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NSA's Cryptographic Message Syntax (CMS) Key Management Attributes draft-turner-km-attributes-05.txt

Abstract

This document defines key management attributes used by the National Security Agency (NSA). The attributes can appear in asymmetric and/or symmetric key packages as well as the Cryptographic Message Syntax (CMS) content types that subsequently envelope the key packages.

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Table of Contents

$\underline{1}$. Introduction			<u>3</u>
<u>1.1</u> . Attribute Locations			<u>3</u>
<u>1.2</u> . ASN.1 Notation			4
<u>1.3</u> . Terminology			4
2. CMS-Defined Attributes			<u>5</u>
3. Community Identifiers			
4. Key Province Attribute			
5. Binary Signing Time			
6. Manifest			_
7. Key Algorithm			
8. User Certificate			
9. Key Package Receivers			
10. TSEC Nomenclature			
 11. Key Purpose			
12. Key Use			
13. Transport Key			
14. Key Distribution Period			
15. Key Validity Period			
16. Key Duration			
17. Classification			
17.1. Security Label			
18. Split Key Identifier			
19. Key Package Type			
20. Signature Usage			
21. Other Certificate Format			
22. PKI Path			
23. Useful Certificates			
24. Key Wrap Algorithm			
25. Content Decryption Key Identifier	•	•	<u>34</u>
			25
Symmetric Key Package			
25.2. Content Decryption Key Identifier: Unprotected			
26. Certificate Pointers			
27. CRL Pointers			
28. Key Package Identifier and Receipt Request			
29. Additional Error Codes	•	٠	37
30. Processing Key Package Attribute Values and CMS Content			
Constraints			
<u>31</u> . Attribute Scope			
32. Security Considerations			
33. IANA Considerations			
<u>34</u> . References			
34.1 Normative References			
34.2 Informative References			48

Timmel, et al. Expires May 14, 2015

[Page 2]

<u>Appendix</u>	<u>A</u> . ASN.1 I	Modu⊥	е.											49
Authors'	Addresses													65

1. Introduction

This document defines key management attributes used by the National Security Agency (NSA). The attributes can appear in asymmetric and/or symmetric key packages as well as the Cryptographic Message Syntax (CMS) content types that subsequently envelope the key packages.

1.1. Attribute Locations

There are a number of CMS content types that support attributes SignedData [RFC5652], EnvelopedData [RFC5652], EncryptedData [RFC5652], AuthenticatedData [RFC5652], and AuthEnvelopedData [RFC5083] as well as ContentWithAttributes [RFC4073]. There are also a number of other content types defined with CONTENT-TYPE [RFC6268] that support attributes including AsymmetricKeyPackage [RFC5958] and SymmetricKeyPackage [RFC6031].

There are also different kinds of attributes in these content types:

- o SignedData supports two kinds of attributes: signed and unsigned attributes in the signedAttrs and unsignedAttrs fields, respectively.
- o EnvelopedData and EncryptedData each support one kind of attribute: unprotected attributes in the unprotectedAttrs field.
- o AuthenticatedData and AuthEnvelopedData each support two kinds of attributes: authenticated and unauthenticated attributes in the authAttrs and unauthAttrs fields, respectively. In AuthEnvelopedData both attributes are also unprotected; therefore, when specifically referring to AuthEnvelopedData attributes they are authenticated/unprotected and unauthenticated/unprotected. For this specification, unauthenticated attributes MUST be omitted.
- o ContentWithAttributes supports one kind of attribute: content attributes in the attrs field.
- o AsymmetricKeyPacakge supports one kind of attribute: asymmetric key attributes in the attributes field. If an attribute appears as part of an asymmetric key package, it SHOULD appear in the attributes field of the AsymmetricKeyPackage.

[Page 3]

o SymmetricKeyPackage supports two kinds of attributes: symmetric key and symmetric key package attributes in the sKeyAttrs and sKeyPkgAttrs fields, respectively. Note that [RFC6031] prohibits the same attribute from appearing in both locations in the same SymmetricKeyPackage.

1.2. ASN.1 Notation

The attributes defined in this document use 2002 ASN.1 [X.680][X.681][X.682][X.683]. The attributes MUST be DER [X.690] encoded.

Each of the attributes has a single attribute value instance in the values set. Even though the syntax is defined as a set, there MUST be exactly one instance of AttributeValue present. Further, the SignedAttributes, UnsignedAttributes, UnprotectedAttributes, AuthAttributes, and UnauthAttributes are also defined as a set, and this set MUST include only one instance of any particular type of attribute. That is, any object identifier appearing in AttributeType MUST only appear one time in the set of attributes.

SignedData, EnvelopedData, EncryptedData, AuthenticatedData, AuthEnvelopedData, and ContentWithAttributes were originally defined using the 1988 version of ASN.1. These definitions were updated to the 2008 version of ASN.1 by [RFC6268]. None of the new 2008 ASN.1 tokens are used, which allows 2002 compilers to compile 2008 ASN.1. AsymmetricKeyPackage and SymmetricKeyPackage are defined using the 2002 ASN.1.

[RFC5652] and [RFC2634] define generally useful attributes for CMS using the 1988 version of ASN.1. These definitions were updated to the 2008 version of ASN.1 by [RFC6268] and the 2002 version of ASN.1 by [RFC5911], respectively. [RFC4108] and [RFC6019] also defined attributes using the 1988 version of ASN.1, which this document uses. Both were updated by [RFC5911] to the 2002 ASN.1. Refer to [RFC2634], [RFC4108], [RFC5652], and [RFC6019] for the attribute's semantics but refer to [RFC5911] or [RFC6268] for the attribute's ASN.1 syntax.

1.3. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="https://recommendedcolor.org

CMS Protecting Content Types: SignedData [RFC5652], EnvelopedData [RFC5652], EncryptedData [RFC5652], AuthenticatedData [RFC5652], and

Timmel, et al. Expires May 14, 2015

[Page 4]

AuthEnvelopedData [RFC5083] are referred to as CMS protecting content types because they provide some type of security service. While ContentWithAttributes [RFC4073] and ContentCollection [RFC4073] are CMS content types that provide no security service.

Attribute Scope: The scope of an attribute is the compilation of keying material to which the attribute value is assigned. The scope of each attribute is determined by its placement within the key package or content collection. See <u>Section 31</u>.

SIR: Sender-Intermediary-Receiver is a model with three entities:

- o A sender initiates the delivery of a key to one or more receivers. It may wrap or encrypt the key for delivery. This is expected to be the common case, since cleartext key is vulnerable to exposure and compromise. If the sender is to encrypt the key for delivery, it must know how to encrypt the key so that the receiver(s) can decrypt it. A sender may also carry out any of the functions of an intermediary.
 - * The original key package creators are sometimes referred to as key source authorities. These entities create the symmetric and/or asymmetric key package and apply the initial CMS protecting layer, which is normally a SignedData but sometimes an AuthenticatedData. This initial CMS protecting layer is maintained through any intermediary for the receivers of the key package to ensure that receivers can validate the key source authority.
- o An intermediary does not have access to cleartext key. An intermediary may perform source authentication on key packages, and may append or remove management information related to the package. It may encapsulate the encrypted key packages in larger packages that contain other user data destined for later intermediaries or receivers.
- o A receiver has access to cleartext key. If the received key package is encrypted, it can unwrap or decrypt the encrypted key to obtain the cleartext key. A receiver may be the final destination of the cryptographic product. An element that acts as a receiver and is not the final destination of the key package may also act as a sender. After receiving a key, a receiver may encrypt the received key for local storage.

2. CMS-Defined Attributes

The following attributes are defined for [RFC5652]:

- o content-type [RFC5652][RFC6268] uniquely specifies the CMS content type. It MUST be included as signed, authenticated, and authenticated/unprotected attributes.
- o message-digest [RFC5652][RFC6268] is the message digest of the encapsulated content calculated using the signer's message digest algorithm. It MUST be included as signed and authenticated attributes and SHOULD NOT be included as an authenticated/unprotected attribute.
- o content-hints [RFC2634][RFC6268] identifies the innermost content when multiple layers of encapsulation have been applied. It MUST be included in every instance of SignedData, AuthenticatedData, and AuthEnvelopedData that does not directly encapsulate a SymmetricKeyPackage, an AsymmetricKeyPackage, or an EncryptedKeyPackage [RFC6032].

3. Community Identifiers

The community-identifiers [RFC4108][RFC5911] attribute lists the communities that are authorized recipients of the signed content. It can appear as a signed, authenticated, authenticated/unprotected, or content attribute. This attribute MUST be supported.

The 2002 ASN.1 syntax for the community-identifier attribute is included for convenience:

```
aa-communityIdentifiers ATTRIBUTE ::= {
  TYPE CommunityIdentifiers
  IDENTIFIED BY id-aa-communityIdentifiers }
id-aa-communityIdentifiers OBJECT IDENTIFIER ::= {
  iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
  smime(16) aa(2) 40 }
CommunityIdentifiers ::= SEQUENCE OF CommunityIdentifier
CommunityIdentifier ::= CHOICE {
  communityOID OBJECT IDENTIFIER,
  hwModuleList HardwareModules }
HardwareModules ::= SEQUENCE {
                   OBJECT IDENTIFIER,
  hwSerialEntries SEQUENCE OF HardwareSerialEntry }
HardwareSerialEntry ::= CHOICE {
  all
        NULL,
  single OCTET STRING,
```

```
block SEQUENCE {
    low OCTET STRING,
    high OCTET STRING } }
```

Consult [RFC4108] for the attribute's semantics.

4. Key Province Attribute

The key-province-v2 attribute identifies the scope, range, or jurisdiction in which the key is to be used. The key-province-v2 attribute MUST be present as a signed attribute or an authenticated attribute in the innermost CMS protection content type that provides authentication (i.e., SignedData, AuthEnvelopedData, or AuthenticatedData) and encapsulates a symmetric key package or an asymmetric key package.

The key-province attribute has the following syntax:

```
aa-keyProvince-v2 ATTRIBUTE ::= {
   TYPE KeyProvinceV2
   IDENTIFIED BY id-aa-KP-keyProvinceV2 }

id-aa-KP-keyProvinceV2 OBJECT IDENTIFIER ::=
   { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101) dod(2) infosec(1) attributes(5) 71 }

KeyProvinceV2 ::= OBJECT IDENTIFIER
```

5. Binary Signing Time

The binary-signing-time [RFC6019][RFC6268] attribute specifies the time at which the signature or the message authentication code was applied to the encapsulated content. It can appear as a signed, authenticated, or authenticated/unprotected attribute.

The 2002 ASN.1 syntax is included for convenience:

```
aa-binarySigningTime ATTRIBUTE ::= {
   TYPE BinarySigningTime
   IDENTIFIED BY id-aa-binarySigningTime }

id-aa-binarySigningTime OBJECT IDENTIFIER ::= {
   iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9)
   smime(16) aa(2) 46 }

BinarySigningTime ::= BinaryTime

BinaryTime ::= INTEGER (0..MAX)
```

Timmel, et al. Expires May 14, 2015

[Page 7]

Consult [RFC6019] for the binary-signing-time attribute's semantics.

6. Manifest

The manifest attribute lists the short titles of all the TSEC-Nomenclature attributes from inner key packages. It MUST only appear as an outer-most signed, authenticated, or authenticated/unprotected attribute. If a short title is repeated in inner packages, it need only appear once in the manifest attribute. The manifest attribute MUST NOT appear in the same level as the TSEC-Nomenclature from the Section 10.

