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**TCP's Reaction to Soft Errors**  
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Abstract

This document describes a non-standard, but widely implemented, modification to TCP's handling of ICMP soft error messages, that rejects pending connection-requests when those error messages are received. This behavior reduces the likelihood of long delays between connection establishment attempts that may arise in a number of scenarios, including one in which dual stack nodes that have IPv6 enabled by default are deployed in IPv4 or mixed IPv4 and IPv6 environments.

Gont  
1]

Expires June 3, 2009

[Page

Table of Contents

|                             |  |                    |
|-----------------------------|--|--------------------|
| <a href="#">1.</a>          | Introduction . . . . .   | <a href="#">3</a>  |
| <a href="#">2.</a>          | Error Handling in TCP . . . . .  | <a href="#">3</a>  |
| 2.1.                        | Reaction to ICMP error messages that indicate hard errors . . . . .                      | <a href="#">4</a>  |
| 2.2.                        | Reaction to ICMP error messages that indicate soft errors . . . . .                      | <a href="#">5</a>  |
| <a href="#">3.</a>          | Problems that may arise from TCP's reaction to soft errors . . . . .                     | <a href="#">5</a>  |
| <a href="#">3.1.</a>        | General Discussion . . . . .   | <a href="#">5</a>  |
| 3.2.                        | Problems that may arise with Dual Stack IPV6 on by Default . . . . .                     | <a href="#">6</a>  |
| 4.                          | Deployed workarounds for long delays between connection-establishment attempts . . . . . | <a href="#">7</a>  |
| <a href="#">4.1.</a>        | Context-sensitive ICMP/TCP interaction . . . . .   | <a href="#">7</a>  |
| 4.2.                        | Context-sensitive ICMP/TCP interaction with repeated confirmation . . . . .              | <a href="#">8</a>  |
| <a href="#">5.</a>          | Possible drawbacks of changing ICMP semantics . . . . .                                  | <a href="#">9</a>  |
| <a href="#">5.1.</a>        | Non-deterministic transient network failures . . . . .                                   | <a href="#">9</a>  |
| <a href="#">5.2.</a>        | Deterministic transient network failures . . . . .                                       | <a href="#">10</a> |
| <a href="#">5.3.</a>        | Non-compliant Network Address Translators (NATs) . . . . .                               | <a href="#">10</a> |
| <a href="#">6.</a>          | Security Considerations . . . . .  | <a href="#">10</a> |
| <a href="#">7.</a>          | IANA Considerations . . . . .  | <a href="#">11</a> |
| <a href="#">8.</a>          | Acknowledgements . . . . .   | <a href="#">11</a> |
| <a href="#">9.</a>          | Contributors . . . . .   | <a href="#">12</a> |
| <a href="#">10.</a>         | References . . . . .   | <a href="#">12</a> |
| <a href="#">10.1.</a>       | Normative References . . . . .   | <a href="#">12</a> |
| <a href="#">10.2.</a>       | Informative References . . . . .   | <a href="#">12</a> |
| <a href="#">Appendix A.</a> | Change log (to be removed before publication of the document as an RFC) . . . . .        | <a href="#">13</a> |

|           |  |   |
|-----------|--|---|
| <u>13</u> | <a href="#">A.1.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-08</a> . . . . . |
| <u>14</u> | <a href="#">A.2.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-07</a> . . . . . |
| <u>14</u> | <a href="#">A.3.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-06</a> . . . . . |
| <u>14</u> | <a href="#">A.4.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-05</a> . . . . . |
| <u>15</u> | <a href="#">A.5.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-04</a> . . . . . |
| <u>15</u> | <a href="#">A.6.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-03</a> . . . . . |
| <u>15</u> | <a href="#">A.7.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-02</a> . . . . . |
| <u>15</u> | <a href="#">A.8.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-01</a> . . . . . |
| <u>15</u> | <a href="#">A.9.</a>                                     | Changes from <a href="#">draft-ietf-tcpm-tcp-soft-errors-00</a> . . . . . |
| <u>15</u> | <a href="#">A.10.</a>                                    | Changes from <a href="#">draft-gont-tcpm-tcp-soft-errors-02</a> . . . . . |
| <u>15</u> | <a href="#">A.11.</a>                                    | Changes from <a href="#">draft-gont-tcpm-tcp-soft-errors-01</a> . . . . . |
| <u>15</u> | <a href="#">A.12.</a>                                    | Changes from <a href="#">draft-gont-tcpm-tcp-soft-errors-00</a> . . . . . |
| <u>16</u> | Author's Address . . . . .                               |   |
| <u>17</u> | Intellectual Property and Copyright Statements . . . . . |   |

## 1. Introduction

The handling of network failures can be separated into two different actions: fault isolation and fault recovery. Fault isolation consists of the actions that hosts and routers take to determine that there is a network failure. Fault recovery, on the other hand, consists of the actions that hosts and routers perform in an attempt to survive a network failure [[RFC0816](#)].

