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#### Abstract

This document describes the support of the TLS protocol over the NFC (Near Field Communication) LLCP (Logical Link Control Protocol) layer, which is referred as LLCPS. The NFC peer to peer (P2P) protocol may be used by any application that needs communication between two devices at very small distances (a few centimeters). LLCPS enforces a strong security in NFC P2P exchanges, and may be deployed for many services, in the Internet of Things (IoT) ecosystem, such as payments, access control or ticketing operations. Applications secured by LLCPS are identified by the service name "urn:nfc:sn:tls:service".

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC 2119</u>.

Status of this Memo

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#### **1** Overview

#### **1.1** About the NFC protocol

The Near Field Communication protocol (NFC) is based on standards such as [ECMA340] or [ISO/IEC 18092]. It uses the 13,56 MHz frequency, with data rates ranging from 106 To 848 kbps. The working distance between two nodes is about a few centimeters, with electromagnetic fields ranging between 1 and 10 A/M.

There are two classes of working operations:

- Reader/Writer and Card Emulation. A device named "Reader" feeds another device called "Card", thanks to a 13,56 MHz electromagnetic field coupling. This mode is typically used with [<u>IS07816</u>] contactless smartcards or with NFC RFIDs.

- Peer To Peer (P2P). Two devices, the "Initiator" and the "Target" establish a NFC communication link. In the "Active" mode these two nodes are managing their own energy resources. In the "Passive" mode the Initiator powers the Target via a 13,56 MHz electromagnetic field coupling.

This draft focuses on P2P security, which is required by many applications, targeting access control, transport, or other Internet of Things (IoT) items. Although the NFC protocol enables data exchange at small physical distances, it doesn't support standardized security features providing privacy or integrity. Thus, protocols such as [SNEP] or [NPP], whose goal is to push NDEF [NDEF] contents, are not today secured. In this draft we define a profile for TLS support in P2P operations.

A P2P session (see figure 1) occurs in four logical phases:

1) Initialization and Anti-collision. The Initiator periodically sends a request packet (and therefore generates a RF field), which is acknowledged by a Target response packet. Because several Targets may be located near the Initiator, an anti-collision mechanism is managed by the Initiator in order to establish a session with a single Target.

2) Protocol Activation and Parameters Selection. The Initiator starts a logical session with a detected Target by sending a ATR-REQ (Attribute-Request) message, which is confirmed by a Target ATR-RESP (Attribute-Response) message. These messages fix the device IDs (DIDi, Device ID Initiator and DIDt, Device ID Target) used in further packet exchanges. Optional information fields (Gi for the Initiator, and Gt for the Target) identify the protocol to be used over the MAC level; in this document it is assumed that the LLCP [LLCP] (Logical Link Control Protocol) protocol is selected by the Gi and Gt bytes. Optionally some parameters are negotiated by additional packets.

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3) Data Exchange. Frames are exchanged via the DEP (Data Exchange Protocol) protocol. DEP works with DEP-REQ (DEP-Request) transmitted by the Initiator and DEP-RESP (DEP-Response) delivered by the Target. DEP provides error detection and recovery. It uses small data unit size (from 64 to 256 bytes); however it supports a chaining mode for larger sizes. DEP frames typically transport LLCP packets, and provide an error free service 4) De-Activation. The Initiator may deactivate the Target by sending a RLS-REQ (Release Request) message acknowledged by a RLS-RESP (Release Response).

Usually, and for practical reasons, P2P sessions are established between a unique Target and an Initiator, for example a mobile phone and another NFC device. They are automatically started when the distance between the two NFC modes is sufficiently small. The MAC link may be broken at any time, as soon as the distance disables radio operations.

Initiator Target
<pre> &lt; (1) Initialization and Anti-Collision&gt; </pre>
<- (2) Protocol Activation and Parameters Selection ->
>
< ATR-RESP
<>  (3) Data Exchange>
LLCP packets over DEP frames
TLS over LLCP
<pre> &lt;(4) De-Activation&gt; </pre>

Figure 1. A NFC P2P Session

Due to the dissymmetry of the DEP protocol (see figure 2), in which the Initiator sends requests and Target returns responses, the NFC-P2P MAC services are dissymmetric on the Initiator and Target sides.

The Initiator delivers Data.Request-i and gets Data.Indication-i.
The Target gets Data.Indication-t and delivers Data.Request-t

MAC services implemented by NFC controllers usually support such dissymmetric primitives for Initiator and Target procedures (MAC Data.request-i/t and Data.Indication-i/t).

The timeout value (between DEP-REQ and DEP-RESP messages) is deduced from the RWT attribute (Response Waiting Time) returned by the Target in the ATR-RESP message. RWT ranges between 0,6 ms and 9,9 ms. It may be extended to the RWT-INT by a factor RTOX (RWT-INT = RTOX  $\times$  RWT) between 1 and 60, so the maximum value is about 6s.

