Concise Binary Object Representation (CBOR) Tags and Techniques for Object Identifiers, UUIDs, Enumerations, Binary Entities, Regular Expressions, and Sets

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Abstract

The Concise Binary Object Representation (CBOR, RFC 7049) is a data format whose design goals include the possibility of extremely small code size, fairly small message size, and extensibility without the need for version negotiation.

Useful tags and techniques have emerged since the publication of RFC 7049; the present document makes use of CBOR’s built-in major types to define and refine several useful constructs, without changing the wire protocol. This document adds object identifiers (OIDs) to CBOR with CBOR tags <<O>> and <<R>> [values TBD]. It is intended as the reference document for the IANA registration of the CBOR tags so defined. Useful techniques for enumerations and sets are presented (without new tags). As the documentation for binary UUIDs (tag 37), MIME entities (tag 36) and regular expressions (tag 35) RFC 7049 left much out, this document provides more comprehensive specifications.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

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This Internet-Draft will expire on September 14, 2017.
1. Introduction

The Concise Binary Object Representation (CBOR, [RFC7049]) provides for the interchange of structured data without a requirement for a pre-agreed schema. RFC 7049 defines a basic set of data types, as
well as a tagging mechanism that enables extending the set of data types supported via an IANA registry.

Useful tags and techniques have emerged since the publication of [RFC7049]. This document makes use of CBOR’s built-in major types to provide for several useful constructs without changing the wire protocol.

The original focus of this work was to add support for object identifiers (OIDs, [X.660]), which many IETF protocols carry. The ASN.1 Basic Encoding Rules (BER, [X.690]) specify the binary encodings of both object identifiers and relative object identifiers. The contents of these encodings can be carried in a CBOR byte string. This document defines two CBOR tags that cover the two kinds of ASN.1 object identifiers encoded in this way. The tags can also be applied to arrays and maps for more articulated identification purposes. It is intended as the reference document for the IANA registration of the tags so defined. To promote the use and usefulness of OIDs in CBOR, a new arc is also proposed.

This document covers several useful techniques that have been or are being developed as implementers are applying CBOR to practical problems. Enumerations have found wide utility in CBOR, despite CBOR’s lack of a native enumerated type. A section covers the advantages of choosing built-in types, with additional consideration for using the newly-defined object identifier (OID) and universally unique identifier (UUID) types in enumerations. CBOR also lacks a native set type (in the mathematical sense of an arbitrary unordered collection of items), but has a more powerful alternative in its native map type. A section covers how to adapt the map type to express set and multiset semantics.

Finally, this document covers the semantics of existing tags in [RFC7049] that were somewhat underspecified. "Tag 36 is for MIME messages", but the reference [RFC2045] actually defines a different construct, the MIME entity, that finds expression in a variety of message-oriented Internet protocols. Similarly, "Tag 35 is for regular expressions", but the references to Perl Compatible Regular Expressions (PCRE) and JavaScript syntax (ECMA-262) are not compatible with each other. Two sections cover the subtleties of items tagged with these tags, and so update [RFC7049] without changing the basic CBOR wire protocol. One section enhances UUIDs.

1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and
"OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

The terminology of RFC 7049 applies; in particular the term "byte" is used in its now customary sense as a synonym for "octet".

2. Object Identifiers

The International Object Identifier tree [X.660] is a hierarchically managed space of identifiers, each of which is uniquely represented as a sequence of primary integer values [X.680]. While these sequences can easily be represented in CBOR arrays of unsigned integers, a more compact representation can often be achieved by adopting the widely used representation of object identifiers defined in BER; this representation may also be more amenable to processing by other software making use of object identifiers.

BER represents the sequence of unsigned integers by concatenating self-delimiting [RFC6256] representations of each of the primary integer values in sequence.

ASN.1 distinguishes absolute object identifiers (ASN.1 Type "OBJECT IDENTIFIER"), which begin at a root arc ([X.660] Clause 3.5.21), from relative object identifiers (ASN.1 Type "RELATIVE-OID"), which begin relative to some object identifier known from context ([X.680] Clause 3.8.63). As a special optimization, BER combines the first two integers in an absolute object identifier into one numeric identifier by making use of the property of the hierarchy that the first arc has only three integer values (0, 1, and 2), and the second arcs under 0 and 1 are limited to the integer values between 0 and 39. (The root arc "joint-iso-itu-t(2)" has no such limitations on its second arc.) If X and Y are the first two integers, the single integer actually encoded is computed as:

\[ X \times 40 + Y \]

The inverse transformation (again making use of the known ranges of X and Y) is applied when decoding the object identifier.

Since the semantics of absolute and relative object identifiers differ, this specification defines two tags:

Tag <<O>> (value TBD): tags a byte string as the [X.690] encoding of an absolute object identifier (simply "object identifier" or "OID").

Tag <<R>> (value TBD): tags a byte string as the [X.690] encoding of a relative object identifier (also "relative OID").
2.1. Requirements on the byte string being tagged

A byte string tagged by <<O>> or <<R>> MUST be a syntactically valid
BER representation of an object identifier. Specifically:

- its first byte, and any byte that follows a byte that has the most
  significant bit unset, MUST NOT be 0x80 (this requirement excludes
  expressing the primary integer values with anything but the
  shortest form)

- its last byte MUST NOT have the most significant bit set (this
  requirement excludes an incomplete final primary integer value)

If either of these invalid conditions are encountered, they MUST be
handled as decoding errors. Comparing two OIDs or relative OIDs for
equality in a byte-for-byte fashion may not be safe before these
checks succeed on at least one of them (this includes the case where
one of them is a local constant); a process implementing an exclusion
list MUST check for decoding errors first.

[X.680] restricts RELATIVE-OID values to have at least one arc. This
specification permits empty relative object identifiers; they may
still be excluded by application semantics.

[RFC7049] permits byte strings to be indefinite-length, with chunks
divided at arbitrary byte boundaries. This contrasts with text
strings, where each chunk in an indefinite-length text string is
required to be well-formed UTF-8 on its own: splitting the octets of a
UTF-8 character encoding between chunks is not allowed.

By analogy to this principle and to Clauses 8.9.1 and 8.20.1 of
[X.690], the byte strings carrying the OIDs and relative OIDs are
also to be treated as indivisible units: They MUST be encoded in
definite-length form; indefinite-length form is treated as an
encoding error (and the same considerations as above apply). (An
added convenience is that CBOR encodings can be searched through
efficiently for specific object identifiers without initiating the
decoding process.)

We provide "binary regular expression" forms for implementation
convenience. Unlike typical regular expressions that operate on
character sequences, the following regular expressions take bytes as
their domain, so they can be applied directly to CBOR byte strings.

For byte strings with tag <<O>>:

```
"/^(?:[\x81-\xFF]([\x80-\xFF]*)?([\x00-\x7F])+$" 
```
For byte strings with tag <<R>>:

"/^(?:[\x81-\xFF][\x80-\xFF]*)?[\x00-\x7F]*)$/"

Putative CBOR data that fails these tests SHALL be rejected as improperly coded.

Another (possibly more efficient) way to validate the byte strings is to hunt for prohibited patterns.

For byte strings with tag <<O>>:

"/^[^\x00-\x7F]|\x80|[^\x80-\xFF]$/"

or with lookbehind:

"/^[^\x00-\x7F]|\x80|[^\x80-\xFF]$/"

For byte strings with tag <<R>>:

"/[^\x00-\x7F]|\x80|[^\x80-\xFF]$/"

or with lookbehind:

"/[^\x80]|[^\x00-\x7F]|\x80|[^\x80-\xFF]$/"

Putative CBOR data that passes these tests SHALL be rejected as improperly coded.

(It is worth pointing out that these tests, when optimally implemented, ought to be markedly faster than UTF-8 validation.)

3. Examples

In the following examples, we are using tag number 6 for <<O>> and tag number 7 for <<R>>. See Section 17.2.

3.1. Encoding of the SHA-256 OID

ASN.1 Value Notation
{ joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101) csor(3) nistalgorithm(4) hashalgs(2) sha256(1) }

Dotted Decimal Notation (also XML Value Notation)
2.16.840.1.101.3.4.2.1
3.2. Encoding of a UUID OID

UUID
8b0d1a20-dcc5-11d9-bda9-0002a5d5c51b

ASN.1 Value Notation
{ joint-iso-itu-t(2) uuid(25)
geomicaGPAS(184830721219540099336690027854602552603) }

Dotted Decimal Notation (also XML Value Notation)
2.25.184830721219540099336690027854602552603

3.3. Encoding of a MIB Relative OID

Given some OID (e.g., "lowpanMib", assumed to be "1.3.6.1.2.1.226"
[RFC7388]), to which the following is added:

ASN.1 Value Notation (not suitable for diagnostic notation)
{ lowpanObjects(1) lowpanStats(1) lowpanOutTransmits(29) }
Dotted Decimal Notation (diagnostic notation; see Section 5)

0D                                # UNIVERSAL TAG 13
  03                             # 3 bytes, primitive
    01 01 1D                    # X.690 Clause 8.20
    # 1 1 29                  # show component encoding

Figure 5: MIB relative object identifier, in BER

C7                                # 0b110_00110: mt 6, tag 7
  43                             # 0b010_01001: mt 2 (bstr), 3 bytes
    01 01 1D                    # X.690 Clause 8.20

Figure 6: MIB relative object identifier, in CBOR

This relative OID saves seven bytes compared to the full OID encoding.

4. Discussion

Staying close to the way object identifiers are encoded in ASN.1 BER makes back-and-forth translation easy. Object identifiers in IETF protocols are serialized in dotted decimal form or BER form, so there is an advantage in not inventing a third form. Also, expectations of the cost of encoding object identifiers are based on BER; using a different encoding might not be aligned with these expectations. If additional information about an OID is desired, lookup services such as the OID Resolution Service (ORS) [X.672] and the OID Repository [OID-INFO] are available.

This specification allocates two numbers out of the single-byte tag space. This use of code point space is justified by the wide use of object identifiers in data interchange. For most common OIDs in use (namely those whose contents encode to less than 24 bytes), the CBOR encoding will match the efficiency of [X.690]. (This preliminary conclusion is likely to generate some discussion, see Section 17.2.)

5. Diagnostic Notation

Implementers will likely want to see OIDs and relative OIDs in their "natural forms" (as sequences of decimal unsigned integers) for diagnostic purposes. Accordingly, this section defines additional syntactic elements that can be used in conjunction with the diagnostic notation described in Section 6 of [RFC7049].

An object identifier may be written in ASN.1 value notation (with enclosing braces and secondary identifiers, ObjectIdentifierValue of
Clause 32.3 of [X.680]), or in dotted decimal notation with at least three arcs. Both examples are shown in Section 3. The surrounding tag notation is not to be used, because the tag is implied. The ASN.1 value notation for OIDs does not overlap with JSON object notation for CBOR maps, because at least two arcs are required for a valid OID.

A relative object identifier may be written in dotted decimal notation or in ASN.1 value notation, in both cases prefixed with a dot as shown in Section 3.3. The surrounding tag notation is not to be used, because the tag is implied.

The notation in this section may be employed in addition to the basic notation, which would be a tagged binary string.

<table>
<thead>
<tr>
<th>RFC 7049 diagnostic notation</th>
<th>6(h'2b0601')</th>
<th>7(h'0601')</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dotted decimal notation</td>
<td>1.3.6.1</td>
<td>.6.1</td>
</tr>
<tr>
<td>ASN.1 value notation</td>
<td>{1 3 6 1}</td>
<td>.{6 1}</td>
</tr>
</tbody>
</table>

Table 1: Examples for extended diagnostic notation

6. A New Arc for Concise OIDs

Object identifiers in [X.690] form are remarkably compact. Nevertheless, for some applications (and engineers), they are simply not compact enough, at least when compared to certain alternatives such as very small unsigned integers (see Section 10). The shortest object identifier under the IETF’s control is 1.3.6.1 (4 bytes), although an assignment directly under that arc has not happened since 1999 [RFC2506], and no assignments directly under that arc have ever been assigned directly to protocol elements. The shortest IETF-controlled, First-Come, First-Served OID arc is 8 bytes by getting a Private Enterprise Number from IANA, an OID for which is assigned under 1.3.6.1.4.1. To promote object identifier usage in CBOR and to make OIDs as competitive as possible, (the authors / the IETF / ISOC) have secured a very short arc "{ x y z }" that only occupies (1, 2, 3) byte(s).

[[NB: Registration procedures under that arc.]]

The history of OIDs suggests that the human mind tends to excessive taxonomy around them. "Excessive taxonomy" means that while classifying purposes are served, the detailed taxonomy comes at the expense of concise encoding to the point that other implementers complain that the OIDs are "too long". OIDs also lose mnemonic
properties when the arcs are so long that implementers cannot keep track of all of the divisions. Unlike assignments in the 1.3.6.1 range, this document suggests that registrants acquire OIDs under this short arc "laterally" rather than hierarchically, in keeping with CBOR’s design goal to have concise serializations.

7. Tag Factoring and Tag Stacking with OID Arrays and Maps

A common use of object identifiers in ASN.1 is to identify the kind of data in an open type (Clause 3.8.57 of [X.680]), using information object classes [X.681]. CBOR is schema-neutral, and (although not fully discussed in [RFC7049]) semantic tagging was originally intended to identify items in a global, context-free way (i.e., where a specification would not repurpose a tag with different semantics than its IANA registration). Therefore, using OIDs to identify contextual data in a similar fashion to [X.681] is RECOMMENDED.

7.1. Tag Factoring

<<O>> and <<R>> can tag CBOR arrays and maps. The idea is that the tag is factored out from each individual byte string; the tag is placed in front of the array or map instead. The tags <<O>> and <<R>> are left-distributive.

When the <<O>> or <<R>> tag is applied to an array, it means that the respective tag is imputed to all items in the array. For example, when the array is tagged with <<O>>, every array item that is a binary string is an OID.

When the <<O>> or <<R>> tag is applied to a map, it means that the respective tag is imputed to all keys in the map. The values in the map are not considered specially tagged.

Array and map stacking is permitted. For example, a 3-dimensional array of OIDs can be composed by using a single <<O>> tag, followed by an array of arrays of arrays of binary strings. All such binary strings are considered OIDs.

7.2. Switching OID and Relative OID

If an individual item in a <<O>> or <<R>> tagged array, or an individual key in a <<O>> or <<R>> tagged map, is tagged with the opposite tag (<<R>> or <<O>>) of the array or map itself, that tag cancels and replaces the outer tag for that item. Like tags MUST NOT be used on such individual items; such tagging is a coding error. For example, if <<R>> is the outer tag on an array and <<O>> is the inner tag on a binary string, semantically the inner item is treated as a regular OID, not as a relative OID.
The purpose is to create more compact and flexible identifier spaces, especially when object identifiers are used as enumerated items. Examples:

<<R>> outside, <<O>> inside: An implementation that strives for a compact representation, does not have to emit base OID arcs repeatedly for each item. At the same time, if a private organization or standards body separate from the specification needs to identify something that the specification maintainers disagree with, the separate body does not need to request registration of an identifier under a controlled arc (i.e., the base arc of the relative OIDs).

<<O>> outside, <<R>> inside: A collection of OIDs is supposed to be open to all-comers, but a certain set of OIDs issued under a particular arc is foreseeable for the majority of implementations. For example, an OID protocol slot may identify cryptographic algorithms: anyone can write (and has written) an algorithm with an arbitrary OID. However, the protocol slot designer may wish to privilege certain algorithms (and therefore OIDs) that are well-known in that field of use.

7.3. Tag Stacking

CBOR permits tag stacking (tagging a tagged item), although this technique has not been used much yet. This specification anticipates that OIDs and relative OIDs will be associated with values with uniform semantics. This section provides specific semantics when tags are "stacked", that is, a CBOR item starts with tag <<O>> or <<R>>, followed by one or more arbitrary tags ("subsequent tags"), followed by a map or array.

7.3.1. Map

The overall gist is that the first tag applies to the keys in a map; the subsequent tags apply to the values in a map.

When <<O>> or <<R>> is the first tag in a stack of tags, followed by a map:

- The <<O>> or <<R>> tag indicates that the keys of the map are byte string OIDs, byte string relative OIDs, or tag-factored arrays or maps of the same.

- The subsequent tags uniformly apply to all of the values.
For example, if tag 32 (URL) is the subsequent tag, then all values in the map are treated semantically as if tag 32 is applied to them individually. See Figure 7.

It is possible that individual values can be tagged. Semantically, these tags cumulate with the outer subsequent tags; inner value tags do not cancel or replace the outer tags.

7.3.2. Array

The overall gist is that the first tag applies to the ordered "keys" in the array (even-numbered items, assuming that the index starts at 0); the subsequent tags apply to the ordered "values" in the array (odd-numbered items). This tagging technique creates an ordered associative array. [[NB: Some call this the FORTRAN approach. need to cite]]

When <<O>> or <<R>> is the first tag in a stack of tags, followed by an array:

- The <<O>> or <<R>> tag indicates that alternating items, starting with the first item, are byte string OIDs, byte string relative OIDs, or tag-factored arrays or maps of the same.
- The subsequent tags uniformly apply to the alternating items, starting with the second item.
- The array MUST have an even number of items; an array that has an odd number of items is a coding error.

To create an ordered associative array wherein the values (even elements) are arbitrarily tagged, stack tag 55799, self-describe CBOR (Section 2.4.5 of [RFC7049]), after the <<O>> or <<R>> tag. Tag 55799 imparts no special semantics, so it is an effective placeholder. (This sequence is mainly provided for completeness: it is a more compact alternative to an array of duple-arrays that each contain an OID or relative OID, and an arbitrary value.)

7.4. Diagnostic Notation for OID Arrays and Maps

There are no syntactic changes to diagnostic notation beyond Section 5. Using <<O>> or <<R>> with arrays and maps, however, leads to some sublime results.

When an array or map is tagged, that item is embraced with the usual tag format: "<<O>>(<item>)" or "<<R>>(<item>)". This syntax indicates the presence of the tag on the outer item. Inner items in the array or keys in the map are noted in Section 5 form, but are not
individually tagged on-the-wire when the tag is the same as the outer
tag, because like-tagging is a coding error.

An array or map that involves a stack of tags is notated the usual
way. For example, the CBOR diagnostic notation of a map of OIDs to
URIs is:

\[
6(32\{0.9.2342.7776.1: "http://example.com/",
0.9.2342.7776.2: "ftp://ftp.example.com/pub/"\})
\]

Figure 7: Map of OIDs to URIs, in CBOR Diagnostic Diagnostic Notation

8. Applications and Examples of OIDs

8.1. GPU Farm

Consider a 3-dimensional OID array, indicating certain operations to
perform on a matrix of values in a GPU farm. Default operations are
under the OID arc 0.9.2342.7777 (such as .1, .2, .124, etc.); the arc
0.9.2342.7777 itself represents the identity operation. Certain
cryptographic operations like SHA-256 hashing
(2.16.840.1.101.3.4.2.1) are also permitted. The resulting notation
would be:

\[
7([[.1, .2, .3],
   [.1, .2, .3],
   [.1, .2, .3]],
   [[.124, .125, .126],
    [.95, .96, .97 ],
    [.11, .12, .13 ]],
   [[h'', .6, .4.2],
    [.6, h'', .4.2],
    [.6, 2.16.840.1.101.3.4.2.1, h'']])
\]

Figure 8: GPU Farm Matrix Operations, in CBOR Diagnostic Notation
c7 # tag(7)
83 # array(3)
 83 # array(3)
    41 01 # .1 (2)
    41 02 # .2 (2)
    41 03 # .3 (2)
83 # array(3)
    41 01 # .1 (2)
    41 02 # .2 (2)
    41 03 # .3 (2)
83 # array(3)
    41 01 # .1 (2)
    41 02 # .2 (2)
    41 03 # .3 (2)
83 # array(3)
    41 7c # .124 (2)
    41 7d # .125 (2)
    41 7e # .126 (2)
83 # array(3)
    41 5f # .95 (2)
    41 60 # .96 (2)
    41 61 # .97 (2)
83 # array(3)
    41 0b # .11 (2)
    41 0c # .12 (2)
    41 0d # .13 (2)
83 # array(3)
40 # (empty) (1)
 41 06 # .6 (2)
 42 0402 # .4.2 (3)
83 # array(3)
    41 06 # .6 (2)
40 # (empty) (1)
 42 0402 # .4.2 (3)
83 # array(3)
    41 06 # .6 (2)
c6 49 608648016503040201 # 2.16.840.1.101.3.4.2.1 (10)
40 # (empty) (1)

Figure 9: GPU Farm Matrix Operations, in CBOR (76 bytes)
8.2. X.500 Distinguished Name

Consider the X.500 distinguished name:

<table>
<thead>
<tr>
<th>Attribute Types</th>
<th>Attribute Values</th>
</tr>
</thead>
<tbody>
<tr>
<td>c (2.5.4.6)</td>
<td>US</td>
</tr>
<tr>
<td>l (2.5.4.7)</td>
<td>Los Angeles</td>
</tr>
<tr>
<td>s (2.5.4.8)</td>
<td>CA</td>
</tr>
<tr>
<td>postalCode (2.5.4.17)</td>
<td>90013</td>
</tr>
<tr>
<td>street (2.5.4.9)</td>
<td>532 S Olive St</td>
</tr>
<tr>
<td>businessCategory (2.5.4.15)</td>
<td>Public Park</td>
</tr>
<tr>
<td>buildingName (0.9.2342.19200300.100.1.48)</td>
<td>Pershing Square</td>
</tr>
</tbody>
</table>

Table 2: Example X.500 Distinguished Name

Table 2 has four RDNs. The country and street RDNs are single-valued. The second and fourth RDNs are multi-valued.