In the Internet architecture, the Internet Control Message Protocol (ICMP) [[RFC0792](#)] is one fault isolation technique to report network error conditions to the hosts sending datagrams over the network.

When a host is notified of a network error, its network stack will attempt to continue communications, if possible, in the presence of the network failure. The fault recovery strategy may depend on the type of network failure taking place, and the time the error condition is detected.

This document analyzes the problems that may arise due to TCP's fault recovery reactions to ICMP soft errors. It analyzes the problems that may arise when a host tries to establish a TCP connection with a multihomed host for which some of its addresses are unreachable. Additionally, it analyzes the problems that may arise in the specific scenario where dual stack nodes that have IPv6 enabled by default are deployed in IPv4 or mixed IPv4 and IPv6 environments.

Finally, we document a modification to TCP's reaction to ICMP messages indicating soft errors during connection startup, that has been implemented in a variety of TCP/IP stacks to help overcome the problems outlined below. We stress that this modification runs contrary to the standard behavior and this document unambiguously does not change the standard reaction.

[Gont] describes alternative approaches for dealing with the problem of long delays between connection-establishment attempts in TCP.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

## 2. Error Handling in TCP

Network errors can be divided into soft and hard errors. Soft errors are considered to be transient network failures, which are likely to

be solved in the near term. Hard errors, on the other hand, are considered to reflect network error conditions that are unlikely to

Gont  
3]

Expires June 3, 2009

[Page

be solved in the near future.

The Host Requirements RFC [[RFC1122](#)] states, in [Section 4.2.3.9.](#), that the ICMP messages that indicate soft errors are ICMP "Destination Unreachable" codes 0 (network unreachable), 1 (host unreachable), and 5 (source route failed), ICMP "Time Exceeded" codes 0 (time to live exceeded in transit) and 1 (fragment reassembly time exceeded), and ICMP "Parameter Problem". Even though ICMPv6 did not exist when [[RFC1122](#)] was written, one could extrapolate the concept of soft errors to ICMPv6 "Destination Unreachable" codes 0 (no route to destination) and 3 (address unreachable), ICMPv6 "Time Exceeded" codes 0 (Hop limit exceeded in transit) and 1 (Fragment reassembly time exceeded), and ICMPv6 "Parameter Problem" codes 0 (Erroneous header field encountered), 1 (Unrecognized Next Header type encountered) and 2 (Unrecognized IPv6 option encountered) [[RFC4443](#)].

| ICMP  | ICMPv6                                  |
|---|---|
| Destination Unreachable (codes 0, 1, and 5) | Destination Unreachable (codes 0 and 3) |
| Time Exceeded (codes 0 and 1)               | Time exceeded (codes 0 and 1)           |
| Parameter Problem                           | Parameter Problem (codes 0, 1, and 2)   |

Table 1: Extrapolating the concept of soft errors to ICMPv6

When there is a network failure that is not signaled to the sending host, such as a gateway corrupting packets, TCP's fault recovery action is to repeatedly retransmit the segment until either it gets acknowledged, or the connection times out.

In the case that a host does receive an ICMP error message referring to an ongoing TCP connection, the IP layer will pass this message up to the corresponding TCP instance to raise awareness of the network failure [[RFC1122](#)]. TCP's reaction to ICMP messages will depend on

the type of error being signaled.

### **2.1. Reaction to ICMP error messages that indicate hard errors**

When receiving an ICMP error message that indicates a hard error condition, compliant TCP implementations will simply abort the corresponding connection, regardless of the connection state.

The Host Requirements RFC [[RFC1122](#)] states, in [Section 4.2.3.9](#), that



TCP SHOULD abort connections when receiving ICMP error messages that indicate hard errors. This policy is based on the premise that, as hard errors indicate network error conditions that will not change in the near term, it will not be possible for TCP to usefully recover from this type of network failure.