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```
Initiator
                                              Target
 Τ
                                              1
   Data.Request-i --- DEP-REQ --> Data.Indication-t
                                       RWT-INT ms
                                                   Data.Indication-i <---- DEP-RESP ----- Data.Request-t
```

Figure 2. NFC-P2P MAC layer service, based on DEP frames

### **<u>1.2</u>** The LLCP layer

The LLCP [LLCP] protocol works like a light LLC [IEEE 802.2] layer. It provides two classes of services, connectionless transport and connection-oriented transport.

This draft focuses both on connection-oriented transport, in which TLS services are identified by a Service Name (SN), and on nonconnected mode, in which a fix (well-known) Service Access Point (SAP) is used.

A LLCP packet (see figure 3) comprises three mandatory fields, DSAP (Destination Service Access Point, 6 bits), SSAP (Source Service Access Point, 6 bits), and PTYPE (Protocol data unit type field, 4 bits).

An optional sequence field (8 bits) contains two 4 bits number N(S) and N(R) respectively giving the number of the information SDU to be sent and the number of the next information PDU to be received.

An optional Information field transports the LLCP payload.

<-----LLCP Header----><-LLCP Payload ->
| DSAP | PTYPE | SSAP | Sequence | INFORMATION |
| 6 bits | 4 bits | 6 bits | 0 or 8 bits | M x 8 bits |

Figure 3. Structure of an LLCP packet

There are sixteen types of LLCP packets, identified by PTYPE values ranging between 0 and 15. In this draft we use only nine of these PDUs.

1) Symmetry (SYMM, PTYPE=0, DSAP=SSAP=0, No Sequence, No Information). This PDU is produced as soon as there is no information to provide. This mechanism avoids timeout at the MAC (DEP) level. SYMM SHOULD be generated after an inactivity period of about LTO/2, where LTO is the link timeout.

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2) Connect (CONNECT, PTYPE=4, No sequence, Information). This PDU MUST include a SN (service name parameter) that identified the TLS service ("urn:nfc:sn:tls:service"). It uses a DSAP value set to 1 (the SAP of the Service Discovery Protocol, SDP) and a SSAP value ranging between 16 and 31. It indicates the connection the wellknown service (WKS) SDP (SAP=1), which SHOULD deliver an ephemeral SAP (SAP-client) ranging between 16 and 31.

3) Connection Complete (CC, PTYPE=6, No sequence, Optional Information). This PDU notifies the successful connection to the "urn:nfc:sn:tls:service" service. It allocates the SAP (DSAP=SAPclient) to be used for this session identified by the tuple (SAPserver, SAP-client)

4) Disconnection (DISC, PTYPE=5, No sequence, No Information). This PDU indicates the disconnection of the (SAP-server, SAP-client) session. Null SAP values MAY be used to notify the disconnection of the LLCP entity.

5) Disconnected Mode (DM, TYPE=7, No sequence, one byte of Information). This PDU confirms the disconnection of the (SAPserver, SAP-client) session; one information byte gives the "Disconnected Mode Reasons". Null SAP values notify the disconnection of the LLCP entity.

6) Information (INFORMATION, PTYPE=10, Sequence, information). This PDU transport a SDU; N(S) indicates the SDU number, N(R) indicates the next SDU number to be received. In this draft the Receive Windows Size (RW) MUST be set to one, which is the default LLCP value.

7) Receive Ready (RR, PTYPE=11, sequence N(R) only, no Information). This PDU is used for the acknowledgment of previously received information PDU. It indicates the next sequence number (N(R)) to be received.

8) Receive Not Ready (RNR, PTYPE=12, sequence N(R) only, no Information).This PDU indicates a temporary inability to process subsequent information PDUs.

9) Unnumbered Information (UI, PTYPE=3, no Sequence, Optional Information). This PDU is used to transfer service data units to the peer LLC without prior establishment of a data link connection.

According to [LLCP] some LLCP functional parameters are updated by LLCP-Parameter attributes exchanged in LLCP packets or in ATR-REQ and ATR-RESP messages. Parameters are encoding according to TLV format, in which Type size is one byte, Length size is one byte and Value is a set of L bytes. In this document we use 6 parameters.

1) Version Number (VERSION, T=01h, L=01h, V=10h). In this document this option MUST be included in the general bytes of ATR-REQ and ATR-RESP.

2) Maximum Information Unit Extension (MIUX, T=02h, L=02h). This parameter extends the maximum size of the LLCP PDU (MIU), whose default value is 128 bytes, according to the relation: MIU = MIUX + 128. The MIUX parameter MAY be inserted in general bytes of ATR-REQ and ATR-RESP, and in LLCP PDUS CONNECT and CC.

3) Well-Known Service List (WKS, T=03h, L=02h). This parameter associates a bit to the instance of a well-known LLCP parameter. A typical value is 00001h, indicating the availability of the DSP service. WKS MAY be inserted in general bytes of ATR-REQ and ATR-RESP.

4) Link Timeout (LTO, T=04h, L=01h). This parameter indicates the timeout value for the LLCP layer, in multiples of 10ms. LTO MAY be inserted in general bytes of ATR-REQ and ATR-RESP.

5) Receive Windows Frame (RW, T=05h, L=01h). This parameter indicates the size of the receive windows, its value ranges between 0 and 15. The default value is one, and MUST be set to one according to this document. It MAY be inserted in LLCP PDUS CONNECT or CC.

6) Service Name (SN, T=06h). This parameter indicates the name of a service. It MUST be inserted in the CONNECT PDU. In this document its value is set to "urn:nfc:sn:tls:service", where "service" is the application name securely transported by TLS.

