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DPRIVE TLS/DTLS Message Flows
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Abstract

Message flows for DNS-over-TLS and DNS-over-DTLS are discussed and compared.

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[1.](#) Introduction

The DPRIVE working group has two active documents that provide DNS confidentiality, DNS over DTLS [[I-D.ietf-dprive-dnsodtls](#)] and DNS over TLS [[I-D.ietf-dprive-dns-over-tls](#)].

This document shows message flows for those two documents. Also shown is how TCP Fast Open (TF0) [[RFC7413](#)] eliminates a round-trip, which is especially helpful for DNS communication.

[2.](#) Server state lost

This section shows message flows after server state is lost, such as due to routing change (communicating to a different server, unbeknownst to the client) or due to server losing state (crash or software upgrade).

[2.1.](#) TLS

With TLS, the client is immediately informed of server state loss with a TCP RST, as shown in the diagram below. This costs one round trip, and this round trip is unavoidable. This is a TCP RST, and is

not authenticated. After the RST, a new TCP connection and TLS state are established.

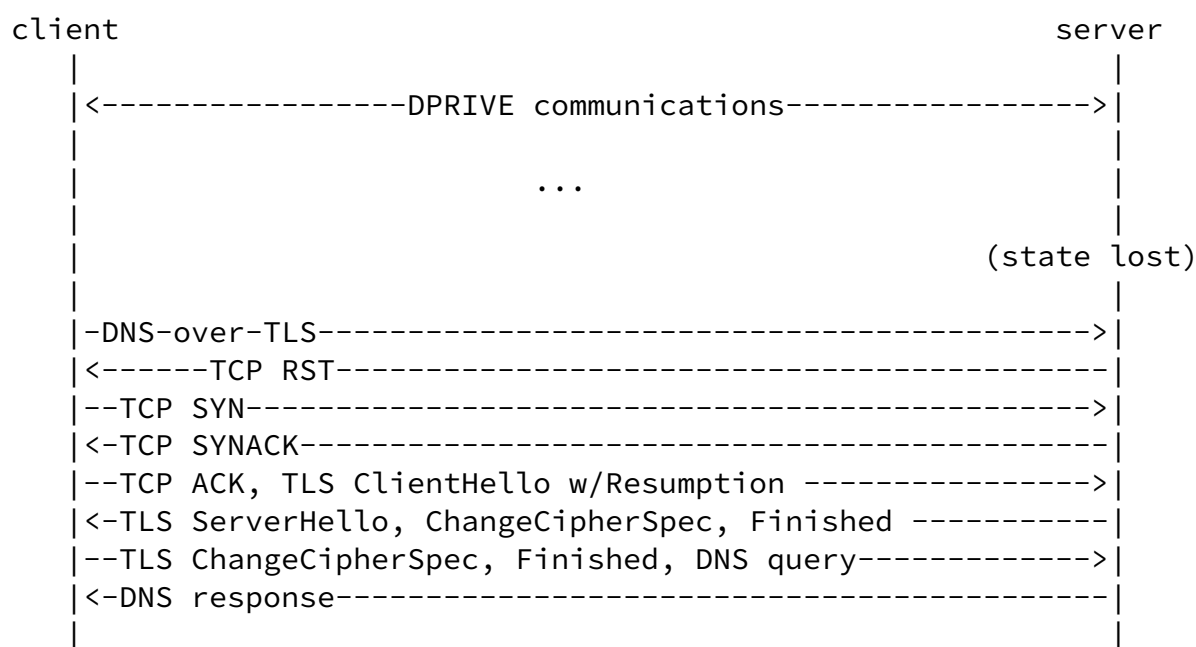
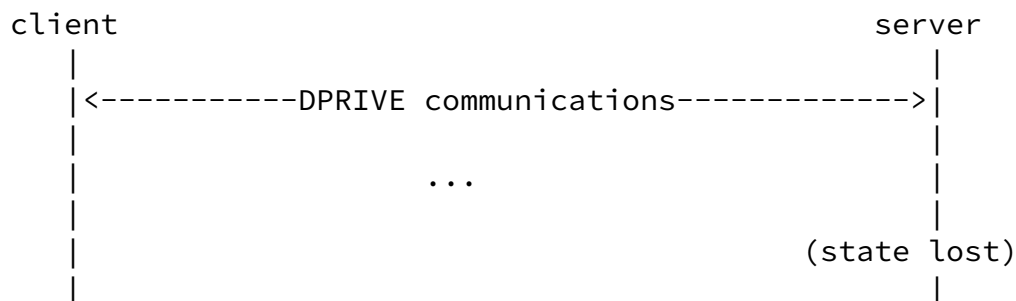


Figure 1: Server State Loss, TLS

[2.2.](#) DTLS

With DTLS, the client is immediately informed of the server state loss with a DTLS Alert, as shown in the diagram below. This DTLS Alert is not authenticated. This message costs one round trip, but can be avoided if the client anticipates this server state loss and consumes additional packet overhead, as discussed below Figure 2.



