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**Additional Considerations for UDP Encapsulation of Stream Control  
Transmission Protocol (SCTP) Packets  
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Abstract

[RFC 6951](#) specifies the UDP encapsulation of SCTP packets. The described handling of received packets requires the check of the verification tag. However, [RFC 6951](#) misses a specification for the handling of received packets for which this check is not possible.

This document updates [RFC 6951](#) by specifying the handling of received packets where the verification tag can not be checked.

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## [1.](#) Introduction

[RFC6951] specifies the UDP encapsulation of SCTP packets. To be able to adopt automatically to changes of the remote UDP encapsulation port number, it is updated automatically when processing received packets. This includes automatic enabling and disabling of UDP encapsulation.

[Section 5.4 of \[RFC6951\]](#) describes the processing of received packets and requires the check of the verification tag before updating the remote UDP encapsulation port and the possible enabling or disabling of UDP encapsulation.

[RFC6951] basically misses a description for the handling of received packets where this verification tag check is not possible. This includes packets for which no association can be found and packets containing an INIT chunk, since the verification tag for these packets must be 0.

## [2.](#) Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [\[RFC2119\]](#).

## [3.](#) Handling of Out of the Blue Packets

If the processing of an out of the blue packet requires the sending of a packet in response according to the rules specified in [Section 8.4 of \[RFC4960\]](#), the following rules apply:



1. If the received packet was encapsulated in UDP, the response packets MUST also be encapsulated in UDP. The UDP source port and UDP destination port used for sending the response packet are the UDP destination port and UDP source port of the received packet.
2. If the receive packet was not encapsulated in UDP, the response packet MUST NOT be encapsulated in UDP.

Please note that in these cases a check of the verification tag is not possible.

#### **4. Handling of SCTP Packets Containing an INIT Chunk Matching an Existing Association**

SCTP packets containing an INIT chunk have the verification tag 0 in the common header. Therefore the verification can't be checked.

The following rules apply when processing the received packet:

1. The remote UDP encapsulation port for the source address of the received SCTP packet MUST NOT be updated if the encapsulation of outgoing packets is enabled and the received SCTP packet is encapsulated.
2. The UDP encapsulation for outgoing packets towards the source address of the received SCTP packet MUST NOT be enabled, if it is disabled and the received SCTP packet is encapsulated.
3. The UDP encapsulation for outgoing packets towards the source address of the received SCTP packet MUST NOT be disabled, if it is enabled and the received SCTP packet is not encapsulated.
4. If the UDP encapsulation for outgoing packets towards the source address of the received SCTP packet is disabled and the received SCTP packet is encapsulated, an SCTP packet containing an ABORT chunk MUST be sent. The ABORT chunk MAY include the error cause defined below indicating an "Restart of an Association with New Encapsulation Port". This packet containing the ABORT chunk MUST be encapsulated in UDP. The UDP source port and UDP destination port used for sending the packet containing the ABORT chunk are the UDP destination port and UDP source port of the received packet containing the INIT chunk.
5. If the UDP encapsulation for outgoing packets towards the source address of the received SCTP packet is disabled and the received SCTP packet is not encapsulated, the processing defined in



[RFC4960] MUST be performed. If a packet is sent in response, it MUST NOT be encapsulated.

6. If the UDP encapsulation for outgoing packets towards the source address of the received SCTP packet is enabled and the received SCTP packet is not encapsulated, an SCTP packet containing an ABORT chunk MUST be sent. The ABORT chunk MAY include the error cause defined below indicating an "Restart of an Association with New Encapsulation Port". This packet containing the ABORT chunk MUST NOT be encapsulated in UDP.
7. If the UDP encapsulation for outgoing packets towards the source address of the received SCTP packet is enabled and the received SCTP packet is encapsulated, but the UDP source port of the received SCTP packet is not equal to the remote UDP encapsulation port for the source address of the received SCTP packet, an SCTP packet containing an ABORT chunk MUST be sent. The ABORT chunk MAY include the error cause defined below indicating an "Restart of an Association with New Encapsulation Port". This packet containing the ABORT chunk MUST be encapsulated in UDP. The UDP source port and UDP destination port used for sending the packet containing the ABORT chunk are the UDP destination port and UDP source port of the received packet containing the INIT chunk.
8. If the UDP encapsulation for outgoing packets towards the source address of the received SCTP packet is enabled and the received SCTP packet is encapsulated and the UDP source port of the received SCTP packet is equal to the remote UDP encapsulation port for the source address of the received SCTP packet, the processing defined in [RFC4960] MUST be performed. If a packet is sent in response, it MUST be encapsulated. The UDP source port and UDP destination port used for sending the packet containing the ABORT chunk are the UDP destination port and UDP source port of the received packet containing the INIT chunk.

The error cause indicating an "Restart of an Association with New Encapsulation Port" is defined bytes the following figure.

```

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
|          Cause Code = 14          |          Cause Length = 8          |
+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
|   Current Encapsulation Port   |   New Encapsulation Port   |
+-----+-----+-----+-----+-----+-----+-----+-----+

```

Cause Code: 2 bytes (unsigned integer)



This field MUST hold the IANA defined error cause code for the "Restart of an Association with New Encapsulation Port" error cause. The suggested value of this field for IANA is 14.

Cause Length: 2 bytes (unsigned integer)

This field holds the length in bytes of the error cause; the value MUST be 8.

Current Encapsulation Port: 2 bytes (unsigned integer)

This field holds the remote encapsulation port currently being used for the destination address the received packet containing the INIT chunk was sent from. If the UDP encapsulation for destination address is currently disabled, 0 is used.

New Encapsulation Port: 2 bytes (unsigned integer)

If the received SCTP packet containing the INIT chunk is encapsulated in UDP, this field holds the UDP source port number of the UDP packet. If the received SCTP packet is not encapsulated in UDP, this field is 0.

All transported integer numbers are in "network byte order" a.k.a., Big Endian.

## 5. IANA Considerations

[NOTE to RFC-Editor:

"RFCXXXX" is to be replaced by the RFC number you assign this document.

]

[NOTE to RFC-Editor:

The suggested value for the error cause code is tentative and to be confirmed by IANA.

]

This document (RFCXXXX) is the reference for the registration described in this section.

A new error cause code has to be assigned by IANA. This requires an additional line in the "Error Cause Codes" registry for SCTP:





## Error Cause Codes

Value	Cause Code	Reference
-----	-----	-----
<a href="#">14</a>	Restart of an Association with New Encapsulation Port	[RFCXXXX]

**6. Security Considerations**

This document does not change the considerations given in [[RFC6951](#)].

However, not following the procedures given in this document might allow an attacker to take over SCTP associations. The attacker needs only to share the IP address of an existing SCTP association.

**7. Acknowledgments**

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**8. Normative References**

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- [RFC4960] Stewart, R., Ed., "Stream Control Transmission Protocol", [RFC 4960](#), DOI 10.17487/RFC4960, September 2007, <<http://www.rfc-editor.org/info/rfc4960>>.
- [RFC6951] Tuexen, M. and R. Stewart, "UDP Encapsulation of Stream Control Transmission Protocol (SCTP) Packets for End-Host to End-Host Communication", [RFC 6951](#), DOI 10.17487/RFC6951, May 2013, <<http://www.rfc-editor.org/info/rfc6951>>.

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