#### **<u>1.3</u>** LLCPS basic guidelines

The TLS protocol is a series of record messages, which MAY be encrypted or integrity-protected. Each record message includes a five bytes prefix that comprises three attributes:

- The type (one byte) of the message,
- The version (two bytes),
- The message length (two bytes).

The client and the server exchange RECORD messages whose meaning is deduced from the TLS protocol rules, according to a half-duplex paradigm. Therefore as soon as the beginning of the TLS session is detected, the two TLS entities alternatively send and receive a set of record messages, whose synchronization is handled by the knowledge of TLS protocol.

The EAP-TLS protocol [<u>RFC 5216</u>] demonstrates how TLS record messages may be gathered in blocks exchanged according to a half-duplex mechanism.

LLCPS specifies the TLS session establishment and release, and the transport of TLS packets in a NFC P2P context.

Applications secured by LLCPS are identified by the service name "urn:nfc:sn:tls:service" where "service" is the application name.

### **<u>2</u>** TLS support over LLCP, Connection-oriented Transport

In NFC P2P mode the Initiator detects a Target and afterwards starts and manages a data exchange session; it may optionally feeds the Target device. The Initiator has consequently a longer useful life than the Target; it is a legitimate place to host TLS server in a permanent way.

However the TLS server MAY be hosted on the Initiator or on the Target side.

Each entity manages five exclusive processes

- The Connection Process (CP)
- The Disconnection Process (DP)
- The Sending Process (SP)
- The Receiving Process (RP)
- The Inactivity Process (IP)

The Inactivity Process MAY be started (see figure 4) each time a receiving or sending buffer is empty; in this case it is assumed that the computing time or the delay required before the next input/output operation is greater than the LLCP timeout (LTO).

### 2.1 Peer To Peer Link Establishment

As described in <u>section 1</u>, the Initiator periodically probes the presence of a Target. At the end of the "Protocol Activation and Parameters Selection" phase, ATR-REQ and ATR-RESP messages have been exchanged, and LLCP services are available on both Initiator and Target nodes, including in particular the Data-Request-i/t and Data-Indication-i/t primitives.

Due to the ephemeral intrinsic nature of an NFC connection, the P2P session may be broken at any time, which implies transmission or reception errors notified by the MAC primitives.

As a consequence an LLCP session is assumed to be released at the first MAC error.

Once a NFC P2P link is established, TLS server and client software entities are activated. Procedures such as:

- SOCKET acceptllcp (char \*ServiceName), and

- SOCKET connectllcp(char \*ServiceName)

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MAY be used respectively on Initiator and Target sides, in order to get a SOCKET.

A SOCKET object supports additional facilities, typically the following procedures:

- int sendllcp(SOCKET s, char \*buffer, int length)
- int recvllcp(SOCKET s, char \*buffer, int length)
- int closellcp(SOCKET s)

which are used for the LLCP session management.

Initiator Target T Connection Process Connection Process Send SYMM -----> Receive SYMM Receive CONNECT <----- Send CONNECT Send CC -----> Receive CC Receive SYMM <----- Send SYMM Sending Process Receiving Process Send SYMM ..... Receive SYMM Receive INFORMATION <----- Send INFORMATION Send RR ----> Receive RR Receive SYMM <----- Send SYMM Inactivity Process Receiving Process Send SYMM -----> Receive SYMM Receive SYMM <----- Send SYMM Sending Process Send INFORMATION -----> Receive INFORMATION Receive RR <-----Send RR Receiving Process Inactivity Process Send SYMM -----> Receive SYMM Receive SYMM <----- Send SYMM Receiving Process Send SYMM ----> Receiving SYMM Receive INFORMATION <----- Send INFORMATION Send RR Receive RR ----> Receive SYMM <-----Send SYMM Inactivity Process Inactivity Process Disconnection Process Send DISC ----> Receive DISC Receive DM <-----Send DM 

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2.2 Inactivity Process

When the LLCP layer detects an inactivity period greater than a given timeout value (see figure 5), it generates a SYMM PDU. Therefore each time a LLCP layer is waiting for a non SYMM PDU, and receives a SYMM PDU, it MUST acknowledge it by sending a SYMM PDU. A maximum number (SYMM-Ct-i/t) of echoed SYMM PDU SHOULD be defined.

The Inactivity Process (IP) MAY start between the Receiving Process (RP) and the Sending Process (SP).

Upon the reception of an INFORMATION PDU, the packet is stored in the reception buffer, and is acknowledged by a RR PDU.

	Initia	tor			Target	-	
					I		
+ -	> LLCP inact	ivity			+ <-		+
					I		
	++-		+ +		+		+
	+ Inactivity	Timeout	+ +	Waiti	ng for a L	LCP F	DU +
	++-		+ +		+		+
					I		
	Send SYMM	PDU	>	Rece	ption of a	1 PDU	
				SY	MM	(	)ther
	Reception of	a PDU	<	Se	nd SYMM PC	)U  F	PDU
						E	Excepted
	SYMM	Other	PDU	SYMM-	Ct-t++	1	INFOR-
	SYMM-Ct-i++	Except	ed			·	-MATION
+ -	+ +-	-+INFORM	ATION		+	·   ·	+
	End Of LLCP	Inactivi	ty		Send	l a Ll	LCP PDU

## Figure 5. Inactivity Process

## 2.3 Connection Process, the Initiator is Server, the Target is Client

2.3.1 Initiator side

The Initiator MUST transmit a SYMM LLCP PDU.

The Initiator MUST receive a CONNECT PDU, with DSAP=1, including the SN option, whose value MUST be set to "urn:nfc:sn:tls:service". If the SN value is incorrect the Initiator transmits a DM PDU with a reason code.