The equivalent representations in CBOR diagnostic notation and CBOR are:

```
6([{ 2.5.4.6: "US" },
   { 2.5.4.7: "Los Angeles", 2.5.4.8: "CA", 2.5.4.17: "90013" },
   { 2.5.4.9: "532 S Olive St" },
   { 2.5.4.15: "Public Park",
     0.9.2342.19200300.100.1.48: "Pershing Square" }])
```

Figure 10: Distinguished Name, in CBOR Diagnostic Notation

```
6([{ h'550406': "US" },
   { h'550407': "Los Angeles", h'550408': "CA", h'550411': "90013" },
   { h'550409': "532 S Olive St" },
   { h'55040f': "Public Park",
     h'0992268993f22c640130': "Pershing Square" }])
```

Figure 11: Distinguished Name, in CBOR Diagnostic Notation (RFC 7049 only)
c6                                        # tag(6)
84                                        # array(4)
   a1                                      # map(1)
   43 550406                               # 2.5.4.6 (4)
   62                                       # text(2)
   # "US"
   a3                                      # map(3)
   43 550407                               # 2.5.4.7 (4)
   6b                                       # text(11)
      4c6f7320416e67656c6573              # "Los Angeles"
   43 550408                               # 2.5.4.8 (4)
   62                                       # text(2)
   4341                                    # "CA"
   43 550411                               # 2.5.4.17 (4)
   65                                       # text(5)
      3930303133                          # "90013"
   a1                                      # map(1)
   43 550409                               # 2.5.4.9 (4)
   6e                                       # text(14)
      3533322053204f6c697665205374         # "532 S Olive St"
   a2                                      # map(2)
   43 55040f                               # 2.5.4.15 (4)
   6b                                       # text(11)
      5075626c6963205061726b              # "Public Park"
   4a 0992268993f22c640130  # 0.9.2342.19200300.100.1.48 (11)
   6f                                       # text(15)
      5065727368696e6720537175617265       # "Pershing Square"

Figure 12: Distinguished Name, in CBOR (108 bytes)

(This example encoding assumes that all attribute values are UTF-8 strings, or can be represented as UTF-8 strings with no loss of information.)

For reference, the [RFC4514] LDAP string encoding of such data would be:

buildingName=Pershing Square+businessCategory=Public Park,
street=532 S Olive St,l=Los Angeles+postalCode=90013+st=CA,c=US

Figure 13: Distinguished Name, in LDAP String Encoding (121 bytes)

9. Universally Unique Identifiers in CBOR

This section provides guidance on the Universally Unique Identifier (UUID) type, which was introduced into CBOR with tag <<U>> (currently tag 37, reassignment to be discussed in view of this section). A UUID [RFC4122] is 128 bits long and requires no central registration
process. UUIDs were originally used in the Apollo Network Computing System and later in the Open Software Foundation’s (OSF) Distributed Computing Environment (DCE), for Remote Procedure Calls (RPC) [DCE-RPC].

As a tagged binary string identifier type in CBOR, the UUID type shares several characteristics with OID types. The main differences are that a UUID is always 16 bytes (anything less or more is a coding error), there is no central assignment process, and every 128-bit combination is valid. ([RFC4122] calls out the nil UUID, which is special but perfectly valid.) Optional registries have cropped up over the years; one such registry is [OID-INFO]. Users who use UUIDs in CBOR are strongly encouraged to document their UUIDs in such registries.

To provide parity with OIDs, UUIDs MUST be encoded in definite-length form (see Section 2). Consequently, individual UUIDs can be easily searched for by looking for "d8 25" (major type 6, tag 37), "50" (major type 2, additional information 16), and 16 bytes. Therefore, a directly encoded UUID in CBOR occupies 19 bytes. In contrast, stuffing a UUID in an OID in CBOR requires 22 bytes (see Figure 4); conversion between OID-UUID form and binary or string UUID forms requires bit-shifting (but mercifully not base-shifting, see Section 18.1). An example based on Figure 4 is below:

```
D8 25                      # tag(37)
54                      # 0b010_10000: mt 2, 16 bytes
8B 0D 1A 20 DC C5 11 D9 BD A9 00 02 A5 D5 C5 1B
```

Figure 14: Binary UUID in CBOR

9.1. Diagnostic Notation

Implementers will likely want to see UUIDs in their "natural forms" for diagnostic purposes. Accordingly, this section defines additional syntactic elements that can be used in conjunction with the diagnostic notation described in Section 6 of [RFC7049].

A universally unique identifier may be written in "string representation" as that term is defined in [RFC4122]. An example of such a string is "8b0d1a20-dcc5-11d9-bda9-0002a5d5c51b" (see Figure 4 and Figure 14). Lowercase is the preferred form. (TBD: permit, require, or prohibit curly brace form?)

The notation in this section may be employed in addition to the basic notation, which would be a tagged binary string.
9.2. Tag Factoring and Tag Stacking

Tag Factoring and Tag Stacking are hereby permitted with the UUID type, with the same semantics as Section 7.

10. Enumerations in CBOR

This section provides a roadmap to using enumerated items in CBOR, including design considerations for choosing between OIDs, UUIDs, integers, and UTF-8 strings.

CBOR does not have an ENUMERATED type like ASN.1 to identify named values in a protocol element with three or more states (Clause 20 and Clause G.2.3 of [X.680]). ASN.1 ENUMERATED turns out to be superfluous because ASN.1 INTEGER values can get named (and have historically been used for finite, multistate variables, such as version numbers), while ASN.1 ENUMERATED types can be defined to be extensible with the ellipsis lexical item. Practically, the named integers are not serialized in the binary encodings anyway; they merely serve as a semantic hints for designers and debuggers.

CBOR expects that protocol designers will use one of the basic major types for multistate variables, assigning semantics to particular values using higher-level schemas. The obvious choices for the basic types are integers (particularly unsigned integers) and UTF-8 strings. However, these major types are not without drawbacks.

Integers are compact for small values, but have a flat namespace so there are mis-assignment and collision risks that can only be mitigated with protocol-specific registries. Arrays of integers are possible, but arrays require more processing logic for equality comparisons, and the JSON conversion is not intuitive when the enumerated value serves as a key in a map.

UTF-8 strings are less compact when the strings are supposed to resemble their semantics, and there are normalization issues if the strings contain characters beyond the ASCII range. UTF-8 strings also comprise a flat namespace like integers unless the higher-level schema employs delimiters, which makes the string even larger. If conciseness is a design goal, other perceived advantages of a string as an identifier are pretty much blown out the moment one has to tack "https://" onto the front.

This section provides novel alternatives in OIDs and UUIDs. It compares and contrasts these binary types to other enumerants, namely integers and text (UTF-8) strings.
10.1. Factors Favoring OID Enumerations

A protocol designer might choose OIDs or relative OIDs for an enumerated item in view of the following observations:

1. OIDs and relative OIDs are quite compact: a single-arc relative OID encoded according to this specification occupies just two bytes for primary integer values 0-127 (excluding the semantic tag <<R>>), and three bytes for primary integer values 128-16383. (In contrast, an unsigned integer requires one byte for 0-23, two bytes for 24-255, and three bytes for 256-65535.)

2. OIDs and relative OIDs (with base) are persistent and globally unambiguous.

3. OIDs and relative OIDs have built-in semantics for designers and debuggers. Specifically, the advent of universal OID repositories such as [OID-INFO] makes it easy for a designer or debugger to pull up useful information about the object of interest (Clause 3.5.10 of [X.660]). This useful information (for humans) does not have to bleed into the encoded representation (for machines).

4. OIDs and relative OIDs are always compared for exact equality: no need to deal with case folding, case sensitivity, or other normalization issues. ("Overlong" encodings are PROHIBITED; therefore overlong encodings MUST be treated as coding errors.)

5. OIDs and relative OIDs have a built-in hierarchy, so if implementers want to extend an enumeration without assigning new values "horizontally", they have the option of assigning new values "vertically", possibly with more or less stringent assignment rules.

6. Because OIDs and relative OIDs (with base) are part of the so-called International Object Identifier tree [X.660], any other protocol specification can reuse the enumeration if the designers find it useful.

7. OIDs and relative OIDs have natural JSON representations in the dotted decimal notations prescribed in Section 5. OIDs and relative OIDs can be distinguished from each other by the presence or absence of the leading dot ".". As the resulting JSON string is entirely numeric in the ASCII range, case and normalization are irrelevant to the comparison. (An object identifier also has a semantic string representation in the form of an OID-IRI [X.680], for those who really want that type of thing.)
8. OIDs and relative OIDs are human language-neutral. A protocol designer working in US-English might name an enumerated value "sig" for "signature", but "sig" could also stand for "significand", "signal", or "special interest group". In Swedish and Norwegian, "sig" is a pronoun that means "himself, herself, itself, one, them", etc.—an entirely different meaning.

10.2. Factors Favoring UUID Enumerations

A Universally Unique Identifier (UUID) is a 128-bit identifier that is unique across both space and time with a very high degree of probability; one intent is to identify "very persistent objects across a network", such as remote procedure call interfaces [DCE-RPC].

A protocol designer might choose UUIDs for an enumerated item in view of the following observations:

1. UUIDs are always 16 bytes. This means that while they are not particularly short, they also cannot be overly long. Space is constant and predictable. (As great as OIDs are, an OID that exceeds 17 bytes is simply excessive compared to a randomly-assigned UUID.)

2. Any 128-bit combination is a valid UUID. The other types in this section have to be validated, even integers (e.g., to avoid overflow and out-of-range conditions).

3. There is no registration authority that serves as a roadblock, and (for all practical purposes) no semantic or aesthetic values are implied by lower bit combinations.

4. Many platforms can compare UUIDs (128-bit values) in one atomic operation. The comparison can be done without regard to endianness, provided that the endianness is the same between two UUIDs in memory. (On the wire, a CBOR UUID is big-endian.) For this reason, UUIDs may be faster than (naive) integer enumerations.

5. UUIDs have natural JSON representations in the string representations prescribed by [RFC4122]. The resulting JSON strings are entirely in the ASCII range and occupy exactly 36 characters; however, normalization (to lowercase) is a complicating factor.

6. UUIDs are human language-neutral. (However, unlike OIDs, UUIDs are too long to be described as mnemonic in any practical sense.)
10.3. Factors Favoring Integer Enumerations

A protocol designer might choose integers for an enumerated item in view of the following observations:

1. The CBOR encoding of unsigned integers 0-23 is the most compact, occupying exactly one byte (excluding any semantic tags).

2. A protocol designer may wish to prohibit extensibility as a matter of course. Integers comprise a single flat namespace: there is no hierarchy.

3. If greater range is desired while sticking to one byte, a protocol designer may double the range of possible values by allowing negative integers. However, enumerating values using negative integers may have unintended side-effects, because some programming environments (e.g., C/C++) make implementation-defined assumptions about the number of bits needed for an enumerated type.

10.4. Factors Favoring UTF-8 String Enumerations

A protocol designer might choose UTF-8 strings for an enumerated item in view of the following observations:

1. A specification can practically limit the content of UTF-8 strings to the ASCII range (or narrower), mitigating some normalization problems.

2. UTF-8 strings are easier to read on-the-wire for humans.

3. UTF-8 strings can contain arbitrary textual identifiers, which can be hierarchical, e.g., URIs.

10.5. OID Enumeration Example

An enumerated item indicates the revision level of a data format. Revision levels are issued by year, such as 2011, 2012, etc. However, in the year 2013, two revisions were issued: the first one and an important update in June that needs to be distinguished. The revision levels are assigned to some OID arc:

"{2 25 6464646464 revs(4)}"

In this arc, the following sub-arcs are assigned:
In CBOR, the enumeration is encoded as a relative OID. The schema specifies the base OID arc, which is omitted:

```plaintext
c7       # tag(7)
  41 03   # .3

42 0306 # .3.6
```

Figure 15: Enumerated Items in CBOR

```
.3
.{v2013(3) june(6)}
```

Figure 16: Enumerated Items in CBOR Diagnostic Notation

```
".3"
".3.6"
```

Figure 17: Enumerated Items in JSON (possibility 1)

```
"v2013"
"v2013/june"
```

Figure 18: Enumerated Items in JSON (possibility 2)

11. Binary Internet Messages and MIME Entities

Section 2.4.4.3 of [RFC7049] assigns tag 36 to "MIME messages (including all headers)" [RFC2045], and prescribes UTF-8 strings, without further elaboration. Actually MIME encircles several different formats, and is not limited to UTF-8 strings. This section updates tag 36.
11.1. CBOR Byte String and Binary MIME

Tag 36 is to be used with byte strings. When the tagged item is a byte string, any octet can be used in the content. Arbitrary octets are supported by [RFC2045] and can be supported in protocols such as SMTP using BINARYMIME [RFC3030].

A conforming implementation that purports to process tag 36-tagged items, MUST accept byte strings as well as UTF-8 strings. Byte strings, rather than UTF-8 strings, SHOULD be considered the default. (While binary Content-Transfer-Encoding is not particularly common as of this writing, 8-bit encoding is, and it is foreseeable that many 8-bit encoded messages will still have charsets other than UTF-8.)

11.2. Internet Messages, MIME Messages, and MIME Entities

Definitions: "MIME message" is not explicitly defined in [RFC2045], but a careful read suggests that a MIME message is: "either a (complete or "top-level") RFC 822 message being transferred on a network, or a message encapsulated in a body of type "message/rfc822" or "message/partial", that also contains MIME header fields, namely, MIME-Version field, which MUST be present (Section 4 of [RFC2045]. Other MIME header fields such as Content-Type and Content-Transfer-Encoding are assumed to be their [RFC2045] default values, if not present in the data.

When the contents have a From field (a type of "originator address field") and a Date field (the lone "origination date field") (Section 3.6 of [RFC5322]), the item is concluded to have a Content-Type of message/rfc822 or message/global, as appropriate, except as otherwise specified in this section.

(TBD: Do we need a separate tag for a MIME entity?) (Alternate proposal: When the tagged data does not include a MIME-Version field or other fields required by RFC822 (5322) (e.g., no From field), it is presumed to be a MIME entity, rather than a MIME message. Therefore, it has no top-level content-type: instead it is simply a "MIME entity", consisting of one element, whose Content-Type is the content of the Content-Type header field, if present, or the [RFC2045] default of "text/plain; charset=us-ascii", if absent. Content-Transfer-Encoding SHALL be assumed to be 8bit when the CBOR item is a UTF-8 string, and SHALL be assumed to be binary when the CBOR item is a byte string. (Or should all be considered CTE: binary?) And, when the tagged data has RFC822 required fields but no MIME-Version, shall we assume it’s a MIME entity, or shall we assume it’s an Internet message that does not conform to MIME?)
Content that has no headers whatsoever is valid, and implementations that process tag 36 MUST permit this case: in such a case, the data starts with CRLF CRLF, followed by the body. In such a case, the content is assumed to be a MIME entity of Content-Type "text/plain; charset=us-ascii", and not an RFC822 (RFC5322) Internet message. (TBD: Confirm.)

11.3. Netnews, HTTP, and SIP Messages

Other message types that are MIME-related are message/news, message/http, and message/sip.

[RFC5537] specifies that message/news is deprecated (marked as obsolete) and that message/rfc822 SHOULD be used in its place; presumably this also extends to message/global over time. Netnews Article Format [RFC5536] is a strict subset of Internet Message Format; it can be detected by the presence of the six mandatory header fields: Date, From, Message-ID, Newsgroups, Path, and Subject. (Newsgroups and Path fields are specific to Netnews.)

message/http [RFC7230] is the media type for HTTP requests and responses. It can be detected by analyzing the first line of the body, which is an HTTP Start Line (Section 3.1 of [RFC7230]): it does not conform to the syntax of an Internet Message Format header field. The optional parameter "msgtype" can be inferred from the Start Line. Implementers need to be aware that the default character encoding for message/http is ISO-8859-1, not UTF-8. Therefore, implementations SHOULD NOT encode HTTP messages with CBOR UTF-8 strings.

Similarly, message/sip [RFC3261] is the media type of SIP request and response messages. It can be detected by analyzing the first line of the body, which is a SIP start-line (Section 7.1 of [RFC3261]): it does not conform to the syntax of an Internet Message Format header field. The optional parameter can be inferred from the start-line.

11.4. Other Messages

The CBOR binary or UTF-8 string MAY contain other types of messages. An implementation MAY send such a message as a MIME entity with the Content-Type field appropriately set, or alternatively, MAY send the message at the top-level directly. However, if a purported message type is ambiguous with a message/rfc822 (or message/global) message, a receiver SHALL treat the message as message/rfc822 (or message/global). If a purported message type is ambiguous with a MIME entity (and unambiguously not message/rfc822 or message/global), a receiver SHALL treat the message as a MIME entity.
12. Applications and Examples of Messages and Entities

Tag 36 is the RECOMMENDED way to convey data with MIME-related metadata, including messages (which may or may not actually be MIME-enabled) and MIME entities.

Example 1: A legacy RFC822 message is encoded as a UTF-8 string or byte string with tag 36. The contents have From, To, Date, and Subject header fields, two CRLFs, and a single line "Hello World!", terminated with a CRLF.

Example 2a: A [RFC5280] certificate is encoded as a byte string with tag 36. The contents are comprised of "Content-Type: application/pkix-cert", two CRLFs, and the DER encoding of the certificate. (The "Content-Transfer-Encoding: binary" header is not necessary.)

Example 2b: A [RFC5280] certificate is encoded as a UTF-8 string or byte string with tag 36. The contents are comprised of "Content-Type: application/pkix-cert", a CRLF, "Content-Transfer-Encoding: base64", two CRLFs, and the base64 encoding of the DER encoding of the certificate, conforming to Section 6.8 of [RFC2045]. In particular, base64 lines are limited to 76 characters, separated by CRLF, and the final line is supposed to end with CRLF. Needless to say, this is not nearly as efficient as Example 2a.

13. X.690 Series Tags

[[NB: Carsten probably won’t like this. Plan on removing this section. It is mainly provided to contrast with Section 10.]]

It is foreseeable that CBOR applications will need to send and receive ASN.1 data, for example, for legacy or security applications. While a native representation in CBOR is preferred, preserving the data in an ASN.1 encoding may be necessary, for example, to preserve cryptographic verification. A tag <<X>> is allocated for this purpose.

When the tagged item is a byte string, the byte string contents are encoded according to [X.690], i.e., BER, CER, or DER. CBOR implementations are not required to validate conformance of the contained data to [X.690].

When the tagged item is an array with 3 items:

1. The first item SHALL be an OID (with tag <<O>> omitted; it SHALL NOT be a relative OID), indicating the ASN.1 module containing the type of the PDU. [[NB: this is a good example of a non-trivial structure in which an element is well-defined to be an...]}
OID, which has a tag. Is the CBOR philosophy to tag the item, or omit the tag on the item, when the item’s semantics are already fixed by the outer tag? Similar situations can apply to tag 32 (URI), etc.]

2. The second item SHALL be a UTF-8 string indicating the ASN.1 value’s _type reference name_ (Clause 3.8.88 of [X.680]) conforming to the "typereference" production (Clause 12.2 of [X.680]).

3. The third item SHALL be a byte string, whose contents are encoded per the prior paragraph.

(TBD: Use of tagged UTF-8 string is reserved for ASN.1 textual formats such as XER and ASN.1 value notation? Probably not necessary. Just omit.)

Implementation note: DER-encoded items are always definite-length, so there is very little reason to use CBOR byte string indefinite encoding when encoding such DER-encoded items.

Example: A [RFC5280] certificate can be encoded:

1. as a byte string with tag <<X>>, or
2. as an array with tag <<X>>, with three elements:
   1. a byte string "h’2B 06 01 05 05 07 00 12’", which is the BER encoding of 1.3.6.1.5.5.7.0.18,
   2. a UTF-8 string "Certificate", and
   3. a byte string containing the DER encoding of the certificate.

14. Regular Expression Clarification

(TODO: better specify conformance to actual regular expression standards with tag 35. PCRE and JavaScript/ECMAScript regular expressions are very different; [RFC7049] is not specific enough about this.)

15. Set and Multiset Technique

CBOR has no native type for a set, which is an arbitrary unordered collection of items. The following technique is RECOMMENDED to express set and multiset semantics concisely in native CBOR data.
In computer science, a _set_ is a collection of distinct items; there is no ordering to the items. Thus, implementations can optimize set storage in many ways that are not available with ordered elements in arrays. Sets can be stored in hashtables, bit fields, trees, or other abstract data types.

In computer science, a _multiset_ allows multiple instances of a set’s elements. Put another way, each distinct item has a cardinality property indicating the number of these items in the multiset.