The manifest attribute has the following syntax:

```
aa-manifest ATTRIBUTE ::= {
   TYPE Manifest
   IDENTIFIED BY id-aa-KP-manifest }

id-aa-KP-manifest OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1)
   gov(101) dod(2) infosec(1) attributes(5) 72 }

Manifest ::= SEQUENCE SIZE (1..MAX) OF ShortTitle
```

7. Key Algorithm

The key-algorithm attribute indirectly specifies the size and format of the keying material in the skey field of a symmetric key package. It can appear as a symmetric key, symmetric key package, signed, authenticated, authenticated/unprotected, or content attribute. this attribute appears as a signed attribute, then all of the keying material within the SignedData content MUST be associated with the same algorithm. If this attribute appears as an authenticated or authenticated/unprotected attribute, then all of the keying material within the AuthenticatedData or AuthEnvelopedData content type MUST be associated with the same algorithm. If this attribute appears as a content attribute, then all of the keying material within the collection MUST be associated with the same algorithm. If both the key-algorithm and key-wrap-algorithm attributes apply from the Section 24 to an sKey, then the key-algorithm attribute refers to the decrypted value of sKey rather than to the content of sKey itself. This attribute MUST be supported.

The key-algorithm attribute has the following syntax:

The fields in the key-algorithm attribute have the following semantics:

- o keyAlg specifies the size and format of the keying material.
- o If the particular key format supports more than one check word algorithm, then the OPTIONAL checkWordAlg identifier indicates which check word algorithm was used to generate the check word that is present. If the check word algorithm is implied by the key algorithm, then the checkWordAlg field SHOULD be omitted.
- o If the particular key format supports more than one Cyclic Redundancy Check (CRC) algorithm, then the OPTIONAL crcAlg identifier indicates which CRC algorithm was used to generate the value that is present. If the CRC algorithm is implied by the key algorithm, then the crcAlg field SHOULD be omitted.

The keyAlg identifier, the checkWordAlg identifier, and the crcAlg identifier are object identifiers. The use of an object identifier accommodates any algorithm from any registry.

The format of the keying material in the skey field of a symmetric key package will not match this attribute if the keying material is split. In this situation, this attribute identifies the format of the keying material once the two splits are combined. See section 18 for a discussion on the split-identifier attribute. Due to multiple layers of encapsulation or the use of content collections, the keyalgorithm attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the keyalgorithm attribute within the same scope, the keyAlg field MUST match in all instances. The OPTIONAL checkWordAlg and crcAlg fields can be omitted in the key-algorithm attribute when it appears as a signed, authenticated, authenticated/unprotected, or content

Timmel, et al. Expires May 14, 2015

[Page 9]

attribute. However, if these optional fields are present, they MUST also match the other occurrences within the same scope. Receivers MUST reject any key package that fails these consistency checks.

8. User Certificate

The user-certificate attribute specifies the type, format, and value of an X.509 certificate and is used in asymmetric key package's attributes field. This attribute can appear as an asymmetric key attribute. This attribute MUST NOT appear in an asymmetric key package attributes field that includes the other-certificate-formats attribute. Symmetric key packages do not contain any certificates, so the user-certificate attribute MUST NOT appear in a symmetric key package. The user-certificate attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute. This attribute MUST be supported.

The syntax is taken from $[\underline{X.509}]$ but redefined using the ATTRIBUTE CLASS from $[\underline{RFC5911}]$. The user-certificate attribute has the following syntax:

```
aa-userCertificate ATTRIBUTE ::= {
   TYPE Certificate
   EQUALITY MATCING RULE certificateExactMatch
   IDENTIFIED BY id-at-userCertificate }

id-at-userCertificate OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) ds(5) attributes(4) 36 }
```

Since the user-certificate attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute, an asymmetric key package cannot include multiple occurrences of the user-certificate attribute within the same scope. Receivers MUST reject any asymmetric key package in which the user-certificate attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute.

9. Key Package Receivers

The key-package-receivers-v2 attribute indicates the intended audience for the key package. The key-package-receivers-v2 attribute is not intended for access control decisions, rather intermediate systems may use this attribute to make routing and relaying decisions. The receiver SHOULD reject the key package if the key-package-receivers-v2 attribute is present and they are not listed as an intended receiver. The key-package-receivers-v2 attribute can be used as a signed, authenticated, authenticated/unprotected, or content attribute. If key-package-receivers-v2 attribute is

Timmel, et al. Expires May 14, 2015 [Page 10]

associated with a collection, then the named receivers MUST be able to receive all of the key packages within the collection. This attribute MUST be supported.

The key-package-receivers-v2 attribute has the following syntax:

```
aa-keyPackageReceivers-v2 ATTRIBUTE ::= {
   TYPE KeyPkgReceiversV2
   IDENTIFIED BY id-kma-keyPkgReceiversV2 }

id-kma-keyPkgReceiversV2 OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 16 }

KeyPkgReceiversV2 ::= SEQUENCE SIZE (1..MAX) OF KeyPkgReceiver

KeyPkgReceiver ::= CHOICE {
   sirEntity [0] SIREntityName,
   community [1] CommunityIdentifier }
```

The key-package-receivers-v2 attribute contains a list of receiver identifiers. The receiver identifier is either a SIREntityName [RFC7191] or a CommunityIdentifier (see Section 3). The SIREntityName syntax does not impose any particular structure on the receiver identifier, but it does require registration of receiver identifier types. The nameType ensures that two receiver identifiers of different types that contain the same values are not interpreted as equivalent. Name types are expected to be defined that represent several different granularities. For example, one name type will represent the receiver organization. At a finer granularity, the name type will identify a specific cryptographic device, perhaps using a manufacturer identifier and serial number.

If a receiver does not recognize a particular nameType or a community identifier, then keying material within the scope of the unrecognized nameType or community identifier MUST NOT be used in any manner. However, the receiver need not discard the associated key package. Since many cryptographic devices are programmable, a different firmware load may recognize the nameType. Likewise, a change in the configuration may lead to the recognition of a previously unrecognized community identifier. Therefore, the receiver may retain the key package, but refuse to use it for anything with a firmware load that does not recognize the nameType or a configuration that does not recognize the community identifier.

Whenever a key package is saved for later processing due to an unrecognized nameType or community identifier, subsequent processing MUST NOT rely on any checks that were made the first time the key

Timmel, et al. Expires May 14, 2015 [Page 11]

package processing was attempted. That is, the subsequent processing MUST include the full complement of checks. Further, a receipt for the packages MUST NOT be generated unless all of these checks are successfully completed.

Due to multiple layers of encapsulation or the use of content collections, the key-package-receivers-v2 attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-package-receivers-v2 attribute, each occurrence is evaluated independently.

In a content collection, each member of the collection might contain its own signed, authenticated, authenticated/unprotected, or content attribute that includes a key-package-receivers-v2 attribute. In this situation, each member of the collection is evaluated separately, and any member that includes an acceptable receiver SHOULD be retained. Other members SHOULD be rejected or retained for later processing with a different firmware load.

10. TSEC Nomenclature

The Telecommunications Security Nomenclature (TSEC-Nomenclature) attribute provides the name for a piece of keying material, which always includes the short title. The TSEC-Nomenclature attribute also contains other identifiers when the short title is insufficient to uniquely name a particular piece of keying material. This attribute can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If this attribute appears in the sKeyAttrs field, the EditionID, RegisterID, and SegmentID attribute fields MUST NOT be ranges. If this attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, all of the keying material within the associated content MUST have the same short title, and the attribute value MUST contain only a short title. That is, when this attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, all of the optional fields MUST be absent. If this attribute is associated with a collection, all of the keying material within the collection MUST have the same short title; however, the edition, register, and segment identifiers will be different for each key package in the collection. This attribute MUST be supported.

The TSEC-Nomenclature attribute has the following syntax:

```
aa-tsecNomenclature ATTRIBUTE ::= {
  TYPE TSECNomenclature
  IDENTIFIED BY id-kma-TSECNomenclature }
```

Timmel, et al. Expires May 14, 2015 [Page 12]

```
id-kma-TSECNomenclature OBJECT IDENTIFIER ::= {
  joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
  dod(2) infosec(1) keying-material-attributes(13) 3 }
TSECNomenclature ::= SEQUENCE {
  shortTitle ShortTitle,
  editionID EditionID OPTIONAL,
  registerID RegisterID OPTIONAL,
             SegmentID OPTIONAL }
  segmentID
ShortTitle ::= PrintableString
EditionID ::= CHOICE {
  char CHOICE {
                       [1] CharEdition,
      charEdition
      charEditionRange [2] CharEditionRange }
  num CHOICE {
      numEdition
                       [3] NumEdition,
      numEditionRange [4] NumEditionRange } }
CharEdition ::= PrintableString
CharEditionRange ::= SEQUENCE {
  firstCharEdition CharEdition,
  lastCharEdition
                   CharEdition }
NumEdition ::= INTEGER (0..308915776)
NumEditionRange ::= SEQUENCE {
  firstNumEdition NumEdition,
  lastNumEdition
                   NumEdition }
RegisterID ::= CHOICE {
                 [5] Register,
  register
  registerRange [6] RegisterRange }
Register ::= INTEGER (0..2147483647)
RegisterRange ::= SEQUENCE {
  firstRegister Register,
  lastRegister
                Register }
SegmentID ::= CHOICE {
  segmentNumber [7] SegmentNumber,
  segmentRange [8] SegmentRange }
SegmentNumber ::= INTEGER (1..127)
```

Timmel, et al. Expires May 14, 2015 [Page 13]

```
SegmentRange ::= SEQUENCE {
  firstSegment   SegmentNumber,
  lastSegment   SegmentNumber }
```

The fields in the TSEC-Nomenclature attribute have the following semantics:

- o The short title consists of up to 32 alphanumeric characters. Short title processing always uses the value in its entirety.
- o The edition identifier is OPTIONAL, and the edition identifier is used to distinguish accountable items. The edition identifier consists either of six alphanumeric characters or an integer. When present, the edition identifier is either a single value or a range. The integer encoding should be used when it is important to keep key package size to a minimum.
- o The register identifier is OPTIONAL. For electronic keying material, the register identifier is usually omitted. The register identifier is an accounting number assigned to identify COMSEC material. The register identifier is either a single value or a range.
- o The segment identifier is OPTIONAL, and it distinguishes the individual symmetric keys delivered in one edition. A unique segment number is assigned to each key in an edition. The segment number is set to one for the first item in each edition, and it is incremented by one for each additional item within that edition. The segment identifier is either a single value or a range.

The order that the keying material will appear in the key package is illustrated by the following example. A cryptographic device may require fresh keying material every day. An edition represents the keying material for a single month, and the segments represent the keying material for a day within that month. Consider a key package that contains the keying material for July and August; it will contain keying material for 62 days. The keying material will appear in the following order: Edition 1, Segment 1; Edition 1, Segment 2; Edition 1, Segment 31; Edition 2, Segment 1; Edition 2, Segment 31.

Due to multiple layers of encapsulation or the use of content collections, the TSEC-Nomenclature attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the TSEC-Nomenclature attribute within the same scope, the shortTitle field MUST match in all instances. Recall that the

Timmel, et al. Expires May 14, 2015 [Page 14]

optional parts MUST be omitted when the TSEC-Nomenclature attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute. Receivers MUST reject any key package that fails these consistency checks.

When the manifest attribute from <u>Section 6</u> is included in an outer layer, the shortTitle field values present in TSEC-Nomenclature attributes MUST be one of the values in the manifest attribute. Receivers MUST reject any key package that fails this consistency checks.

11. Key Purpose

The key-purpose attribute specifies the intended purpose of the key material. It can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the key-purpose attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the associated content MUST have the same key purpose value.