The Initiator MUST send a CC PDU, with an SSAP ranging between 16 and 31.

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The Initiator SHOULD receive a SYMM PDU. It MAY receive an INFORMATION PDU but this behavior is not recommended, since it complicates the implementation of the acceptllcp (and connectllcp) procedure.

2.3.2 Target side

The Target MUST wait for the reception of a SYMM PDU

The Target MUST send a CONNECT PDU, with DSAP=1 and SSAP ranging between 16 and 31, including the option SN, whose value MUST be set to "urn:nfc:sn:tls:service".

The Target MUST receive a CC PDU.

The Target SHOULD send a SYMM PDU. It MAY send an INFORMATION PDU but this behavior is not recommended, since it complicates the implementation of the connectllcp (and acceptllcp) procedure.

2.3.3 Connection choreography

Initiator		Target
I		I
<pre>socket= acceptllcp()</pre>		<pre>socket=connectllcp()</pre>
I		I
Send SYMMM	>	Receive SYMM
I		I
Receive CONNECT	<	Send CONNECT, DSAP=1
Check SN	SN	= "urn:nfc:sn:tls:x"
I		I
Send CC	>	Receive CC
Allocate Ephemeral SAF	D	I
I		I
Receive SYMM	<	Send SYMM
I		I
Done		Done

Figure 6. Connection Choreography

## 2.4 Connection Process, the Initiator is Client, the Target is Server

2.4.1 Initiator side

The Initiator MUST send a CONNECT PDU, with DSAP=1 and SSAP ranging between 16 and 31, including the SN option, whose value MUST be set to "com.ietf.tls.

The Initiator MUST receive a CC PDU.

2.4.2 Target side

The Target MUST receive a CONNECT PDU, with DSAP=1, including the SN option, whose value MUST be set to "urn:nfc:sn:tls:service". If the SN value is incorrect the Initiator transmits a DM PDU with a reason code.

The Target MUST send a CC PDU, with an SSAP ranging between 16 and 31.

2.4.3 Connection choreography

Initiator Target socket= connectllcp() socket= acceptllcp() Send CONNECT, DSAP=1 -----> Receive CONNECT SN = "urn:nfc:sn:tls:service" Check SN Allocate Ephemeral SAP Receive CC <----- Send CC Done Done

Figure 7. Connection Choreography

### **2.5** Disconnection Process

Due to the ephemeral nature of P2P NFC session, the disconnection process MAY be unavailable. Nerveless it SHOULD be used for a graceful closing of a TLS session.

The Disconnection Process is started by the Initiator or the Target.

2.5.1 Disconnection initiated by the Initiator

The Initiator MUST send a DISC PDU.

The Target receives the DISC PDU.

The Target MUST send the DM PDU.

The Initiator MUST receive the DM PDU.

2.5.2 Disconnection initiated by the Target

The Target receives a LLCP PDU. If it receives DISC then it sends DM; else it sends the DISC PDU.

The target waits for an LLCP PDU. Upon reception of a LLCP PDU it MUST send the SYMM or the DM PDU.

2.5.3 Disconnection choreography

Initiator		Target
<pre>closellcp(socket)</pre>	)	
Send DISC	>	Receive DISC
Receive DM	<	Send DM
Done		

Figure 8. Disconnection started by the Initiator



Figure 9. Disconnection started by the Target

### **<u>2.6</u>** Sending Process

The data transmission is managed by the sendllcp(SOCKET s, char \*buffer, int length) procedure.

2.6.1 Initiator side

The buffer to be transmitted is segmented in LLCP INFORMATION

packets.

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Each packet MUST be acknowledged by the Target with a RR PDU.

If a RNR PDU is received instead of a RR PDU then the initiator sends a SYMM PDU that should be acknowledged either by a SYMM (if the target is still overloaded) or by a RR PDU (if the target is ready again to process INFORMATION PDUs).

Initiator Target Sendllcp(buffer) recvllcp() Send INFORMATION PDU -----> Receive INFORMATION PDU NS-i++ Receive RR <----- Send RR(NR-t) Send INFORMATION PDU -----> Receive INFORMATION PDU NS-i++ Receive RR <----- Send RR(NR-t) Buffer Empty Done

Figure 10. Sending Process, Initiator side.

2.6.2 Target side

The Target switches to the sending process, managed by the sendllcp() procedure.

The Target MUST receive a SYMM PDU.

The buffer to be sent is segmented in INFORMATION PDUs.

Each INFORMATION PDU is sent by the Target to the Initiator and MUST be acknowledged by a RR PDU.

If a RNR PDU is received instead of a RR PDU then the target sends a SYMM PDU that should be acknowledged either by a SYMM (if the initiator is still overloaded) or by a RR PDU (if the initiator is ready again to process INFORMATION PDUs).

Upon the reception of the last RR PDU a SYMM PDU MUST be sent by the Target to the Initiator.

Initiator Target | sendllcp(buffer) Т recvllcp() Send SYMM ----> Receive SYMM Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ SEND RR(NR-i) ----> Receive RR Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ SEND RR(NR-i) -----> Receive RR Buffer Empty Receive SYMM <----- Send SYMM Done Done

Figure 11. Sending Process, Target side.

### 2.7 Receiving Process

The Receiving process is handled by the recvllcp(SOCKET s, char \*buffer, int length) procedure, which manages a reception buffer.

2.7.1 Initiator side

A1) If the reception buffer is empty, the Initiator sends a SYMM PDU. This PDU starts the Target receiving process. The expected PDU received from the Target is either an INFORMATION PDU or a SYMM PDU (notifying an ephemeral inactivity state).

B1) If the reception buffer stores enough data, then the size requested by the recvllcp() procedure is returned. If the buffer gets empty after this operation, a RR PDU is sent to the Target. The PDU received from the Target is either an INFORMATION PDU or a SYMM PDU.

B2) Else, while there is not enough data in the buffer, the following loop is performed

- Send RR PDU
- Receive INFORMATION PDU

B2.1) at this end of this loop the size requested by the recvllcp() procedure is returned. If the buffer gets empty after this