To store items in a set or multiset, it is RECOMMENDED to store the CBOR items as keys in a map; the values SHALL all be positive integers (major type 0, value/additional information greater than or equal to 1). In the special case of a set, the values SHALL be the integer 1. This technique has no special tag associated with it. As with arrays that schemas classify as "records" (i.e., arrays with positionally defined elements), schemas are likewise free to classify maps as sets in particular instances.

16. Fruits Basket Example

Consider a basket of fruits. The basket can contain any number of fruits; each fruit of the same species is considered identical. This basket has two apples, four bananas, six pears, and one pineapple:

```
{"\u{1F34E}": 2, "\u{1F34C}": 4, "\u{1F350}": 6, "\u{1F34D}": 1}
```

Figure 19: Fruits Basket in CBOR Diagnostic Notation

<table>
<thead>
<tr>
<th>A4</th>
<th>64</th>
<th>f09f8d8e</th>
<th>02</th>
<th>64</th>
<th>f09f8d8c</th>
<th>04</th>
<th>64</th>
<th>f09f8d90</th>
<th>06</th>
<th>64</th>
<th>f09f8d8d</th>
<th>01</th>
</tr>
</thead>
<tbody>
<tr>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
<td>#</td>
</tr>
<tr>
<td>map(4)</td>
<td>text(4)</td>
<td>&quot;\u{1F34E}&quot;</td>
<td>unsigned(2)</td>
<td>text(4)</td>
<td>&quot;\u{1F34C}&quot;</td>
<td>unsigned(4)</td>
<td>text(4)</td>
<td>&quot;\u{1F350}&quot;</td>
<td>unsigned(6)</td>
<td>text(4)</td>
<td>&quot;\u{1F34D}&quot;</td>
<td>unsigned(1)</td>
</tr>
</tbody>
</table>

Figure 20: Fruits Basket in CBOR (33 bytes)
17. IANA Considerations

(This section to be edited by the RFC editor.)

17.1. CBOR Tags

IANA is requested to assign the CBOR tags in Table 4, with the present document as the specification reference.

+-----------------+-------------+-------------------------------------------------------------------------------------+
| Tag             | Data Item   | Semantics                                                                            |
+-----------------+-------------+-------------------------------------------------------------------------------------+
| 6<<TBD>> multiple| object identifier (BER encoding)                                                   |
| 7<<TBD>> multiple| relative object identifier (BER encoding)                                        |
+-----------------+-------------+-------------------------------------------------------------------------------------+

Table 4: Values for New Tags

17.2. Discussion

(This subsection to be removed by the RFC editor.)

The space for single-byte tags in CBOR (0..23) is severely limited. It is not clear that the benefits of encoding OIDs/relative OIDs with one less byte per instance outweigh the consumption of two values in this code point space.

Procedurally, this space is also reserved for standards action.

An alternative would be to go for the specification required space, e.g. tag number 40 for <<O>> and tag number 41 for <<R>>. As an example this would change Figure 2 into:

```
d8 28  # tag(40)
  49  # bytes(9)
  60 86 48 01 65 03 04 02 01 #
```

Figure 21: SHA-256 OID in cbor (using specification required tag)

17.3. Pre-Existing Tags

(TODO: complete.) IANA is requested to modify the registrations for the following CBOR tags:
<table>
<thead>
<tr>
<th>Tag</th>
<th>Data Item</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>35</td>
<td>&lt;&lt;TBD&gt;&gt;</td>
<td>regular expression &lt;&lt;TBD&gt;&gt;</td>
</tr>
<tr>
<td>36</td>
<td>multiple</td>
<td>message or MIME entity</td>
</tr>
<tr>
<td>37</td>
<td>multiple</td>
<td>binary UUID</td>
</tr>
</tbody>
</table>

Table 5: Values for Existing Tags

17.4. New Tags

(TODO: complete.)

18. Security Considerations

The security considerations of RFC 7049 apply.

The encodings in Clauses 8.19 and 8.20 of [X.690] are extremely compact and unambiguous, but MUST be followed precisely to avoid security pitfalls. In particular, the requirements set out in Section 2.1 of this document need to be followed; otherwise, an attacker may be able to subvert a checking process by submitting alternative representations that are later taken as the original (or even something else entirely) by another decoder supposed to be protected by the checking process.

OIDs and relative OIDs can always be treated as opaque byte strings. Actually understanding the structure that was used for generating them is not necessary, and, except for checking the structure requirements, it is strongly NOT RECOMMENDED to perform any processing of this kind (e.g., converting into dotted notation and back) unless absolutely necessary. If the OIDs are translated into other representations, the usual security considerations for non-trivial representation conversions apply; the primary integer values are unlimited in range (cf. Figure 4).

18.1. Conversions Between BER and Dotted Decimal Notation

[PKILCAKE] uncovers exploit vectors for the illegal values above, as well as for cases in which conversion to or from the dotted decimal notation goes awry. Neither [X.660] nor [X.680] place an upper bound on the range of unsigned integer values for an arc; the integers are arbitrarily valued. An implementation SHOULD NOT attempt to convert each component using a fixed-size accumulator, as an attacker will certainly be able to cause the accumulator to overflow. Compact and efficient techniques for such conversions, such as the double dabble
algorithm [DOUBLEDABBLE] are well-known in the art; their application to this field is left as an exercise to the reader.

19. References

19.1. Normative References


19.2. Informative References


Appendix A. Changes from -05 to -06

Refreshed the draft to the current date ("keep-alive").

Appendix B. Changes from -04 to -05

Discussed UUID usage in CBOR, and incorporated fixes proposed by Olivier Dubuisson, including fixes regarding OID nomenclature.

Appendix C. Changes from -03 to -04

Changes occurred based on limited feedback, mainly centered around the abstract and introduction, rather than substantive technical changes. These changes include:

- Changed the title so that it is about tags and techniques.
Rewrote the abstract to describe the content more accurately, and to point out that no changes to the wire protocol are being proposed.

Removed "ASN.1" from "object identifiers", as OIDs are independent of ASN.1.

Rewrote the introduction to be more about the present text.

Proposed a concise OID arc.

Provided binary regular expression forms for OID validation.

Updated IANA registration tables.

Appendix D. Changes from -02 to -03

Many significant changes occurred in this version. These changes include:

- Expanded the draft scope to be a comprehensive CBOR update.
- Added OID-related sections: OID Enumerations, OID Maps and Arrays, and Applications and Examples of OIDs.
- Added Tag 36 update (binary MIME, better definitions).
- Added stub/experimental sections for X.690 Series Tags (tag <<X>>) and Regular Expressions (tag 35).
- Added technique for representing sets and multisets.
- Added references and fixed typos.

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Concise Binary Object Representation (CBOR) Tags for Time, Duration, and Period

draft-bormann-cbor-time-tag-01

Abstract

The Concise Binary Object Representation (CBOR, RFC 7049) is a data format whose design goals include the possibility of extremely small code size, fairly small message size, and extensibility without the need for version negotiation.

In CBOR, one point of extensibility is the definition of CBOR tags. RFC 7049 defines two tags for time: CBOR tag 0 (RFC3339 time) and tag 1 (Posix time [TIME_T], int or float). Since then, additional requirements have become known. The present document defines a CBOR tag for time that allows a more elaborate representation of time, and anticipates the definition of related CBOR tags for duration and time period. It is intended as the reference document for the IANA registration of the CBOR tags defined.

Note to Readers

Version -00 of the present draft opened up the possibilities provided by extended representations of time in CBOR. The present version -01 consolidates this draft to non-speculative content, the normative parts of which are believed will stay unchanged during further development of the draft. This version is provided to aid the registration of the CBOR tag immediately needed. Further versions will re-introduce some of the material from -00, but in a more concrete form.

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1. Introduction

The Concise Binary Object Representation (CBOR, [RFC7049]) provides for the interchange of structured data without a requirement for a pre-agreed schema. RFC 7049 defines a basic set of data types, as well as a tagging mechanism that enables extending the set of data types supported via an IANA registry.

(TBD: Expand on text from abstract here.)

1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

The term "byte" is used in its now customary sense as a synonym for "octet". Where bit arithmetic is explained, this document uses the notation familiar from the programming language C (including C++14’s `0bnnn` binary literals), except that the operator "**" stands for exponentiation.

1.2. Background

Additional information about the complexities of time representation can be found in [TIME]. This specification uses a number of terms that should be familiar to connoisseurs of precise time; references for these may need to be added.

2. Objectives

For the time tag, the present specification addresses the following objectives that go beyond the original tags 0 and 1:

- Additional resolution for epoch-based time (as in tag 1). CBOR tag 1 only provides for integer and up to binary64 floating point representation of times, limiting resolution to approximately microseconds at the time of writing (and progressively becoming worse over time).

Not currently addressed, but possibly covered by the definition of additional map keys for the map inside the tag:

- Indication of time scale. Tags 0 and 1 are for UTC; however, some interchanges are better performed on TAI. Other time scales may be registered once they become relevant (e.g., one of the proposed successors to UTC that might no longer use leap seconds, or a scale based on smeared leap seconds).
o Direct representation of natural platform time formats. Some platforms use epoch-based time formats that require some computation to convert them into the representations allowed by tag 1; these computations can also lose precision and cause ambiguities. (TBD: The present specification does not take a position on whether tag 1 can be "fixed" to include, e.g., Decimal or BigFloat representations. It does define how to use these with the extended time format.)

o Additional indication of intents about the interpretation of the time given, in particular for future times. Intents might include information about time zones, daylight savings times, etc.

Additional tags might later be defined for duration and period. The objectives for such duration and period tags are likely similar.

3. Time Format

An extended time is indicated by CBOR tag TBDET, which tags a map data item (CBOR major type 5). The map may contain integer (major types 0 and 1) or text string (major type 3) keys, with the value type determined by each specific key. Implementations MUST ignore key/value types they do not understand for negative integer and text string values of the key. Not understanding key/value for unsigned keys is an error.

The map must contain exactly one unsigned integer key, which specifies the "base time", and may also contain one or more negative integer or text-string keys, which may encode supplementary information such as:

o a higher precision time offset to be added to the base time,

Future keys may add:

o a reference time scale and epoch different from the default UTC and 1970-01-01

o information about clock source and precision, accuracy, and resolution

o intent information such as timezone and daylight savings time, and/or possibly positioning coordinates, to express information that would indicate a local time.

While this document does not define supplementary text keys, a number of unsigned and negative-integer keys are defined below.
3.1. Key 1

Key 1 indicates a value that is exactly like the data item that would be tagged by CBOR tag 1 (Posix time [TIME_T] as int or float).

3.2. Keys 4 and 5

Keys 4 and 5 are like key 1, except that the data item is an array as defined for CBOR tag 4 or 5, respectively. This can be used to include a Decimal or Bigfloat epoch-based float [TIME_T] in an extended time.

3.3. Keys -3, -6, -9, -12, -15, -18

The keys -3, -6, -9, -12, -15 and -18 indicate additional decimal fractions by giving an unsigned integer (major type 0) and scaling this with the scale factor 1e-3, 1e-6, 1e-9, 1e-12, 1e-15, and 1e-18, respectively (see Table 1). More than one of these keys MUST NOT be present in one extended time data item. These additional fractions are added to a base time in seconds [SI-SECOND] indicated by a Key 1, which then MUST also be present and MUST have an integer value.

<table>
<thead>
<tr>
<th>Key</th>
<th>meaning</th>
<th>example usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>-3</td>
<td>milliseconds</td>
<td>Java time</td>
</tr>
<tr>
<td>-6</td>
<td>microseconds</td>
<td>(old) UNIX time</td>
</tr>
<tr>
<td>-9</td>
<td>nanoseconds</td>
<td>(new) UNIX time</td>
</tr>
<tr>
<td>-12</td>
<td>picoseconds</td>
<td>Haskell time</td>
</tr>
<tr>
<td>-15</td>
<td>femtoseconds</td>
<td>(future)</td>
</tr>
<tr>
<td>-18</td>
<td>attoseconds</td>
<td>(future)</td>
</tr>
</tbody>
</table>

Table 1: Key for decimally scaled Fractions

4. CDDL typenames

For the use with the CBOR Data Definition Language, CDDL [I-D.greevenbosch-appsawg-cbor-cddl], the type names defined in Figure 1 are recommended:

```cddl
etime = #6.TBDET({* (int/tstr) => any})
```

Figure 1: Recommended type names for CDDL
5. IANA Considerations

IANA is requested to allocate the tags in Table 2 from the FCFS space, with the present document as the specification reference.

<table>
<thead>
<tr>
<th>Tag</th>
<th>Data Item</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>TBDET</td>
<td>map</td>
<td>[RFCthis] extended time</td>
</tr>
<tr>
<td>TBDED</td>
<td>map</td>
<td>[RFCthis] duration</td>
</tr>
<tr>
<td>TBDEP</td>
<td>map</td>
<td>[RFCthis] period</td>
</tr>
</tbody>
</table>

Table 2: Values for Tags

Although duration and period are not yet defined in the present version of this document, the tag values for duration and period are requested at the same time as the value for extended time in order to achieve allocation of all three values as a contiguous set.

RFC editor note: Please replace TBDET, TBDED, and TBDEP by the tag number allocated by IANA throughout the document and delete this note.

6. Security Considerations

The security considerations of RFC 7049 apply; the tags introduced here are not expected to raise security considerations beyond those.

Time, of course, has significant security considerations; these include the exploitation of ambiguities where time is security relevant (e.g., for freshness or in a validity span) or the disclosure of characteristics of the emitting system (e.g., time zone, or clock resolution and wall clock offset).
7. References

7.1. Normative References

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Concise Binary Object Representation (CBOR)
draft-ietf-cbor-7049bis-01

Abstract

The Concise Binary Object Representation (CBOR) is a data format whose design goals include the possibility of extremely small code size, fairly small message size, and extensibility without the need for version negotiation. These design goals make it different from earlier binary serializations such as ASN.1 and MessagePack.

Contributing

This document is being worked on in the CBOR Working Group. Please contribute on the mailing list there, or in the GitHub repository for this draft: https://github.com/cbor-wg/CBORbis

The charter for the CBOR Working Group says that the WG will update RFC 7049 to fix verified errata. Security issues and clarifications may be addressed, but changes to this document will ensure backward compatibility for popular deployed codebases. This document will be targeted at becoming an Internet Standard.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

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Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

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1. Introduction

There are hundreds of standardized formats for binary representation of structured data (also known as binary serialization formats). Of those, some are for specific domains of information, while others are generalized for arbitrary data. In the IETF, probably the best-known formats in the latter category are ASN.1’s BER and DER [ASN.1].

The format defined here follows some specific design goals that are not well met by current formats. The underlying data model is an extended version of the JSON data model [RFC7159]. It is important
to note that this is not a proposal that the grammar in RFC 7159 be extended in general, since doing so would cause a significant backwards incompatibility with already deployed JSON documents. Instead, this document simply defines its own data model that starts from JSON.

Appendix E lists some existing binary formats and discusses how well they do or do not fit the design objectives of the Concise Binary Object Representation (CBOR).

1.1. Objectives

The objectives of CBOR, roughly in decreasing order of importance, are:

1. The representation must be able to unambiguously encode most common data formats used in Internet standards.

   * It must represent a reasonable set of basic data types and structures using binary encoding. "Reasonable" here is largely influenced by the capabilities of JSON, with the major addition of binary byte strings. The structures supported are limited to arrays and trees; loops and lattice-style graphs are not supported.

   * There is no requirement that all data formats be uniquely encoded; that is, it is acceptable that the number "7" might be encoded in multiple different ways.

2. The code for an encoder or decoder must be able to be compact in order to support systems with very limited memory, processor power, and instruction sets.

   * An encoder and a decoder need to be implementable in a very small amount of code (for example, in class 1 constrained nodes as defined in [RFC7228]).

   * The format should use contemporary machine representations of data (for example, not requiring binary-to-decimal conversion).

3. Data must be able to be decoded without a schema description.

   * Similar to JSON, encoded data should be self-describing so that a generic decoder can be written.
4. The serialization must be reasonably compact, but data compactness is secondary to code compactness for the encoder and decoder.

* "Reasonable" here is bounded by JSON as an upper bound in size, and by implementation complexity maintaining a lower bound. Using either general compression schemes or extensive bit-fiddling violates the complexity goals.

5. The format must be applicable to both constrained nodes and high-volume applications.

* This means it must be reasonably frugal in CPU usage for both encoding and decoding. This is relevant both for constrained nodes and for potential usage in applications with a very high volume of data.

6. The format must support all JSON data types for conversion to and from JSON.

* It must support a reasonable level of conversion as long as the data represented is within the capabilities of JSON. It must be possible to define a unidirectional mapping towards JSON for all types of data.

7. The format must be extensible, and the extended data must be decodable by earlier decoders.

* The format is designed for decades of use.

* The format must support a form of extensibility that allows fallback so that a decoder that does not understand an extension can still decode the message.

* The format must be able to be extended in the future by later IETF standards.

1.2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119, BCP 14 [RFC2119] and indicate requirement levels for compliant CBOR implementations.

The term "byte" is used in its now-customary sense as a synonym for "octet". All multi-byte values are encoded in network byte order (that is, most significant byte first, also known as "big-endian").
This specification makes use of the following terminology:

Data item: A single piece of CBOR data. The structure of a data item may contain zero, one, or more nested data items. The term is used both for the data item in representation format and for the abstract idea that can be derived from that by a decoder.

Decoder: A process that decodes a CBOR data item and makes it available to an application. Formally speaking, a decoder contains a parser to break up the input using the syntax rules of CBOR, as well as a semantic processor to prepare the data in a form suitable to the application.

Encoder: A process that generates the representation format of a CBOR data item from application information.

Data Stream: A sequence of zero or more data items, not further assembled into a larger containing data item. The independent data items that make up a data stream are sometimes also referred to as "top-level data items".

Well-formed: A data item that follows the syntactic structure of CBOR. A well-formed data item uses the initial bytes and the byte strings and/or data items that are implied by their values as defined in CBOR and is not followed by extraneous data.

Valid: A data item that is well-formed and also follows the semantic restrictions that apply to CBOR data items.

Stream decoder: A process that decodes a data stream and makes each of the data items in the sequence available to an application as they are received.

Where bit arithmetic or data types are explained, this document uses the notation familiar from the programming language C, except that "**" denotes exponentiation. Similar to the "0x" notation for hexadecimal numbers, numbers in binary notation are prefixed with "0b". Underscores can be added to such a number solely for readability, so 0b00100001 (0x21) might be written 0b001_00001 to emphasize the desired interpretation of the bits in the byte; in this case, it is split into three bits and five bits.

2. Specification of the CBOR Encoding

A CBOR-encoded data item is structured and encoded as described in this section. The encoding is summarized in Table 5.
The initial byte of each data item contains both information about the major type (the high-order 3 bits, described in Section 2.1) and additional information (the low-order 5 bits). When the value of the additional information is less than 24, it is directly used as a small unsigned integer. When it is 24 to 27, the additional bytes for a variable-length integer immediately follow; the values 24 to 27 of the additional information specify that its length is a 1-, 2-, 4-, or 8-byte unsigned integer, respectively. Additional information value 31 is used for indefinite-length items, described in Section 2.2. Additional information values 28 to 30 are reserved for future expansion.

In all additional information values, the resulting integer is interpreted depending on the major type. It may represent the actual data; for example, in integer types, the resulting integer is used for the value itself. It may instead supply length information: for example, in byte strings it gives the length of the byte string data that follows.

A CBOR decoder implementation can be based on a jump table with all 256 defined values for the initial byte (Table 5). A decoder in a constrained implementation can instead use the structure of the initial byte and following bytes for more compact code (see Appendix C for a rough impression of how this could look).

2.1. Major Types

The following lists the major types and the additional information and other bytes associated with the type.

Major type 0: an unsigned integer. The 5-bit additional information is either the integer itself (for additional information values 0 through 23) or the length of additional data. Additional information 24 means the value is represented in an additional uint8_t, 25 means a uint16_t, 26 means a uint32_t, and 27 means a uint64_t. For example, the integer 10 is denoted as the one byte 0b000_01010 (major type 0, additional information 10). The integer 500 would be 0b000_11001 (major type 0, additional information 25) followed by the two bytes 0x01f4, which is 500 in decimal.

Major type 1: a negative integer. The encoding follows the rules for unsigned integers (major type 0), except that the value is then -1 minus the encoded unsigned integer. For example, the integer -500 would be 0b000_11001 (major type 1, additional information 25) followed by the two bytes 0x01f3, which is 499 in decimal.
Major type 2: a byte string. The string’s length in bytes is represented following the rules for positive integers (major type 0). For example, a byte string whose length is 5 would have an initial byte of 0b010_00101 (major type 2, additional information 5 for the length), followed by 5 bytes of binary content. A byte string whose length is 500 would have 3 initial bytes of 0b010_11001 (major type 2, additional information 25 to indicate a two-byte length) followed by the two bytes 0x01f4 for a length of 500, followed by 500 bytes of binary content.