The key-purpose attribute has the following syntax:

```
aa-keyPurpose ATTRIBUTE ::= {
 TYPE KeyPurpose
 IDENTIFIED BY id-kma-keyPurpose }
id-kma-keyPurpose OBJECT IDENTIFIER ::= {
 joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
 dod(2) infosec(1) keying-material-attributes(13) 13 }
KeyPurpose ::= ENUMERATED {
        (0), -- Not Applicable
 n-a
       (65), -- Operational
 а
 b
       (66), -- Compatible Multiple Key
 1
       (76),
               -- Logistics Combinations
               -- Maintenance
 m
       (77),
 r
               -- Reference
       (82),
       (83), -- Sample
 S
 t
               -- Training
       (84),
 V
       (86), -- Developmental
               -- Exercise
 Х
       (88),
       (90),
               -- "On the Air" Testing
  ... -- Expect additional key purpose values -- }
```

Due to multiple layers of encapsulation or the use of content collections, the key-purpose attribute can appear in more than one location in the overall key package. When there are multiple

occurrences of the key-purpose attribute within the same scope, all fields within the attribute MUST contain exactly the same values. Receivers MUST reject any key package that fails these consistency checks.

12. Key Use

The key-use attribute specifies the intended use of the key material. It can appear as a symmetric key, symmetric key package, asymmetric, signed, authenticated, authenticated/unprotected, or content attribute. If the key-use attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the associated content MUST have the same key use value.

The key-use attribute has the following syntax:

```
aa-key-Use ATTRIBUTE ::= {
 TYPE KeyUse
 IDENTIFIED BY id-kma-keyUse }
id-kma-keyUse OBJECT IDENTIFIER ::= {
 joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
 dod(2) infosec(1) keying-material-attributes(13) 14 }
KeyUse ::= ENUMERATED {
 n-a
      (0),
               -- Not applicable
 ffk
               -- FIREFLY/CROSSTALK Key (Basic Format)
        (1),
 kek
     (2),
               -- Key Encryption Key
     (3),
               -- Key Production Key
 kpk
 msk
       (4),
               -- Message Signature Key
 qkek (5),
               -- QUADRANT Key Encryption Key
               -- Traffic Encryption Key
 tek
        (6),
 tsk
        (7),
               -- Transmission Security Key
 trkek (8),
               -- Transfer Key Encryption Key
 nfk
        (9),
                -- Netted FIREFLY Key
 effk (10),
               -- FIREFLY Key (Enhanced Format)
 ebfk
       (11),
                -- FIREFLY Key (Enhanceable Basic Format)
 aek
       (12),
               -- Algorithm Encryption Key
       (13),
                -- Word of Day
 wod
 kesk (246),
                -- Key Establishment Key
 eik (247),
                -- Entity Identification Key
 ask (248),
               -- Authority Signature Key
               -- Key Modifier Key
 kmk (249),
 rsk (250),
               -- Revocation Signature Key
 csk (251),
               -- Certificate Signature Key
 sak (252),
               -- Symmetric Authentication Key
  rgk (253),
               -- Random Generation Key
```

Timmel, et al. Expires May 14, 2015 [Page 16]

```
cek (254), -- Certificate Encryption Key
exk (255), -- Exclusion Key
... -- Expect additional key use values -- }
```

The values for the key-use attribute has the following semantics:

- o ffk: A FIREFLY/CROSSTALK key is used to establish a Key Establishment Key (KEK) or a Transmission Encryption Key (TEK) between two parties. The KEK or TEK generated from the exchange is used with a symmetric encryption algorithm. This key use value is associated with keys in the basic format.
- o kek: A Key Encryption Key is used to encrypt or decrypt other keys for transmission or storage.
- o kpk: A Key Production Key is used to initialize a keystream generator for the production of other electronically generated keys.
- o msk: A Message Signature Key is used in a digital signature process that operates on a message to assure message source authentication, message integrity, and non-repudiation.
- o qkek: QUADRANT Key Encryption Key is one part of a tamper resistance solution.
- o tek: A Traffic Encryption Key is used to encrypt plaintext or to superencrypt previously encrypted data and/or to decrypt ciphertext.
- o tsk: A Transmission Security Key is used to protect transmissions from interception and exploitation by means other than cryptanalysis.
- o trkek: Transfer Key Encryption Key. For example, the keys used by the KP and DTD.
- o nfk: A Netted FIREFLY Key is a FIREFLY key that has an edition number associated with it. When rekeyed, it is incremented, preventing communications with FIREFLY key of previous editions. This edition number is maintained within a universal edition.
- o effk: Enhanced FIREFLY Key is used to establish a KEK or a TEK between two parties. The KEK or TEK generated from an exchange is used with a symmetric encryption algorithm. This key use value is associated with keys in the enhanced format.
- o ebfk: Enhanceable Basic FIREFLY Key is used to establish a KEK or

Timmel, et al. Expires May 14, 2015 [Page 17]

- a TEK between two parties. The KEK or TEK generated from an exchange is used with a symmetric encryption algorithm. This key use value is associated with keys in the enhanceable basic format.
- o aek: An Algorithm Encryption Key is used to encrypt or decrypt an algorithm implementation as well as other functionality in the implementation.
- o wod: A key used to generate the Word of the Day (WOD).
- o kek: A Key Establishment Key is an asymmetric key set (e.g. public/private/parameters) used to enable the establishment of symmetric key(s) between entities.
- o eik: An Entity Identification Key is an asymmetric key set (e.g. public/private/parameters) used to identify one entity to another for access control and other similar purposes.
- o ask: An Authority Signature Key is an asymmetric key set (e.g. public/private/parameters) used by designated authorities to sign objects such as TAMP messages and firmware packages.
- o kmk: A Key Modifier Key is a symmetric key used to modify the results of the process that forms a symmetric key from a public key exchange process.
- o rsk: A Revocation Signature Key is an asymmetric key set (e.g. public/private/parameters) used to sign and authenticate revocation lists and compromised key lists.
- o csk: A Certificate Signature Key is an asymmetric key set (e.g. public/private/parameters) used to sign and authenticate public-key certificates.
- o sak: A Symmetric Authentication Key is used in a Message Authentication Code (MAC) algorithm to provide message integrity. Differs from a Message Signature Key in that it is symmetric key material and it does not provide source authentication or nonrepudiation.
- o rgk: Random Generation Key is a key used to seed a deterministic pseudo-random number generator.
- o cek: A Certificate Encryption Key is used to encrypt public-key certificates to support privacy.
- o exk: An Exclusion Key is a symmetric key used to

cryptographically subdivide a single large security domain into smaller segregated domains.

Due to multiple layers of encapsulation or the use of content collections, the key-use attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-use attribute within the same scope, all fields within the attribute MUST contain exactly the same values. Receivers MUST reject any key package that fails these consistency checks.

13. Transport Key

The transport-key attribute identifies whether an asymmetric key is a transport key or an operational key (i.e., the key can either be used as is or not). It can appear as an asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the transport-key attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the associated content MUST have the same operational/transport key material.

```
aa-transportKey ATTRIBUTE ::= {
   TYPE TransOp
   IDENTIFIED BY id-kma-transportKey }

id-kma-transportKey OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 15 }

TransOp ::= ENUMERATED {
   transport (1),
   operational (2) }
```

Due to multiple layers of encapsulation or the use of content collections, the transport-key attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the transport-key attribute within the same scope, all fields within the attribute MUST contain exactly the same values. Receivers MUST reject any key package that fails these consistency checks.

14. Key Distribution Period

The key-distribution-period attribute indicates the period of time that the keying material is intended for distribution. Keying material is often distributed before it is intended to be used. Time of day must be represented in Coordinated Universal Time (UTC). It

Timmel, et al. Expires May 14, 2015 [Page 19]

can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the key-distribution-period attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the content MUST have the same key distribution period.

The key-distribution-period attribute has the following syntax:

```
aa-keyDistributionPeriod ATTRIBUTE ::= {
   TYPE KeyDistPeriod
   IDENTIFIED BY id-kma-keyDistPeriod }

id-kma-keyDistPeriod OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 5 }

KeyDistPeriod ::= SEQUENCE {
   doNotDistBefore [0] BinaryTime OPTIONAL,
   doNotDistAfter BinaryTime }
```

The fields in the key-distribution-period attribute have the following semantics:

- o The doNotDistBefore field is OPTIONAL, and when it is present, the keying material SHOULD NOT be distributed before the date and time provided.
- o The doNotDistAfter field is REQUIRED, and the keying material SHOULD NOT be distributed after the date and time provided.

When the key-distribution-period attribute is associated with a collection of keying material, the distribution period applies to all of the keys in the collection. None of the keying material in the collection SHOULD be distributed outside the indicated period.

Due to multiple layers of encapsulation or the use of content collections, the key-distribution-period attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-distribution-period attribute within the same scope, all of the included attribute fields MUST contain exactly the same value. However, if the doNotDistBefore field is absent in an inner layer, a value MAY appear in an outer layer. Receivers MUST reject any key package that fails these consistency checks.

15. Key Validity Period

The key-validity-period attribute indicates the period of time that the keying material is intended for use. Time of day MUST be represented in Coordinated Universal Time (UTC). It can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the key-validity-period attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the content MUST have the same key validity period.

The key-validity-period attribute has the following syntax:

The fields in the key-distribution-period attribute have the following semantics:

- o The doNotUseBefore field is required, and the keying material should not be used before the date and time provided.
- o The doNotUseAfter field is optional, and when it is present, the keying material should not be used after the date and time provided.

For a key package that is being used for rekey, the doNotUseAfter field MAY be required even though the syntax is OPTIONAL.

When the key-validity-period attribute is associated with a collection of keying material, the validity period applies to all of the keys in the collection. None of the keying material in the collection SHOULD be used outside the indicated period. The key-validity-period attribute described in this section and the keyduration attribute described in the next section provide a complementary function. The key-validity-period attribute provides

Timmel, et al. Expires May 14, 2015 [Page 21]

explicit date and time values, which indicate the beginning and ending of the keying material usage period. The key-duration attribute provides the maximum length of time that the keying material SHOULD be used. If both attributes are provided, this duration MAY occur at any time within the specified period, but the limits imposed by both attributes SHOULD be honored.

Due to multiple layers of encapsulation or the use of content collections, the key-validity-period attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-validity-period attribute within the same scope, all of the included attribute fields MUST contain exactly the same value. However, if the doNotUseAfter field is absent in an inner layer, a value MAY appear in an outer layer. Receivers MUST reject any key package that fails these consistency checks.

16. Key Duration

The key-duration attribute indicates the maximum period of time that the keying material is intended for use. The date and time that the duration begins is not specified, but the maximum amount of time that the keying material can be used to provide security services is specified. It can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the key-duration attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then all of the keying material within the content MUST have the same key duration.

The key-duration attribute has the following syntax:

```
aa-keyDurationPeriod ATTRIBUTE ::= {
 TYPE KeyDuration
 IDENTIFIED BY id-kma-keyDuration }
id-kma-keyDuration OBJECT IDENTIFIER ::= {
 joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
 dod(2) infosec(1) keying-material-attributes(13) 7 }
KeyDuration ::= CHOICE {
            [0] INTEGER (1..ub-KeyDuration-hours),
 hours
                 INTEGER (1..ub-KeyDuration-days),
 days
 weeks
            [1] INTEGER (1..ub-KeyDuration-weeks),
             [2] INTEGER (1..ub-KeyDuration-months),
 months
 vears
             [3] INTEGER (1..ub-KeyDuration-years) }
ub-KeyDuration-hours INTEGER ::= 96
ub-KeyDuration-days INTEGER ::= 732
```

Timmel, et al. Expires May 14, 2015 [Page 22]

```
ub-KeyDuration-weeks INTEGER ::= 104
ub-KeyDuration-months INTEGER ::= 72
ub-KeyDuration-years INTEGER ::= 100
```

The key-validity-period attribute described in the previous section and the key-duration attribute described in this section provide a complementary function. The relationship between these attributes is described in the previous section.