operation, a RR PDU is sent to the Target. The PDU received from the Target is either an INFORMATION PDU or a SYMM PDU.

Initiator Target buffer empty sendllcp() ----> Receive SYMM recvllcp() ===> Send SYMM Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ enough data <=== recvllcp() ===> enough data <=== buffer empty Send RR(NR-i) ----> Receive RR Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ recvllcp() ===> Send RR(NR-i) -----> Receive RR Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ enough data <=== recvllcp() ===> Send RR(NR-i) -----> Receive RR Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ ===> Send RR(NR-i) -----> Receive RR Receive INFORMATION PDU <----- Send INFORMATION PDU NS-t++ enough data <=== \_\_\_\_\_ buffer empty Send RR(NR-i) -----> Receive RR Ι buffer empty Receive SYMM <----- Send SYMM Done Done

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2.7.2 Target side

A1) If the reception buffer stores enough data, then the size requested by the recvllcp() procedure is returned.

B1) Else, while there is not enough data in the buffer, the following loop is performedReceive INFORMATION PDU

- Send RR PDU

Initiator Target Sendllcp(buffer) buffer empty | <=== recvllcp()</pre> Send INFORMATION PDU ----> Receive INFORMATION PDU NS-i++ Τ Receive RR <----- Send RR(NR-t) | ===> enough data | <=== recvllcp()</pre> | ===> enough data buffer empty | <=== recvllcp()</pre> Send INFORMATION PDU --> Receive INFORMATION PDU NS-i++ Receive RR <----- Send RR(NR-t)</pre> Send INFORMATION PDU --> Receive INFORMATION PDU NS-i++ Receive RR <----- Send RR(NR-t) buffer empty | ===> enough data buffer empty Done Done

Figure 13. Receiving Process, Initiator side.

### **<u>3</u>** TLS support over LLCP, Connectionless Transport

In NFC P2P mode the Initiator detects a Target and afterwards starts and manages a data exchange session; it may optionally feed the Target device.

The Initiator has consequently a longer useful life than the Target; it is a legitimate place to host TLS server in a permanent way.

However the TLS server MAY be hosted on the Initiator or on the Target side.

Each entity manages five exclusive processes

- The Connection Process (CP)
- The Disconnection Process (DP)
- The Sending Process (SP)
- The Receiving Process (RP)
- The Inactivity Process (IP)

The Inactivity Process MAY be started (see figure 14) each time a receiving or sending buffer is empty; in this case it is assumed that the computing time or the delay required before the next input/output operation is greater than the LLCP timeout (LTO).

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Initiator Target Connection Process Connection Process Sending Process Send SYMM ----> Receive SYMM Receive UI <----Send UI Receiving Process Send SYMM ----> Receive SYMM <-----Receive UI Send UI Inactivity Process Send SYMM -----> Receive SYMM Receive SYMM <----- Send SYMM Receiving Process Inactivity Process Send SYMM -----> Receive SYMM Receive SYMM <----- Send SYMM Т Sending Process Send UI -----> Receive UI Receive SYMM <----- Send SYMM Receiving Process Inactivity Process Send SYMM ----> Receive SYMM Receive SYMM <----- Send SYMM Sending Process Send SYMM Receiving SYMM ----> Receive UI <----Send UI Inactivity Process ----> Receive SYMM Send SYMM Receive SYMM <----- Send SYMM **Disconnection Process** Send DM -----> Receive DM Receive SYMM or DM <----- Send SYMM or DM 

Figure 14. Overview of Process Operations, connectionless mode

#### 3.1 Peer To Peer Link Establishment

As described in <u>section 1</u>, the Initiator periodically probes the presence of a Target. At the end of the "Protocol Activation and Parameters Selection" phase, ATR-REQ and ATR-RESP messages have been exchanged, and LLCP services are available on both Initiator and Target nodes, including in particular the Data-Request-i/t and Data-Indication-i/t primitives.

Due to the ephemeral intrinsic nature of an NFC connection, the P2P session may be broken at any time, which implies transmission or reception errors notified by the MAC primitives.

As a consequence an LLCP session is assumed to be released at the first MAC error.

Once a NFC P2P link is established, TLS server and client software entities are activated. Procedures such as:

- SOCKET acceptllcp(char TLS-SAP), and
- SOCKET connectllcp(char TLS-SAP)

MAY be used respectively on Initiator and Target sides, in order to get a SOCKET. This object supports additional facilities, typically the following procedures:

- int sendllcp(SOCKET s, char \*buffer, int length)
- int recvllcp(SOCKET s, char \*buffer, int length)
- int closellcp(SOCKET s)

which are used for the LLCP session management.

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# LLCPS

## **<u>3.2</u>** Inactivity Process

When the LLCP layer detects an inactivity period greater that a given timeout value (see figure 15), it generates a SYMM PDU. Therefore each time a LLCP layer is waiting for a non SYMM PDU, and receives a SYMM PDU, it MUST acknowledge it by sending a SYMM PDU. A maximum number (SYMM-Ct-i/t) of echoed SYMM PDU SHOULD be defined.

Upon the reception of an UI PDU, the packet is stored in the reception buffer.

The Inactivity Process (IP) MAY start between the Receiving Process (RP) and the Sending Process (SP).

	Initiator		Target		
+ -	> LLCP inactivity		+ <+		
					I
	++	+	+		+
	+ Inactivity Ti	meout +	+ Wait:	ing for a LLC	P PDU +
	++	+	+		+
					I
	Send SYMM PD	U	> Re	ception of a	PDU
			S`	YMM or UI	Other
	Reception of a	PDU <	-  S	end SYMM PDU	PDU
					Excepted
	SYMM or UI   O	ther PDU	SYMM-C	t-t++	UI
	SYMM-Ct-i++   E	xcepted UI			