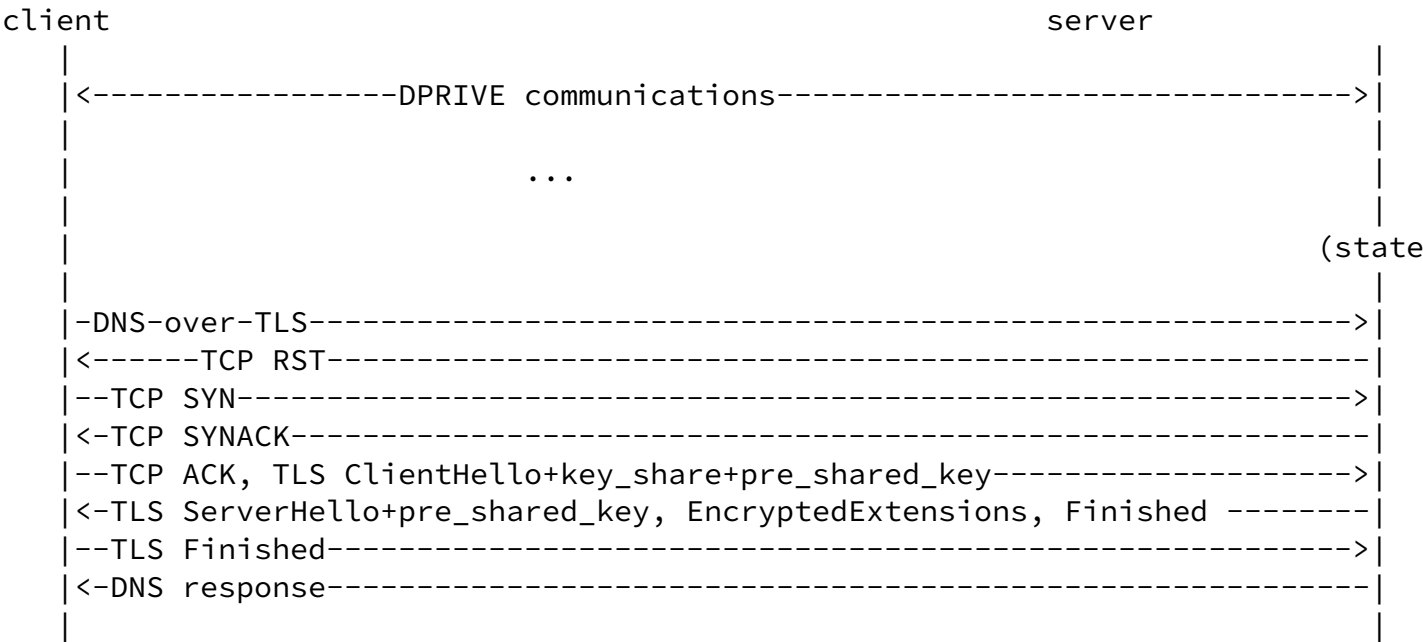


Figure 4: Session resumption

3. TCP Fast Open

If the client and server TCP stacks both support TCP Fast Open (TFO) [RFC7413], the TCP 3-way handshake can be done without a round trip, as shown below. Currently, TFO is supported in Linux 3.7 (TCP client and server), iOS 9, and OS X 10.11.