Major type 3: a text string, specifically a string of Unicode characters that is encoded as UTF-8 [RFC3629]. The format of this type is identical to that of byte strings (major type 2), that is, as with major type 2, the length gives the number of bytes. This type is provided for systems that need to interpret or display human-readable text, and allows the differentiation between unstructured bytes and text that has a specified repertoire and encoding. In contrast to formats such as JSON, the Unicode characters in this type are never escaped. Thus, a newline character (U+000A) is always represented in a string as the byte 0x0a, and never as the bytes 0x5c6e (the characters "\" and "n") or as 0x5c7530303061 (the characters "\", "u", "0", "0", and "a").

Major type 4: an array of data items. Arrays are also called lists, sequences, or tuples. The array’s length follows the rules for byte strings (major type 2), except that the length denotes the number of data items, not the length in bytes that the array takes up. Items in an array do not need to all be of the same type. For example, an array that contains 10 items of any type would have an initial byte of 0b100_01010 (major type of 4, additional information of 10 for the length) followed by the 10 remaining items.

Major type 5: a map of pairs of data items. Maps are also called tables, dictionaries, hashes, or objects (in JSON). A map is comprised of pairs of data items, each pair consisting of a key that is immediately followed by a value. The map’s length follows the rules for byte strings (major type 2), except that the length denotes the number of pairs, not the length in bytes that the map takes up. For example, a map that contains 9 pairs would have an initial byte of 0b100_01010 (major type of 4, additional information of 10 for the length) followed by the 18 remaining items. The first item is the first key, the second item is the first value, the third item is the second key, and so on. A map that has duplicate keys may be well-formed, but it is not valid, and thus it causes indeterminate decoding; see also Section 3.7.
Major type 6: optional semantic tagging of other major types. See Section 2.4.

Major type 7: floating-point numbers and simple data types that need no content, as well as the "break" stop code. See Section 2.3.

These eight major types lead to a simple table showing which of the 256 possible values for the initial byte of a data item are used (Table 5).

In major types 6 and 7, many of the possible values are reserved for future specification. See Section 7 for more information on these values.

2.2. Indefinite Lengths for Some Major Types

Four CBOR items (arrays, maps, byte strings, and text strings) can be encoded with an indefinite length using additional information value 31. This is useful if the encoding of the item needs to begin before the number of items inside the array or map, or the total length of the string, is known. (The application of this is often referred to as "streaming" within a data item.)

Indefinite-length arrays and maps are dealt with differently than indefinite-length byte strings and text strings.

2.2.1. Indefinite-Length Arrays and Maps

Indefinite-length arrays and maps are simply opened without indicating the number of data items that will be included in the array or map, using the additional information value of 31. The initial major type and additional information byte is followed by the elements of the array or map, just as they would be in other arrays or maps. The end of the array or map is indicated by encoding a "break" stop code in a place where the next data item would normally have been included. The "break" is encoded with major type 7 and additional information value 31 (0b111_11111) but is not itself a data item: it is just a syntactic feature to close the array or map. That is, the "break" stop code comes after the last item in the array or map, and it cannot occur anywhere else in place of a data item. In this way, indefinite-length arrays and maps look identical to other arrays and maps except for beginning with the additional information value 31 and ending with the "break" stop code.

Arrays and maps with indefinite lengths allow any number of items (for arrays) and key/value pairs (for maps) to be given before the "break" stop code. There is no restriction against nesting indefinite-length array or map items. A "break" only terminates a
single item, so nested indefinite-length items need exactly as many "break" stop codes as there are type bytes starting an indefinite-length item.

For example, assume an encoder wants to represent the abstract array [1, [2, 3], [4, 5]]. The definite-length encoding would be 0x8301820203820405:

83  -- Array of length 3
01  -- 1
82  -- Array of length 2
02  -- 2
03  -- 3
82  -- Array of length 2
04  -- 4
05  -- 5

Indefinite-length encoding could be applied independently to each of the three arrays encoded in this data item, as required, leading to representations such as:

0x9f018202039f0405ffff
9F  -- Start indefinite-length array
01  -- 1
82  -- Array of length 2
02  -- 2
03  -- 3
9F  -- Start indefinite-length array
04  -- 4
05  -- 5
FF  -- "break" (inner array)
FF  -- "break" (outer array)

0x9f01820203820405ff
9F  -- Start indefinite-length array
01  -- 1
82  -- Array of length 2
02  -- 2
03  -- 3
82  -- Array of length 2
04  -- 4
05  -- 5
FF  -- "break"
0x83018202039f0405ff
83 -- Array of length 3
  01 -- 1
82 -- Array of length 2
  02 -- 2
  03 -- 3
9F -- Start indefinite-length array
  04 -- 4
  05 -- 5
FF -- "break"

0x83019f0203ff820405
83 -- Array of length 3
  01 -- 1
9F -- Start indefinite-length array
  02 -- 2
  03 -- 3
FF -- "break"
82 -- Array of length 2
  04 -- 4
  05 -- 5

An example of an indefinite-length map (that happens to have two key/value pairs) might be:

0xbf6346756ef563416d7421ff
BF           -- Start indefinite-length map
  63        -- First key, UTF-8 string length 3
    46756e   -- "Fun"
    F5        -- First value, true
  63        -- Second key, UTF-8 string length 3
    416d74   -- "Amt"
  21        -- Second value, -2
 FF         -- "break"

2.2.2. Indefinite-Length Byte Strings and Text Strings

Indefinite-length byte strings and text strings are actually a concatenation of zero or more definite-length byte or text strings ("chunks") that are together treated as one contiguous string. Indefinite-length strings are opened with the major type and additional information value of 31, but what follows are a series of byte or text strings that have definite lengths (the chunks). The end of the series of chunks is indicated by encoding the "break" stop code (0b111_11111) in a place where the next chunk in the series would occur. The contents of the chunks are concatenated together, and the overall length of the indefinite-length string will be the sum of the lengths of all of the chunks. In summary, an indefinite-
length string is encoded similarly to how an indefinite-length array of its chunks would be encoded, except that the major type of the indefinite-length string is that of a (text or byte) string and matches the major types of its chunks.

For indefinite-length byte strings, every data item (chunk) between the indefinite-length indicator and the "break" MUST be a definite-length byte string item; if the parser sees any item type other than a byte string before it sees the "break", it is an error.

For example, assume the sequence:

0b010_11111 0b010_00100 0xaabbccdd 0b010_00011 0xeeff99 0b111_11111

5F -- Start indefinite-length byte string
  44 -- Byte string of length 4
  aabbccdd -- Bytes content
  43 -- Byte string of length 3
  eeff99 -- Bytes content
  FF -- "break"

After decoding, this results in a single byte string with seven bytes: 0xaabbccddeeff99.

Text strings with indefinite lengths act the same as byte strings with indefinite lengths, except that all their chunks MUST be definite-length text strings. Note that this implies that the bytes of a single UTF-8 character cannot be spread between chunks: a new chunk can only be started at a character boundary.

2.3. Floating-Point Numbers and Values with No Content

Major type 7 is for two types of data: floating-point numbers and "simple values" that do not need any content. Each value of the 5-bit additional information in the initial byte has its own separate meaning, as defined in Table 1. Like the major types for integers, items of this major type do not carry content data; all the information is in the initial bytes.
<table>
<thead>
<tr>
<th>5-Bit Value</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>0..23</td>
<td>Simple value (value 0..23)</td>
</tr>
<tr>
<td>24</td>
<td>Simple value (value 32..255 in following byte)</td>
</tr>
<tr>
<td>25</td>
<td>IEEE 754 Half-Precision Float (16 bits follow)</td>
</tr>
<tr>
<td>26</td>
<td>IEEE 754 Single-Precision Float (32 bits follow)</td>
</tr>
<tr>
<td>27</td>
<td>IEEE 754 Double-Precision Float (64 bits follow)</td>
</tr>
<tr>
<td>28-30</td>
<td>(Unassigned)</td>
</tr>
<tr>
<td>31</td>
<td>&quot;break&quot; stop code for indefinite-length items</td>
</tr>
</tbody>
</table>

Table 1: Values for Additional Information in Major Type 7

As with all other major types, the 5-bit value 24 signifies a single-byte extension: it is followed by an additional byte to represent the simple value. (To minimize confusion, only the values 32 to 255 are used.) This maintains the structure of the initial bytes: as for the other major types, the length of these always depends on the additional information in the first byte. Table 2 lists the values assigned and available for simple types.

<table>
<thead>
<tr>
<th>Value</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>0..19</td>
<td>(Unassigned)</td>
</tr>
<tr>
<td>20</td>
<td>False</td>
</tr>
<tr>
<td>21</td>
<td>True</td>
</tr>
<tr>
<td>22</td>
<td>Null</td>
</tr>
<tr>
<td>23</td>
<td>Undefined value</td>
</tr>
<tr>
<td>24..31</td>
<td>(Reserved)</td>
</tr>
<tr>
<td>32..255</td>
<td>(Unassigned)</td>
</tr>
</tbody>
</table>

Table 2: Simple Values
Internet-Draft                    CBOR                      October 2017

The 5-bit values of 25, 26, and 27 are for 16-bit, 32-bit, and 64-bit
IEEE 754 binary floating-point values. These floating-point values
are encoded in the additional bytes of the appropriate size. (See
Appendix D for some information about 16-bit floating point.)

An encoder MUST NOT encode False as the two-byte sequence of 0xf814,
MUST NOT encode True as the two-byte sequence of 0xf815, MUST NOT
encode Null as the two-byte sequence of 0xf816, and MUST NOT encode
Undefined value as the two-byte sequence of 0xf817. A decoder MUST
treat these two-byte sequences as an error. Similar prohibitions
apply to the unassigned simple values as well.

2.4. Optional Tagging of Items

In CBOR, a data item can optionally be preceded by a tag to give it
additional semantics while retaining its structure. The tag is major
type 6, and represents an integer number as indicated by the tag’s
integer value; the (sole) data item is carried as content data. If a
tag requires structured data, this structure is encoded into the
nested data item. The definition of a tag usually restricts what
kinds of nested data item or items can be carried by a tag.

The initial bytes of the tag follow the rules for positive integers
(major type 0). The tag is followed by a single data item of any
type. For example, assume that a byte string of length 12 is marked
with a tag to indicate it is a positive bignum (Section 2.4.2). This
would be marked as 0b110_00010 (major type 6, additional information
2 for the tag) followed by 0b010_01100 (major type 2, additional
information of 12 for the length) followed by the 12 bytes of the
bignum.

Decoders do not need to understand tags, and thus tags may be of
little value in applications where the implementation creating a
particular CBOR data item and the implementation decoding that stream
know the semantic meaning of each item in the data flow. Their
primary purpose in this specification is to define common data types
such as dates. A secondary purpose is to allow optional tagging when
the decoder is a generic CBOR decoder that might be able to benefit
from hints about the content of items. Understanding the semantic
tags is optional for a decoder; it can just jump over the initial
bytes of the tag and interpret the tagged data item itself.

A tag always applies to the item that is directly followed by it.
Thus, if tag A is followed by tag B, which is followed by data item
C, tag A applies to the result of applying tag B on data item C.
That is, a tagged item is a data item consisting of a tag and a
value. The content of the tagged item is the data item (the value)
that is being tagged.

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IANA maintains a registry of tag values as described in Section 7.2. Table 3 provides a list of initial values, with definitions in the rest of this section.

<table>
<thead>
<tr>
<th>Tag</th>
<th>Data Item</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>UTF-8 string</td>
<td>Standard date/time string; see Section 2.4.1</td>
</tr>
<tr>
<td>1</td>
<td>multiple</td>
<td>Epoch-based date/time; see Section 2.4.1</td>
</tr>
<tr>
<td>2</td>
<td>byte string</td>
<td>Positive bignum; see Section 2.4.2</td>
</tr>
<tr>
<td>3</td>
<td>byte string</td>
<td>Negative bignum; see Section 2.4.2</td>
</tr>
<tr>
<td>4</td>
<td>array</td>
<td>Decimal fraction; see Section 2.4.3</td>
</tr>
<tr>
<td>5</td>
<td>array</td>
<td>Bigfloat; see Section 2.4.3</td>
</tr>
<tr>
<td>6..20</td>
<td>(Unassigned)</td>
<td>(Unassigned)</td>
</tr>
<tr>
<td>21</td>
<td>multiple</td>
<td>Expected conversion to base64url encoding; see Section 2.4.4.2</td>
</tr>
<tr>
<td>22</td>
<td>multiple</td>
<td>Expected conversion to base64 encoding; see Section 2.4.4.2</td>
</tr>
<tr>
<td>23</td>
<td>multiple</td>
<td>Expected conversion to base16 encoding; see Section 2.4.4.2</td>
</tr>
<tr>
<td>24</td>
<td>byte string</td>
<td>Encoded CBOR data item; see Section 2.4.4.1</td>
</tr>
<tr>
<td>25..31</td>
<td>(Unassigned)</td>
<td>(Unassigned)</td>
</tr>
<tr>
<td>32</td>
<td>UTF-8 string</td>
<td>URI; see Section 2.4.4.3</td>
</tr>
<tr>
<td>33</td>
<td>UTF-8 string</td>
<td>base64url; see Section 2.4.4.3</td>
</tr>
<tr>
<td>34</td>
<td>UTF-8 string</td>
<td>base64; see Section 2.4.4.3</td>
</tr>
<tr>
<td>35</td>
<td>UTF-8 string</td>
<td>Regular expression; see Section 2.4.4.3</td>
</tr>
<tr>
<td>36</td>
<td>UTF-8 string</td>
<td>MIME message; see Section 2.4.4.3</td>
</tr>
</tbody>
</table>
2.4.1. Date and Time

Tag value 0 is for date/time strings that follow the standard format described in [RFC3339], as refined by Section 3.3 of [RFC4287].

Tag value 1 is for numerical representation of seconds relative to 1970-01-01T00:00Z in UTC time. (For the non-negative values that the Portable Operating System Interface (POSIX) defines, the number of seconds is counted in the same way as for POSIX "seconds since the epoch" [TIME_T].) The tagged item can be a positive or negative integer (major types 0 and 1), or a floating-point number (major type 7 with additional information 25, 26, or 27). Note that the number can be negative (time before 1970-01-01T00:00Z) and, if a floating-point number, indicate fractional seconds.

2.4.2. Bignums

Bignums are integers that do not fit into the basic integer representations provided by major types 0 and 1. They are encoded as a byte string data item, which is interpreted as an unsigned integer n in network byte order. For tag value 2, the value of the bignum is n. For tag value 3, the value of the bignum is -1 - n. Decoders that understand these tags MUST be able to decode bignums that have leading zeroes.

For example, the number 18446744073709551616 (2**64) is represented as 0b110_00010 (major type 6, tag 2), followed by 0b010_01001 (major type 2, length 9), followed by 0x010000000000000000 (one byte 0x01 and eight bytes 0x00). In hexadecimal:

```
C2                        -- Tag 2
49                     -- Byte string of length 9
010000000000000000  -- Bytes content
```

2.4.3. Decimal Fractions and Bigfloats

Decimal fractions combine an integer mantissa with a base-10 scaling factor. They are most useful if an application needs the exact representation of a decimal fraction such as 1.1 because there is no
exact representation for many decimal fractions in binary floating point.

Bigfloats combine an integer mantissa with a base-2 scaling factor. They are binary floating-point values that can exceed the range or the precision of the three IEEE 754 formats supported by CBOR (Section 2.3). Bigfloats may also be used by constrained applications that need some basic binary floating-point capability without the need for supporting IEEE 754.

A decimal fraction or a bigfloat is represented as a tagged array that contains exactly two integer numbers: an exponent e and a mantissa m. Decimal fractions (tag 4) use base-10 exponents; the value of a decimal fraction data item is \( m \times (10^{e}) \). Bigfloats (tag 5) use base-2 exponents; the value of a bigfloat data item is \( m \times (2^{e}) \). The exponent e MUST be represented in an integer of major type 0 or 1, while the mantissa also can be a bignum (Section 2.4.2).

An example of a decimal fraction is that the number 273.15 could be represented as 0b110_00100 (major type of 6 for the tag, additional information of 4 for the type of tag), followed by 0b100_00010 (major type of 4 for the array, additional information of 2 for the length of the array), followed by 0b001_00001 (major type of 1 for the first integer, additional information of 1 for the value of -2), followed by 0b000_11001 (major type of 0 for the second integer, additional information of 25 for a two-byte value), followed by 0b0110101010110011 (27315 in two bytes). In hexadecimal:

```
C4           -- Tag 4
82           -- Array of length 2
21           -- -2
19 6ab3      -- 27315
```

An example of a bigfloat is that the number 1.5 could be represented as 0b110_00101 (major type of 6 for the tag, additional information of 5 for the type of tag), followed by 0b100_00010 (major type of 4 for the array, additional information of 2 for the length of the array), followed by 0b001_00000 (major type of 1 for the first integer, additional information of 0 for the value of -1), followed by 0b000_00011 (major type of 0 for the second integer, additional information of 3 for the value of 3). In hexadecimal:

```
C5           -- Tag 5
82           -- Array of length 2
20           -- -1
03           -- 3
```
Decimal fractions and bigfloats provide no representation of Infinity, -Infinity, or NaN; if these are needed in place of a decimal fraction or bigfloat, the IEEE 754 half-precision representations from Section 2.3 can be used. For constrained applications, where there is a choice between representing a specific number as an integer and as a decimal fraction or bigfloat (such as when the exponent is small and non-negative), there is a quality-of-implementation expectation that the integer representation is used directly.

2.4.4. Content Hints

The tags in this section are for content hints that might be used by generic CBOR processors.

2.4.4.1. Encoded CBOR Data Item

Sometimes it is beneficial to carry an embedded CBOR data item that is not meant to be decoded immediately at the time the enclosing data item is being parsed. Tag 24 (CBOR data item) can be used to tag the embedded byte string as a data item encoded in CBOR format.

2.4.4.2. Expected Later Encoding for CBOR-to-JSON Converters

Tags 21 to 23 indicate that a byte string might require a specific encoding when interoperating with a text-based representation. These tags are useful when an encoder knows that the byte string data it is writing is likely to be later converted to a particular JSON-based usage. That usage specifies that some strings are encoded as base64, base64url, and so on. The encoder uses byte strings instead of doing the encoding itself to reduce the message size, to reduce the code size of the encoder, or both. The encoder does not know whether or not the converter will be generic, and therefore wants to say what it believes is the proper way to convert binary strings to JSON.

The data item tagged can be a byte string or any other data item. In the latter case, the tag applies to all of the byte string data items contained in the data item, except for those contained in a nested data item tagged with an expected conversion.

These three tag types suggest conversions to three of the base data encodings defined in [RFC4648]. For base64url encoding, padding is not used (see Section 3.2 of RFC 4648); that is, all trailing equals signs ("=") are removed from the base64url-encoded string. Later tags might be defined for other data encodings of RFC 4648 or for other ways to encode binary data in strings.
2.4.4.3. Encoded Text

Some text strings hold data that have formats widely used on the Internet, and sometimes those formats can be validated and presented to the application in appropriate form by the decoder. There are tags for some of these formats.

- Tag 32 is for URIs, as defined in [RFC3986];
- Tags 33 and 34 are for base64url- and base64-encoded text strings, as defined in [RFC4648];
- Tag 35 is for regular expressions in Perl Compatible Regular Expressions (PCRE) / JavaScript syntax [ECMA262].
- Tag 36 is for MIME messages (including all headers), as defined in [RFC2045];

Note that tags 33 and 34 differ from 21 and 22 in that the data is transported in base-encoded form for the former and in raw byte string form for the latter.

2.4.5. Self-Describe CBOR

In many applications, it will be clear from the context that CBOR is being employed for encoding a data item. For instance, a specific protocol might specify the use of CBOR, or a media type is indicated that specifies its use. However, there may be applications where such context information is not available, such as when CBOR data is stored in a file and disambiguating metadata is not in use. Here, it may help to have some distinguishing characteristics for the data itself.

Tag 55799 is defined for this purpose. It does not impart any special semantics on the data item that follows; that is, the semantics of a data item tagged with tag 55799 is exactly identical to the semantics of the data item itself.

The serialization of this tag is 0xd9d9f7, which appears not to be in use as a distinguishing mark for frequently used file types. In particular, it is not a valid start of a Unicode text in any Unicode encoding if followed by a valid CBOR data item.

For instance, a decoder might be able to parse both CBOR and JSON. Such a decoder would need to mechanically distinguish the two formats. An easy way for an encoder to help the decoder would be to tag the entire CBOR item with tag 55799, the serialization of which will never be found at the beginning of a JSON text.
2.5. CBOR Data Models

CBOR is explicit about its generic data model, which defines the set of all data items that can be represented in CBOR. Its basic generic data model is extensible by the registration of simple type values and tags. Applications can then subset the resulting extended generic data model to build their specific data models.

Within environments that can represent the data items in the generic data model, generic CBOR encoders and decoders can be implemented (which usually involves defining additional implementation data types for those data items that do not already have a natural representation in the environment). The ability to provide generic encoders and decoders is an explicit design goal of CBOR; however many applications will provide their own application-specific encoders and/or decoders.

