape of the file to be recovered. This field is ignored by data method implementations that do not support this feature.

Reply Arguments

This message does not have a message body.

4.3.2 Add Unix Dir

This message is used to support directory/inode types of backup formats. The node number can be any unique number that matches a corresponding fh_add_unix_node message.

```
Message XDR definition
```

```
/* NDMP_FH_ADD_UNIX_DIR */
struct ndmp_fh_unix_dir
{
    ndmp_unix_path name;
    u_long node;
    u_long parent;
};
struct ndmp_fh_add_unix_dir_request
{
    ndmp_fh_unix_dir dirs<>;
};
```

Request Arguments

dirs	Array of directory entries. Each entry contains:
name	Node file name. This is not a full pathname; just the basename relative to the node's parent directory.
node	Node identifier that matches a node in a corresponding add node message. NDMP-server implementation dependent but will typically be the inode number of the file.
parent	Node identifier of the node's parent directory. NDMP-server implementation dependent but will typically be the inode number of the file.

Stager,Hitz

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

This message does not have a message body.

4.3.3 Add Unix Node

This request adds a list of file attribute entries to the file history. These entries must match a corresponding node number from a previous add directory message. For each file, this message must be sent after the corresponding ndmp_fh_add_unix_dir message.

```
Message XDR definition
```

```
/* NDMP_FH_ADD_UNIX_NODE */
struct ndmp_fh_unix_node
{
    ndmp_unix_file_stat fstat;
    u_long node;
};
struct ndmp_fh_add_unix_node_request
{
    ndmp_fh_unix_node nodes<>;
};
```

Request Arguments

nodes	Array of file history node entries. Each
	entry contains:
fstat	File attribute data.

Node identifier that matches a node in a corresponding add directory message. NDMPserver implementation dependent but will typically be the inode number of the file.

Stager,Hitz

117

Internet Draft Network Data Management Protocol 09/04/97

Reply Arguments

This message does not have a message body.

node

Stager, Hitz

Internet Draft Network Data Management Protocol 09/04/97

5. References

[1] <u>RFC 1832</u>, "XDR: External Data Representation Standard", R. Srinivasan, Sun Microsystems, August 1995

[2] <u>RFC 1321</u>, "The MD5 Message-Digest Algorithm", R. Rivest, MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992

6. Security

The NDMP client normally is authenticated by the NDMP server using a secure MD5 digest. However, the NDMP server optionally can authenticate using a clear text password or even permit access without authentication. Once authenticated, privileges are not specified by the NDMP protocol, but it is expected that NDMP-server implementations will permit data to be transferred to and from tape using the protocol.

The NDMP_SCSI_OPEN permits low level access to SCSI jukebox devices. The NDMP server should prevent access to other SCSI devices (such as disk drives) to prevent the NDMP client from bypassing filesystem security.

File history information is transferred to the NDMP client through a TCP/IP connection.

7. Authors

D. Hitz Network Appliance 2770 San Tomas Expressway. Santa Clara, CA 95051 USA Tel: 408-367-3106 Fax: 408-367-3151 email: hitz@netapp.com http://www.netapp.com R. Stager PDC Software 111C Lindbergh Ave Livermore, CA 94550 USA Tel: 510-449-6881 Fax: 415-428-5151 email: rstager@pdc.com http://www.pdc.com

Expires:

March 1998

Stager,Hitz