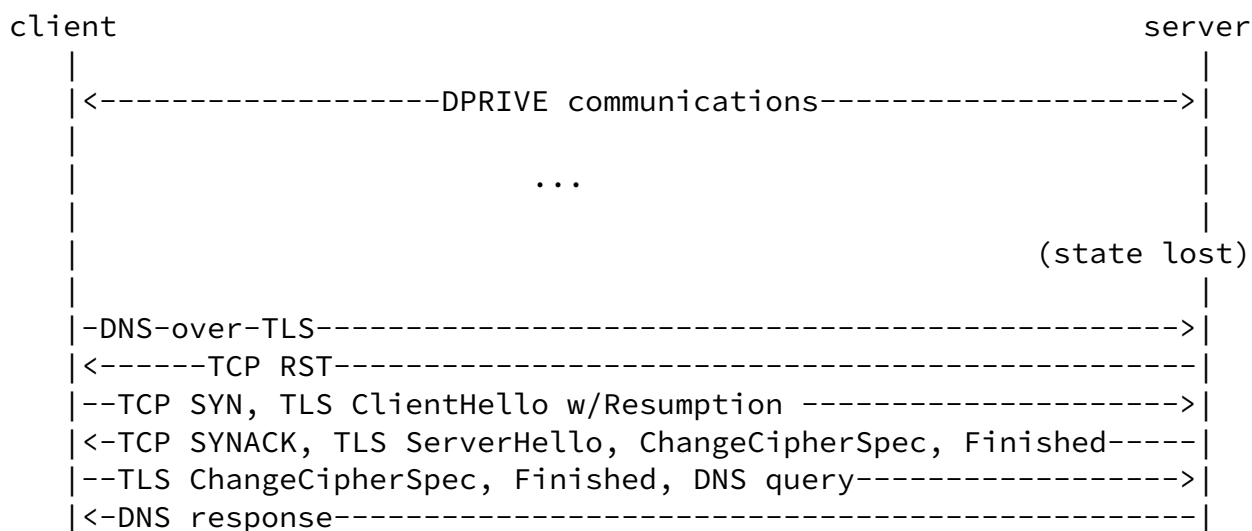


Figure 5: Server State Loss, TLS with TCP FastOpen

[4.](#) Probing for Server State Loss

In between normal DNS traffic while the communication to the DNS server is quiescent, the DNS client may want to probe the server to ensure it has maintained cryptographic state. Such probes can also keep alive firewall or NAT bindings. This probing reduces the frequency of needing a new handshake when a DNS query needs to be resolved, improving the user experience at the cost of bandwidth and processing time; cellular devices could even send the probes while in power-save state [[I-D.isomaki-rtcweb-mobile](#)].

If the server has lost state, a DTLS (or TLS) handshake needs to be initiated with the server.

[4.1.](#) DTLS

A DTLS heartbeat [[RFC6520](#)] verifies the server still has DTLS state by returning a DTLS message. If the server has lost state, it returns a DTLS Alert.

[4.2.](#) TLS

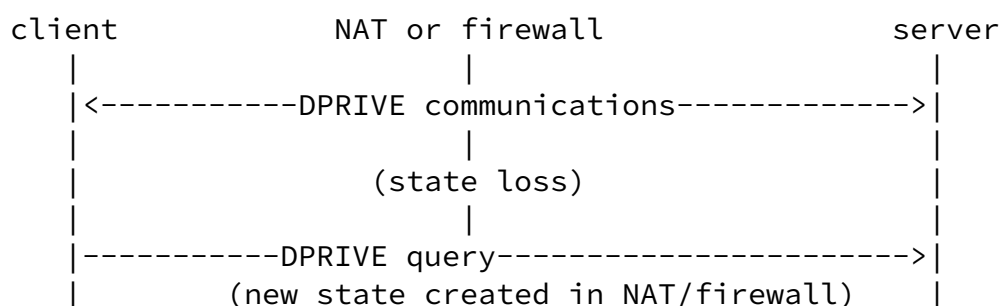
TLS runs over TCP, so a simple probe is a 0-length TCP packet (a "window probe"). This verifies the TCP connection is still working, which is also sufficient to prove the server has retained TLS state, because if the server loses TLS state it abandons the TCP connection. If the server has lost state, a TCP RST is returned immediately.

[5.](#) NAT or Firewall Pinhole Closed

A NAT or Firewall, on the path between the DPRIVE client and DPRIVE server, lose state -- either due to timing out the pinhole, exhausting its resources (and needing to prematurely close the pinhole), or crashing. This differs from the server losing state.

[5.1.](#) DTLS

With DTLS, the NAT or firewall will create a new mapping when it sees the new UDP packet. With a NAT, depending on its load (of other traffic) and its implementation that mapping might be assigned to the same UDP port and IP address as the previous mapping, a different UDP port, and/or a different source IP address. The situation where the same mapping occurs is shown below.