Due to multiple layers of encapsulation or the use of content collections, the key-duration attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-duration attribute within the same scope, all of the included attribute fields MUST contain exactly the same value. Receivers MUST reject any key package that fails these consistency checks.

17. Classification

The classification attribute indicates level of classification. The classification attribute specifies the aggregate classification of the package content. It can appear as a symmetric key, symmetric key package, asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. If the classification attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then the value MUST represent the classification of all of the keying material within the content. Encrypted layers MAY contain content at a higher classification that will be revealed once they are decrypted. If the classification attribute is associated with a collection, then the sensitivity of all the data within the collection MUST be dominated by the classification carried in this attribute.

The classification attribute makes use of the ESSSecurityLabel defined in Section 17.1 and from [RFC2634][RFC5911]. The term "classification" is used in this document, but the term "security label" is used in [RFC2634]. The two terms have the same meaning.

[RFC2634][RFC5911] specifies an object identifier and syntax for the security label attribute. The same values are used for the classification attribute:

```
aa-classificationAttribute ATTRIBUTE ::= {
   TYPE Classification
   IDENTIFIED BY id-aa-KP-classification }

id-aa-KP-classification OBJECT IDENTIFIER ::= id-aa-securityLabel
```

Timmel, et al. Expires May 14, 2015 [Page 23]

```
-- id-aa-securityLabel OBJECT IDENTIFIER ::= {
-- iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
-- pkcs-9(9) smime(16) id-aa(2) 2 }
Classification ::= ESSSecurityLabel
```

The syntax of ESSSecurityLabel is not repeated here; however, see <u>section 17.1</u> for security label conventions that MUST be followed by implementations of this specification. See [RFC2634] for a complete discussion of the semantics and syntax.

When the classification attribute appears in more than one location in the overall key package, each occurrence is evaluated independently. The content originator MUST ensure that the classification attribute represents the sensitivity of the plaintext within the content. That is, the classification MUST dominate any other plaintext classification attribute value that is present elsewhere in the overall key package. Note that the classification attribute value may exceed these other plaintext classification attribute values if the other attribute values within the SignerInfo, AuthEnvelopedData, or AuthenticatedData are themselves classified and warrant the higher security label value.

When the classification attribute appears in more than one location in the overall key package, each security label might be associated with a different security policy. Content originators SHOULD avoid mixing multiple security policies in the same key package whenever possible since this requires that receivers and intermediaries that check the classification attribute values to include support for the union of the security policies that are present. Failure to recognize an included security policy MUST result in rejection of the key package.

Receivers MUST reject any key package that includes a classification for which the receiver's processing environment is not authorized.

17.1. Security Label

The ESSSecurityLabel ASN.1 type is used to represent the classification. The ESSSecurityLabel is defined in <u>Section 3.2 of [RFC2634]</u>.

The classification attribute values and classification attribute values have ASN.1 type ESSSecurityLabel. Part of the syntax definition is repeated here to facilitate discussion:

```
ESSSecurityLabel ::= SET {
  security-policy-identifier SecurityPolicyIdentifier,
```

Timmel, et al. Expires May 14, 2015 [Page 24]

security-classification SecurityClassification OPTIONAL, privacy-mark ESSPrivacyMark OPTIONAL, security-categories SecurityCategories OPTIONAL }

A security policy is a set of criteria for the provision of security services. The security-policy-identifier, which is an object identifier, is used to identify the security policy associated with the security label. It indicates the semantics of the other security label components.

If the key package receiver does not recognize the object identifier in the security-policy-identifier field and the security label includes a security-categories field, then the key package contents MUST NOT be accepted and the enclosed keying material MUST NOT be used. If the key package receiver does not recognize the object identifier in the security-policy-identifier field and the security label does not include a security-categories field, then the key package contents MAY be accepted only if the security-classification field is present and it contains a value from the basic hierarchy as described below.

This specification defines the use of the SecurityClassification field exactly as is it specified in the 1988 edition of ITU-T Recommendation $X.411 \left[\frac{X.411}{1} \right]$, which states in part:

"If present, a security-classification may have one of a hierarchical list of values. The basic security-classification hierarchy is defined in this Recommendation, but the use of these values is defined by the security-policy in force. Additional values of security-classification, and their position in the hierarchy, may also be defined by a security-policy as a local matter or by bilateral agreement. The basic security-classification hierarchy is, in ascending order: unmarked, unclassified, restricted, confidential, secret, top-secret."

Implementations MUST support the basic security classification hierarchy. Such implementations MAY also support other security-classification values; however, the placement of additional values in the hierarchy MUST be specified by the security policy.

Implementations MUST NOT make access control decisions based on the privacy-mark. However, information in the privacy-mark can be displayed to human users by devices that have displays to do so. The privacy-mark length MUST NOT exceed 128 characters. The privacy-mark SHALL use the PrintableString choice if all of the characters in the privacy mark are members of the printable string character set.

If present, security-categories provide further granularity for the

Timmel, et al. Expires May 14, 2015 [Page 25]

keying material. The security policy in force indicates the permitted syntaxes of any entries in the set of security categories. At most, 64 security categories may be present. The security-categories have ASN.1 type SecurityCategories and further SecurityCategory [RFC5912], which are both repeated here to facilitate discussion:

Four security categories are defined and are referred to as the Restrictive Tag, the Enumerated Tag, the Permissive Tag, and the Informative Tag. Only the Enumerated Tag and Informative Tag are permitted in the classification attribute.

The Enumerated Tag is composed of one or more non-negative integers. Each non-negative integer represents a non-hierarchical security attribute that applies to the labeled content. Use of the integer representation is intended to minimize the size of the label since a particular key package generally contains only a few security categories attributes, even though a security policy might define a large set of security categories attributes. Security attributes enumerated by tags of this type could be restrictive (such as compartments) or permissive (such as release permissions). Two object identifiers for the SecurityCategory type field have been defined, one restrictive and one for permissive. The object identifiers are:

```
id-enumeratedRestrictiveAttributes OBJECT IDENTIFIER ::= {
  2 16 840 1 101 2 1 8 3 4 }

id-enumeratedPermissiveAttributes OBJECT IDENTIFIER ::= {
  2 16 840 1 101 2 1 8 3 1 }
```

With both the restrictive and permissive security category types, the corresponding SecurityCategory value has the following ASN.1 definition:

```
attributeList SET OF SecurityAttribute }
SecurityAttribute ::= INTEGER (0..MAX)
```

Any security policy that makes use of security categories MUST assign object identifiers for each tagName, assign the set of integer values associated with each tagName, and specify the semantic meaning for each integer value. Restrictive security attributes and permissive security attributes SHOULD be associated with different tagName object identifiers.

The Informative Tag is composed of either one or more non-negative integers or a bit string. Only the integer choice is allowed in this specification. Each non-negative integer represents a non-hierarchical security attribute that applies to the labeled content. Use of the integer representation is intended to minimize the size of the label since a particular key package generally contains only a few security categories attributes, even though a security policy might define a large set of security categories attributes. Security attributes enumerated by tags of this type are informative (i.e., no access control is performed). One object identifier for the SecurityCategory type field has been defined and it is as follows:

```
id-informativeAttributes OBJECT IDENTIFIER ::= {
  2 16 840 1 101 2 1 8 3 3 }
```

The corresponding SecurityCategory value has the following ASN.1 definition:

```
InformativeTag ::= SEQUENCE {
  tagName    OBJECT IDENTIFIER,
  attributes FreeFormField }

FreeFormField ::= CHOICE {
  bitSetAttributes    BIT STRING,
  securityAttributes    SET OF SecurityAttribute }
```

Any security policy that makes use of security categories MUST assign object identifiers for each tagName, assign the set of integer values associated with each tagName, and specify the semantic meaning for each integer value.

18. Split Key Identifier

The key package originator may include a split-identifier attribute to designate that the keying material contains a split rather than a complete key. It may appear as a symmetric and asymmetric key attribute. The split-identifier attribute MUST NOT appear as a

Timmel, et al. Expires May 14, 2015 [Page 27]

symmetric key package, signed, authenticated, authenticated/unprotected, or content attribute. Split keys have two halves, which are called "A" and "B." The split-identifier attribute indicates which half is included in the key package, and it optionally indicates the algorithm that is needed to combine the two halves. The combine algorithm is OPTIONAL since each key algorithm has a default mechanism for this purpose, and the combine algorithm is present only if the default mechanism is not employed.

The key-split-identifier attribute has the following syntax:

In most cases the default combine algorithm will be employed, which makes this attribute a simple constant that identifies either the "A" or "B" half of the split key, which supports implementation of some key distribution policies.

Note that each split might have its own CRC, but the key and the check word are both recovered when the two splits are combined.

Since the split-identifier attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute, a key package cannot include multiple occurrences of the split-identifier attribute within the same scope. Receivers MUST reject any key package in which the split-identifier attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute.

19. Key Package Type

The key-package-type attribute is a shorthand method for specifying all aspects of the key package format, including which attributes are present and the structure of the encapsulated content. The key-package-type attribute can be used as a signed, authenticated, authenticated/unprotected, or content attribute. If a key-package-type attribute appears in a content attribute associated with a collection, it is a shorthand method for specifying all aspects of

the key packages that comprise the collection.

Rather than implementing the full flexibility of this specification, some devices may implement support for one or more specific key package formats instantiating this specification. Those specific formats are called templates and can be identified using a key-package-type attribute.

The key-package-type attribute has the following syntax:

```
aa-keyPackageType ATTRIBUTE ::= {
   TYPE KeyPkgType
   IDENTIFIED BY id-kma-keyPkgType }

id-kma-keyPkgType OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 12 }

KeyPkgType ::= OBJECT IDENTIFIER
```

Due to multiple layers of encapsulation or the use of content collections, the key-package-type attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the key-package-type attribute, each occurrence is used independently. Since the receiver is likely to use the key-package-type attribute value as a decoding aid, any error will most likely lead to parsing problems, and these problems could result in many different errors being reported.

20. Signature Usage

The signature-usage attribute provides the intended usage for a signature key. Symmetric key packages do not contain signature generation or signature validation keying material, so the signature-usage attribute MUST NOT appear in a symmetric key package. For an asymmetric key package, the signature-usage attribute indicates the kind of objects that are to be signed with the private key in the package. However, if the asymmetric key package contains a Certificate Signature Key, then the signature-usage attribute also indicates what signed objects can be validated using certificates that are signed by the private key in the asymmetric key package. Therefore, the signature-usage attribute also indicates what kind of objects that can be signed by the private keys associated with these certificates. The signature-usage attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute.

The signature-usage attribute has the following syntax:

Timmel, et al. Expires May 14, 2015 [Page 29]

```
aa-signatureUsage-v3 ATTRIBUTE ::= {
   TYPE SignatureUsage
   IDENTIFIED BY id-kma-sigUsageV3 }

id-kma-sigUsageV3 OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 22 }

SignatureUsage ::= CMSContentConstraints
```

The SignatureUsage structure has the same syntax as the CMSContentConstraints structure in [RFC6010], and it is repeated here for convenience.

The SignatureUsage contains a type of CMSContentConstraints. One or more ContentTypeConstraint MUST appear in CMSContentConstraints.

Within ContentTypeConstraint, the contentType field indicates the encapsulated content type identifier that can be signed with the signature key. A particular content type MUST NOT appear more than once in the list. The CMS protecting content types need not be included in the list of permitted content types as the use of CMS is always authorized (see [RFC6010]).

