Ambisonics in an Ogg Opus Container

Abstract

This document defines an extension to the Opus audio codec to encapsulate coded Ambisonics using the Ogg format. It also contains updates to RFC 7845 to reflect necessary changes in the description of channel mapping families.

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1. Introduction

Ambisonics is a representation format for three-dimensional sound fields that can be used for surround sound and immersive virtual-reality playback. See [fellgett75] and [daniel04] for technical details on the Ambisonics format. For the purposes of the this document, Ambisonics can be considered a multichannel audio stream. A separate stereo stream can be used alongside the Ambisonics in a head-tracked virtual reality experience to provide so-called non-diegetic audio -- that is, audio that should remain unchanged by rotation of the listener's head, such as narration or stereo music. Ogg is a general-purpose container, supporting audio, video, and other media. It can be used to encapsulate audio streams coded using the Opus codec. See [RFC6716] and [RFC7845] for technical details on the Opus codec and its encapsulation in the Ogg container, respectively.

This document extends the Ogg Opus format by defining two new channel mapping families for encoding Ambisonics. The Ogg Opus format is extended indirectly by adding items with values 2 and 3 to the "Opus Channel Mapping Families" IANA registry. When 2 or 3 are used as the Channel Mapping Family Number in an Ogg stream, the semantic meaning of the channels in the multichannel Opus stream is one of the Ambisonics layouts defined in this document. This mapping can also be used in other contexts that make use of the channel mappings defined by the "Opus Channel Mapping Families" registry.
Furthermore, mapping families 240 through 254 (inclusively) are reserved for experimental use.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

3. Ambisonics with Ogg Opus

Ambisonics can be encapsulated in the Ogg format by encoding with the Opus codec and setting the channel mapping family value to 2 or 3 in the Ogg identification (ID) header. A demuxer implementation encountering channel mapping family 2 or 3 MUST interpret the Opus stream as containing Ambisonics with the format described in Sections 3.1 or 3.2, respectively.

3.1. Channel Mapping Family 2

This channel mapping uses the same channel mapping table format used by channel mapping family 1. The output channels are Ambisonic components ordered in Ambisonic Channel Number (ACN) order (which is defined in Figure 1) followed by two optional channels of non-diegetic stereo indexed (left, right). The terms "order" and "degree" are defined according to [ambix].

\[
ACN = n \times (n + 1) + m, \\
\text{for order } n \text{ and degree } m.
\]

Figure 1: Ambisonic Channel Number (ACN)

For the Ambisonic channels, the ACN component corresponds to channel index as \( k = ACN \). The reverse correspondence can also be computed for an Ambisonic channel with index \( k \).

\[
\text{order } n = \text{floor}(\sqrt{k}),  \\
\text{degree } m = k - n \times (n + 1).
\]

Figure 2: Ambisonic Degree and Order from ACN

Note that channel mapping family 2 allows for so-called mixed-order Ambisonic representation, in which only a subset of the full Ambisonic order number of channels is encoded. By specifying the full number in the channel count field, the inactive ACNs can then be indicated in the channel mapping field using the index 255.
Ambisonic channels are normalized with Schmidt Semi-Normalization (SN3D). The interpretation of the Ambisonics signal as well as detailed definitions of ACN channel ordering and SN3D normalization are described in [ambix], Section 2.1.

### 3.2. Channel Mapping Family 3

In this mapping, C output channels (the channel count) are generated at the decoder by multiplying K = N + M decoded channels with a designated demixing matrix, D, having C rows and K columns (C and K do not have to be equal). Here, N denotes the number of streams encoded, and M is the number of these encoded streams that are coupled to produce two channels. As for channel mapping family 2, this mapping family also allows for the encoding and decoding of full-order Ambisonics and mixed-order Ambisonics, as well as non-diegetic stereo channels. Furthermore, it has the added flexibility of mixing channels. Let X denote a column vector containing K decoded channels X1, X2, ..., XK (from N streams), and let S denote a column vector containing C output streams S1, S2, ..., SC. Then, S = D X, as shown in Figure 3.

```
\[ S1 \quad | \quad D11 \quad D12 \quad \ldots \quad D1K \quad | \quad X1 \] 
| S2 \quad | \quad D21 \quad D22 \quad \ldots \quad D2K \quad | \quad X2 \] 
| \ldots \quad = \quad \ldots \quad \ldots \quad \ldots \quad | \quad \ldots \] 
| SC \quad | \quad DC1 \quad DC2 \quad \ldots \quad DCK \quad | \quad XK \] 
```

