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Generic UDP Encapsulation for IP Tunneling
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Abstract

This document describes a method of encapsulating arbitrary protocols within GRE and UDP headers. In this encapsulation, the source UDP port may be used as an entropy field for purposes of loadbalancing while the payload protocol may be identified by the GRE Protocol Type.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

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[1.](#) Introduction

Load balancing, or more specifically, statistical multiplexing of traffic using Equal Cost Multi-Path (ECMP) and/or Link Aggregation Groups (LAGs) in IP networks is a widely used technique for creating higher capacity networks out of lower capacity links. Most existing routers in IP networks are already capable of distributing IP traffic flows over ECMP paths and/or LAGs on the basis of a hash function performed on flow invariant fields in IP packet headers and their payload protocol headers. Specifically, when the IP payload is a User Datagram Protocol (UDP)[[RFC0768](#)] or Transmission Control Protocol (TCP) packet, router hash functions frequently operate on the five-tuple of the source IP address, the destination IP address, the source port, the destination port, and the protocol/next-header

Several tunneling techniques are in common use in IP networks, such as Generic Routing Encapsulation (GRE) [[RFC2784](#)], MPLS [[RFC4023](#)] and L2TPv3 [[RFC3931](#)]. GRE is an increasingly popular encapsulation choice, especially in environments where MPLS is unavailable or unnecessary. Unfortunately, use of common GRE endpoints may reduce the entropy available for use in load balancing, especially in environments where the GRE Key field [[RFC2890](#)] is not readily available for use as entropy in forwarding decisions.

This document defines a generic GRE-in-UDP encapsulation for tunneling arbitrary network protocol payloads across an IP network environment where ECMP or LAGs are used. The GRE header provides payload protocol de-multiplexing by way of its protocol type field [[RFC2784](#)] while the UDP header provides additional entropy by way of its source port.

This encapsulation method requires no changes to the transit IP network. Hash functions in most existing IP routers may utilize and benefit from the use of a GRE-in-UDP tunnel without needing any change or upgrade to their ECMP implementations. The encapsulation mechanism is applicable to a variety of IP networks including Data Center and wide area networks.

2. Terminology

The terms defined in [[RFC0768](#)] are used in this document.

3. Procedures

When a tunnel ingress device conforming to this document receives a packet, the ingress MUST encapsulate the packet in UDP and GRE headers and set the destination port of the UDP header to [TBD] [Section 6](#). The ingress device must also insert the payload protocol type in the GRE Protocol Type field. The ingress device SHOULD set the UDP source port based on flow invariant fields from the payload header, otherwise it should be set to a randomly selected constant value, e.g. zero, to avoid packet flow reordering. How a tunnel ingress generates entropy from the payload is outside the scope of this document. The tunnel ingress MUST encode its own IP address as the source IP address and the egress tunnel endpoint IP address. The TTL field in the IP header must be set to a value appropriate for delivery of the encapsulated packet to the tunnel egress endpoint.

When the tunnel egress receives a packet, it must remove the outer UDP and GRE headers. [Section 5](#) describes the error handling when this entity is not instantiated at the tunnel egress.

To simplify packet processing at the tunnel egress, packets destined to this assigned UDP destination port [TBD] SHOULD have their UDP checksum and Sequence flags set to zero because the egress tunnel only needs to identify this protocol. Although IPv6 [RFC2460] restricts the processing a packet with the UDP checksum of zero, [RFC6935] and [RFC6936] relax this constraint to allow the zero UDP checksum.

The tunnel ingress may set the GRE Key Present, Sequence Number Present, and Checksum Present bits and associated fields in the GRE header defined by [RFC2784] and [RFC2890].

In addition IPv6 nodes MUST conform to the following:

1. the IPv6 tunnel ingress and egress SHOULD follow the node requirements specified in [Section 4 of \[RFC6936\]](#) and the usage requirements specified in [Section 5 of \[RFC6936\]](#)
2. IPv6 transit nodes SHOULD follow the requirements 9, 10, 11 specified in [Section 5 of \[RFC6936\]](#).