+ -	+ ++			+	-   +
	I				I
End Of LLCP Inactivity			Send a	LLCP PDU	

Figure 15. Inactivity Process

3.3 Connection Process, the Initiator is Server, the Target is Client

3.3.1 Initiator side

The Initiator MUST transmit a SYMM PDU.

If the Initiator receives a SYMM then it sends a SYMM.

If the Initiator receives an UI PDU, with the DSAP set to a wellknown value that identifies the TLS service, then the service data unit transported by the UI is stored in the reception buffer.

If the DSAP value is incorrect the Initiator transmits a DM PDU with a reason code.

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# 3.3.2 Target side

The Target allocates an ephemeral SSAP ranging between 16 and 31, and sends a SYMM.

The DSAP of UI PDU will use the allocated SSAP, and DSAP set to a well-known value that identifies the TLS service.

3.3.3 Connection choreography

Initiator Target socket= acceptllcp(TLS-SAP) socket=connectllcp(TLS-SAP) DSAP=well-known value Allocate Ephemeral SSAP Done sendllcp() Send SYMM ----> Receive SYMM <-----Receive UI Send UI Check DSAP Done

Figure 15. Connection Choreography

3.4 Connection Process, the Initiator is Client, the Target is Server

3.4.1 Initiator side

The initiator allocates an ephemeral SSAP ranging between 16 and 31, and sends a SYMM.

The DSAP of UI PDU will use the allocated SSAP, and DSAP set to a well-known value that identifies the TLS service.

3.4.2 Target side

If target receives a SYMM, then it sends A SYMM.

If the Target receives an UI PDU, with the DSAP set to a well-known value that identifies the TLS service, then the service data unit transported by the UI is stored in the reception buffer.

Upon success the Target sends a SYMM.

If the DSAP value is incorrect the Initiator transmits a DM PDU with a reason code.

3.4.3 Connection choreography

Initiator Target socket= connectllcp(TLS-SAP) socket= acceptllcp(TLS-SAP) DSAP=well-known value Allocate Ephemeral SSAP Done Sendllcp() Send UI -----> Receive UI receive SYMM <----Send SYMM Done Done

Figure 16. Connection Choreography

### 3.5 Disconnection Process

Due to the ephemeral nature of P2P NFC session, the disconnection process MAY be unavailable. Nerveless it SHOULD be used for a graceful closing of a TLS session. The Disconnection Process is initiated by the Initiator or the Target.

3.5.1 Disconnection initiated by the Initiator

The Initiator MUST send a DM PDU

The Target receives the DM PDU.

The Target sends a SYMM or a DM PDU.

3.5.2 Disconnection initiated by the Target

If the Target receives a DM PDU, then it sends the DM or the SYMM PDU.

Else the Target sends the DM PDU.

3.5.3 Disconnection choreography

Initiator		Target	
		I	
closellcp(socke	t)	1	
Send DM	>	Receive DM	
		1	
Receive SYMM or DM	<	Send SYMM or	DM
Done		Done	

Figure 17. Disconnection initiated by the Initiator

Initiator		Target
		<pre>closellcp(socket)</pre>
Send SYMM	>	Receive LLCP PDU
Receive DM	<	Send DM
Done		Done

Figure 18. Disconnection initiated by the Target

# **<u>3.6</u>** Sending Process

The data transmission is managed by the sendllcp(SOCKET s, char \*buffer, int length) procedure.

3.6.1 Initiator side

The buffer to be transmitted is segmented in LLCP UI packets.

Initiator		Target
Sendllcp(buffer)		recvllcp()
Send UI PDU	>	Receive UI PDU
Receive SYMM	<	Send SYMM
Send UI PDU	>	Receive UI PDU
Receive SYMM	<	Send SYMM
Buffer Empty		
Done		

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The following loop is performed

- The Initiator sends an UI PDU

- The initiator receive a SYMM  $\ensuremath{\mathsf{PDU}}$ 

3.6.2 Target side

The Target switches to the sending process, managed by the sendllcp() procedure.

The Target MUST receive a SYMM PDU.

The buffer to be sent is segmented in UI PDUs.

The following loop is performed

- The Target sends an UI PDU

- The Target receives a SYMM PDU

When the buffer is empty a last SYMM is sent.

Initiator		Target
recvllcp()		<pre>sendllcp(buffer)</pre>
Send SYMM	>	Receive SYMM
Receive UI	<	Send UI
Send SYMM	>	Receive SYMM
Receive UI	<	Send UI
		Buffer Empty
Receive SYMM	<	Send SYMM
		Done

Figure 20. Sending Process, Target side.

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## **<u>3.7</u>** Receiving Process

The Receiving process is handled by the recvllcp(SOCKET s, char \*buffer, int length) procedure, which manages a reception buffer.

3.7.1 Initiator side

A1) If the reception buffer is empty, the Initiator sends a SYMM PDU. This PDU starts the Target receiving process. The expected PDU received from the Target is either an UI PDU or a SYMM PDU (notifying an ephemeral inactivity state).

B1) If the reception buffer stores enough data, then the size requested by the recvllcp() procedure is returned. If the buffer gets empty after this operation, the SYMM PDU SHOULD be sent to the Target. The PDU received from the Target is either an UI PDU or a SYMM PDU.

B2) Else, while there is not enough data in the buffer, the following loop is performedSend SYMM

- Receive UI PDU

B2.1) at this end of this loop the size requested by the recvllcp() procedure is returned. If the buffer gets empty after this operation, the SYMM PDU SHOULD be sent to the Target. The PDU received from the Target is either an UI PDU or a SYMM PDU.

In B1 and B2.1 a SYMM PDU SHOULD be sent when the reception buffer gets empty. This rule avoids un-needed transition to the IP process. It is a "double checking" of the empty buffer event.