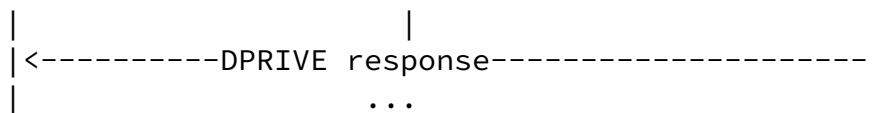


Figure 6: NAT/Firewall State Loss, DTLS

A different mapping can cause the server to reject the communication (DTLS Alert) if the server was sensitive to the client's source address or source port, consuming a round trip. This is shown below.

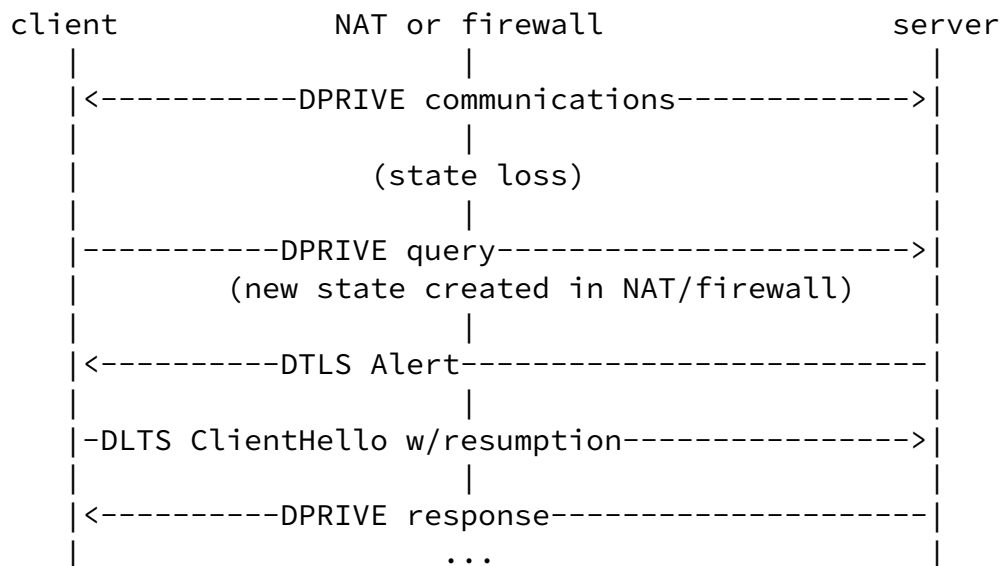


Figure 7: NAT/Firewall State Loss, DTLS, changed mapping

5.2. TLS

With a TCP connection when the NAT or firewall has lost state and sees a TCP packet without the SYN bit set, there are several possible reactions by the NAT or firewall:

- o send TCP RST, spoofing the source IP address of the original packet's destination address. This is shown in the following figure.

- o create state. A firewall is unlikely to create state when it sees

an in-progress TCP packet, but some NATs may create state. However, if the NAT creates state for a different source TCP port than the previous connection, the server will reject the TCP packet as shown in Figure 5.