In the basic (un-extended) generic data model, a data item is one of:

- an integer in the range \(-2^{64}..2^{64}-1\) inclusive
- a simple value, identified by a number between 0 and 255, but distinct from that number
- a floating point value, distinct from an integer, out of the set representable by IEEE 754 binary64 (including non-finites)
- a sequence of zero or more bytes ("byte string")
- a sequence of zero or more Unicode code points ("text string")
- a sequence of zero or more data items ("array")
- a mapping (mathematical function) from zero or more data items ("keys") each to a data item ("values"), ("map")
- a tagged data item, comprising a tag (an integer in the range 0..2^{64}-1) and a value (a data item)

Note that integer and floating-point values are distinct in this model, even if they have the same numeric value.

This basic generic data model comes pre-extended by the registration of a number of simple values and tags right in this document, such as:

- "false", "true", "null", and "undefined" (simple values identified by 20..23)
o integer and floating point values with a larger range and precision than the above (tags 2 to 5)

o application data types such as a point in time or an RFC 3339 date/time string (tags 1, 0)

Further elements of the extended generic data model can be (and have been) defined via the IANA registries created for CBOR. Even if such an extension is unknown to a generic encoder or decoder, data items using that extension can be passed to or from the application by representing them at the interface to the application within the basic generic data model, i.e., as generic values of a simple type or generic tagged items.

In other words, the basic generic data model is stable as defined in this document, while the extended generic data model expands by the registration of new simple values or tags, but never shrinks.

While there is a strong expectation that generic encoders and decoders can represent "false", "true", and "null" in the form appropriate for their programming environment, implementation of the data model extensions created by tags is truly optional and a matter of implementation quality.

A specific data model usually subsets the extended generic data model and assigns application semantics to the data items within this subset and its components. When documenting such specific data models, where it is desired to specify the types of data items, it is preferred to identify the types by their names in the generic data model ("negative integer", "array") instead of by referring to aspects of their CBOR representation ("major type 1", "major type 4").

3. Creating CBOR-Based Protocols

Data formats such as CBOR are often used in environments where there is no format negotiation. A specific design goal of CBOR is to not need any included or assumed schema: a decoder can take a CBOR item and decode it with no other knowledge.

Of course, in real-world implementations, the encoder and the decoder will have a shared view of what should be in a CBOR data item. For example, an agreed-to format might be "the item is an array whose first value is a UTF-8 string, second value is an integer, and subsequent values are zero or more floating-point numbers" or "the item is a map that has byte strings for keys and contains at least one pair whose key is 0xab01".
This specification puts no restrictions on CBOR-based protocols. An encoder can be capable of encoding as many or as few types of values as is required by the protocol in which it is used; a decoder can be capable of understanding as many or as few types of values as is required by the protocols in which it is used. This lack of restrictions allows CBOR to be used in extremely constrained environments.

This section discusses some considerations in creating CBOR-based protocols. It is advisory only and explicitly excludes any language from RFC 2119 other than words that could be interpreted as "MAY" in the sense of RFC 2119.

3.1. CBOR in Streaming Applications

In a streaming application, a data stream may be composed of a sequence of CBOR data items concatenated back-to-back. In such an environment, the decoder immediately begins decoding a new data item if data is found after the end of a previous data item.

Not all of the bytes making up a data item may be immediately available to the decoder; some decoders will buffer additional data until a complete data item can be presented to the application. Other decoders can present partial information about a top-level data item to an application, such as the nested data items that could already be decoded, or even parts of a byte string that hasn’t completely arrived yet.

Note that some applications and protocols will not want to use indefinite-length encoding. Using indefinite-length encoding allows an encoder to not need to marshal all the data for counting, but it requires a decoder to allocate increasing amounts of memory while waiting for the end of the item. This might be fine for some applications but not others.

3.2. Generic Encoders and Decoders

A generic CBOR decoder can decode all well-formed CBOR data and present them to an application. CBOR data is well-formed if it uses the initial bytes, as well as the byte strings and/or data items that are implied by their values, in the manner defined by CBOR, and no extraneous data follows (Appendix C).

Even though CBOR attempts to minimize these cases, not all well-formed CBOR data is valid: for example, the format excludes simple values below 32 that are encoded with an extension byte. Also, specific tags may make semantic constraints that may be violated, such as by including a tag in a bignum tag or by following a byte
string within a date tag. Finally, the data may be invalid, such as
invalid UTF-8 strings or date strings that do not conform to
[RFC3339]. There is no requirement that generic encoders and
decoders make unnatural choices for their application interface to
enable the processing of invalid data. Generic encoders and decoders
are expected to forward simple values and tags even if their specific
codepoints are not registered at the time the encoder/decoder is
written (Section 3.5).

Generic decoders provide ways to present well-formed CBOR values,
both valid and invalid, to an application. The diagnostic notation
(Section 6) may be used to present well-formed CBOR values to humans.

Generic encoders provide an application interface that allows the
application to specify any well-formed value, including simple values
and tags unknown to the encoder.

3.3. Syntax Errors

A decoder encountering a CBOR data item that is not well-formed
generally can choose to completely fail the decoding (issue an error
and/or stop processing altogether), substitute the problematic data
and data items using a decoder-specific convention that clearly
indicates there has been a problem, or take some other action.

3.3.1. Incomplete CBOR Data Items

The representation of a CBOR data item has a specific length,
determined by its initial bytes and by the structure of any data
items enclosed in the data items. If less data is available, this
can be treated as a syntax error. A decoder may also implement
incremental parsing, that is, decode the data item as far as it is
available and present the data found so far (such as in an event-
based interface), with the option of continuing the decoding once
further data is available.

Examples of incomplete data items include:

- A decoder expects a certain number of array or map entries but
  instead encounters the end of the data.

- A decoder processes what it expects to be the last pair in a map
  and comes to the end of the data.

- A decoder has just seen a tag and then encounters the end of the
  data.
A decoder has seen the beginning of an indefinite-length item but encounters the end of the data before it sees the "break" stop code.

3.3.2. Malformed Indefinite-Length Items

Examples of malformed indefinite-length data items include:

- Within an indefinite-length byte string or text, a decoder finds an item that is not of the appropriate major type before it finds the "break" stop code.

- Within an indefinite-length map, a decoder encounters the "break" stop code immediately after reading a key (the value is missing).

Another error is finding a "break" stop code at a point in the data where there is no immediately enclosing (unclosed) indefinite-length item.

3.3.3. Unknown Additional Information Values

At the time of writing, some additional information values are unassigned and reserved for future versions of this document (see Section 5.2). Since the overall syntax for these additional information values is not yet defined, a decoder that sees an additional information value that it does not understand cannot continue parsing.

3.4. Other Decoding Errors

A CBOR data item may be syntactically well-formed but present a problem with interpreting the data encoded in it in the CBOR data model. Generally speaking, a decoder that finds a data item with such a problem might issue a warning, might stop processing altogether, might handle the error and make the problematic value available to the application as such, or take some other type of action.

Such problems might include:

Duplicate keys in a map: Generic decoders (Section 3.2) make data available to applications using the native CBOR data model. That data model includes maps (key-value mappings with unique keys), not multimaps (key-value mappings where multiple entries can have the same key). Thus, a generic decoder that gets a CBOR map item that has duplicate keys will decode to a map with only one instance of that key, or it might stop processing altogether. On
the other hand, a "streaming decoder" may not even be able to notice (Section 3.7).

Inadmissible type on the value following a tag: Tags (Section 2.4) specify what type of data item is supposed to follow the tag; for example, the tags for positive or negative bignums are supposed to be put on byte strings. A decoder that decodes the tagged data item into a native representation (a native big integer in this example) is expected to check the type of the data item being tagged. Even decoders that don’t have such native representations available in their environment may perform the check on those tags known to them and react appropriately.

Invalid UTF-8 string: A decoder might or might not want to verify that the sequence of bytes in a UTF-8 string (major type 3) is actually valid UTF-8 and react appropriately.

3.5. Handling Unknown Simple Values and Tags

A decoder that comes across a simple value (Section 2.3) that it does not recognize, such as a value that was added to the IANA registry after the decoder was deployed or a value that the decoder chose not to implement, might issue a warning, might stop processing altogether, might handle the error by making the unknown value available to the application as such (as is expected of generic decoders), or take some other type of action.

A decoder that comes across a tag (Section 2.4) that it does not recognize, such as a tag that was added to the IANA registry after the decoder was deployed or a tag that the decoder chose not to implement, might issue a warning, might stop processing altogether, might handle the error and present the unknown tag value together with the contained data item to the application (as is expected of generic decoders), might ignore the tag and simply present the contained data item only to the application, or take some other type of action.

3.6. Numbers

For the purposes of this specification, all number representations for the same numeric value are equivalent. This means that an encoder can encode a floating-point value of 0.0 as the integer 0. It, however, also means that an application that expects to find integer values only might find floating-point values if the encoder decides these are desirable, such as when the floating-point value is more compact than a 64-bit integer.
An application or protocol that uses CBOR might restrict the representations of numbers. For instance, a protocol that only deals with integers might say that floating-point numbers may not be used and that decoders of that protocol do not need to be able to handle floating-point numbers. Similarly, a protocol or application that uses CBOR might say that decoders need to be able to handle either type of number.

CBOR-based protocols should take into account that different language environments pose different restrictions on the range and precision of numbers that are representable. For example, the JavaScript number system treats all numbers as floating point, which may result in silent loss of precision in decoding integers with more than 53 significant bits. A protocol that uses numbers should define its expectations on the handling of non-trivial numbers in decoders and receiving applications.

A CBOR-based protocol that includes floating-point numbers can restrict which of the three formats (half-precision, single-precision, and double-precision) are to be supported. For an integer-only application, a protocol may want to completely exclude the use of floating-point values.

A CBOR-based protocol designed for compactness may want to exclude specific integer encodings that are longer than necessary for the application, such as to save the need to implement 64-bit integers. There is an expectation that encoders will use the most compact integer representation that can represent a given value. However, a compact application should accept values that use a longer-than-needed encoding (such as encoding "0" as 0b000_11001 followed by two bytes of 0x00) as long as the application can decode an integer of the given size.

3.7. Specifying Keys for Maps

The encoding and decoding applications need to agree on what types of keys are going to be used in maps. In applications that need to interwork with JSON-based applications, keys probably should be limited to UTF-8 strings only; otherwise, there has to be a specified mapping from the other CBOR types to Unicode characters, and this often leads to implementation errors. In applications where keys are numeric in nature and numeric ordering of keys is important to the application, directly using the numbers for the keys is useful.

If multiple types of keys are to be used, consideration should be given to how these types would be represented in the specific programming environments that are to be used. For example, in JavaScript objects, a key of integer 1 cannot be distinguished from a
key of string "1". This means that, if integer keys are used, the simultaneous use of string keys that look like numbers needs to be avoided. Again, this leads to the conclusion that keys should be of a single CBOR type.

Decoders that deliver data items nested within a CBOR data item immediately on decoding them ("streaming decoders") often do not keep the state that is necessary to ascertain uniqueness of a key in a map. Similarly, an encoder that can start encoding data items before the enclosing data item is completely available ("streaming encoder") may want to reduce its overhead significantly by relying on its data source to maintain uniqueness.

A CBOR-based protocol should make an intentional decision about what to do when a receiving application does see multiple identical keys in a map. The resulting rule in the protocol should respect the CBOR data model: it cannot prescribe a specific handling of the entries with the identical keys, except that it might have a rule that having identical keys in a map indicates a malformed map and that the decoder has to stop with an error. Duplicate keys are also prohibited by CBOR decoders that are using strict mode (Section 3.10).

The CBOR data model for maps does not allow ascribing semantics to the order of the key/value pairs in the map representation. Thus, it would be a very bad practice to define a CBOR-based protocol in such a way that changing the key/value pair order in a map would change the semantics, apart from trivial aspects (cache usage, etc.). (A CBOR-based protocol can prescribe a specific order of serialization, such as for canonicalization.)

Applications for constrained devices that have maps with 24 or fewer frequently used keys should consider using small integers (and those with up to 48 frequently used keys should consider also using small negative integers) because the keys can then be encoded in a single byte.

3.8. Undefined Values

In some CBOR-based protocols, the simple value (Section 2.3) of Undefined might be used by an encoder as a substitute for a data item with an encoding problem, in order to allow the rest of the enclosing data items to be encoded without harm.
3.9. Canonical CBOR

Some protocols may want encoders to only emit CBOR in a particular canonical format; those protocols might also have the decoders check that their input is canonical. Those protocols are free to define what they mean by a canonical format and what encoders and decoders are expected to do. This section lists some suggestions for such protocols.

If a protocol considers "canonical" to mean that two encoder implementations starting with the same input data will produce the same CBOR output, the following four rules would suffice:

- Integers must be as small as possible.
  * 0 to 23 and -1 to -24 must be expressed in the same byte as the major type;
  * 24 to 255 and -25 to -256 must be expressed only with an additional uint8_t;
  * 256 to 65535 and -257 to -65536 must be expressed only with an additional uint16_t;
  * 65536 to 4294967295 and -65537 to -4294967296 must be expressed only with an additional uint32_t.

- The expression of lengths in major types 2 through 5 must be as short as possible. The rules for these lengths follow the above rule for integers.

- The keys in every map must be sorted lowest value to highest. Sorting is performed on the bytes of the representation of the key data items without paying attention to the 3/5 bit splitting for major types. (Note that this rule allows maps that have keys of different types, even though that is probably a bad practice that could lead to errors in some canonicalization implementations.) The sorting rules are:
  * If two keys have different lengths, the shorter one sorts earlier;
  * If two keys have the same length, the one with the lower value in (byte-wise) lexical order sorts earlier.

- Indefinite-length items must be made into definite-length items.
If a protocol allows for IEEE floats, then additional canonicalization rules might need to be added. One example rule might be to have all floats start as a 64-bit float, then do a test conversion to a 32-bit float; if the result is the same numeric value, use the shorter value and repeat the process with a test conversion to a 16-bit float. (This rule selects 16-bit float for positive and negative Infinity as well.) Also, there are many representations for NaN. If NaN is an allowed value, it must always be represented as 0xf97e00.

CBOR tags present additional considerations for canonicalization. The absence or presence of tags in a canonical format is determined by the optionality of the tags in the protocol. In a CBOR-based protocol that allows optional tagging anywhere, the canonical format must not allow them. In a protocol that requires tags in certain places, the tag needs to appear in the canonical format. A CBOR-based protocol that uses canonicalization might instead say that all tags that appear in a message must be retained regardless of whether they are optional.

3.10. Strict Mode

Some areas of application of CBOR do not require canonicalization (Section 3.9) but may require that different decoders reach the same (semantically equivalent) results, even in the presence of potentially malicious data. This can be required if one application (such as a firewall or other protecting entity) makes a decision based on the data that another application, which independently decodes the data, relies on.

Normally, it is the responsibility of the sender to avoid ambiguously decodable data. However, the sender might be an attacker specially making up CBOR data such that it will be interpreted differently by different decoders in an attempt to exploit that as a vulnerability. Generic decoders used in applications where this might be a problem need to support a strict mode in which it is also the responsibility of the receiver to reject ambiguously decodable data. It is expected that firewalls and other security systems that decode CBOR will only decode in strict mode.

A decoder in strict mode will reliably reject any data that could be interpreted by other decoders in different ways. It will reliably reject data items with syntax errors (Section 3.3). It will also expend the effort to reliably detect other decoding errors (Section 3.4). In particular, a strict decoder needs to have an API that reports an error (and does not return data) for a CBOR data item that contains any of the following:
A decoder in strict mode can do one of two things when it encounters
a tag or simple value that it does not recognize:

- It can report an error (and not return data).
- It can emit the unknown item (type, value, and, for tags, the
decoded tagged data item) to the application calling the decoder
with an indication that the decoder did not recognize that tag or
simple value.

The latter approach, which is also appropriate for non-strict
decoders, supports forward compatibility with newly registered tags
and simple values without the requirement to update the encoder at
the same time as the calling application. (For this, the API for the
decoder needs to have a way to mark unknown items so that the calling
application can handle them in a manner appropriate for the program.)

Since some of this processing may have an appreciable cost (in
particular with duplicate detection for maps), support of strict mode
is not a requirement placed on all CBOR decoders.

Some encoders will rely on their applications to provide input data
in such a way that unambiguously decodable CBOR results. A generic
encoder also may want to provide a strict mode where it reliably
limits its output to unambiguously decodable CBOR, independent of
whether or not its application is providing API-conformant data.

4. Converting Data between CBOR and JSON

This section gives non-normative advice about converting between CBOR
and JSON. Implementations of converters are free to use whichever
advice here they want.

It is worth noting that a JSON text is a sequence of characters, not
an encoded sequence of bytes, while a CBOR data item consists of
bytes, not characters.
4.1. Converting from CBOR to JSON

Most of the types in CBOR have direct analogs in JSON. However, some do not, and someone implementing a CBOR-to-JSOn converter has to consider what to do in those cases. The following non-normative advice deals with these by converting them to a single substitute value, such as a JSON null.

- An integer (major type 0 or 1) becomes a JSON number.

- A byte string (major type 2) that is not embedded in a tag that specifies a proposed encoding is encoded in base64url without padding and becomes a JSON string.

- A UTF-8 string (major type 3) becomes a JSON string. Note that JSON requires escaping certain characters (RFC 7159, Section 7): quotation mark (U+0022), reverse solidus (U+005C), and the "C0 control characters" (U+0000 through U+001F). All other characters are copied unchanged into the JSON UTF-8 string.

- An array (major type 4) becomes a JSON array.

- A map (major type 5) becomes a JSON object. This is possible directly only if all keys are UTF-8 strings. A converter might also convert other keys into UTF-8 strings (such as by converting integers into strings containing their decimal representation); however, doing so introduces a danger of key collision.

- False (major type 7, additional information 20) becomes a JSON false.

- True (major type 7, additional information 21) becomes a JSON true.

- Null (major type 7, additional information 22) becomes a JSON null.

- A floating-point value (major type 7, additional information 25 through 27) becomes a JSON number if it is finite (that is, it can be represented in a JSON number); if the value is non-finite (NaN, or positive or negative Infinity), it is represented by the substitute value.

- Any other simple value (major type 7, any additional information value not yet discussed) is represented by the substitute value.

- A bignum (major type 6, tag value 2 or 3) is represented by encoding its byte string in base64url without padding and becomes
a JSON string. For tag value 3 (negative bignum), a "~" (ASCII tilde) is inserted before the base-encoded value. (The conversion to a binary blob instead of a number is to prevent a likely numeric overflow for the JSON decoder.)

- A byte string with an encoding hint (major type 6, tag value 21 through 23) is encoded as described and becomes a JSON string.
- For all other tags (major type 6, any other tag value), the embedded CBOR item is represented as a JSON value; the tag value is ignored.
- Indefinite-length items are made definite before conversion.

4.2. Converting from JSON to CBOR

All JSON values, once decoded, directly map into one or more CBOR values. As with any kind of CBOR generation, decisions have to be made with respect to number representation. In a suggested conversion:

- JSON numbers without fractional parts (integer numbers) are represented as integers (major types 0 and 1, possibly major type 6 tag value 2 and 3), choosing the shortest form; integers longer than an implementation-defined threshold (which is usually either 32 or 64 bits) may instead be represented as floating-point values. (If the JSON was generated from a JavaScript implementation, its precision is already limited to 53 bits maximum.)

- Numbers with fractional parts are represented as floating-point values. Preferably, the shortest exact floating-point representation is used; for instance, 1.5 is represented in a 16-bit floating-point value (not all implementations will be capable of efficiently finding the minimum form, though). There may be an implementation-defined limit to the precision that will affect the precision of the represented values. Decimal representation should only be used if that is specified in a protocol.

CBOR has been designed to generally provide a more compact encoding than JSON. One implementation strategy that might come to mind is to perform a JSON-to-CBOR encoding in place in a single buffer. This strategy would need to carefully consider a number of pathological cases, such as that some strings represented with no or very few escapes and longer (or much longer) than 255 bytes may expand when encoded as UTF-8 strings in CBOR. Similarly, a few of the binary floating-point representations might cause expansion from some short
decimal representations (1.1, 1e9) in JSON. This may be hard to get right, and any ensuing vulnerabilities may be exploited by an attacker.

5. Future Evolution of CBOR

Successful protocols evolve over time. New ideas appear, implementation platforms improve, related protocols are developed and evolve, and new requirements from applications and protocols are added. Facilitating protocol evolution is therefore an important design consideration for any protocol development.

For protocols that will use CBOR, CBOR provides some useful mechanisms to facilitate their evolution. Best practices for this are well known, particularly from JSON format development of JSON-based protocols. Therefore, such best practices are outside the scope of this specification.

However, facilitating the evolution of CBOR itself is very well within its scope. CBOR is designed to both provide a stable basis for development of CBOR-based protocols and to be able to evolve. Since a successful protocol may live for decades, CBOR needs to be designed for decades of use and evolution. This section provides some guidance for the evolution of CBOR. It is necessarily more subjective than other parts of this document. It is also necessarily incomplete, lest it turn into a textbook on protocol development.

5.1. Extension Points

In a protocol design, opportunities for evolution are often included in the form of extension points. For example, there may be a codepoint space that is not fully allocated from the outset, and the protocol is designed to tolerate and embrace implementations that start using more codepoints than initially allocated.