Within ContentTypeConstraint, the canSource enumeration indicates whether the signature key can be used to directly sign the indicated content type. If the ContentTypeConstraint is canSource (the default value), then the signature key can be used to directly sign the specified content type. If the ContentTypeConstraint is cannotSource, then the signature key can only be used with the

Timmel, et al. Expires May 14, 2015 [Page 30]

specified content type if it encapsulates a signature that was generated by an originator with a ContentTypeConstraint that is canSource.

Within ContentTypeList, the attrConstraints OPTIONAL field contains a sequence of content type specific constraints. If the attrConstraints field is absent, the signature key can be used to sign the specified content type, without any further checking. If the either the attrConstraints field is present, then the signature key can only be used to sign the specified content type if all of the constraints for that content type are satisfied. Content type constraints are checked by matching the attribute values in the attrConstraint field against the attribute value in the content. The constraints succeed if the attribute is not present; they fail if the attribute is present and the value is not one of the values provided in attrConstraint.

The fields of attrConstraints implement content type-specific constraints. The attrType field is an AttributeType, which is an object identifier of a signed attribute carried in the SignerInfo of the content. The attrValues field provides one or more acceptable signed attribute values. It is a set of AttributeValue. For a signed content to satisfy the constraint, the SignerInfo MUST include a signed attribute of the type identified in the attrType field, and the signed attribute MUST contain one of the values in the set carried in attrValues.

Since the signature-usage attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute, an asymmetric key package cannot include multiple occurrences of the signature-usage attribute within the same scope. Receivers MUST reject any asymmetric key package in which the signature-usage attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute.

21. Other Certificate Format

The other-certificate-formats attribute specifies the type, format, and value of certificates that are not X.509 public key certificates. Symmetric key packages do not contain any certificates, so the other-certificate-formats attribute MUST NOT appear in a symmetric key package. It SHOULD appear in the attributes field, when the publicKey field is absent and the certificate format is not X.509. This attribute MUST NOT appear in an attributes field that includes the user-certificate attribute from Section 8. The other-certificate-formats attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute.

Timmel, et al. Expires May 14, 2015 [Page 31]

The other-certificate-formats attribute has the following syntax:

```
aa-otherCertificateFormats ATTRIBUTE ::= {
  TYPE CertificateChoices
  IDENTIFIED BY id-kma-otherCertFormats }
id-kma-otherCertFormats OBJECT IDENTIFIER ::= {
  joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
  dod(2) infosec(1) keying-material-attributes(13) 19 }
CertificateChoices ::= CHOICE {
  certificate
                          Certificate,
  extendedCertificate [0] IMPLICIT ExtendedCertificate,
                                   -- Obsolete
  v1AttrCert
                      [1] IMPLICIT AttributeCertificateV1,
                                   -- Obsolete
  v2AttrCert
                      [2] IMPLICIT AttributeCertificateV2,
  other
                      [3] IMPLICIT OtherCertificateFormat }
OtherCertificateFormat ::= SEQUENCE {
  otherCertFormat
                            OBJECT IDENTIFIER,
  otherCert ANY DEFINED BY otherCertFormat }
```

The other-certificate-formats attribute makes use of the CertificateChoices field defined in <u>Section 10.2.2 of [RFC5652]</u>. The certificate, extendedCertificate, and v1AttrCert fields MUST be omitted. The v2AttrCert field can include Version 2 Attribute Certificates. The other field can include EFF certificates and other as-yet undefined certificate formats.

Since the other-certificate-formats attribute MUST NOT appear as a signed, authenticated, authenticated/unprotected, or content attribute, an asymmetric key package cannot include multiple occurrences of the other-certificate-formats attribute within the same scope. Receivers MUST reject any asymmetric key package in which the other-certificate-formats attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute.

22. PKI Path

The pki-path attribute includes certificates that can aid in the validation of the certificate carried in the user-certificate attribute. Symmetric key packages do not contain any certificates, so the pkiPath attribute MUST NOT appear in a symmetric key package. It can appear as an asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. It can appear in the attributes field, when the publicKey field is absent and the certificate format is X.509. This attribute MUST NOT appear in an

Timmel, et al. Expires May 14, 2015 [Page 32]

AsymmetricKeyPackage that has an other-certificate-formats attribute in the attributes field. If the pki-path attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then the value includes certificates that can be used to construct certification path to all of the keying material within the content. This attribute MUST be supported.

The syntax is taken from $[\underline{X.509}]$ but redefined using the ATTRIBUTE CLASS from $[\underline{RFC5911}]$. The pki-path attribute has the following syntax:

```
aa-pkiPath ATTRIBUTE ::= {
   TYPE PkiPath
   IDENTIFIED BY id-at-pkiPath }

id-at-pkiPath OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) ds(5) attributes(4) 70 }

PkiPath ::= SEQUENCE SIZE (1..MAX) OF Certificate
```

The first certificate in the sequence is the subject's parent Certification Authority (CA). The next certificate is that CA's parent, and so on. The end-entity and Trust Anchor are not included in this attribute.

Due to multiple layers of encapsulation or the use of content collections, the pki-path attribute can appear in more than one location in the overall key package. When the pki-path attribute appears in more than one location in the overall key package, each occurrence is evaluated independently.

23. Useful Certificates

The useful-certificates attribute includes certificates that can aid in the validation of certificates associated with other parties with whom secure communications are anticipated. It can appear as an asymmetric key, signed, authenticated, authenticated/unprotected, or content attribute. For an asymmetric key that has an othercertificate-formats attribute from Section 21 in the attributes field, the useful-certificates attribute MUST NOT appear. If the useful-certificates attribute appears as a signed, authenticated, authenticated/unprotected, or content attribute, then the value includes certificates that may be used to validate certificate of others the receiver communicates with. This attribute MUST be supported.

The useful-certificates attribute has the following syntax:

```
aa-usefulCertificates ATTRIBUTE ::= {
   TYPE CertificateSet
   IDENTIFIED BY id-kma-usefulCerts }

id-kma-usefulCerts OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 20 }

CertificateSet ::= SET OF CertificateChoices
```

The useful-certificates attribute makes use of the CertificateSet field defined in <u>Section 10.2.3 of [RFC5652]</u>. Within the CertificateChoices field, the extendedCertificate and v1AttrCert fields MUST always be omitted. If the userCertificate attribute from <u>Section 8</u> is included, the other field MUST NOT be present. If the other-certificate-formats attribute from <u>Section 21</u> is included, the certificate field MUST NOT be present.

Due to multiple layers of encapsulation or the use of content collections, the useful-certificates attribute can appear in more than one location in the overall key package. When the useful-certificates attribute appears in more than one location in the overall key package, each occurrence is evaluated independently.

24. Key Wrap Algorithm

The key-wrap-algorithm attribute identifies a key wrap algorithm with an algorithm identifier. It can appear as a symmetric key or symmetric key package attribute. When this attribute is present in sKeyAttrs, it indicates that the associated sKey field contains a black key that was wrapped by the identified algorithm. When this attribute is present in sKeyPkgAttrs, it indicates that every sKey field in that symmetric key package contains a black key, and that all keys are wrapped by the same designated algorithm.

The key-wrap-algorithm attribute has the following syntax:

```
aa-keyWrapAlgorithm ATTRIBUTE ::= {
   TYPE AlgorithmIdentifier{KEY-WRAP, {KeyEncryptionAlgorithmSet}}
   IDENTIFIED BY id-kma-keyWrapAlgorithm }

id-kma-keyWrapAlgorithm OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 21 }

KeyEncryptionAlgorithmSet KEY-WRAP ::= { ... }
```

<u>25</u>. Content Decryption Key Identifier

Timmel, et al. Expires May 14, 2015 [Page 34]

The content-decryption-key-identifier attribute can appear as an unprotected attribute as well as a symmetric and symmetric key package attribute. The attribute's semantics differ based on the location.

<u>25.1</u>. Content Decryption Key Identifier: Symmetric Key and Symmetric Key Package

The content-decryption-key-identifier attribute [RFC6032] identifies the keying material needed to decrypt the sKey. It can appear as a symmetric key and symmetric key package attribute. If the key-wrap-algorithm attribute appears in sKeyPkgAttrs, then the corresponding content-decryption-identifier attribute can appear in either sKeyPkgAttrs or sKeyAttrs. If the key-wrap-algorithm attribute appears from Section 24 in sKeyAttrs, then the corresponding content-decryption-identifier attribute MUST appear in sKeyAttrs.

The content-decryption-key-identifier attribute in included for convenience:

```
aa-contentDecryptKeyIdentifier ATTRIBUTE ::= {
   TYPE ContentDecryptKeyID
   IDENTIFIED BY id-aa-KP-contentDecryptKeyID }

id-aa-KP-contentDecryptKeyID OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) attributes(5) 66 }

ContentDecryptKeyID ::= OCTET STRING
```

The content decryption key identifier contains an octet string, and this syntax does not impose any particular structure on the identifier value.

25.2. Content Decryption Key Identifier: Unprotected

The content-decryption-key-identifier attribute can be used to identify the keying material that is needed for decryption of the EncryptedData content if there is any ambiguity.

The content-decryption-key-identifier attribute syntax is found in <u>Section 25.1</u>. The content decryption key identifier contains an octet string, and this syntax does not impose any particular structure on the identifier value.

Due to multiple layers of encryption, the content-decryption-keyidentifier attribute can appear in more than one location in the overall key package. When there are multiple occurrences of the content-decryption-key-identifier attribute, each occurrence is evaluated independently. Each one is used to identify the needed keying material for that layer of encryption.

26. Certificate Pointers

The certificate-pointers attribute can be used to reference one or more certificates that may be helpful in the processing of the content once it is decrypted. Sometimes certificates are omitted if they can be easily fetched. However, an intermediary may have better facilities to perform the fetching than the receiver. The certificate-pointers attribute may be useful in some environments. This attribute can appear as an unprotected and an unauthenticated/unprotected attribute.

The certificate-pointers attribute uses the same syntax and semantics as the subject information access certificate extension [RFC5280]. The certificate-pointers attribute has the following syntax:

As specified in [RFC5280], the id-ad-caRepository access method can be used to point to a repository where a Certification Authority publishes certificates and Certificate Revocation Lists (CRLs). In this case, the accessLocation field tells how to access the repository. Where the information is available via http, ftp, or ldap, accessLocation contains a uniform resource identifier (URI). Where the information is available via the directory access protocol (dap), accessLocation contains a directory name.

27. CRL Pointers

The CRL-pointers attribute can be used to reference one or more CRLs that may be helpful in the processing of the content once it is decrypted. Sometimes CRLs are omitted to conserve space or to ensure

that the most recent CRL is obtained when the certificate is validated. However, an intermediary may have better facilities to perform the fetching than the receiver. The CRL-pointers attribute may be useful in some environments. This attribute can appear as an unprotected and unauthenticated/unprotected attribute.

The CRL-pointers attribute has the following syntax:

```
aa-crlPointers ATTRIBUTE ::= {
   TYPE GeneralNames
   IDENTIFIED BY id-aa-KP-crlPointers }

id-aa-KP-crlPointers OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) attributes(5) 70 }
```

The CRL-pointers attribute uses the GeneralNames syntax from [RFC5280]. Each name describes a different mechanism to obtain the same CRL. Where the information is available via http, ftp, or ldap, GeneralNames contains a uniform resource identifier (URI). Where the information is available via the directory access protocol (dap), GeneralNames contains a directory name.

28. Key Package Identifier and Receipt Request

The Key Package Identifier and Receipt Request attribute from [RFC7191] is also supported. It can appear as a signed attribute, authenticated, authenticated/unprotected, or content attribute.