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Initiator Target buffer empty sendllcp() recvllcp() ===> Send SYMM Receive SYMM ----> Receive UI <----Send UI PDU enough data <=== Т recvllcp() ===> enough data <=== buffer empty Т Send SYMM Receive SYMM ----> Receive UI <----Send UI Send SYMM ----> Receive SYMM Receive UI <----- Send UI PDU recvllcp() ===> enough data <=== recvllcp() ===> Send SYMM ----> Receive SYMM Receive UI <----- Send UI PDU Send SYMM ----> Receive SYMM Receive UI <----Send UI PDU enough data <=== buffer empty Done T Inactivity Process Send SYMM Receive SYMM ----> Receive SYMM <----Send SYMM Done Done

Figure 21. Receiving Process, Initiator side.

3.7.2 Target side

A1) If the reception buffer stores enough data, then the size requested by the recvllcp() procedure is returned.

B1) Else, while there is not enough data in the buffer, the following loop is performedReceive UI PDU

- Receive of FDO
- Send SYMM PDU

Initiator Target Sendllcp(buffer) buffer empty | <=== recvllcp()</pre> Send UI PDU -----> Receive UI PDU Receive SYMM <----- Send SYMM | ===> enough data | <=== recvllcp()</pre> | ===> enough data buffer empty | <=== recvllcp()</pre> Send UI PDU -----> Receive UI PDU Receive SYMM <----- Send SYMM Send UI -----> Receive UI PDU Receive SYMM <----- Send SYMM Done | ===> enough data buffer empty Done

Figure 22. Receiving Process, Target side.

**<u>4</u>** Example of LLCPS session, connected mode **4.1** Protocol Activation and Parameters Selection 4.1.1 Initiator ATR-REQ Raw-data: 5C A9 BE E1 C0 35 A0 BF 16 0F 00 00 00 02 46 66 6D 01 01 10 03 02 00 01 04 01 01 10 64 NFCID3i= 5C A9 BE E1 C0 35 A0 BF 16 0F DIDi (Initiator ID) = 00 BSi= 00 BRi= 00 PPi= 02, 64 bytes of Transport Data, Gt bytes available Magic Bytes: 46666d Option: Version, Major=1, Minor=0 Option: WKS: Well-Known Service List 0x0001 Option: LTO: Link TimeOut 0x64 (1000 ms) 4.1.2 Target ATR-RESP Raw-Data: AA 99 88 77 66 55 44 33 22 11 00 00 00 09 03 46 66 6D 01 01 10 03 02 00 01 04 01 64 NFCID3t= AA 99 88 77 66 55 44 33 22 11 DIDt (Target ID)= 00 BSt= 00 BRt= 00 TO= 09, WT= 6363 ms PPt= 03, 64 bytes of Transport Data, NAD available, Gt bytes available Magic Bytes: 46666d Option: Version, Major=1, Minor=0 Option: WKS: Well-Known Service List 0x0001 Option: LTO: Link TimeOut 0x64 (1000 ms) **4.2 LLCP** connection Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00 Target: Sending CONNECT, ssap=27 dsap=1, option=SN("com.ietf.tls") Rx\_i: 05 1B 06 0C 63 6F 6D 2E 69 65 74 66 2E 74 6C 73 Initiator: Sending ConnectionComplete, ssap=16 dsap=27

Tx-i: 6D 90

Target: Sending SYMM, ssap=0 dsap=0 Rx-i: 00 00

## LLCPS

#### 4.3 Target: sending Client Hello

RecvLLCP Initiator: request size=5, buffer empty, sending SYMM Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00

RecvLLCP\_Initiator: request size=5 bytes, buffer=82 bytes RecvLLCP\_Initiator: request size=77 bytes, buffer=77 bytes RecvLLCP\_Initiator: buffer empty, sending RR(1), ssap=16 dsap=27 Tx-i: 6F 50 01

SendLLCP\_Target: Receiving RR(1), ssap=16 dsap=27 SendLLCP\_Target: empty buffer, Done, Sending SYMM Target: Sending SYMM, ssap=0 dsap=0

Initiator: Receiving SYMM ssap=0 dsap=0
Rx-i: 00 00

## **4.4** Inactivity Process

Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00

RecvLLCP Target: request size=5 bytes, buffer empty Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending SYMM, ssap=0 dsap=0

Initiator: Receiving SYMM, ssap=0 dsap=0
Rx-i: 00 00

## 4.5 Server: sending Server Hello

SendLLCP\_Initiator: request size=122 bytes
Initiator: Sending INFORMATION, ssap=16 dsap=27 Nr=1 Ns=0
Tx-i: 6F 10 01 16 03 01 00 4A 02 00 00 46 03 01 50 1A
 A9 6B 6C 0E 31 E1 F3 0E CD 18 E7 6F 81 BF 5F 3C
 FD DE 00 4C A4 12 AE DC DF E4 FF 82 09 5E 20 E7
 0A 41 FE 8C F9 A0 38 D3 28 72 E8 04 7E C2 37 22
 05 13 24 AA DE 2F 6B 67 4C 19 CE A5 7D A0 86 00

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E3 BC 3A 94 26 91 3D FC F3 8E 01 46 5E 52 8E 67 A2 66 FC 5F D5 89 78 59 66 14 BA D3 B0

RecvLLCP\_Target: Receiving INFORMATION, ssap=16 dsap=27 Nr=1 Ns=0
RecvLLCP\_Target: sending RR(1), ssap=27 dsap=16
RecvLLCP\_Target: request size=74 bytes
RecvLLCP\_Target: request size=5 bytes
RecvLLCP\_Target: request size=1 byte

SendLLCP Initiator: Receiving RR(1), ssap=27 dsap=16 Rx-i: 43 5B 01 SendLLCP\_Initiator: buffer empty, Done

RecvLLCP\_Target: request size=5 bytes RecvLLCP\_Target: request size=32 bytes, Done, empty buffer

# **4.6** LLCP Inactivity Process

RecvLLCP\_Initiator: request size=5, empty buffer, sending SYMM Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00

Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending SYMM, ssap=0 dsap=0

Initiator: Receiving SYMM ssap=0 dsap=0
Rx-i: 00 00

# 4.7 Client: sending Client Finished

Initiator: Receiving SYMM ssap=0 dsap=0
Tx-i: 00 00

SendLLCP\_Target: request size=43 bytes, Waiting for SYMM
Target: Receiving SYMM, ssap=0 dsap=0
Target: Sending INFORMATION, ssap=27 dsap=16 Nr=1, Ns=1
Rx-i: 43 1B 11 14 03 01 00 01 01 16 03 01 00 20 57 DD
DE 29 9E E4 EF DD C5 18 87 50 C6 C7 B9 56 AD FA
EF 65 B2 24 48 04 2E FE 7D BD 97 E1 F3 3A

Initiator: Receiving INFORMATION, ssap=27 dsap=16 Nr=1, Ns=1 RecvLLCP\_Initiator: request size= 5 bytes, buffer=43 bytes RecvLLCP\_Initiator: request size= 1 bytes, buffer=38 bytes RecvLLCP\_Initiator: request size= 5 bytes, buffer=37 bytes RecvLLCP\_Initiator: request size=32 bytes, buffer=32 bytes RecvLLCP\_Initiator: empty buffer, sending RR(2) Initiator: Sending RR(2), ssap=16 dsap=27 Tx-i: 6F 50 02 Target: Receiving RR(2), ssap=16 dsap=27 Nr=2

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SendLLC\_Target: empty buffer, Done, sending SYMM Target: Sending SYMM, ssap=0 dsap=0

Initiator: Receiving SYMM ssap=0 dsap=0
Rx-i: 00 00

# 4.8 Exchanging Data

4.8.1 Sending data from client to server

RecvLLCP\_Initiator: request size=5 bytes, empty buffer, sending SYMM Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00