It should be noted that virtually all current TCP implementations do not follow the advice in [[RFC1122](#)], and do not abort the corresponding connection when an ICMP hard error is received for connection that is in any of the synchronized states [[I-D.ietf-tcpm-icmp-attacks](#)].

## **2.2. Reaction to ICMP error messages that indicate soft errors**

If an ICMP error message is received that indicates a soft error, TCP will repeatedly retransmit the segment until it either gets acknowledged or the connection times out. In addition, the TCP sender may record the information for possible later use [[Stevens](#)] (pp. 317-319).

The Host Requirements RFC [[RFC1122](#)] states, in [Section 4.2.3.9](#), that TCP MUST NOT abort connections when receiving ICMP error messages that indicate soft errors. This policy is based on the premise that, as soft errors are transient network failures that will hopefully be solved in the near term, one of the retransmissions will succeed.

When the connection timer expires, and an ICMP soft error message has been received before the timeout, TCP can use this information to provide the user with a more specific error message [[Stevens](#)] (pp. 317-319).

This reaction to soft errors exploits the valuable feature of the Internet that for many network failures, the network can be dynamically reconstructed without any disruption of the endpoints.

## **3. Problems that may arise from TCP's reaction to soft errors**

### **3.1. General Discussion**

Even though TCP's fault recovery strategy in the presence of soft errors allows for TCP connections to survive transient network failures, there are scenarios in which this policy may cause undesirable effects.

For example, consider a scenario in which an application on a local host is trying to communicate with a destination whose name resolves to several IP addresses. The application on the local host will try

Gont  
5]

Expires June 3, 2009

[Page

to establish a connection with the destination host, usually cycling through the list of IP addresses, until one succeeds [[RFC1123](#)]. Suppose that some (but not all) of the addresses in the returned list are permanently unreachable. If such a permanently unreachable address is the first in the list, the application will likely try to use the permanently unreachable address first and block waiting for a timeout before trying an alternate address.

As discussed in [Section 2](#), this unreachability condition may or may not be signaled to the sending host. If the local TCP is not signaled concerning the error condition, there is very little that can be done other than repeatedly retransmit the SYN segment, and wait for the existing timeout mechanism in TCP, or an application timeout, to be triggered. However, even if unreachability is signaled by some intermediate router to the local TCP by means of an ICMP soft error message, the local TCP will still repeatedly retransmit the SYN segment until the connection timer expires (in the hopes that the error is transient). The Host Requirements RFC [[RFC1122](#)] states that this timer MUST be large enough to provide retransmission of the SYN segment for at least 3 minutes. This would mean that the application on the local host would spend several minutes for each unreachable address it uses for trying to establish the TCP connection. These long delays between connection establishment attempts would be inappropriate for many interactive applications such as the web. [[Shneiderman](#)] and [[Thadani](#)] offer some insight into interactive systems (e.g., how the response time affects the usability of an application). This highlights that there is no one definition of a "transient error" and that the level of persistence in the face of failure represents a tradeoff.

It is worth noting that while most applications try the addresses returned by the name-to-address function in serial, this is certainly not the only possible approach. For example, applications could try multiple addresses in parallel until one succeeds, possibly avoiding the problem of long delays between connection establishment attempts described in this document.

### **3.2. Problems that may arise with Dual Stack IPv6 on by Default**

A particular scenario in which the above sketched type of problem may occur regularly is that where dual stack nodes that have IPv6 enabled by default are deployed in IPv4 or mixed IPv4 and IPv6 environments, and the IPv6 connectivity is non-existent [[RFC4943](#)].

As discussed in [[RFC4943](#)], there are two possible variants of this scenario, which differ in whether the lack of connectivity is signaled to the sending node, or not.

In those scenarios in which packets sent to a destination are silently dropped and no ICMPv6 [[RFC4443](#)] errors are generated, there is little that can be done other than waiting for the existing connection timeout mechanism in TCP, or an application timeout, to be triggered.

In scenarios where a legacy node has no default routers and Neighbor Unreachability Detection (NUD) [[RFC4861](#)] fails for destinations assumed to be on-link, or where firewalls or other systems that enforce scope boundaries send ICMPv6 errors, the sending node will be signaled of the unreachability problem. However, as discussed in [Section 2.2](#), standard TCP implementations will not abort connections when receiving ICMP error messages that indicate soft errors.