29. Additional Error Codes

This specification also defines three additional extendedErrorCodes $[\mbox{RFC7191}]$:

```
id-errorCodes OBJECT IDENTIFIER ::= {
   joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) errorCodes(22) }

id-missingKeyType OBJECT IDENTIFIER ::= {
   id-errorCodes 1 }

id-privacyMarkTooLong OBJECT IDENTIFIER ::= {
   id-errorCodes 2 }

id-unrecognizedSecurityPolicy OBJECT IDENTIFIER ::= {
   id-errorCodes 3 }

id-incorrectKeyProvince OBJECT IDENTIFIER ::= {
```

Timmel, et al. Expires May 14, 2015 [Page 37]

id-errorCodes 4 }

missingKeyType indicates that all keying material within a package is of the same type; however, the key type attribute is not specified in sKeyPkgAttrs [RFC6031].

privacyMarkTooLong indicates that a classification attribute includes a privacy mark that exceeds 128 characters in length.

unrecognizedSecurityPolicy indicates that a security-policy-identifier is not supported.

incorrectKeyProvince indicates that the value of the key province attribute in a key package does not match the key province constraint of the TA used to validate the key package.

<u>30</u>. Processing Key Package Attribute Values and CMS Content Constraints

Trust anchors may contain constraints for any content type [RFC5934]. When the trust anchor contains constraints for the symmetric key package content type or the asymmetric key package content type, then the constraints provide default values for key package attributes that are not present in the key package and define the set of acceptable values for key package attributes that are present.

When a trust anchor delegates authority by issuing an X.509 certificate, the CMS content constraints certificate extension [RFC6010] may be included to constrain the authorizations. The trust anchor and the X.509 certification path provide default values for key package attributes that are not present in the key package and define the set of acceptable of values for key package attributes that are present.

Constraints on content type usage are represented as attributes.

The processing procedures for the CMS content constraints certificate extension [RFC6010] are part of the validation of a signed or authenticated object, and the procedures yield three output values: cms_constraints, cms_effective_attributes, and cms_default_attributes. Object validation MUST be performed before processing the key package contents, and these outputs values are used as part of key package processing. These same output values are easily generated directly from a trust anchor and the key package when no X.509 certification path is involved in validation.

The cms_effective_attributes provides the set of acceptable values for attributes. Each attribute present in the key package that

corresponds to an entry in cms_effective_attributes MUST contain a value that appears in cms_effective_attributes entry. Attributes that do not correspond to an entry in cms_effective_attributes are unconstrained and may contain any value. Correspondence between attributes and cms_effective_attributes is determined by comparing the attribute object identifier to object identifier for each entry in cms effective attributes.

The cms_default_attributes provides values for attributes that do not appear in the key package. If cms_default_attributes includes only one attribute value for a particular attribute, then that value is used as if it were included in the key package itself. However, if cms_default_attributes includes more than one value for a particular attribute, then the appropriate value remains ambiguous and the key package should be rejected.

Some attributes can appear in more than one place in the key package, and for this reason, the attribute definitions include consistency checks. These checks are independent of constraints checking. In addition to the consistency checks, each instance of the attribute MUST be checked against the set of cms_effective_attributes, and the key package MUST be rejected if any of the attributes values are not in the set of authorized set of values.

31. Attribute Scope

This section provides an example symmetric key package in order to provide a discussion of the scope of attributes. This is an informative section; it is not a normative portion of this specification. Figure 1 provides the example. All of the concepts apply to either a symmetric key package or an asymmetric key package, with the exception of the key-algorithm attribute which is only applicable to a symmetric key package. Each of the components is labeled with a number inside parentheses for easy reference:

- o (1) is the ContentInfo that must be present as the outermost layer of encapsulation. It contains no attributes. It is shown for completeness.
- o (2) is a SignedData content type, which includes six signed attributes. Four of the signed attributes are keying material attributes.
- o (3) is a ContentCollection that includes two encapsulated content types: a ContentWithAttributes and an EncryptedKeyPackage. This content type does not provide any attributes.
- o (4) is a ContentWithAttributes content type. It encapsulates a

SignedData content type. Four key material attributes are provided.

- o (5) is a SignedData content type. It encapsulates a SymmetricKeyPackage content type. Six signed attributes are provided. Four attributes are key material attributes.
- o (6) is a SymmetricKeyPackage content type, and it includes three key material attributes. Note that the contents of this key package are not encrypted, but the contents are covered by two digital signatures.
- o (7) is an EncryptedKeyPackage content type. It encapsulates a SignedData content type. This content type provides one unprotected attribute.
- o (8) is a SignedData content type. It encapsulates a SymmetricKeyPackage content type. Six signed attributes are provided. Four attributes are key material attributes.
- o (9) is a SymmetricKeyPackage content type, and it includes three key material attributes. Note that the contents of this key package are encrypted, and the plaintext keying material is covered by one digital signature, and the ciphertext keying material is covered by another digital signature.

SignedData content type (2) includes six signed attributes:

- o The content-type attribute contains id-ct-contentCollection to indicate the type of the encapsulated content, and it has no further scope.
- o The message-digest attribute contains the one-way hash value of the encapsulated content; it is needed to validate the digital signature. It has no further scope.
- o The classification attribute contains security label for all of the plaintext in the encapsulated content. Each classification attribute is evaluated separately; it has no further scope. In general, the values of this attribute will match or dominate the security label values in (4), (5), and (6). The value of this attribute might not match or dominate the security label values in (8) and (9) since they are encrypted. It is possible that these various security label values are associated with different security policies. Comparison is not required in order to avoid the processing complexity associated with policy mapping.
- o The key-package-receivers-v2 attribute indicates the authorized

Timmel, et al. Expires May 14, 2015 [Page 40]

key package receivers, and it has no further scope. The key-package-receivers-v2 attribute value within (4) is evaluated without regard to the value of this attribute.

- o The key-distribution-period attribute contains two date values: doNotDistBefore and doNotDistAfter. These values must match all others within the same scope, which in this example is the key-distribution-period within (4).
- o The key-package-type attributes indicates the format of the key package, and it has no further scope. The key-package-type attributes values within (5) and (8) are evaluated without regard to the value of this attribute.

ContentWithAttributes content type (4) includes four attributes:

- o The classification attribute contains security label for all of the plaintext in the encapsulated content. Each classification attribute is evaluated separately; it has no further scope.
- o The TSEC-Nomenclature attribute includes only the shortTitle field, and the value must match all other instances within the same scope, which appear in (5) and (6). Note that the TSEC-Nomenclature attribute values in (8) and (9) are not in the same scope as the TSEC-Nomenclature attribute that appears in (4).
- o The key-package-receivers-v2 attribute indicates the authorized key package receivers, and it has no further scope. The key-package-receivers-v2 attribute value within (2) is evaluated without regard to the value of this attribute.
- o The key-distribution-period attribute contains two date values: doNotDistBefore and doNotDistAfter. These values must match all others within the same scope, which in this example is the key-distribution-period within (2).

SignedData content type (5) includes six signed attributes:

- o The content-type attribute contains id-ct-KP-skeyPackage to indicate the type of the encapsulated content, and it has no further scope.
- o The message-digest attribute contains the one-way hash value of the encapsulated content; it is needed to validate the digital signature. It has no further scope.
- o The classification attribute contains security label for all of the plaintext in the encapsulated content. Each classification

Timmel, et al. Expires May 14, 2015 [Page 41]

attribute is evaluated separately; it has no further scope.

- o The TSEC-Nomenclature attribute includes only the shortTitle field, and the value must match all other instances within the same scope, which appear in (6). Since this is within the scope of (4), these shortTitle field values must match as well. Note that the TSEC-Nomenclature attribute values in (8) and (9) are not in the same scope.
- o The key-purpose attribute specifies the purpose of the key material. All occurrences within the scope must have the same value, but in this example, there are no other occurrences within the scope. The key-purpose attribute value within (8) is evaluated without regard to the value of this value.
- o The key-package-type attribute indicates the format of the key package, and it has no further scope. The key-package-type attribute values within (2) and (8) are evaluated without regard to the value of this attribute.

SymmetricKeyPackage content type (6) includes three keying material attributes, which could appear in the sKeyPkgAttrs or sKeyAttrs fields:

- o The key-algorithm attribute includes only the keyAlg field, and it must match all other occurrences within the same scope. However, there are no other key-algorithm attribute occurrences in the same scope; the key-algorithm attribute value in (9) is not in the same scope.
- o The classification attribute contains security label for all of the plaintext in the key package. Each classification attribute is evaluated separately; it has no further scope.
- o The TSEC-Nomenclature attribute includes the shortTitle field as well as some of the optional fields. The shortTitle field value must match the values in (4) and (5), since this content type is within their scope. Note that the TSEC-Nomenclature attribute values in (8) and (9) are not in the same scope.

EncryptedKeyPackage content type (7) includes one unprotected attribute, and the encryption will prevent any intermediary that does not have the ability to decrypt the content from making any consistency checks on (8) and (9):

o The content-decryption-key-identifier attribute identifies the key that is needed to decrypt the encapsulated content; it has no further scope.

Timmel, et al. Expires May 14, 2015 [Page 42]

SignedData content type (8) includes six signed attributes:

- o The content-type attribute contains id-ct-KP-skeyPackage to indicate the type of the encapsulated content, and it has no further scope.
- o The message-digest attribute contains the one-way hash value of the encapsulated content; it is needed to validate the digital signature. It has no further scope.
- o The classification attribute contains security label for content. Each classification attribute is evaluated separately; it has no further scope.
- o The TSEC-Nomenclature attribute includes only the shortTitle field, and the value must match all other instances within the same scope, which appear in (9). Note that the TSEC-Nomenclature attribute values in (4), (5), and (6) are not in the same scope.
- o The key-purpose attribute specifies the purpose of the key material. All occurrences within the scope must have the same value, but in this example, there are no other occurrences within the scope. The key-purpose attribute value within (5) is evaluated without regard to the value of this attribute.
- o The key-package-type attribute indicates the format of the key package, and it has no further scope. The key-package-type attribute values within (2) and (5) are evaluated without regard to the value of this attribute.

SymmetricKeyPackage content type (9) includes three keying material attributes, which could appear in the sKeyPkgAttrs or sKeyAttrs fields:

- o The key-algorithm attribute includes only the keyAlg field, and it must match all other occurrences within the same scope. However, there are no other key-algorithm attribute occurrences in the same scope; the key-algorithm attribute value in (6) is not in the same scope.
- o The classification attribute contains security label for all of the plaintext in the key package. Each classification attribute is evaluated separately; it has no further scope.
- o The TSEC-Nomenclature attribute includes the shortTitle field as well as some of the optional fields. The shortTitle field value must match the values in (8), since this content type is within its scope. Note that the TSEC-Nomenclature attributes values in

Timmel, et al. Expires May 14, 2015 [Page 43]

(4), (5), and (6) are not in the same scope.

In summary, the scope of an attribute includes the encapsulated content of the CMS content type in which it appears, and some attributes also require consistency checks with other instances that appear within the encapsulated content. Proper recognition of scope is required to accurately perform attribute processing.