Target: Receiving SYMM, ssap=0 dsap=0 SendLLCP\_Target: sending 27 bytes Target: Sending INFORMATION, ssap=27 dsap=16 Nr=1, Ns=2

Target: Receiving RR(3), ssap=16 dsap=27 SendLLC\_Target: empty buffer, Done, sending SYMM Target: Sending SYMM, ssap=0 dsap=0

Initiator: Receiving SYMM ssap=0 dsap=0
Rx-i: 00 00

4.8.2 Sending data from server to client

RecvLLCP\_Target: request size= 5 bytes Target: Receiving INFORMATION, ssap=16 dsap=27 Nr=3 Ns=1 RecvLLCP\_Target: sending RR(2) Target: Sending RR(2), ssap=27 dsap=16 RecvLLCP\_Target: request size=22 bytes, buffer=22 bytes, Done

Initiator: Receiving RR(2), ssap=27 dsap=16
Rx-i: 43 5B 02
SendLLCP Initiator: empty buffer, Done

**<u>4.9</u>** Closing TLS session, initiated by the Initiator

Initiator: Sending DISC, ssap=16 dsap=27 Tx-i: 6D 50 Target: Receiving DISC, ssap=16 dsap=27 Target: Sending DM, ssap=27 dsap=16 Initiator: Receiving DM, ssap=27 dsap=16 Rx-i: 41 DB 00 **<u>5</u>** Example of LLCPS session, Connectionless mode 5.1 Protocol Activation and Parameters Selection 5.1.1 Initiator ATR-REQ Raw-data: 5C A9 BE E1 C0 35 A0 BF 16 0F 00 00 00 02 46 66 6D 01 01 10 03 02 00 01 04 01 01 10 64 NFCID3i= 5C A9 BE E1 C0 35 A0 BF 16 0F DIDi (Initiator ID) = 00 BSi= 00 BRi= 00 PPi= 02, 64 bytes of Transport Data, Gt bytes available Magic Bytes: 46666d

Option: Version, Major=1, Minor=0 Option: WKS: Well-Known Service List 0x0001 Option: LTO: Link TimeOut 0x64 (1000 ms)

5.1.2 Target ATR-RESP

Raw-Data: AA 99 88 77 66 55 44 33 22 11 00 00 00 09 03 46 66 6D 01 01 10 03 02 00 01 04 01 64 NFCID3t= AA 99 88 77 66 55 44 33 22 11 DIDt (Target ID)= 00 BSt= 00 BRt= 00 T0= 09, WT= 6363 ms PPt= 03, 64 bytes of Transport Data, NAD available, Gt bytes available Magic Bytes: 46666d Option: Version, Major=1, Minor=0 Option: WKS: Well-Known Service List 0x0001 Option: LTO: Link TimeOut 0x64 (1000 ms)

### 5.2 LLCP connection

Initiator: Sending SYMM, ssap=0 dsap=0
Tx-i: 00 00

Target: Setting DSAP to 13 (well known-value), setting ephemeral SSAP to 27

## 5.3 Client Hello

Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending UI, dsap=13 ssap=27, 82 bytes

RecvLLC Initiator: request size=5 buffer size=82 RecvLLC Initiator: request size=77 buffer size=77 RecvLLC Initiator: buffer empty

Initiator: Sending SYMM, ssap=0 dsap=0
Tx-i: 00 00

Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending SYMM, ssap=0,dsap=0

Rx-i: 00 00

## 5.4 Server Hello

SendLLC Initiator: request size=122 Initiator: Sending UI, ssap=13 dsap=27

 Tx-i:
 6C
 CD
 16
 03
 01
 00
 4A
 02
 00
 00
 46
 03
 01
 51
 09
 2E

 3A
 23
 03
 7D
 28
 AF
 D1
 71
 B4
 0F
 60
 ED
 3D
 A0
 86
 4B

 67
 36
 A8
 80
 AB
 34
 78
 21
 63
 1B
 D8
 F5
 81
 20
 04
 E2

 26
 36
 24
 92
 33
 68
 48
 C7
 34
 A4
 44
 E3
 70
 8C
 6C
 11

 44
 53
 54
 20
 B1
 A9
 3D
 47
 A8
 3F
 E5
 C5
 D5
 D2
 00
 04

 00
 14
 03
 01
 00
 11
 16
 03
 01
 00
 20
 B9
 0C
 3F
 E8

 C8
 48
 F3
 8B
 1A
 1C
 59
 01
 6C
 C9

Target: Receiving UI, ssap=13 dsap=27, 122 bytes

RecvLLC Target: request size= 5, buffer size= 122

RecvLLC Target: request size=74, buffer size= 117

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RecvLLC Target: request size= 5, buffer size= 43 RecvLLC Target: request size= 1, buffer size= 42 RecvLLC Target: request size= 5, buffer size= 37 RecvLLC Target: request size=32, buffer size= 32 RecvLLC Target: empty buffer Target: Sending SYMM, ssap=0 dsap=0 Initiator: Receiving SYMM, ssap=0 dsap=0 Rx-i: 00 00 **5.5** Client Finished Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00 Target: Receiving SYMM, ssap=0 dsap=0 SendLLC Target: sending 43 bytes Target: Sending UI, ssap=27 dsap=13, 43 bytes Initiator: Receiving UI, ssap=27 dsap=13, 43 bytes Rx-i: 34 DB 14 03 01 00 01 01 16 03 01 00 20 7E 92 D1 D1 78 C4 39 2D 8D 11 9A DF 0F 0B E5 7C 33 BA DC 3D B0 33 CD 5E 27 BE A4 6C 62 78 F3 D8 RecvLLC Initiator: request size=5 buffer size=43 RecvLLC Initiator: request\_size=1 buffer size=38 RecvLLC Initiator: request\_size=5 buffer\_size=37 RecvLLC Initiator: request\_size=32 buffer size=32 RecvLLC\_Initiator: buffer empty Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00 Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending SYMM, ssap=0,dsap=0 Rx-i: 00 00 **5.6** Exchanging Data 5.6.1 Sending data from client to server Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00

Target: Receiving SYMM, ssap=0 dsap=0 Target: Sending UI, ssap=27 dsap=13, 27 bytes Urien

Rx-i: 34 DB 17 03 01 00 16 EA 91 72 8A DA 5A DD F0 C7 6A E0 82 15 B4 8F 5E 72 F6 BE 64 9D 0E Initiator: Receiving UI, ssap=27 dsap=13, 27 bytes SendLLC Initiator: request size= 5, buffer size=32 SendLLC Initiator: request size=27, buffer size=27 SendLLC Initiator: buffer empty Initiator: Sending SYMM, ssap=0 dsap=0 Tx-i: 00 00 Sending SYMM, ssap=0,dsap=0 Target: Initiator: Receiving SYMM, ssap=0 dsap=0 Rx-i: 00 00 5.6.2 Sending data from server to client Initiator: Sending UI, ssap=13 dsap=27, 27 bytes Tx-i: 6C CD 17 03 01 00 16 93 48 F4 7F 67 F8 6E A1 94 15 BB AF D1 BD CA 2D AE 48 0B A6 9B 9D Target: Receiving UI, ssap=13 dsap=27, 27 bytes Target: Sending SYMM, ssap=0,dsap=0 RecvLLC Target: request size= 5, buffer size=32 RecvLLC Target: request size=27, buffer size=27 RecvLLC Target: buffer empty Initiator: Receiving SYMM, ssap=0 dsap=0 Rx-i: 00 00 5.7 End of Session

Initiator: Sending DM, ssap=0 dsap=0 Target: Receiving DM, ssap=0 dsap=0 Target: Sending SYMM, ssap=0 dsap=0 Initiator: Receiving SYMM, ssap=0 dsap=0 Urien

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### **<u>6</u>** Security Considerations

To be done.

#### 7 IANA Considerations

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