#### **4. Deployed workarounds for long delays between connection-establishment attempts**

The following subsections describe a number of workarounds for the problem of long delays between connection-establishment attempts that have been implemented in a variety of TCP/IP stacks. We note that treating soft errors as hard errors during connection establishment, while widespread, is not part of standard TCP behavior and this document does not change that state of affairs. The TCPM WG (TCP Maintenance and Minor Extensions Working Group) consensus was to document this widespread implementation of nonstandard TCP behavior, but to not change the TCP standard.

##### **4.1. Context-sensitive ICMP/TCP interaction**

As discussed in [Section 1](#), it may make sense for the fault recovery action to depend not only on the type of error being reported, but also on the state of the connection against which the error is reported. For example, one could infer that when an error arrives in response to opening a new connection, it is probably caused by opening the connection improperly, rather than by a transient network failure [[RFC0816](#)].

A number of TCP implementations have modified their reaction to all ICMP soft errors, to treat them as hard errors when they are received for connections in the SYN-SENT or SYN-RECEIVED states. For example, this workaround has been implemented in the Linux kernel since version 2.0.0 (released in 1996) [[Linux](#)]. However, it should be noted that this change violates [section 4.2.3.9 of \[RFC1122\]](#), which states that these ICMP error messages indicate soft error conditions

and therefore TCP MUST NOT abort the corresponding connection.

[RFC3168] states that a host that receives a RST in response to the

transmission of an ECN-setup SYN packet MAY resend a SYN with CWR and ECE cleared. This is meant to deal with faulty middle-boxes that reject connections when a SYN segment has the ECE and CWR bits set. Some faulty middle-boxes (e.g., firewalls) may reject connections with an ICMP soft error of type 3 (Destination Unreachable), code 0 (net unreachable) or 1 (host unreachable), instead of an RST. Therefore a system that processes ICMP error messages as hard errors when they are received for a connection in any of the non-synchronized states could resend the SYN segment with the ECE and CWR bits cleared when an ICMP "net unreachable" (type 3, code 0) or "host unreachable" (type 3, code 1) error message is received in response to a SYN segment that had these bits set.

[Section 4.2](#) discusses a more conservative approach than that sketched above, that is implemented in FreeBSD.

#### **[4.2.](#) Context-sensitive ICMP/TCP interaction with repeated confirmation**

A more conservative approach than simply treating soft errors as hard errors as described above would be to abort a connection in the SYN-SENT or SYN-RECEIVED states only after an ICMP soft error has been received a specified number of times, and the SYN segment has been retransmitted more than some specified number of times.

Two new parameters would have to be introduced to TCP, to be used only during the connection-establishment phase: MAXSYNREXMIT and MAXSOFTERROR. MAXSYNREXMIT would specify the number of times the SYN segment would have to be retransmitted before a connection is aborted. MAXSOFTERROR would specify the number of ICMP messages indicating soft errors that would have to be received before a connection is aborted.

Two additional state variables would need to be introduced to store additional state information during the connection-establishment phase: "nsynrexmit" and "nsofterror". Both would be initialized to zero when a connection attempt is initiated, with "nsynrexmit" being incremented by one every time the SYN segment is retransmitted and "nsofterror" being incremented by one every time an ICMP message that indicates a soft error is received.

A connection in the SYN-SENT or SYN-RECEIVED states would be aborted if "nsynrexmit" was greater than MAXSYNREXMIT and "nsofterror" was simultaneously greater than MAXSOFTERROR.

This approach would give the network more time to solve the

connectivity problem than simply aborting a connection attempt upon reception of the first soft error. However, it should be noted that depending on the values chosen for the MAXSYNREXMIT and MAXSOFTERROR

Gont  
8]

Expires June 3, 2009

[Page



parameters, this approach could still lead to long delays between connection establishment attempts, thus not solving the problem.

For

example, BSD systems abort connections in the SYN-SENT or the SYN-RECEIVED state when a second ICMP error is received, and the SYN segment has been retransmitted more than three times. They also set up a "connection-establishment timer" that imposes an upper limit on the time the connection establishment attempt has to succeed, which expires after 75 seconds [[Stevens2](#)] (pp. 828-829). Even when this policy may be better than the three-minutes timeout policy specified in [[RFC1122](#)], it may still be inappropriate for handling the potential problems described in this document. This more conservative approach has been implemented in BSD systems for more than ten years [[Stevens2](#)].