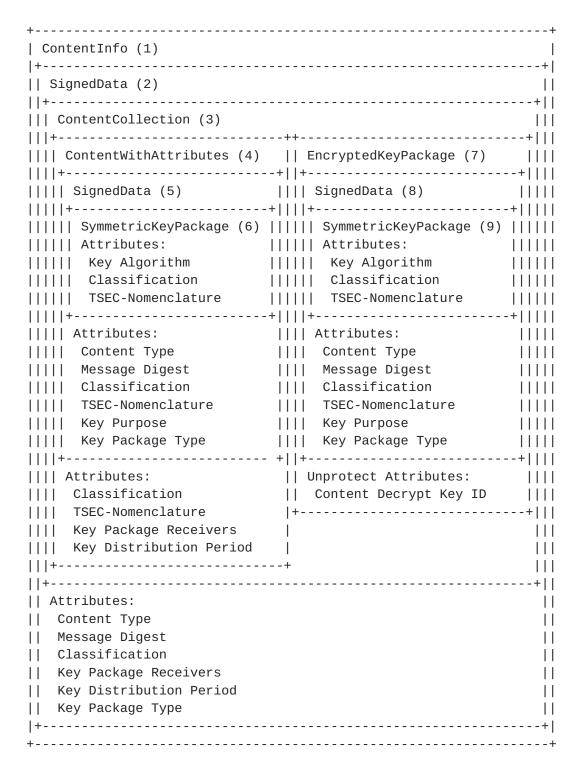


Figure 1: Example Illustrating Scope of Attributes

32. Security Considerations

The majority of this specification is devoted to the syntax and

Timmel, et al. Expires May 14, 2015 [Page 45]

semantics of key package attributes. It relies on other specifications, especially [RFC2634] [RFC4073] [RFC4108] [RFC5652] [RFC5911] [RFC5912] [RFC5958] [RFC6010] [RFC6031]; their security considerations apply here. Additionally, cryptographic algorithms are used with CMS protecting content types [RFC5959] [RFC6160] [RFC6162]; their security considerations apply here as well.

This specification also relies upon [RFC5280] for the syntax and semantics of X.509 certificates. Digital signatures provide data integrity or data origin authentication, and encryption provides confidentiality.

Security factors outside the scope of this specification greatly affect the assurance provided. The procedures used by Certification Authorities (CAs) to validate the binding of the subject identity to their public key greatly affect the assurance that ought to be placed in the certificate. This is particularly important when issuing certificates to other CAs.

The CMS AuthenticatedData content type MUST be used with care since a message authentication code (MAC) is used. The same key is needed to generate the MAC or validate the MAC. Thus, any party with access to the key needed to validate the MAC can generate a replacement that will be acceptable to other recipients.

33. IANA Considerations

None.

34. References

34.1 Normative References

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Timmel, et al. Expires May 14, 2015 [Page 47]

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 Data Communication Networks Message Handling Systems Message Transfer System Abstract Service Definition and
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Timmel, et al. Expires May 14, 2015 [Page 48]

Appendix A. ASN.1 Module

```
KMAttributes2012
  \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
    smime(16) modules(0) 39 }
DEFINITIONS IMPLICIT TAGS ::=
BEGIN
-- EXPORT ALL
IMPORTS
-- From [<u>RFC5911</u>]
aa-communityIdentifiers, CommunityIdentifier
  FROM CMSFirmwareWrapper-2009
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
      smime(16) modules(0) id-mod-cms-firmware-wrap-02(40) }
-- From [RFC5911]
aa-contentHint, ESSSecurityLabel, id-aa-securityLabel
  FROM ExtendedSecurityServices-2009
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
      smime(16) modules(0) id-mod-ess-2006-02(42) }
-- From [<u>RFC5911</u>] [<u>RFC5912</u>]
AlgorithmIdentifier{}, SMIME-CAPS, ParamOptions, KEY-WRAP
  FROM AlgorithmInformation-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-algorithmInformation-02(58) }
-- From [<u>RFC5912</u>]
Name, Certificate
  FROM PKIX1Explicit-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkix1-explicit-02(51) }
```

```
-- From [<u>RFC5912</u>]
GeneralNames, SubjectInfoAccessSyntax, id-pe-subjectInfoAccess
  FROM PKIX1Implicit-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkix1-implicit-02(59) }
-- FROM [RFC5912]
ATTRIBUTE
  FROM PKIX-CommonTypes-2009
    { iso(1) identified-organization(3) dod(6) internet(1)
      security(5) mechanisms(5) pkix(7) id-mod(0)
      id-mod-pkixCommon-02(57) }
-- From [<u>RFC6010</u>]
CMSContentConstraints
  FROM CMSContentConstraintsCertExtn
    { iso(1) identified-organization(3) dod(6) internet(1)
       security(5) mechanisms(5) pkix(7) id-mod(0)
       cmsContentConstr-93(42) }
-- From [<u>RFC6268</u>]
aa-binarySigningTime, BinaryTime
  FROM BinarySigningTimeModule-2010
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
      smime(16) modules(0) id-mod-binSigningTime-2009(55) }
-- From [RFC6268]
CertificateChoices, CertificateSet, Attribute {},
aa-contentType, aa-messageDigest
  FROM CryptographicMessageSyntax-2010
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
      smime(16) modules(0) id-mod-cms-2009(58) }
-- From [<u>RFC7191</u>]
aa-keyPackageIdentifierAndReceiptRequest, SIREntityName
  FROM KeyPackageReceiptAndErrorModuleV2
    \{ iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs-9(9) \}
      smime(16) modules(0) id-mod-keyPkgReceiptAndErrV2(63) }
```

Timmel, et al. Expires May 14, 2015 [Page 50]

```
-- From [<u>X.509</u>]
certificateExactMatch
  FROM CertificateExtensions
    { joint-iso-itu-t ds(5) module(1) certificateExtensions(26) 4 }
;
-- ATTRIBUTES
-- Replaces SignedAttributesSet information object set from
-- [<u>RFC6268</u>].
SignedAttributesSet ATTRIBUTE ::= {
  aa-contentType
  aa-messageDigest
  aa-contentHint
  aa-communityIdentifiers
  aa-binarySigningTime
  aa-keyProvince-v2
  aa-keyPackageIdentifierAndReceiptRequest |
  aa-manifest
  aa-keyAlgorithm
  aa-userCertificate
  aa-keyPackageReceivers-v2
  aa-tsecNomenclature
  aa-keyPurpose
  aa-keyUse
  aa-transportKey
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-keyPackageType
  aa-pkiPath
  aa-usefulCertificates,
  ...}
-- Replaces UnsignedAttributes from [RFC6268].
UnsignedAttributes ATTRIBUTE ::= {
   }
```

Timmel, et al. Expires May 14, 2015 [Page 51]

```
-- Replaces UnprotectedEnvAttributes from [RFC6268].
UnprotectedEnvAttributes ATTRIBUTE ::= {
  aa-contentDecryptKeyIdentifier |
  aa-certificatePointers
  aa-cRLDistributionPoints,
  }
-- Replaces UnprotectedEncAttributes from [RFC6268].
UnprotectedEncAttributes ATTRIBUTE ::= {
  aa-certificatePointers |
  aa-cRLDistributionPoints,
  }
-- Replaces AuthAttributeSet from [RFC6268]
AuthAttributeSet ATTRIBUTE ::= {
  aa-contentType
  aa-messageDigest
  aa-contentHint
  aa-communityIdentifiers
  aa-keyProvice-v2
  aa-binarySigningTime
  aa-keyPackageIdentifierAndReceiptRequest
  aa-manifest
  aa-keyAlgorithm
  aa-userCertificate
  aa-keyPackageReceivers-v2
  aa-tsecNomenclature
  aa-keyPurpose
  aa-keyUse
  aa-transportKey
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-keyPackageType
  aa-pkiPath
  aa-usefulCertificates,
  ...}
```