Sizing the codepoint space may be difficult because the range required may be hard to predict. An attempt should be made to make the codepoint space large enough so that it can slowly be filled over the intended lifetime of the protocol.

CBOR has three major extension points:

- the "simple" space (values in major type 7). Of the 24 efficient (and 224 slightly less efficient) values, only a small number have been allocated. Implementations receiving an unknown simple data item may be able to process it as such, given that the structure of the value is indeed simple. The IANA registry in Section 7.1
is the appropriate way to address the extensibility of this codepoint space.

- the "tag" space (values in major type 6). Again, only a small part of the codepoint space has been allocated, and the space is abundant (although the early numbers are more efficient than the later ones). Implementations receiving an unknown tag can choose to simply ignore it or to process it as an unknown tag wrapping the following data item. The IANA registry in Section 7.2 is the appropriate way to address the extensibility of this codepoint space.

- the "additional information" space. An implementation receiving an unknown additional information value has no way to continue parsing, so allocating codepoints to this space is a major step. There are also very few codepoints left.

5.2. Curating the Additional Information Space

The human mind is sometimes drawn to filling in little perceived gaps to make something neat. We expect the remaining gaps in the codepoint space for the additional information values to be an attractor for new ideas, just because they are there.

The present specification does not manage the additional information codepoint space by an IANA registry. Instead, allocations out of this space can only be done by updating this specification.

For an additional information value of n >= 24, the size of the additional data typically is 2**(n-24) bytes. Therefore, additional information values 28 and 29 should be viewed as candidates for 128-bit and 256-bit quantities, in case a need arises to add them to the protocol. Additional information value 30 is then the only additional information value available for general allocation, and there should be a very good reason for allocating it before assigning it through an update of this protocol.

6. Diagnostic Notation

CBOR is a binary interchange format. To facilitate documentation and debugging, and in particular to facilitate communication between entities cooperating in debugging, this section defines a simple human-readable diagnostic notation. All actual interchange always happens in the binary format.

Note that this truly is a diagnostic format; it is not meant to be parsed. Therefore, no formal definition (as in ABNF) is given in this document. (Implementers looking for a text-based format for
representing CBOR data items in configuration files may also want to consider YAML [YAML].

The diagnostic notation is loosely based on JSON as it is defined in RFC 7159, extending it where needed.

The notation borrows the JSON syntax for numbers (integer and floating point), True (>true<), False (>false<), Null (>null<), UTF-8 strings, arrays, and maps (maps are called objects in JSON; the diagnostic notation extends JSON here by allowing any data item in the key position). Undefined is written >undefined< as in JavaScript. The non-finite floating-point numbers Infinity, -Infinity, and NaN are written exactly as in this sentence (this is also a way they can be written in JavaScript, although JSON does not allow them). A tagged item is written as an integer number for the tag followed by the item in parentheses; for instance, an RFC 3339 (ISO 8601) date could be notated as:

0("2013-03-21T20:04:00Z")
or the equivalent relative time as

1(1363896240)

Byte strings are notated in one of the base encodings, without padding, enclosed in single quotes, prefixed by >h< for base16, >b32< for base32, >h32< for base32hex, >b64< for base64 or base64url (the actual encodings do not overlap, so the string remains unambiguous). For example, the byte string 0x12345678 could be written h’12345678’, b32’CI2FM6A’, or b64’EjRWeA’.

Unassigned simple values are given as "simple()" with the appropriate integer in the parentheses. For example, "simple(42)" indicates major type 7, value 42.

6.1. Encoding Indicators

Sometimes it is useful to indicate in the diagnostic notation which of several alternative representations were actually used; for example, a data item written >1.5< by a diagnostic decoder might have been encoded as a half-, single-, or double-precision float.

The convention for encoding indicators is that anything starting with an underscore and all following characters that are alphanumeric or underscore, is an encoding indicator, and can be ignored by anyone not interested in this information. Encoding indicators are always optional.
A single underscore can be written after the opening brace of a map or the opening bracket of an array to indicate that the data item was represented in indefinite-length format. For example, \[_ 1, 2\] contains an indicator that an indefinite-length representation was used to represent the data item \[1, 2\].

An underscore followed by a decimal digit \(n\) indicates that the preceding item (or, for arrays and maps, the item starting with the preceding bracket or brace) was encoded with an additional information value of \(24+n\). For example, \(1.5_1\) is a half-precision floating-point number, while \(1.5_3\) is encoded as double precision. This encoding indicator is not shown in Appendix A. (Note that the encoding indicator "_" is thus an abbreviation of the full form "_7", which is not used.)

As a special case, byte and text strings of indefinite length can be notated in the form \(_ {h'0123', h'456?'}\) and \(_ {"foo", "bar"}\).

7. IANA Considerations

IANA has created two registries for new CBOR values. The registries are separate, that is, not under an umbrella registry, and follow the rules in [RFC5226]. IANA has also assigned a new MIME media type and an associated Constrained Application Protocol (CoAP) Content-Format entry.

7.1. Simple Values Registry

IANA has created the "Concise Binary Object Representation (CBOR) Simple Values" registry. The initial values are shown in Table 2.

New entries in the range 0 to 19 are assigned by Standards Action. It is suggested that these Standards Actions allocate values starting with the number 16 in order to reserve the lower numbers for contiguous blocks (if any).

New entries in the range 32 to 255 are assigned by Specification Required.

7.2. Tags Registry

IANA has created the "Concise Binary Object Representation (CBOR) Tags" registry. The initial values are shown in Table 3.

New entries in the range 0 to 23 are assigned by Standards Action. New entries in the range 24 to 255 are assigned by Specification Required. New entries in the range 256 to 18446744073709551615 are
assigned by First Come First Served. The template for registration requests is:

- Data item
- Semantics (short form)

In addition, First Come First Served requests should include:

- Point of contact
- Description of semantics (URL)
  This description is optional; the URL can point to something like an Internet-Draft or a web page.

7.3. Media Type ("MIME Type")

The Internet media type [RFC6838] for CBOR data is application/cbor.

Type name: application
Subtype name: cbor
Required parameters: n/a
Optional parameters: n/a
Encoding considerations: binary
Security considerations: See Section 8 of this document
Interoperability considerations: n/a
Published specification: This document

Applications that use this media type: None yet, but it is expected that this format will be deployed in protocols and applications.
Additional information:
  Magic number(s): n/a
  File extension(s): .cbor
  Macintosh file type code(s): n/a

Person & email address to contact for further information:
  Carsten Bormann
  cabo@tzi.org

Intended usage: COMMON

Restrictions on usage: none

Author:
  Carsten Bormann <cabo@tzi.org>

Change controller:
  The IESG <iesg@ietf.org>

7.4.  CoAP Content-Format

  Media Type: application/cbor
  Encoding: -
  Id: 60
  Reference: [RFCthis]

7.5.  The +cbor Structured Syntax Suffix Registration

  Name: Concise Binary Object Representation (CBOR)
  +suffix: +cbor
  References: [RFCthis]

  Encoding Considerations: CBOR is a binary format.
  Interoperability Considerations: n/a
Fragment Identifier Considerations:
The syntax and semantics of fragment identifiers specified for +cbor SHOULD be as specified for "application/cbor". (At publication of this document, there is no fragment identification syntax defined for "application/cbor".)

The syntax and semantics for fragment identifiers for a specific "xxx/yyyy+cbor" SHOULD be processed as follows:

For cases defined in +cbor, where the fragment identifier resolves per the +cbor rules, then process as specified in +cbor.

For cases defined in +cbor, where the fragment identifier does not resolve per the +cbor rules, then process as specified in "xxx/yyyy+cbor".

For cases not defined in +cbor, then process as specified in "xxx/yyyy+cbor".

Security Considerations: See Section 8 of this document

Contact:
   Apps Area Working Group (apps-discuss@ietf.org)

Author/Change Controller:
   The Apps Area Working Group.
   The IESG has change control over this registration.

8. Security Considerations

A network-facing application can exhibit vulnerabilities in its processing logic for incoming data. Complex parsers are well known as a likely source of such vulnerabilities, such as the ability to remotely crash a node, or even remotely execute arbitrary code on it. CBOR attempts to narrow the opportunities for introducing such vulnerabilities by reducing parser complexity, by giving the entire range of encodable values a meaning where possible.

Resource exhaustion attacks might attempt to lure a decoder into allocating very big data items (strings, arrays, maps) or exhaust the stack depth by setting up deeply nested items. Decoders need to have appropriate resource management to mitigate these attacks. (Items for which very large sizes are given can also attempt to exploit integer overflow vulnerabilities.)

Applications where a CBOR data item is examined by a gatekeeper function and later used by a different application may exhibit vulnerabilities when multiple interpretations of the data item are
possible. For example, an attacker could make use of duplicate keys
in maps and precision issues in numbers to make the gatekeeper base
its decisions on a different interpretation than the one that will be
used by the second application. Protocols that are used in a
security context should be defined in such a way that these multiple
interpretations are reliably reduced to a single one. To facilitate
this, encoder and decoder implementations used in such contexts
should provide at least one strict mode of operation (Section 3.10).

9. Acknowledgements

CBOR was inspired by MessagePack. MessagePack was developed and
promoted by Sadayuki Furuhashi ("frsyuki"). This reference to
MessagePack is solely for attribution; CBOR is not intended as a
version of or replacement for MessagePack, as it has different design
goals and requirements.

The need for functionality beyond the original MessagePack
Specification became obvious to many people at about the same time
around the year 2012. BinaryPack is a minor derivation of
MessagePack that was developed by Eric Zhang for the binaryjs
project. A similar, but different, extension was made by Tim Caswell
for his msgpack-js and msgpack-js-browser projects. Many people have
contributed to the recent discussion about extending MessagePack to
separate text string representation from byte string representation.

The encoding of the additional information in CBOR was inspired by
the encoding of length information designed by Klaus Hartke for CoAP.

This document also incorporates suggestions made by many people,
notably Dan Frost, James Manger, Joe Hildebrand, Keith Moore, Matthew
Lepinski, Nico Williams, Phillip Hallam-Baker, Ray Polk, Tim Bray,
Tony Finch, Tony Hansen, and Yaron Sheffer.

10. References

10.1. Normative References

[ECMA262] European Computer Manufacturers Association, "ECMAScript
Language Specification 5.1 Edition", ECMA Standard ECMA-262, June 2011,

[RFC2045] Freed, N. and N. Borenstein, "Multipurpose Internet Mail
Extensions (MIME) Part One: Format of Internet Message
Bodies", RFC 2045, DOI 10.17487/RFC2045, November 1996,
10.2. Informative References


[MessagePack]


Appendix A. Examples

The following table provides some CBOR-encoded values in hexadecimal (right column), together with diagnostic notation for these values (left column). Note that the string "\u00fc" is one form of diagnostic notation for a UTF-8 string containing the single Unicode character U+00FC, LATIN SMALL LETTER U WITH DIAERESIS (u umlaut). Similarly, "\u6c34" is a UTF-8 string in diagnostic notation with a single character U+6C34 (CJK UNIFIED IDEOGRAPH-6C34, often representing "water"), and "\ud800\udd51" is a UTF-8 string in diagnostic notation with a single character U+10151 (GREEK ACROPHONIC ATTIC FIFTY STATERS). (Note that all these single-character strings could also be represented in native UTF-8 in diagnostic notation, just not in an ASCII-only specification like the present one.) In the diagnostic notation provided for bignums, their intended numeric value is shown as a decimal number (such as 18446744073709551616) instead of showing a tagged byte string (such as 2(h'010000000000000000')).

<table>
<thead>
<tr>
<th>Diagnostic</th>
<th>Encoded</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0x00</td>
</tr>
<tr>
<td>1</td>
<td>0x01</td>
</tr>
<tr>
<td>10</td>
<td>0x0a</td>
</tr>
<tr>
<td>23</td>
<td>0x17</td>
</tr>
<tr>
<td>24</td>
<td>0x1818</td>
</tr>
<tr>
<td>25</td>
<td>0x1819</td>
</tr>
<tr>
<td>100</td>
<td>0x1864</td>
</tr>
<tr>
<td>1000</td>
<td>0x1903e8</td>
</tr>
<tr>
<td>1000000</td>
<td>0x1a0000f4240</td>
</tr>
<tr>
<td>1000000000000000000000000000</td>
<td>0x1b00000000e8d4a51000</td>
</tr>
<tr>
<td>18446744073709551615</td>
<td>0x1bffffffffffffffff</td>
</tr>
<tr>
<td>18446744073709551616</td>
<td>0xc24901000000000000000000</td>
</tr>
<tr>
<td>-18446744073709551616</td>
<td>0x3bffffffffffffffff</td>
</tr>
<tr>
<td>Value</td>
<td>CBOR Encoded Value</td>
</tr>
<tr>
<td>-------------</td>
<td>--------------------</td>
</tr>
<tr>
<td>-18446744073709551617</td>
<td>0xc349010000000000000000</td>
</tr>
<tr>
<td>-1</td>
<td>0x20</td>
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<td>0xf93e00</td>
</tr>
<tr>
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<td>0xf97bff</td>
</tr>
<tr>
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<tr>
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<td>0xfb7e37e43c8800759c</td>
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<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>----------</td>
<td>-----------------</td>
</tr>
<tr>
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<td><code>0xfb7ff000000000000</code></td>
</tr>
<tr>
<td>NaN</td>
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<tr>
<td>undefined</td>
<td><code>0xf7</code></td>
</tr>
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<td>simple(16)</td>
<td><code>0xf0</code></td>
</tr>
<tr>
<td>simple(24)</td>
<td><code>0xf818</code></td>
</tr>
<tr>
<td>simple(255)</td>
<td><code>0xf8ff</code></td>
</tr>
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<td>0(&quot;2013-03-21T20:04:00Z&quot;)</td>
<td><code>0xc074323031332d30332d32315432303a30343a30305a</code></td>
</tr>
<tr>
<td>1(1363896240)</td>
<td><code>0xc11a514b67b0</code></td>
</tr>
<tr>
<td>1(1363896240.5)</td>
<td><code>0xc1fb41d452d9ec20000</code></td>
</tr>
<tr>
<td>23(h'01020304')</td>
<td><code>0xd74401020304</code></td>
</tr>
<tr>
<td>24(h'6449455446')</td>
<td><code>0xd818456449455446</code></td>
</tr>
<tr>
<td>32(&quot;<a href="http://www.example.com">http://www.example.com</a>&quot;)</td>
<td><code>0xd82076687474703a2f2f7777772e657861d706c652e636f6d</code></td>
</tr>
<tr>
<td>h''</td>
<td><code>0x40</code></td>
</tr>
<tr>
<td>h'01020304'</td>
<td><code>0x4401020304</code></td>
</tr>
<tr>
<td>&quot;</td>
<td><code>0x60</code></td>
</tr>
<tr>
<td>&quot;a&quot;</td>
<td><code>0x6161</code></td>
</tr>
<tr>
<td>&quot;IETF&quot;</td>
<td><code>0x6449455446</code></td>
</tr>
<tr>
<td>&quot;\&quot;</td>
<td><code>0x62225c</code></td>
</tr>
<tr>
<td>&quot;\u00fc&quot;</td>
<td><code>0x62c3bc</code></td>
</tr>
</tbody>
</table>
Internet-Draft                    CBOR                      October 2017

| "\u6c34"                         | 0x63e6b0b4 |
| "\ud800\udd51"                   | 0x64f0908591 |
| []                               | 0x80       |
| [1, 2, 3]                        | 0x83010203 |
| [1, [2, 3], [4, 5]]              | 0x8301820203820405 |
| [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25] | 0x98190102030405060708090a0b0c0d0e0f101112131415161718191819 |
| ()                              | 0xa0       |
| {1: 2, 3: 4}                    | 0xa261016162820203 |
| {"a": 1, "b": [2, 3]}          | 0xa261016162820203 |
| ["a", ["b": "c"]]}            | 0xa56161616261636142616361436164614461656145 |
| (_ h'0102', h'030405' }         | 0x5f42010243030405ff |
| (_ "strea", "ming")            | 0x7f657374726561646d696e67ff |
| [ ]                             | 0x9fff     |
| [ _ 1, [2, 3], [ _ 4, 5] ]      | 0x9f018202039f0405ffff |
| [ _ 1, [2, 3], [4, 5] ]         | 0x9f01820203820405ff |
| [1, [2, 3], [4, 5]]             | 0x83018202039f0405ff |
| [1, [2, 3], [4, 5]]             | 0x83019f0203ff820405 |
| [ _ 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25] | 0x9f0102030405060708090a0b0c0d0e0f101112131415161718191819ff |
| (_ "a": 1, "b": [ _ 2, 3] )    | 0xbf61610161629f0203ffff |
| ["a", _ "b": "c"]]}            | 0x826161bf61626163ff |
Table 4: Examples of Encoded CBOR Data Items

Appendix B. Jump Table

For brevity, this jump table does not show initial bytes that are reserved for future extension. It also only shows a selection of the initial bytes that can be used for optional features. (All unsigned integers are in network byte order.)

<table>
<thead>
<tr>
<th>Byte</th>
<th>Structure/Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>0x00..0x17</td>
<td>Integer 0x00..0x17 (0..23)</td>
</tr>
<tr>
<td>0x18</td>
<td>Unsigned integer (one-byte uint8_t follows)</td>
</tr>
<tr>
<td>0x19</td>
<td>Unsigned integer (two-byte uint16_t follows)</td>
</tr>
<tr>
<td>0x1a</td>
<td>Unsigned integer (four-byte uint32_t follows)</td>
</tr>
<tr>
<td>0x1b</td>
<td>Unsigned integer (eight-byte uint64_t follows)</td>
</tr>
<tr>
<td>0x20..0x37</td>
<td>Negative integer -1-0x00..-1-0x17 (-1..-24)</td>
</tr>
<tr>
<td>0x38</td>
<td>Negative integer -1-n (one-byte uint8_t for n follows)</td>
</tr>
<tr>
<td>0x39</td>
<td>Negative integer -1-n (two-byte uint16_t for n follows)</td>
</tr>
<tr>
<td>0x3a</td>
<td>Negative integer -1-n (four-byte uint32_t for n follows)</td>
</tr>
<tr>
<td>0x3b</td>
<td>Negative integer -1-n (eight-byte uint64_t for n follows)</td>
</tr>
<tr>
<td>0x40..0x57</td>
<td>byte string (0x00..0x17 bytes follow)</td>
</tr>
<tr>
<td>0x58</td>
<td>byte string (one-byte uint8_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x59</td>
<td>byte string (two-byte uint16_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>Code</td>
<td>Description</td>
</tr>
<tr>
<td>--------</td>
<td>-----------------------------------------------------------------------------</td>
</tr>
<tr>
<td>0x5a</td>
<td>byte string (four-byte uint32_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x5b</td>
<td>byte string (eight-byte uint64_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x5f</td>
<td>byte string, byte strings follow, terminated by &quot;break&quot;</td>
</tr>
<tr>
<td>0x60..0x77</td>
<td>UTF-8 string (0x00..0x17 bytes follow)</td>
</tr>
<tr>
<td>0x78</td>
<td>UTF-8 string (one-byte uint8_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x79</td>
<td>UTF-8 string (two-byte uint16_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x7a</td>
<td>UTF-8 string (four-byte uint32_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x7b</td>
<td>UTF-8 string (eight-byte uint64_t for n, and then n bytes follow)</td>
</tr>
<tr>
<td>0x7f</td>
<td>UTF-8 string, UTF-8 strings follow, terminated by &quot;break&quot;</td>
</tr>
<tr>
<td>0x80..0x97</td>
<td>array (0x00..0x17 data items follow)</td>
</tr>
<tr>
<td>0x98</td>
<td>array (one-byte uint8_t for n, and then n data items follow)</td>
</tr>
<tr>
<td>0x99</td>
<td>array (two-byte uint16_t for n, and then n data items follow)</td>
</tr>
<tr>
<td>0x9a</td>
<td>array (four-byte uint32_t for n, and then n data items follow)</td>
</tr>
<tr>
<td>0x9b</td>
<td>array (eight-byte uint64_t for n, and then n data items follow)</td>
</tr>
<tr>
<td>0x9f</td>
<td>array, data items follow, terminated by &quot;break&quot;</td>
</tr>
<tr>
<td>0xa0..0xb7</td>
<td>map (0x00..0x17 pairs of data items follow)</td>
</tr>
<tr>
<td>0xb8</td>
<td>map (one-byte uint8_t for n, and then n pairs of data items follow)</td>
</tr>
<tr>
<td>0xb9</td>
<td>map (two-byte uint16_t for n, and then n pairs of data items follow)</td>
</tr>
</tbody>
</table>
data items follow)

0xba  map (four-byte uint32_t for n, and then n pairs of data items follow)

0xbb  map (eight-byte uint64_t for n, and then n pairs of data items follow)

0xbf  map, pairs of data items follow, terminated by "break"

0xc0  Text-based date/time (data item follows; see Section 2.4.1)

0xc1  Epoch-based date/time (data item follows; see Section 2.4.1)

0xc2  Positive bignum (data item "byte string" follows)

0xc3  Negative bignum (data item "byte string" follows)

0xc4  Decimal Fraction (data item "array" follows; see Section 2.4.3)