The format of the GRE-in-UDP encapsulation for both IPv4 and IPv6 outer headers is shown in the following figures:

```

0                               1                               2                               3
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1

```

IPv4 Header:

```

+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|Version| IHL  |Type of Service|                Total Length                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                Identification                |Flags|    Fragment Offset    |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
| Time to Live |Protocol=17[UDP]|                Header Checksum                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                Source IPv4 Address                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                Destination IPv4 Address                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

UDP Header:

```

+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                Source Port = XXXX                |                Dest Port = TBD                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                UDP Length                |                UDP Checksum                |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

GRE Header:

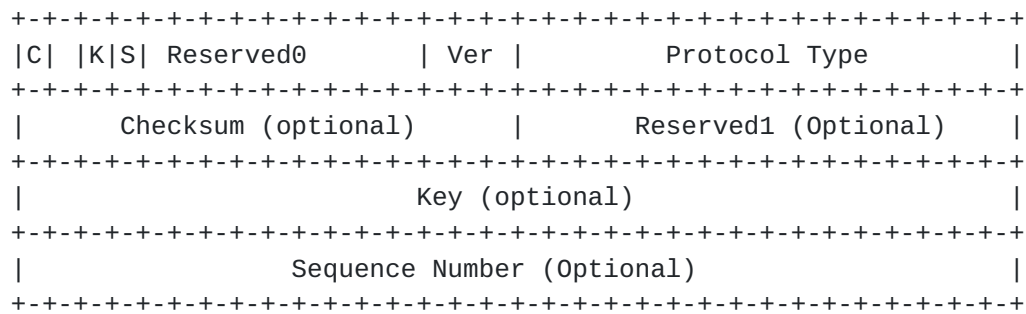
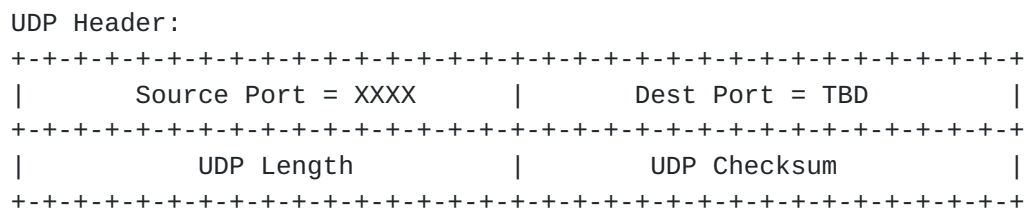
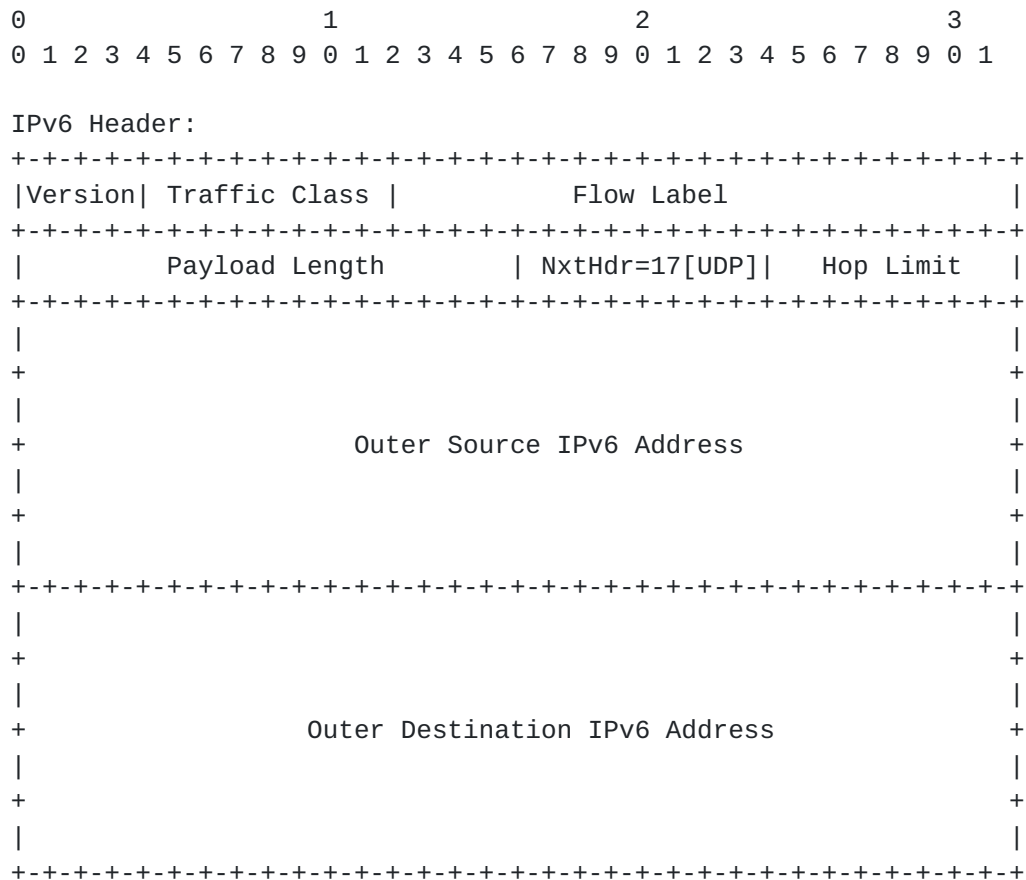