Figure 3: Demixing in Channel Mapping Family 3

The matrix MUST be provided in the channel mapping table part of the identification header; see Section 5.1.1 of [RFC7845]. The matrix replaces the need for a channel mapping field; for channel mapping family 3, the mapping table has the following layout:

```
| Stream Count | Coupled Count | Demixing Matrix |
+----------------+----------------+-----------------+
| 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 | ++++++++ | ++++++++          |
| ++++++++ | +---------------- | ++++++++          |
```

Figure 4: Channel Mapping Table for Channel Mapping Family 3
The fields in the channel mapping table have the following meaning:

1. Stream Count "N" (8 bits, unsigned):
   
   This is the total number of streams encoded in each Ogg packet.

2. Coupled Stream Count "M" (8 bits, unsigned):
   
   This is the number of the N streams whose decoders are to be configured to produce two channels (stereo).

3. Demixing Matrix (16*K*C bits, signed):
   
   The coefficients of the demixing matrix stored in column-major order as 16-bit, signed, two's complement fixed-point values with 15 fractional bits (Q15), little endian. If needed, the output gain field can be used for a normalization scale. For mixed-order Ambisonic representations, the silent ACN channels are indicated by all zeros in the corresponding rows of the mixing matrix. This also allows for mixed order with non-diegetic stereo as the number of columns implies the presence of non-diegetic channels.

Note that [RFC7845] specifies that the identification header cannot exceed one "page", which is 65,025 octets. This limits the Ambisonic order, which then MUST be lower than 12, if full order is utilized and the number of coded streams is the same as the Ambisonic order plus the two non-diegetic channels. The total output channel number, C, MUST be set in the third field of the identification header.

3.3. Allowed Numbers of Channels

For both channel mapping families 2 and 3, the allowed numbers of channels are \((1 + n)^2 + 2j\) for \(n = 0, 1, \ldots, 14\) and \(j = 0\) or \(1\), where \(n\) denotes the (highest) Ambisonic order and \(j\) denotes whether or not there is a separate non-diegetic stereo stream. This corresponds to periphonic Ambisonics from zeroth to fourteenth order plus potentially two channels of non-diegetic stereo. Explicitly, the allowed number of channels are 1, 3, 4, 6, 9, 11, 16, 18, 25, 27, 36, 38, 49, 51, 64, 66, 81, 83, 100, 102, 121, 123, 144, 146, 169, 171, 196, 198, 225, and 227. Note again that if full Ambisonic order is used and the number of coded streams is the same as the Ambisonic order plus the two non-diegetic channels, the order must then be lower than 12, due to the identification header length limit.
4. Downmixing

The downmixing matrices in this section are only examples known to give acceptable results for stereo downmixing from Ambisonics, but other mixing strategies will be allowed, e.g., to emphasize a certain panning.

An Ogg Opus player MAY use the matrix in Figure 5 to implement downmixing from multichannel files using channel mapping families 2 and 3 when there is no non-diegetic stereo. The first and second Ambisonic channels are known as "W" and "Y", respectively. The omitted coefficients in the matrix in the figure have the value 0.0.

\[
\begin{bmatrix}
  L & 0.5 & 0.5 & 0.0 & \ldots & W \\
  R & 0.5 & -0.5 & 0.0 & \ldots & Y \\
\end{bmatrix}
\]

Figure 5: Stereo Downmixing Matrix for Channel Mapping Families 2 and 3 - Only Ambisonic Channels

The first Ambisonic channel (W) is a mono audio stream that represents the average audio signal over all directions. Since W is not directional, Ogg Opus players MAY use W directly for mono playback.