0xc5  Bigfloat (data item "array" follows; see Section 2.4.3)

0xc6..0xd4 (tagged item)

0xd5..0xd7 Expected Conversion (data item follows; see Section 2.4.4.2)

0xd8..0xdb (more tagged items, 1/2/4/8 bytes and then a data item follow)

0xe0..0xf3 (simple value)

0xf4  False

0xf5  True

0xf6  Null

0xf7  Undefined

0xf8  (simple value, one byte follows)

0xf9  Half-Precision Float (two-byte IEEE 754)
0xfa | Single-Precision Float (four-byte IEEE 754)
0xfb | Double-Precision Float (eight-byte IEEE 754)
0xff | "break" stop code

Table 5: Jump Table for Initial Byte

Appendix C. Pseudocode

The well-formedness of a CBOR item can be checked by the pseudocode in Figure 1. The data is well-formed if and only if:

- the pseudocode does not "fail";
- after execution of the pseudocode, no bytes are left in the input (except in streaming applications)

The pseudocode has the following prerequisites:

- take(n) reads n bytes from the input data and returns them as a byte string. If n bytes are no longer available, take(n) fails.
- uint() converts a byte string into an unsigned integer by interpreting the byte string in network byte order.
- Arithmetic works as in C.
- All variables are unsigned integers of sufficient range.
well_formed (breakable = false) {
  // process initial bytes
  ib = uint(take(1));
  mt = ib >> 5;
  val = ai = ib & 0x1f;
  switch (ai) {
    case 24: val = uint(take(1)); break;
    case 25: val = uint(take(2)); break;
    case 26: val = uint(take(4)); break;
    case 27: val = uint(take(8)); break;
    case 28: case 29: case 30: fail();
    case 31:
      return well_formed_indefinite(mt, breakable);
  }
  // process content
  switch (mt) {
    // case 0, 1, 7 do not have content; just use val
    case 2: case 3: take(val); break; // bytes/UTF-8
    case 4: for (i = 0; i < val; i++) well_formed(); break;
    case 5: for (i = 0; i < val*2; i++) well_formed(); break;
    case 6: well_formed(); break;     // 1 embedded data item
    default: fail();             // finite data item
  }
}

well_formed_indefinite(mt, breakable) {
  switch (mt) {
    case 2: case 3:
      while ((it = well_formed(true)) != -1)
        if (it != mt) fail(); // need finite embedded
        break; // of same type
    case 4: while (well_formed(true) != -1); break;
    case 5: while (well_formed(true) != -1) well_formed(); break;
    case 7:
      if (breakable)
        return -1; // signal break out
      else fail(); // no enclosing indefinite
    default: fail(); // wrong mt
  }
  return 0; // no break out
}

Figure 1: Pseudocode for Well-Formedness Check

Note that the remaining complexity of a complete CBOR decoder is
about presenting data that has been parsed to the application in an
appropriate form.
Major types 0 and 1 are designed in such a way that they can be encoded in C from a signed integer without actually doing an if-then-else for positive/negative (Figure 2). This uses the fact that \((-1-n)\), the transformation for major type 1, is the same as \(\sim n\) (bitwise complement) in C unsigned arithmetic; \(\sim n\) can then be expressed as \((-1)^n\) for the negative case, while \(0^n\) leaves \(n\) unchanged for non-negative. The sign of a number can be converted to \(-1\) for negative and 0 for non-negative (0 or positive) by arithmetic-shifting the number by one bit less than the bit length of the number (for example, by 63 for 64-bit numbers).

```c
void encode_sint(int64_t n) {
    uint64_t ui = n >> 63;    // extend sign to whole length
    mt = ui & 0x20;          // extract major type
    ui ^= n;                 // complement negatives
    if (ui < 24)
        *p++ = mt + ui;
    else if (ui < 256) {
        *p++ = mt + 24;
        *p++ = ui;
    } else
        ...
}
```

Figure 2: Pseudocode for Encoding a Signed Integer

Appendix D. Half-Precision

As half-precision floating-point numbers were only added to IEEE 754 in 2008, today’s programming platforms often still only have limited support for them. It is very easy to include at least decoding support for them even without such support. An example of a small decoder for half-precision floating-point numbers in the C language is shown in Figure 3. A similar program for Python is in Figure 4; this code assumes that the 2-byte value has already been decoded as an (unsigned short) integer in network byte order (as would be done by the pseudocode in Appendix C).
double decode_half(unsigned char *halfp) {
    int half = (halfp[0] << 8) + halfp[1];
    int exp = (half >> 10) & 0x1f;
    int mant = half & 0x3ff;
    double val;
    if (exp == 0) val = ldexp(mant, -24);
    else if (exp != 31) val = ldexp(mant + 1024, exp - 25);
    else val = mant == 0 ? INFINITY : NAN;
    return half & 0x8000 ? -val : val;
}


def decode_half(half):
    valu = (half & 0x7fff) << 13 | (half & 0x8000) << 16
    if ((half & 0x7c00) != 0x7c00):
        return ldexp(decode_single(valu), 112)
    return decode_single(valu | 0x7f800000)

Figure 3: C Code for a Half-Precision Decoder

Figure 4: Python Code for a Half-Precision Decoder

Appendix E. Comparison of Other Binary Formats to CBOR’s Design

Objectives

The proposal for CBOR follows a history of binary formats that is as long as the history of computers themselves. Different formats have had different objectives. In most cases, the objectives of the format were never stated, although they can sometimes be implied by the context where the format was first used. Some formats were meant to be universally usable, although history has proven that no binary format meets the needs of all protocols and applications.

CBOR differs from many of these formats due to it starting with a set of objectives and attempting to meet just those. This section compares a few of the dozens of formats with CBOR’s objectives in order to help the reader decide if they want to use CBOR or a different format for a particular protocol or application.

Note that the discussion here is not meant to be a criticism of any format: to the best of our knowledge, no format before CBOR was meant
to cover CBOR’s objectives in the priority we have assigned them. A brief recap of the objectives from Section 1.1 is:

1. unambiguous encoding of most common data formats from Internet standards
2. code compactness for encoder or decoder
3. no schema description needed
4. reasonably compact serialization
5. applicability to constrained and unconstrained applications
6. good JSON conversion
7. extensibility

E.1. ASN.1 DER, BER, and PER

[ASN.1] has many serializations. In the IETF, DER and BER are the most common. The serialized output is not particularly compact for many items, and the code needed to decode numeric items can be complex on a constrained device.

Few (if any) IETF protocols have adopted one of the several variants of Packed Encoding Rules (PER). There could be many reasons for this, but one that is commonly stated is that PER makes use of the schema even for parsing the surface structure of the data stream, requiring significant tool support. There are different versions of the ASN.1 schema language in use, which has also hampered adoption.

E.2. MessagePack

[MessagePack] is a concise, widely implemented counted binary serialization format, similar in many properties to CBOR, although somewhat less regular. While the data model can be used to represent JSON data, MessagePack has also been used in many remote procedure call (RPC) applications and for long-term storage of data.

MessagePack has been essentially stable since it was first published around 2011; it has not yet had a transition. The evolution of MessagePack is impeded by an imperative to maintain complete backwards compatibility with existing stored data, while only few bytecodes are still available for extension. Repeated requests over the years from the MessagePack user community to separate out binary and text strings in the encoding recently have led to an extension proposal that would leave MessagePack’s "raw" data ambiguous between
its usages for binary and text data. The extension mechanism for MessagePack remains unclear.

E.3. BSON

[BSON] is a data format that was developed for the storage of JSON-like maps (JSON objects) in the MongoDB database. Its major distinguishing feature is the capability for in-place update, foregoing a compact representation. BSON uses a counted representation except for map keys, which are null-byte terminated. While BSON can be used for the representation of JSON-like objects on the wire, its specification is dominated by the requirements of the database application and has become somewhat baroque. The status of how BSON extensions will be implemented remains unclear.

E.4. UBJSON

[UBJSON] has a design goal to make JSON faster and somewhat smaller, using a binary format that is limited to exactly the data model JSON uses. Thus, there is expressly no intention to support, for example, binary data; however, there is a "high-precision number", expressed as a character string in JSON syntax. UBJSON is not optimized for code compactness, and its type byte coding is optimized for human recognition and not for compact representation of native types such as small integers. Although UBJSON is mostly counted, it provides a reserved "unknown-length" value to support streaming of arrays and maps (JSON objects). Within these containers, UBJSON also has a "Noop" type for padding.

E.5. MSDTP: RFC 713

Message Services Data Transmission (MSDTP) is a very early example of a compact message format; it is described in [RFC0713], written in 1976. It is included here for its historical value, not because it was ever widely used.

E.6. Conciseness on the Wire

While CBOR’s design objective of code compactness for encoders and decoders is a higher priority than its objective of conciseness on the wire, many people focus on the wire size. Table 6 shows some encoding examples for the simple nested array [1, [2, 3]]; where some form of indefinite-length encoding is supported by the encoding, [\[_ 1, [2, 3]\] (indefinite length on the outer array) is also shown.
| Format      | [1, [2, 3]]                               | [\_ 1, [2, 3]]                           |
|-------------+------------------------------------------+------------------------------------------|
| RFC 713     | c2 05 81 c2 02 82 83                      |                                          |
| ASN.1 BER   | 30 0b 02 01 01 30 06 02                   | 30 80 02 01 01 30 06 02                 |
|             | 01 02 02 01 03                           | 01 02 02 01 03 00 00                     |
| MessagePack | 92 01 92 02 03                           |                                          |
| BSON        | 22 00 00 00 10 30 00 01                   | 61 02 42 01 61 02 42 02                 |
|             | 00 00 00 04 31 00 13 00                   | 61 ff 42 01 61 02 42 02 42 03          |
|             | 00 10 31 00 03 00 00 00                   |                                          |
|             | 00 00                                   |                                          |
| UBJSON      | 61 02 42 01 61 02 42 02                   | 61 ff 42 01 61 02 42 02 42 03 45       |
| CBOR        | 82 01 82 02 03                           | 9f 01 82 02 03 ff                       |

Table 6: Examples for Different Levels of Conciseness

Appendix F. Changes from RFC 7049

The following is a list of known changes from RFC 7049. This list is non-authoritative. It is meant to help reviewers see the significant differences.

- Updated reference for [RFC4267] to [RFC7159] in many places
- Updated reference for [CNN-TERMS] to [RFC7228]
- Added a comment to the last example in Section 2.2.1 (added "Second value")
- Fixed a bug in the example in Section 2.4.2 ("29" -> "49")
- Fixed a bug in the last paragraph of Section 3.6 ("0b000_11101" -> "0b000_11001")

Authors’ Addresses
Concise data definition language (CDDL): a notational convention to express CBOR data structures

draft-ietf-cbor-cddl-00

Abstract

This document proposes a notational convention to express CBOR data structures (RFC 7049). Its main goal is to provide an easy and unambiguous way to express structures for protocol messages and data formats that use CBOR.

Status of This Memo

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1. Introduction

In this document, a notational convention to express CBOR [RFC7049] data structures is defined.

The main goal for the convention is to provide a unified notation that can be used when defining protocols that use CBOR. We term the convention "Concise data definition language", or CDDL.

The CBOR notational convention has the following goals:

(G1) Provide an unambiguous description of the overall structure of a CBOR data structure.

(G2) Flexibility to express the freedoms of choice in the CBOR data format.

(G3) Possibility to restrict format choices where appropriate [format].

(G4) Able to express common CBOR datatypes and structures.

(G5) Human and machine readable and processable.

(G6) Automatic checking of data format compliance.
(G7) Extraction of specific elements from CBOR data for further processing.

Not an explicit goal per se, but a convenient side effect of the JSON generic data model being a subset of the CBOR generic data model, is the fact that CDDL can also be used for describing JSON data structures (see Appendix E.1).

This document has the following structure:

The syntax of CDDL is defined in Section 3. Examples of CDDL and related CBOR data instances are defined in Appendix H. Section 4 discusses usage of CDDL. Examples are provided early in the text to better illustrate concept definitions. A formal definition of CDDL using ABNF grammar is provided in Appendix D. Finally, a prelude of standard CDDL definitions available in every CBOR specification is listed in Appendix E.

1.1. Requirements notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119, BCP 14 [RFC2119].

1.2. Terminology

New terms are introduced in _cursive_. CDDL text in the running text is in "typewriter".

2. The Style of Data Structure Specification

CDDL focuses on styles of specification that are in use in the community employing the data model as pioneered by JSON and now refined in CBOR.

There are a number of more or less atomic elements of a CBOR data model, such as numbers, simple values (false, true, nil), text and byte strings; CDDL does not focus on specifying their structure. CDDL of course also allows adding a CBOR tag to a data item.

The more important components of a data structure definition language are the data types used for composition: arrays and maps in CBOR (called arrays and objects in JSON). While these are only two representation formats, they are used to specify four loosely distinguishable styles of composition:
- A _vector_, an array of elements that are mostly of the same semantics. The set of signatures associated with a signed data item is a typical application of a vector.

- A _record_, an array the elements of which have different, positionally defined semantics, as detailed in the data structure definition. A 2D point, specified as an array of an x coordinate (which comes first) and a y coordinate (coming second) is an example of a record, as is the pair of exponent (first) and mantissa (second) in a CBOR decimal fraction.

- A _table_, a map from a domain of map keys to a domain of map values, that are mostly of the same semantics. A set of language tags, each mapped to a text string translated to that specific language, is an example of a table. The key domain is usually not limited to a specific set by the specification, but open for the application, e.g., in a table mapping IP addresses to MAC addresses, the specification does not attempt to foresee all possible IP addresses.

- A _struct_, a map from a domain of map keys as defined by the specification to a domain of map values the semantics of each of which is bound to a specific map key. This is what many people have in mind when they think about JSON objects; CBOR adds the ability to use map keys that are not just text strings. Structs can be used to solve similar problems as records; the use of explicit map keys facilitates optionality and extensibility.

Two important concepts provide the foundation for CDDL:

1. Instead of defining all four types of composition in CDDL separately, or even defining one kind for arrays (vectors and records) and one kind for maps (tables and structs), there is only one kind of composition in CDDL: the _group_ (Section 2.1).

2. The other important concept is that of a _type_. The entire CDDL specification defines a type (the one defined by its first _rule_), which formally is the set of CBOR instances that are acceptable for this specification. CDDL predefined a number of basic types such as "uint" (unsigned integer) or "tstr" (text string), often making use of a simple formal notation for CBOR data items. Each value that can be expressed as a CBOR data item also is a type in its own right, e.g. "1". A type can be built as a _choice_ of other types, e.g., an "int" is either a "uint" or a "nint" (negative integer). Finally, a type can be built as an array or a map from a group.
2.1. Groups and Composition in CDDL

CDDL Groups are lists of name/value pairs (group _entries_).

In an array context, only the value of the entry is represented; the name is annotation only (and can be left off if not needed). In a map context, the names become the map keys ("member keys").

In an array context, the sequence of elements in the group is important, as it is the information that allows associating actual array elements with entries in the group. In a map context, the sequence of entries in a group is not relevant (but there is still a need to write down group entries in a sequence).

A group can be placed in (round) parentheses, and given a name by using it in a rule:

```plaintext
pii = ({
    age: int,
    name: tstr,
    employer: tstr,
})
```

Figure 1: A basic group

Or a group can just be used in the definition of something else:

```plaintext
person = {({
    age: int,
    name: tstr,
    employer: tstr,
})
```

Figure 2: Using a group in a map

which, given the above rule for pii, is identical to:

```plaintext
person = {pii}
```

Figure 3: Using a group by name

Note that the (curly) braces signify the creation of a map; the groups themselves are neutral as to whether they will be used in a map or an array.
The parentheses for groups are optional when there is some other set of brackets present, so it would be slightly more natural to express Figure 2 as:

```plaintext
person = {
    age: int,
    name: tstr,
    employer: tstr,
}
```

Groups can be used to factor out common parts of structs, e.g., instead of writing:

```plaintext
person = {
    age: int,
    name: tstr,
    employer: tstr,
}

dog = {
    age: int,
    name: tstr,
    leash-length: float,
}
```

one can choose a name for the common subgroup and write:

```plaintext
person = {
    identity,
    employer: tstr,
}

dog = {
    identity,
    leash-length: float,
}

identity = {
    age: int,
    name: tstr,
}
```

Figure 4: Using a group for factorization

Note that the contents of the braces in the above definitions constitute (anonymous) groups, while "identity" is a named group.
2.1.1. Usage

Groups are the instrument used in composing data structures with CDDL. It is a matter of style in defining those structures whether to define groups (anonymously) right in their contexts or whether to define them in a separate rule and to reference them with their respective name (possibly more than once).

With this, one is allowed to define all small parts of their data structures and compose bigger protocol units with those or to have only one big protocol data unit that has all definitions ad hoc where needed.

2.1.2. Syntax

The composition syntax intends to be concise and easy to read:

- The start of a group can be marked by ‘(’
- The end of a group can be marked by ‘)’
- Definitions of entries inside of a group are noted as follows: _keytype => valuetype,_ (read "keytype maps to valuetype"). The comma is actually optional (not just in the final entry), but it is considered good style to set it. The double arrow can be replaced by a colon in the common case of directly using a text string as a key (see Section 3.5.1).

An entry consists of a _keytype_ and a _valuetype_:

- _keytype_ is either an atom used as the actual key or a type in general. The latter case may be needed when using groups in a table context, where the actual keys are of lesser importance than the key types, e.g. in contexts verifying incoming data.
- _valuetype_ is a type, which could be derived from the major types defined in [RFC7049], could be a convenience valuetype defined in this document (Appendix E) or the name of a type defined in the specification.

A group definition can also contain choices between groups, see Section 2.2.2.

2.2. Types
2.2.1. Values

Values such as numbers and strings can be used in place of a type. (For instance, this is a very common thing to do for a keytype, common enough that CDDL provides additional convenience syntax for this.)

2.2.2. Choices

Many places that allow a type also allow a choice between types, delimited by a "/" (slash). The entire choice construct can be put into parentheses if this is required to make the construction unambiguous (please see Appendix D for the details).

Choices of values can be used to express enumerations:

```
attire = "bow tie" / "necktie" / "Internet attire"
protocol = 6 / 17
```

Similarly as for types, CDDL also allows choices between groups, delimited by a "//" (double slash).

```
address = { delivery }

delivery = ( 
  street: tstr, ? number: uint, city //
  po-box: uint, city //
  per-pickup: true )

city = ( 
  name: tstr, zip-code: uint
  )
```

Both for type choices and for group choices, additional alternatives can be added to a rule later in separate rules by using "/=" and "//=", respectively, instead of ":=":

```
attire /= "swimwear"

delivery //= ( 
  lat: float, long: float, drone-type: tstr 
  )
```

It is not an error if a name is first used with a "/=" or "//=" (there is no need to "create it" with "=").
2.2.2.1. Ranges

Instead of naming all the values that make up a choice, CDDL allows building a _range_ out of two values that are in an ordering relationship. A range can be inclusive of both ends given (denoted by joining two values by "."), or include the first and exclude the second (denoted by instead using "...").

```
device-address = byte
max-byte = 255
byte = 0..max-byte ; inclusive range
first-non-byte = 256
byte1 = 0...first-non-byte ; byte1 is equivalent to byte
```

CDDL currently only allows ranges between numbers [_range].

2.2.2.2. Turning a group into a choice

Some choices are built out of large numbers of values, often integers, each of which is best given a semantic name in the specification. Instead of naming each of these integers and then accumulating these into a choice, CDDL allows building a choice from a group by prefixing it with a "&" character:

```
terminal-color = &basecolors
basecolors = (
    black: 0, red: 1, green: 2, yellow: 3,
    blue: 4, magenta: 5, cyan: 6, white: 7,
)
extended-color = &(
    basecolors,
    orange: 8, pink: 9, purple: 10, brown: 11,
)
```

As with the use of groups in arrays (Section 3.4), the membernames have only documentary value (in particular, they might be used by a tool when displaying integers that are taken from that choice).

2.2.3. Representation Types

CDDL allows the specification of a data item type by referring to the CBOR representation (major and minor numbers). How this is used should be evident from the prelude (Appendix E).

It may be necessary to make use of representation types outside the prelude, e.g., a specification could start by making use of an existing tag in a more specific way, or define a new tag not defined in the prelude:
my_breakfast = #6.55799(breakfast) ; cbor-any is too general!
breakfast = cereal / porridge
cereal = #6.998(tstr)
porridge = #6.999([liquid, solid])
liquid = milk / water
milk = 0
water = 1
solid = tstr

2.2.4.  Root type

There is no special syntax to identify the root of a CDDL data
structure definition: that role is simply taken by the first rule
defined in the file.

This is motivated by the usual top-down approach for defining data
structures, decomposing a big data structure unit into smaller parts;
however, except for the root type, there is no need to strictly
follow this sequence.

(Note that there is no way to use a group as a root - it must be a
type. Using a group as the root might be employed as a way to
specify a CBOR sequence in a future version of this specification;
this would act as if that group is used in an array and the data
items in that fictional array form the members of the CBOR sequence.)