We also note that the approach given in this section is a generalized

version of the approach sketched in the previous section. In particular, with MAXSOFTERROR set to 1 and MAXSYNREXMIT set to zero the schemes are identical.

## **5. Possible drawbacks of changing ICMP semantics**

The following subsections discuss some of the possible drawbacks arising from the use of the non-standard modifications to TCP's reaction to soft errors described in [Section 4.1](#) and [Section 4.2](#).

### **5.1. Non-deterministic transient network failures**

In scenarios where a transient network failure affects all of the addresses returned by the name-to-address translation function, all destinations could be unreachable for some short period of time.

For

example, a mobile system consisting of a cell and a repeater may pass through a tunnel, leading to a loss of connectivity at the repeater, with the repeater sending ICMP soft errors back to the cell. Also, transient routing problem might lead some intervening router to drop a SYN segment that was meaning to establish a TCP connection and send an ICMP soft error back to the host. Finally, a SYN segment carrying data might get fragmented and some of the resulting fragments might get lost, with the destination host timing out the reassembly process and sending an ICMP soft error back to the sending host (although this particular scenario is unlikely because, while the [[RFC0793](#)] allows SYN segments to carry data, in practice they do not). In such scenarios, the application could quickly cycle through all the IP addresses in the list and return an error, when it could have let

TCP

retry a destination a few seconds later, when the transient problem could have disappeared. In this case, the modifications described here make TCP less robust than a standards-compliant implementation.

Gont  
9]

Expires June 3, 2009

[Page

Additionally, in many cases a domain name maps to a single IP address. In such a case, it might be better to try that address persistently according to normal TCP rules, instead of just aborting the pending connection upon receipt of an ICMP soft error.

## **5.2. Deterministic transient network failures**

There are some scenarios in which transient network failures could be deterministic. For example, consider a scenario in which upstream network connectivity is triggered by network use. That is, network connectivity is instantiated only on an "as needed" basis. In this scenario, the connection triggering the upstream connectivity could deterministically receive ICMP Destination Unreachables while the upstream connectivity is being activated, and thus would be aborted. Again, in this case, the modifications described here make TCP less robust than a standards-compliant implementation.

## **5.3. Non-compliant Network Address Translators (NATs)**

Some NATs respond to an unsolicited inbound SYN segment with an ICMP soft error message. If the system sending the unsolicited SYN segment implements the workaround described in this document, it will abort the connection upon receipt of the ICMP error message, thus probably preventing TCP's simultaneous open through the NAT from succeeding. However, it must be stressed that those NATs described in this section are not BEHAVE-compliant, and therefore should implement REQ-4 of [[RFC5382](#)] instead.

In those scenarios in which such a non-BEHAVE-compliant NAT is deployed, TCP simultaneous open could fail. While undesirable, this is tolerable in many situations. For instance, a number of host implementations of TCP do not support TCP simultaneous opens [[Zuquete](#)].

## **6. Security Considerations**

This document describes a non-standard modification to TCP's reaction to soft errors that has been implemented in a variety of TCP implementations. This modification makes TCP abort a connection in the SYN-SENT or the SYN-RECEIVED states when it receives an ICMP error message that indicates a soft error. Therefore, the modification could be exploited to reset valid connections during the connection-establishment phase.

The non-standard workaround described in this document makes TCP more vulnerable to attack, even if only slightly. However, we note that

an attacker wishing to reset ongoing TCP connections could send any

Gont  
10]

Expires June 3, 2009

[Page

of the ICMP hard error messages in any connection state.

Generally, TCP backs off its retransmission timer each time it retransmits the SYN segment for the same connection. If a TCP implements the modification described in this document, that is, tries the next address in the list upon receipt of an ICMP error message, it might end up injecting more packets into the network than if it had simply retried the same address a number of times. However, compliant TCP implementations might already incur into this behaviour (e.g., as a result of cycling through the list of IP addresses in response to RST segments) as there are currently no recommendations on methods for limiting the rate at which SYN segments are sent for connecting to a specific destination.

A discussion of the use of ICMP to perform a variety of attacks against TCP, and a number of counter-measures that minimize the impact of these attacks can be found in [[I-D.ietf-tcpm-icmp-attacks](#)].

A discussion of the security issues arising from the use of ICMPv6 can be found in [[RFC4443](#)].

## **7. IANA Considerations**

This document has no actions for IANA.