If a non-diegetic stereo track is present, the player MAY use the matrix in Figure 6 for downmixing. Ls and Rs denote the two non-diegetic stereo channels.

\[
\begin{bmatrix}
  L & 0.25 & 0.25 & 0.0 & \ldots & 0.5 & 0.0 & W \\
  R & 0.25 & -0.25 & 0.0 & \ldots & 0.0 & 0.5 & Y \\
\end{bmatrix}
\]

\[
\begin{bmatrix}
  Ls \\
  Rs \\
\end{bmatrix}
\]

Figure 6: Stereo Downmixing Matrix for Channel Mapping Families 2 and 3 - Ambisonic Channels Plus a Non-Diegetic Stereo Stream
5. Updates to RFC 7845

5.1. Format of the Channel Mapping Table

The language in Section 5.1.1 of [RFC7845] (copied below) implies that the channel mapping table, when present, has a fixed format for all channel mapping families:

```
The order and meaning of these channels are defined by a channel mapping, which consists of the 'channel mapping family' octet and, for channel mapping families other than family 0, a 'channel mapping table', as illustrated in Figure 3.
```

This document updates [RFC7845] to clarify that the format of the channel mapping table may depend on the channel mapping family:

```
The order and meaning of these channels are defined by a channel mapping, which consists of the 'channel mapping family' octet and for channel mapping families other than family 0, a 'channel mapping table'.
```

The format of the channel mapping table depends on the channel mapping family. Unless the channel mapping family requires a custom format for its channel mapping table, the RECOMMENDED channel mapping table format for new mapping families is illustrated in Figure 3.

The change above is not meant to change how families 1 and 255 currently work. To ensure that, the first paragraph of Section 5.1.1.2 is changed from:

```
Allowed numbers of channels: 1...8. Vorbis channel order (see below).
```

to:

```
Allowed numbers of channels: 1...8, with the mapping specified according to Figure 3. Vorbis channel order (see below).
```

Similarly, the first paragraph of Section 5.1.1.3 is changed from:

```
Allowed numbers of channels: 1...255. No defined channel meaning.
```

to:

```
Allowed numbers of channels: 1...255, with the mapping specified according to Figure 3. No defined channel meaning.
```
5.2. Unknown Mapping Families

The treatment of unknown mapping families is changed slightly. Section 5.1.1.4 of [RFC7845] states:

The remaining channel mapping families (2...254) are reserved. A demuxer implementation encountering a reserved 'channel mapping family' value SHOULD act as though the value is 255.

This is changed to:

The remaining channel mapping families (2...254) are reserved. A demuxer implementation encountering a 'channel mapping family' value that it does not recognize SHOULD NOT attempt to decode the packets and SHOULD NOT use any information except for the first 19 octet of the ID header packet (Figure 2) and the comment header (Figure 10).

6. Experimental Mapping Families

To make development of new mapping families easier while reducing the risk of creating compatibility issues with non-final versions of mapping families, mapping families 240 through 254 (inclusively) are now reserved for experiments and implementations of in-development families. Note that these mapping-family experiments are not restricted to Ambisonics. Implementers SHOULD attempt to use experimental family numbers that have not recently been used and SHOULD advertise what experimental numbers they use (e.g., for Internet-Drafts).

The Ambisonics mapping experiments that led to this document used experimental family 254 for family 2 and experimental family 253 for family 3.

7. Security Considerations

Implementations of the Ogg container need to take appropriate security considerations into account, as outlined in Section 8 of [RFC7845]. The extension defined in this document requires that semantic meaning be assigned to more channels than the existing Ogg format requires. Since more allocations will be required to encode and decode these semantically meaningful channels, care should be taken in any new allocation paths. Implementations MUST NOT overrun their allocated memory nor read from uninitialized memory when managing the Ambisonic channel mapping.
IANA has added 17 new assignments to the "Opus Channel Mapping Families" registry.

<table>
<thead>
<tr>
<th>Value</th>
<th>Description</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Mono, L/R stereo</td>
<td>Section 5.1.1.1 of [RFC7845], Section 5 of this document</td>
</tr>
<tr>
<td>1</td>
<td>1-8 channel surround</td>
<td>Section 5.1.1.2 of [RFC7845], Section 5 of this document</td>
</tr>
<tr>
<td>2</td>
<td>Ambisonics as individual channels</td>
<td>Section 3.1 of this document</td>
</tr>
<tr>
<td>3</td>
<td>Ambisonics with demixing matrix</td>
<td>Section 3.2 of this document</td>
</tr>
<tr>
<td>240-254</td>
<td>Experimental use</td>
<td>Section 6 of this document</td>
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<tr>
<td>255</td>
<td>Discrete channels</td>
<td>Section 5.1.1.3 of [RFC7845], Section 5 of this document</td>
</tr>
</tbody>
</table>

9. References

9.1. Normative References


9.2. Informative References


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