Timmel, et al. Expires May 14, 2015 [Page 52]

```
-- Replaces UnauthAttributeSet from [RFC6268]
UnauthAttributeSet ATTRIBUTE ::= {
 }
-- Replaces AuthEnvDataAttributeSet from [RFC6268]
AuthEnvDataAttributeSet ATTRIBUTE ::= {
  aa-certificatePointers |
  aa-cRLDistributionPoints,
 }
 -- Replaces UnauthEnvDataAttributeSet from [RFC6268]
UnauthEnvDataAttributeSet ATTRIBUTE ::= {
  . . .
  }
-- Replaces OneAsymmetricKeyAttributes from [RFC5958]
OneAsymmetricKeyAttributes ATTRIBUTE ::= {
  aa-userCertificate
  aa-tsecNomenclature
  aa-keyPurpose
  aa-keyUse
  aa-transportKey
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-splitIdentifier
  aa-signatureUsage-v3
  aa-otherCertificateFormats
  aa-pkiPath
  aa-usefulCertificates,
  ...}
```

```
-- Replaces SKeyPkgAttributes from [RFC6031]
SKeyPkgAttributes ATTRIBUTE ::= {
  aa-keyAlgorithm
  aa-tsecNomenclature
  aa-keyPurpose
 aa-keyUse
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-keyWrapAlgorithm
  aa-contentDecryptKeyIdentifier,
  ...}
-- Replaces SKeyAttributes from [RFC6031]
SKeyAttributes ATTRIBUTE ::= {
  aa-keyAlgorithm
  aa-tsecNomenclature
  aa-keyPurpose
  aa-keyUse
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-splitIdentifier
  aa-keyWrapAlgorithm
  aa-contentDecryptKeyIdentifier,
  ... }
```

```
-- Replaces ContentAttributeSet from [RFC6268]
ContentAttributeSet ATTRIBUTE ::= {
  aa-communityIdentifiers
  aa-keyPackageIdentifierAndReceiptRequest |
  aa-keyAlgorithm
  aa-keyPackageReceivers-v2
  aa-tsecNomenclature
  aa-keyPurpose
  aa-keyUse
  aa-transportKey
  aa-keyDistributionPeriod
  aa-transportKey
  aa-keyDistributionPeriod
  aa-keyValidityPeriod
  aa-keyDurationPeriod
  aa-classificationAttribute
  aa-keyPackageType
  aa-pkiPath
  aa-usefulCertificates,
  ...}
-- Content Type, Message Digest, and Content Hint, and Binary Signing
-- Time are imported from [RFC6268].
-- Community Identifiers is imported from [RFC5911].
-- Key Province
aa-keyProvince-v2 ATTRIBUTE ::= {
 TYPE KeyProvinceV2
  IDENTIFIED BY id-aa-KP-keyProvinceV2 }
id-aa-KP-keyProvinceV2 OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) attributes(5) 71 }
KeyProvinceV2 ::= OBJECT IDENTIFIER
-- Manifest Attribute
aa-manifest ATTRIBUTE ::= {
 TYPE Manifest
  IDENTIFIED BY id-aa-KP-manifest }
id-aa-KP-manifest OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) attributes(5) 72 }
```

```
Manifest ::= SEQUENCE SIZE (1..MAX) OF ShortTitle
-- Key Algorithm Attribute
aa-keyAlgorithm ATTRIBUTE ::= {
  TYPE KeyAlgorithm
  IDENTIFIED BY id-kma-keyAlgorithm }
id-kma-keyAlgorithm OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 1 }
KeyAlgorithm ::= SEQUENCE {
  keyAlg
                    OBJECT IDENTIFIER,
  checkWordAlg [1] OBJECT IDENTIFIER OPTIONAL,
         [2] OBJECT IDENTIFIER OPTIONAL }
 crcAlq
-- User Certificate Attribute
aa-userCertificate ATTRIBUTE ::= {
 TYPE Certificate
  EQUALITY MATCHING RULE certificateExactMatch
  IDENTIFIED BY id-at-userCertificate }
id-at-userCertificate OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) ds(5) attributes(4) 36 }
-- Key Package Receivers Attribute
aa-keyPackageReceivers-v2 ATTRIBUTE ::= {
  TYPE KeyPkgReceiversV2
  IDENTIFIED BY id-kma-keyPkgReceiversV2 }
id-kma-keyPkgReceiversV2 OBJECT IDENTIFIER ::=
  \{ \text{ joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)} \}
    dod(2) infosec(1) keying-material-attributes(13) 16 }
KeyPkgReceiversV2 ::= SEQUENCE SIZE (1..MAX) OF KeyPkgReceiver
KeyPkgReceiver ::= CHOICE {
  sirEntity [0] SIREntityName,
  community [1] CommunityIdentifier }
```

```
-- TSEC Nomenclature Attribute
aa-tsecNomenclature ATTRIBUTE ::= {
 TYPE TSECNomenclature
  IDENTIFIED BY id-kma-TSECNomenclature }
id-kma-TSECNomenclature OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 3 }
TSECNomenclature ::= SEQUENCE {
  shortTitle ShortTitle,
  editionID EditionID OPTIONAL,
  registerID RegisterID OPTIONAL,
  segmentID SegmentID OPTIONAL }
ShortTitle ::= PrintableString
EditionID ::= CHOICE {
  char CHOICE {
   charEdition
                     [1] CharEdition,
   charEditionRange [2] CharEditionRange },
 num CHOICE {
   numEdition
                     [3] NumEdition,
    numEditionRange [4] NumEditionRange } }
CharEdition ::= PrintableString
CharEditionRange ::= SEQUENCE {
  firstCharEdition CharEdition,
  lastCharEdition
                   CharEdition }
NumEdition ::= INTEGER (0..308915776)
NumEditionRange ::= SEQUENCE {
  firstNumEdition NumEdition,
  lastNumEdition NumEdition }
RegisterID ::= CHOICE {
  register
                [5] Register,
  registerRange [6] RegisterRange }
Register ::= INTEGER (0..2147483647)
RegisterRange ::= SEQUENCE {
 firstRegister Register,
  lastRegister
                Register }
```

```
SegmentID ::= CHOICE {
  segmentNumber [7] SegmentNumber,
  segmentRange [8] SegmentRange }
SegmentNumber ::= INTEGER (1..127)
SegmentRange ::= SEQUENCE {
 firstSegment SegmentNumber,
               SegmentNumber }
 lastSegment
-- Key Purpose Attribute
aa-keyPurpose ATTRIBUTE ::= {
 TYPE KeyPurpose
  IDENTIFIED BY id-kma-keyPurpose }
id-kma-keyPurpose OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 13 }
KeyPurpose ::= ENUMERATED {
        (0), -- Not Applicable
  a
        (65), -- Operational
       (66), -- Compatible Multiple Key
 b
       (76), -- Logistics Combinations
 1
               -- Maintenance
 m
       (77),
  r
       (82), -- Reference
       (83), -- Sample
  S
       (84), -- Training
  t
               -- Developmental
  V
       (86),
      (88), -- Exercise
 Χ
       (90), -- "On the Air" Testing
  ... -- Expect additional key purpose values -- }
-- Key Use Attribute
aa-keyUse ATTRIBUTE ::= {
 TYPE KeyUse
 IDENTIFIED BY id-kma-keyUse }
id-kma-keyUse OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 14 }
```

```
KeyUse ::= ENUMERATED {
  n-a
        (0),
               -- Not Applicable
  ffk
        (1),
               -- FIREFLY/CROSSTALK Key (Basic Format)
  kek
        (2), -- Key Encryption Key
        (3), -- Key Production Key
  kpk
        (4), -- Message Signature Key
 msk
                -- QUADRANT Key Encryption Key
  gkek
        (5),
  tek
                -- Traffic Encryption Key
        (6),
        (7), -- Transmission Security Key
  tsk
  trkek (8),
                -- Transfer Key Encryption Key
  nfk
        (9), -- Netted FIREFLY Key
  effk
        (10), -- FIREFLY Key (Enhanced Format)
  ebfk
      (11), -- FIREFLY Key (Enhanceable Basic Format)
               -- Algorithm Encryption Key
  aek
       (12),
       (13),
                -- Word of Day
 wod
  kesk (246),
                -- Key Establishment Key
  eik (247),
                -- Entity Identification Key
  ask (248),
                -- Authority Signature Key
                -- Key Modifier Key
  kmk (249),
  rsk (250),
                -- Revocation Signature Key
                -- Certificate Signature Key
  csk (251),
               -- Symmetric Authentication Key
  sak (252),
  rgk (253),
              -- Random Generation Key
  cek (254),
                -- Certificate Encryption Key
               -- Exclusion Key
  exk (255),
  ... -- Expect additional key use values -- }
-- Transport Key Attribute
aa-transportKey ATTRIBUTE ::= {
 TYPE TransOp
  IDENTIFIED BY id-kma-transportKey }
id-kma-transportKey OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
   dod(2) infosec(1) keying-material-attributes(13) 15 }
TransOp ::= ENUMERATED {
  transport
              (1),
  operational (2) }
-- Key Distribution Period Attribute
aa-keyDistributionPeriod ATTRIBUTE ::= {
 TYPE KeyDistPeriod
  IDENTIFIED BY id-kma-keyDistPeriod }
```

Timmel, et al. Expires May 14, 2015 [Page 59]

```
id-kma-keyDistPeriod OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 5 }
KeyDistPeriod ::= SEQUENCE {
  doNotDistBefore [0] BinaryTime OPTIONAL,
  doNotDistAfter
                       BinaryTime }
-- Key Validity Period Attribute
aa-keyValidityPeriod ATTRIBUTE ::= {
 TYPE KeyValidityPeriod
  IDENTIFIED BY id-kma-keyValidityPeriod }
id-kma-keyValidityPeriod OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 6 }
KeyValidityPeriod ::= SEQUENCE {
  doNotUseBefore BinaryTime,
  doNotUseAfter
                 BinaryTime OPTIONAL }
-- Key Duration Attribute
aa-keyDurationPeriod ATTRIBUTE ::= {
 TYPE KeyDuration
  IDENTIFIED BY id-kma-keyDuration }
id-kma-keyDuration OBJECT IDENTIFIER ::=
  \{ \text{ joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)} \}
    dod(2) infosec(1) keying-material-attributes(13) 7 }
KeyDuration ::= CHOICE {
 hours
          [0] INTEGER (1..ub-KeyDuration-hours),
  days
              INTEGER (1..ub-KeyDuration-days),
 weeks
          [1] INTEGER (1..ub-KeyDuration-weeks),
 months [2] INTEGER (1..ub-KeyDuration-months),
          [3] INTEGER (1..ub-KeyDuration-years) }
 years
ub-KeyDuration-hours INTEGER ::= 96
ub-KeyDuration-days INTEGER ::= 732
ub-KeyDuration-weeks INTEGER ::= 104
ub-KeyDuration-months INTEGER ::= 72
ub-KeyDuration-years INTEGER ::= 100
```

```
-- Classification Attribute
-- The attribute syntax is imported from [RFC6268]. The term
-- "classification" is used in this document, but the term "security
-- label" is used in [RFC2634]. The terms have the same meaning.
aa-classificationAttribute ATTRIBUTE ::= {
 TYPE Classification
  IDENTIFIED BY id-aa-KP-classification }
id-aa-KP-classification OBJECT IDENTIFIER ::= id-aa-securityLabel
Classification ::= ESSSecurityLabel
id-enumeratedRestrictiveAttributes OBJECT IDENTIFIER ::=
  { 2 16 840 1 101 2 1 8 3 4 }
id-enumeratedPermissiveAttributes OBJECT IDENTIFIER ::=
  { 2 16 840 1 101 2 1 8 3 1 }
EnumeratedTag ::= SEQUENCE {
  tagName
                   OBJECT IDENTIFIER,
  attributeList     SET OF SecurityAttribute }
SecurityAttribute ::= INTEGER (0..MAX)
-- Split Identifier Attribute
aa-splitIdentifier ATTRIBUTE ::= {
 TYPE SplitID
  IDENTIFIED BY id-kma-splitID }
id-kma-splitID OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 11 }
SplitID ::= SEQUENCE {
 half
              ENUMERATED { a(0), b(1) },
  combineAlg AlgorithmIdentifier
                {COMBINE-ALGORITHM, {CombineAlgorithms}} OPTIONAL }
```

```
COMBINE-ALGORITHM ::= CLASS {
  &id
                      OBJECT IDENTIFIER UNIQUE,
                     OPTIONAL,
  &Params
  &paramPresence ParamOptions DEFAULT absent, &smimeCaps SMIME-CAPS OPTIONAL
WITH SYNTAX {
  IDENTIFIER &id
  [PARAMS [TYPE &Params] ARE &paramPresence]
  [SMIME-CAPS &smimeCaps]
CombineAlgorithms COMBINE-ALGORITHM ::= {
  }
-- Key Package Type Attribute
aa-keyPackageType ATTRIBUTE ::= {
  TYPE KeyPkgType
  IDENTIFIED BY id-kma-keyPkgType }
id-kma-keyPkgType OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 12 }
KeyPkgType ::= OBJECT IDENTIFIER
-- Signature Usage Attribute
aa-signatureUsage-v3 ATTRIBUTE ::= {
  TYPE SignatureUsage
  IDENTIFIED BY id-kma-sigUsageV3 }
id-kma-sigUsageV3 OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 22 }
SignatureUsage ::= CMSContentConstraints
-- Other Certificate Format Attribute
aa-otherCertificateFormats ATTRIBUTE ::= {
  TYPE CertificateChoices
  IDENTIFIED BY id-kma-otherCertFormats }
```

Timmel, et al. Expires May 14, 2015 [Page 62]

```
id-kma-otherCertFormats OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 19 }
-- PKI Path Attribute
aa-pkiPath ATTRIBUTE ::= {
 TYPE PkiPath
  IDENTIFIED BY id-at-pkiPath }
id-at-pkiPath OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) ds(5) attributes(4) 70 }
PkiPath ::= SEQUENCE SIZE (1..MAX) OF Certificate
-- Useful Certificates Attribute
aa-usefulCertificates ATTRIBUTE ::= {
  TYPE CertificateSet
  IDENTIFIED BY id-kma-usefulCerts }
id-kma-usefulCerts OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 20 }
-- Key Wrap Attribute
aa-keyWrapAlgorithm ATTRIBUTE ::= {
 TYPE AlgorithmIdentifier{KEY-WRAP, {KeyEncryptionAlgorithmSet}}
  IDENTIFIED BY id-kma-keyWrapAlgorithm }
id-kma-keyWrapAlgorithm OBJECT IDENTIFIER ::=
  \{ joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) keying-material-attributes(13) 21 }
KeyEncryptionAlgorithmSet KEY-WRAP ::= { ... }
-- Content Decryption Key Identifier Attribute
aa-contentDecryptKeyIdentifier ATTRIBUTE ::= {
  TYPE ContentDecryptKeyID
  IDENTIFIED BY id-aa-KP-contentDecryptKeyID }
id-aa-KP-contentDecryptKeyID OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) attributes(5) 66 }
ContentDecryptKeyID::= OCTET STRING
```

```
-- Certificate Pointers Attribute
aa-certificatePointers ATTRIBUTE ::= {
 TYPE SubjectInfoAccessSyntax
 IDENTIFIED BY id-pe-subjectInfoAccess }
-- CRL Pointers Attribute
aa-cRLDistributionPoints ATTRIBUTE ::= {
 TYPE GeneralNames
 IDENTIFIED BY id-aa-KP-crlPointers }
id-aa-KP-crlPointers OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) attributes (5) 70 }
-- ExtendedErrorCodes
id-errorCodes OBJECT IDENTIFIER ::=
  { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
    dod(2) infosec(1) errorCodes(22) }
id-missingKeyType OBJECT IDENTIFIER ::= {
  id-errorCodes 1 }
id-privacyMarkTooLong OBJECT IDENTIFIER ::= {
  id-errorCodes 2 }
id-unrecognizedSecurityPolicy OBJECT IDENTIFIER ::= {
  id-errorCodes 3 }
END
```

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