## **8. Acknowledgements**

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Gont  
11]

Expires June 3, 2009

[Page

## 9. Contributors

Mika Liljeberg was the first to describe how their implementation treated soft errors. Based on that, the solution discussed in [Section 4.1](#) was documented in [[I-D.ietf-v6ops-v6onbydefault](#)] by Sebastien Roy, Alain Durand and James Paugh.

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## **Appendix A. Change log (to be removed before publication of the document as an RFC)**

### **A.1. Changes from [draft-ietf-tcpm-tcp-soft-errors-08](#)**

- o Addresses Last Call feedback from David Black: Minor tweaks in  
the

[Section 1](#), [Section 5.3](#), and [Section 4.1](#) (ECN).

Gont  
13]

Expires June 3, 2009

[Page

- o Addresses Last Call feedback from Jinmei Tatuya: (on-link assumption has been deprecated)
- o Addresses Last Call feedback from Sandy Murphy: clarifies that reaction to ICMP soft errors applies to all ICMP soft errors, expands [Section 5.1](#), and clarifies [Section 4.1](#) (ECN).
- o Minor editorial changes

#### **A.2. Changes from [draft-ietf-tcpm-tcp-soft-errors-07](#)**

- o Fixes id nits.

#### **A.3. Changes from [draft-ietf-tcpm-tcp-soft-errors-06](#)**

- o Added a paragraph (in [Section 4.1](#)) about the interaction of the described modification with ECN-enabled connections
- o Added a paragraph (in [Section 6](#)) about the possible scenario in which a host injects SYN segments into the network at a high rate,  
in response to ICMP soft errors.
- o Miscellaneous editorial changes

#### **A.4. Changes from [draft-ietf-tcpm-tcp-soft-errors-05](#)**

- o Miscellaneous edits, clarifications, and reorganization of both workarounds into a single top-level section, as suggested by Pasi Sarolahti.
- o Added note on non-compliant NATs, as suggested by Ted Faber and Saikat Guha
- o Miscellaneous edits suggested by Gorry Fairhurst
- o Added a table to clarify how to extrapolate the concept of ICMPv4 "soft errors" to ICMPv6 (as suggested by Arifumi Matsumoto and Gorry Fairhurst).
- o Miscellaneous edits, clarification on alternative approach by sending connection requests in parallel, example of mobile system (for non-deterministic errors), and note on the possible impact of the workarounds on TCP's robustness (as suggested by Joe Touch)

Gont  
14]

Expires June 3, 2009

[Page

**A.5.** Changes from [draft-ietf-tcpm-tcp-soft-errors-04](#)

- o Addresses feedback sent by Carlos Pignataro (adds missing error codes in [Section 2](#), and fixes a number of typos/writeos).

**A.6.** Changes from [draft-ietf-tcpm-tcp-soft-errors-03](#)

- o Addresses feedback sent by Ted Faber and Gorry Fairhurst (miscellaneous editorial changes).

**A.7.** Changes from [draft-ietf-tcpm-tcp-soft-errors-02](#)

- o Moved appendix on FreeBSD's approach to the body of the draft.
- o Removed rest of the appendix, as suggested by Ron Bonica and Mark Allman.
- o Reworded some parts of the document to make the text more neutral.
- o Miscellaneous editorial changes.

**A.8.** Changes from [draft-ietf-tcpm-tcp-soft-errors-01](#)

- o Addressed feedback posted by Sally Floyd (remove sentence in [Section 2.1](#) regarding processing of RST segments)

**A.9.** Changes from [draft-ietf-tcpm-tcp-soft-errors-00](#)

- o Miscellaneous editorial changes

**A.10.** Changes from [draft-gont-tcpm-tcp-soft-errors-02](#)

- o Draft resubmitted as [draft-ietf](#).
- o Miscellaneous editorial changes

**A.11.** Changes from [draft-gont-tcpm-tcp-soft-errors-01](#)

- o Changed wording to describe the mechanism, rather than proposing it
- o Miscellaneous editorial changes

**A.12.** Changes from [draft-gont-tcpm-tcp-soft-errors-00](#)

- o Added reference to the Linux implementation in [Section 4.1](#)



- o Added [Section 5](#)
- o Added section on Higher-Level API
- o Added [Section 4.2](#)
- o Moved section "Asynchronous Application Notification" to Appendix
- o Added section on parallel connection requests
- o Miscellaneous editorial changes

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Gont  
17]

Expires June 3, 2009

[Page