Figure 1: UDP+GRE IPv4 headers



GRE Header:

```

+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|C| |K|S| Reserved0          | Ver |          Protocol Type          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|          Checksum (optional)          |          Reserved1 (Optional)          |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     Key (optional)                                     |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|                                     Sequence Number (Optional)                                     |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+---+

```

Figure 2: UDP+GRE IPv6 headers

The total overhead increase for a UDP+GRE tunnel without use of optional GRE fields, representing the lowest total overhead increase, is 32 bytes in the case of IPv4 and 52 bytes in the case of IPv6. The total overhead increase for a UDP+GRE tunnel with use of GRE Key, Sequence and Checksum Fields, representing the highest total overhead increase, is 44 bytes in the case of IPv4 and 64 bytes in the case of IPv6.

4. Encapsulation Considerations

GRE-in-UDP encapsulation allows the tunneled traffic to be unicast, broadcast, or multicast traffic. Entropy may be generated from the header of tunneled unicast or broadcast/multicast packets at tunnel ingress. The mapping mechanism between the tunneled multicast traffic and the multicast capability in the IP network is transparent and independent to the encapsulation and is outside the scope of this document.

If tunnel ingress must perform fragmentation on a packet before encapsulation, it MUST use the same source UDP port for all packet fragments. This ensures that the transit routers will forward the packet fragments on the same path. GRE-in-UDP encapsulation introduces some overhead as mentioned in [section 3](#), which reduces the effective Maximum Transmission Unit (MTU) size. An operator should factor in this additional overhead bytes when considering an MTU size for the payload to reduce the likelihood of fragmentation.

To ensure the tunneled traffic gets the same treatment over the IP network, prior to the encapsulation process, tunnel ingress should process the payload to get the proper parameters to fill into the IP header such as DiffServ [[RFC2983](#)]. Tunnel end points that support ECN MUST use the method described in [[RFC6040](#)] for ECN marking propagation. This process is outside of the scope of this document.

Note that the IPv6 header [[RFC2460](#)] contains a flow label field that may be used for load balancing in an IPv6 network [[RFC6438](#)]. Thus in an IPv6 network, either GRE-in-UDP or flow labels may be used in order to improve load balancing performance. Use of GRE-in-UDP encapsulation provides a unified hardware implementation for load balancing in an IP network independent of the IP version(s) in use.

5. Backward Compatibility

It is assumed that tunnel ingress routers must be upgraded in order to support the encapsulations described in this document.

No change is required at transit routers to support forwarding of the encapsulation described in this document.

If a router that is intended for use as a tunnel egress does not support the GRE-in-UDP encapsulation described in this document, it will not be listening on destination port [TBD]. In these cases, the router will conform to normal UDP processing and respond to the tunnel ingress with an ICMP message indicating "port unreachable" according to [[RFC0792](#)]. Upon receiving this ICMP message, the tunnel ingress MUST NOT continue to use GRE-in-UDP encapsulation toward this tunnel egress without management intervention.

6. IANA Considerations

IANA is requested to make the following allocation: Service Name: GRE-in-UDP Transport Protocol(s): UDP Assignee: IESG iesg@ietf.org Contact: IETF Chair chair@ietf.org Description: GRE-in-UDP Encapsulation Reference: [This.I-D] Port Number: TBD Service Code: N/A Known Unauthorized Uses: N/A Assignment Notes: N/A

7. Security Considerations

7.1. Vulnerability

Neither UDP nor GRE encapsulation effects security for the payload protocol. When using GRE-in-UDP, Network Security in a network is similar to that of a network using GRE.

Use of ICMP for signaling of the GRE-in-UDP encapsulation capability adds a security concern. Tunnel ingress devices may want to validate the origin of ICMP Port Unreachable messages before taking action. The mechanism for performing this validation is out of the scope of this document.

In an instance where the UDP src port is not set based on the flow invariant fields from the payload header, a random port SHOULD be

selected in order to minimize the vulnerability to off-path attacks.
[RFC6056] How the src port randomization occurs is outside scope of
this document.

8. Acknowledgements

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