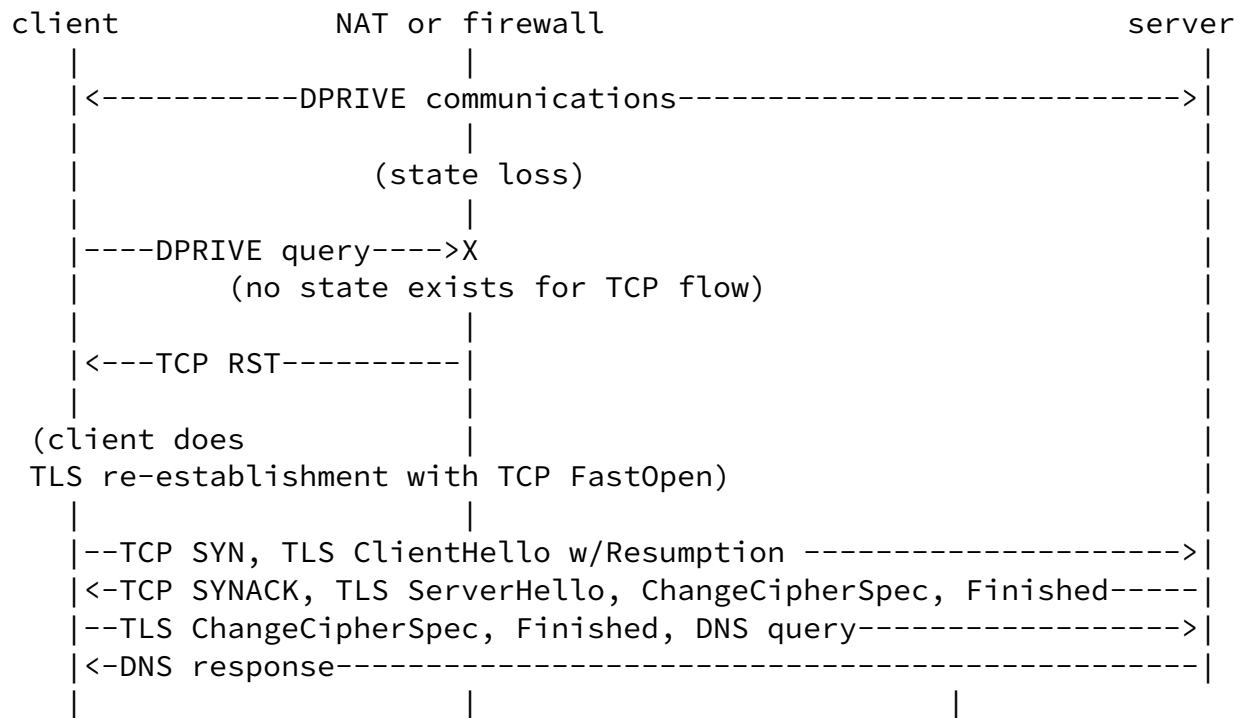


Figure 8: NAT/Firewall State Loss, TLS with TCP FastOpen

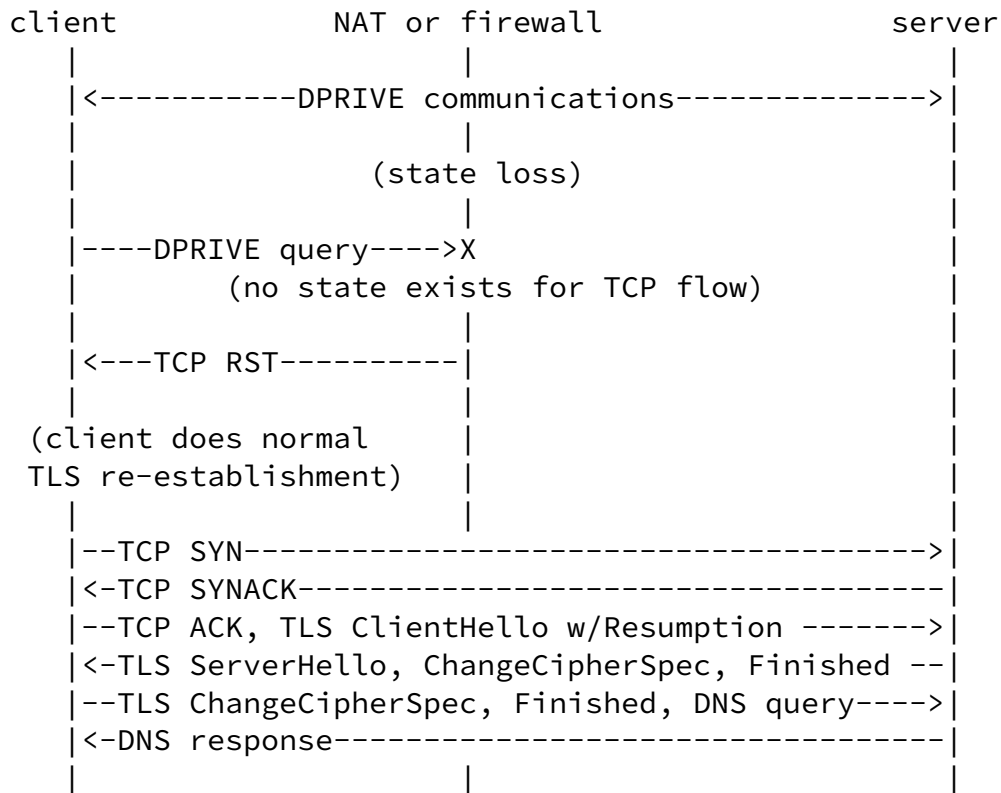


Figure 9: NAT/Firewall State Loss, TLS

6. IANA Considerations

None.

7. Acknowledgements

Authors would like to thank Allison Mankin for comments and review.

8. References

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