3.  Syntax

In this section, the overall syntax of CDDL is shown, alongside some
examples just illustrating syntax. (The definition will not attempt
to be overly formal; refer to Appendix D for the details.)

3.1.  General conventions

The basic syntax is inspired by ABNF [RFC5234], with

- rules, whether they define groups or types, are defined with a
  name, followed by an equals sign "=" and the actual definition
  according to the respective syntactic rules of that definition.

- A name can consist of any of the characters from the set {'A',
  ..., 'Z', 'a', ..., 'z', '0', ..., '9', '-', '_', '@', '.', '$'},
  starting with an alphabetic character (including '@', '_', '$')
  and ending in one or a digit.

  * Names are case sensitive.

  * It is preferred style to start a name with a lower case letter.
* The hyphen is preferred over the underscore (except in a "bareword" (Section 3.5.1), where the semantics may actually require an underscore).

* The period may be useful for larger specifications, to express some module structure (as in "tcp.throughput" vs. "udp.throughput").

* A number of names are predefined in the CDDL prelude, as listed in Appendix E.

* Rule names (types or groups) do not appear in the actual CBOR encoding, but names used as "barewords" in member keys do.

  o Comments are started by a ';' (semicolon) character and finish at the end of a line (LF or CRLF).

  o outside strings, whitespace (spaces, newlines, and comments) is used to separate syntactic elements for readability (and to separate identifiers or numbers that follow each other); it is otherwise completely optional.

  o Hexadecimal numbers are preceded by ’0x’ (without quotes, lower case x), and are case insensitive. Similarly, binary numbers are preceded by ’0b’.

  o Text strings are enclosed by double quotation ’”’ characters. They follow the conventions for strings as defined in section 7 of [RFC7159]. (ABNF users may want to note that there is no support in CDDL for the concept of case insensitivity in text strings; if necessary, regular expressions can be used (Section 3.8.3).)

  o Byte strings are enclosed by single quotation ’’’ characters and may be prefixed by "h" or "b64". If unprefixed, the string is interpreted as with a text string, except that single quotes must be escaped and that the UTF-8 bytes resulting are marked as a byte string (major type 2). If prefixed as "h" or "b64", the string is interpreted as a sequence of hex digits or a base64(url) string, respectively (as with the diagnostic notation in section 6 of [RFC7049]; cf. Appendix G.2); any white space present within the string (including comments) is ignored in the prefixed case. ([_strings])

  o CDDL uses UTF-8 [RFC3629] for its encoding.

Example:
person = { g }

g = {
  "name": tstr,
  age: int,  ; "age" is a bareword
}

3.2. Occurrence

An optional _occurrence_ indicator can be given in front of a group entry. It is either one of the characters ’?’ (optional), ’*’ (zero or more), or ’+’ (one or more), or is of the form n*m, where n and m are optional unsigned integers and n is the lower limit (default 0) and m is the upper limit (default no limit) of occurrences.

If no occurrence indicator is specified, the group entry is to occur exactly once (as if 1*1 were specified).

Note that CDDL, outside any directives/annotations that could possibly be defined, does not make any prescription as to whether arrays or maps use the definite length or indefinite length encoding. I.e., there is no correlation between leaving the size of an array "open" in the spec and the fact that it is then interchanged with definite or indefinite length.

Please also note that CDDL can describe flexibility that the data model of the target representation does not have. This is rather obvious for JSON, but also is relevant for CBOR:

apartment = {
  kitchen: size,
  * bedroom: size,
}

size = float ; in m2

The previous specification does not mean that CBOR is changed to allow to use the key "bedroom" more than once. In other words, due to the restrictions imposed by the data model, the third line pretty much turns into:

? bedroom: size,

(Occurrence indicators beyond one still are useful in maps for groups that allow a variety of keys.)
3.3. Predefined names for types

CDDL predefines a number of names. This subsection summarizes these names, but please see Appendix E for the exact definitions.

The following keywords for primitive datatypes are defined:

"bool" Boolean value (major type 7, additional information 20 or 21).

"uint" An unsigned integer (major type 0).

"nint" A negative integer (major type 1).

"int" An unsigned integer or a negative integer.

"float16" IEEE 754 half-precision float (major type 7, additional information 25).

"float32" IEEE 754 single-precision float (major type 7, additional information 26).

"float64" IEEE 754 double-precision float (major type 7, additional information 27).

"float" One of float16, float32, or float64.

"bstr" or "bytes" A byte string (major type 2).

"tstr" or "text" Text string (major type 3)

(Note that there are no predefined names for arrays or maps; these are defined with the syntax given below.)

In addition, a number of types are defined in the prelude that are associated with CBOR tags, such as "tdate", "bigint", "regexp" etc.

3.4. Arrays

Array definitions surround a group with square brackets.

For each entry, an occurrence indicator as specified in Section 3.2 is permitted.

For example:
unlimited-people = [* person]
one-or-two-people = [1*2 person]
at-least-two-people = [2* person]

person = (  
    name: tstr,
    age: uint,
  )

The group "person" is defined in such a way that repeating it in the array each time generates alternating names and ages, so these are four valid values for a data item of type "unlimited-people":

["roundlet", 1047, "psychurgy", 2204, "extrarhythmical", 2231]  
[]  
["aluminize", 212, "climograph", 4124]  
["penintime", 1513, "endocarditis", 4084, "impermeator", 1669,  
  "coextension", 865]

3.5. Maps

The syntax for specifying maps merits special attention, as well as a number of optimizations and conveniences, as it is likely to be the focal point of many specifications employing CDDL. While the syntax does not strictly distinguish struct and table usage of maps, it caters specifically to each of them.

3.5.1. Structs

The "struct" usage of maps is similar to the way JSON objects are used in many JSON applications.

A map is defined in the same way as defining an array (see Section 3.4), except for using curly braces "{}" instead of square brackets "[]".

An occurrence indicator as specified in Section 3.2 is permitted for each group entry.

The following is an example of a structure:
Geography = [
  city               : tstr,
  gpsCoordinates     : GpsCoordinates,
]

GpsCoordinates = {
  longitude          : uint,            ; multiplied by 10^7
  latitude           : uint,            ; multiplied by 10^7
}

When encoding, the Geography structure is encoded using a CBOR array with two entries (the keys for the group entries are ignored), whereas the GpsCoordinates are encoded as a CBOR map with two key/value pairs.

Types used in a structure can be defined in separate rules or just in place (potentially placed inside parentheses, such as for choices). E.g.:

located-samples = {
  sample-point: int,
  samples: [+ float],
}

where "located-samples" is the datatype to be used when referring to the struct, and "sample-point" and "samples" are the keys to be used. This is actually a complete example: an identifier that is followed by a colon can be directly used as the text string for a member key (we speak of a "bareword" member key), as can a double-quoted string or a number. (When other types, in particular multi-valued ones, are used as keytypes, they are followed by a double arrow, see below.)

If a text string key does not match the syntax for an identifier (or if the specifier just happens to prefer using double quotes), the text string syntax can also be used in the member key position, followed by a colon. The above example could therefore have been written with quoted strings in the member key positions.

All the types defined can be used in a keytype position by following them with a double arrow. A string also is a (single-valued) type, so another form for this example is:

located-samples = {
  "sample-point" => int,
  "samples"    => [+ float],
}
A better way to demonstrate the double-arrow use may be:

located-samples = {
  sample-point: int,
  samples: [+ float],
  * equipment-type => equipment-tolerances,
}
equipment-type = [name: tstr, manufacturer: tstr]
equipment-tolerances = [+ [float, float]]

The example below defines a struct with optional entries: display name (as a text string), the name components first name and family name (as a map of text strings), and age information (as an unsigned integer).

```
PersonalData = {
  ? displayName: tstr,
  NameComponents, 
  ? age: uint,
}

NameComponents = ( 
  ? firstName: tstr, 
  ? familyName: tstr, 
)
```

Note that the group definition for NameComponents does not generate another map; instead, all four keys are directly in the struct built by PersonalData.

In this example, all key/value pairs are optional from the perspective of CDDL. With no occurrence indicator, an entry is mandatory.

If the addition of more entries not specified by the current specification is desired, one can add this possibility explicitly:
PersonalData = {
  ? displayName: tstr,
  NameComponents,
  ? age: uint,
  * tstr => any
}

NameComponents = {
  ? firstName: tstr,
  ? familyName: tstr,
}

Figure 5: Personal Data: Example for extensibility

The cddl tool (Appendix F) generated as one acceptable instance for this specification:

   {"familyName": "agust", "antiforeignism": "pretzel",
    "springbuck": "illuminatingly", "exuviae": "ephemeris",
    "kilometrage": "frogfish"}

(See Section 3.9 for one way to explicitly identify an extension point.)

3.5.2. Tables

A table can be specified by defining a map with entries where the keytype is not single-valued, e.g.:

    square-roots = {* x => y}
    x = int
    y = float

Here, the key in each key/value pair has datatype x (defined as int), and the value has datatype y (defined as float).

If the specification does not need to restrict one of x or y (i.e., the application is free to choose per entry), it can be replaced by the predefined name "any".

As another example, the following could be used as a conversion table converting from an integer or float to a string:

    tostring = {* mynumber => tstr}
    mynumber = int / float
3.6. Tags

A type can make use of a CBOR tag (major type 6) by using the representation type notation, giving \#6.nnn(type) where nnn is an unsigned integer giving the tag number and "type" is the type of the data item being tagged.

For example, the following line from the CDDL prelude (Appendix E) defines "biguint" as a type name for a positive bignum N:

    biguint = #6.2(bstr)

The tags defined by \[RFC7049\] are included in the prelude. Additional tags since registered need to be added to a CDDL specification as needed; e.g., a binary UUID tag could be referenced as "buuid" in a specification after defining

    buuid = #6.37(bstr)

In the following example, usage of the tag 32 for URIs is optional:

    my_uri = #6.32(tstr) / tstr

3.7. Unwrapping

The group that is used to define a map or an array can often be reused in the definition of another map or array. Similarly, a type defined as a tag carries an internal data item that one would like to refer to. In these cases, it is expedient to simply use the name of the map, array, or tag type as a handle for the group or type defined inside it.

The "unwrap" operator (written by preceding a name by a tilde character "˜") can be used to strip the type defined for a name by one layer, exposing the underlying group (for maps and arrays) or type (for tags).

For example, an application might want to define a basic and an advanced header. Without unwrapping, this might be done as follows:
basic-header-group = {
    field1: int,
    field2: text,
}

basic-header = { basic-header-group }

advanced-header = {
    basic-header-group,
    field3: bytes,
    field4: number, ; as in the tagged type "time"
}

Unwrapping simplifies this to:

basic-header = {
    field1: int,
    field2: text,
}

advanced-header = {
    basic-header,
    field3: bytes,
    field4: time,
}

(Note that leaving out the first unwrap operator in the latter example would lead to nesting the basic-header in its own map inside the advanced-header, while, with the unwrapped basic-header, the definition of the group inside basic-header is essentially repeated inside advanced-header, leading to a single map. This can be used for various applications often solved by inheritance in programming languages. The effect of unwrapping can also be described as "threading in" the group or type inside the referenced type, which suggested the thread-like "-" character.)

3.8. Controls

A _control_ allows to relate a _target_ type with a _controller_ type via a _control operator_.

The syntax for a control type is "target .control-operator controller", where control operators are special identifiers prefixed by a dot. (Note that _target_ or _controller_ might need to be parenthesized.)
A number of control operators are defined at this point. Note that the CDDL tool does not currently support combining multiple controls on a single target.

3.8.1. Control operator .size

A ".size" control controls the size of the target in bytes by the control type. Examples:

- full-address = [ [+ label], ip4, ip6]
- ip4 = bstr .size 4
- ip6 = bstr .size 16
- label = bstr .size (1..63)

![Figure 6: Control for size in bytes](image)

When applied to an unsigned integer, the ".size" control restricts the range of that integer by giving a maximum number of bytes that should be needed in a computer representation of that unsigned integer. In other words, "uint .size N" is equivalent to "0..BYTES_N", where BYTES_N == 256**N.

- audio_sample = uint .size 3 ; 24-bit, equivalent to 0..16777215

![Figure 7: Control for integer size in bytes](image)

Note that, as with value restrictions in CDDL, this control is not a representation constraint; a number that fits into fewer bytes can still be represented in that form, and an inefficient implementation could use a longer form (unless that is restricted by some format constraints outside of CDDL, such as the rules in Section 3.9 of [RFC7049]).

3.8.2. Control operator .bits

A ".bits" control on a byte string indicates that, in the target, only the bits numbered by a number in the control type are allowed to be set. (Bits are counted the usual way, bit number "n" being set in "str" meaning that "(str[n >> 3] & (1 << (n & 7))) != 0".)

![bitsendian](image)

Similarly, a ".bits" control on an unsigned integer "i" indicates that for all unsigned integers "n" where "(i & (1 << n)) != 0", "n" must be in the control type.
tcpflagbytes = bstr .bits flags
flags = &(
    fin: 8,
    syn: 9,
    rst: 10,
    psh: 11,
    ack: 12,
    urg: 13,
    ece: 14,
    cwr: 15,
    ns: 0,
) / (4..7) ; data offset bits

rwxbits = uint .bits rwx
rwx = &(r: 2, w: 1, x: 0)

Figure 8: Control for what bits can be set

The CDDL tool generates the following ten example instances for "tcpflagbytes":

h'906d' h'01fc' h'8145' h'01b7' h'013d' h'409f' h'018e' h'c05f'
    h'01fa' h'01fe'

These examples do not illustrate that the above CDDL specification does not explicitly specify a size of two bytes: A valid all clear instance of flag bytes could be "h''" or "h'00'" or even "h'000000'" as well.

3.8.3. Control operator .regexp

A "regexp" control indicates that the text string given as a target needs to match the PCRE regular expression given as a value in the control type, where that regular expression is anchored on both sides. (If anchoring is not desired for a side, ".*" needs to be inserted there.)

nai = tstr .regexp "\\w+\\w+(\\.\\w+)+"

Figure 9: Control with a PCRE regexp

The CDDL tool proposes:

"N1@CH57HF.4Znqe0.dYJRN.igjf"
3.8.4. Control operators .cbor and .cborseq

A ".cbor" control on a byte string indicates that the byte string carries a CBOR encoded data item. Decoded, the data item matches the type given as the right-hand side argument (type1 in the following example).

"bytes .cbor type1"

Similarly, a ".cborseq" control on a byte string indicates that the byte string carries a sequence of CBOR encoded data items. When the data items are taken as an array, the array matches the type given as the right-hand side argument (type2 in the following example).

"bytes .cborseq type2"

(The conversion of the encoded sequence to an array can be effected for instance by wrapping the byte string between the two bytes 0x9f and 0xff and decoding the wrapped byte string as a CBOR encoded data item.)

3.8.5. Control operators .within and .and

A ".and" control on a type indicates that the data item matches both that left hand side type and the type given as the right hand side. (Formally, the resulting type is the intersection of the two types given.)

"type1 .and type2"

A variant of the ".and" control is the ".within" control, which expresses an additional intent: the left hand side type is meant to be a subset of the right-hand-side type.

"type1 .within type2"

While both forms have the identical formal semantics (intersection), the intention of the ".within" form is that the right hand side gives guidance to the types allowed on the left hand side, which typically is a socket (Section 3.9):

message = $message .within message-structure
message-structure = [message_type, *message_option]
message_type = 0..255
message_option = any

$message /= [3, dough: text, topping: [* text]]
$message /= [4, noodles: text, sauce: text, parmesan: bool]
For ".within", a tool might flag an error if type1 allows data items that are not allowed by type2. In contrast, for ".and", there is no expectation that type1 already is a subset of type2.

### 3.8.6. Control operators .lt, .le, .gt, .ge, .eq, .ne, and .default

The controls .lt, .le, .gt, .ge, .eq, .ne specify a constraint on the left hand side type to be a value less than, less than or equal, equal to, not equal to, greater than, or greater than or equal to a value given as a (single-valued) right hand side type. In the present specification, the first four controls (.lt, .le, .gt, .ge) are defined only for numeric types, as these have a natural ordering relationship.

```
speed = number .ge 0  ; unit: m/s
```

A variant of the ".ne" control is the ".default" control, which expresses an additional intent: the value specified by the right-hand-side type is intended as a default value for the left hand side type given, and the implied .ne control is there to prevent this value from being sent over the wire. This control is only meaningful when the control type is used in an optional context; otherwise there would be no way to express the default value.

```
timer = {
    time: uint,
    ? displayed-step: (number .gt 0) .default 1
}
```

### 3.9. Socket/Plug

Both for type choices and group choices, a mechanism is defined that facilitates starting out with empty choices and assembling them later, potentially in separate files that are concatenated to build the full specification.

Per convention, CDDL extension points are marked with a leading dollar sign (types) or two leading dollar signs (groups). Tools honor that convention by not raising an error if such a type or group is not defined at all; the symbol is then taken to be an empty type choice (group choice), i.e., no choice is available.
tcp-header = {seq: uint, ack: uint, * $$tcp-option}

; later, in a different file

$$tcp-option //= (sack: [+{left: uint, right: uint}])

; and, maybe in another file

$$tcp-option //= (sack-permitted: true)

Names that start with a single "$" are "type sockets", names with a
double "$$" are "group sockets". It is not an error if there is no
definition for a socket at all; this then means there is no way to
satisfy the rule (i.e., the choice is empty).

All definitions (plugs) for socket names must be augmentations, i.e.,
they must be using "/=" and "/\=", respectively.

To pick up the example illustrated in Figure 5, the socket/plug
mechanism could be used as shown in Figure 10:
PersonalData = {
  ? displayName: tstr,
  NameComponents,
  ? age: uint,
  * $$personaldata-extensions
}

NameComponents = {
  ? firstName: tstr,
  ? familyName: tstr,
}

; The above already works as is.
; But then, we can add later:

$$personaldata-extensions //= {
  favorite-salsa: tstr,
}

; and again, somewhere else:
$$personaldata-extensions //= {
  shoesize: uint,
}

Figure 10: Personal Data example: Using socket/plug extensibility

3.10. Generics

Using angle brackets, the left hand side of a rule can add formal parameters after the name being defined, as in:

messages = message"<reboot", "now"> / message"<sleep", 1..100>
message<t, v> = {type: t, value: v}

When using a generic rule, the formal parameters are bound to the actual arguments supplied (also using angle brackets), within the scope of the generic rule (as if there were a rule of the form parameter = argument).

(There are some limitations to nesting of generics in Appendix F at this time.)

3.11. Operator Precedence

As with any language that has multiple syntactic features such as prefix and infix operators, CDDL has operators that bind more tightly than others. This is becoming more complicated than, say, in ABNF,
as CDDL has both types and groups, with operators that are specific to these concepts. Type operators (such as "/" for type choice) operate on types, while group operators (such as "/" for group choice) operate on groups. Types can simply be used in groups, but groups need to be bracketed (as arrays or maps) to become types. So, type operators naturally bind closer than group operators.

For instance, in

\[
t = \{\text{group1}\}
group1 = (a / b // c / d)\]

\[
a = 1 \ b = 2 \ c = 3 \ d = 4\]

group1 is a group choice between the type choice of a and b and the type choice of c and d. This becomes more relevant once member keys and/or occurrences are added in:

\[
t = \{\text{group2}\}
group2 = (\? ab: a / b // cd: c / d)\]

\[
a = 1 \ b = 2 \ c = 3 \ d = 4\]

is a group choice between the optional member "ab" of type a or b and the member "cd" of type c or d. Note that the optionality is attached to the first choice ("ab"), not to the second choice.

Similarly, in

\[
t = \{\text{group3}\}
group3 = (+ a / b / c)\]

\[
a = 1 \ b = 2 \ c = 3\]

group3 is a repetition of a type choice between a, b, and c [unflex]; if just a is to be repeatable, a group choice is needed to focus the occurrence:

\[
t = \{\text{group4}\}
group4 = (+ a // b / c)\]

\[
a = 1 \ b = 2 \ c = 3\]

group4 is a group choice between a repeatable a and a single b or c.

In general, as with many other languages with operator precedence rules, it is best not to rely on them, but to insert parentheses for readability:

\[
t = \{\text{group4a}\}
group4a = ((+ a) // (b / c))\]

\[
a = 1 \ b = 2 \ c = 3\]
The operator precedences, in sequence of loose to tight binding, are defined in Appendix D and summarized in Table 1. (Arities given are 1 for unary prefix operators and 2 for binary infix operators.)

<table>
<thead>
<tr>
<th>Operator</th>
<th>Ar</th>
<th>Operates on</th>
<th>Prec</th>
</tr>
</thead>
<tbody>
<tr>
<td>=</td>
<td>2</td>
<td>name = type, name = group</td>
<td>1</td>
</tr>
<tr>
<td>/=</td>
<td>2</td>
<td>name /= type</td>
<td>1</td>
</tr>
<tr>
<td>//</td>
<td>2</td>
<td>name // = group</td>
<td>1</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>group // = group</td>
<td>2</td>
</tr>
<tr>
<td>*</td>
<td>1</td>
<td>* group</td>
<td>3</td>
</tr>
<tr>
<td>,</td>
<td>2</td>
<td>group, group</td>
<td>3</td>
</tr>
<tr>
<td>*</td>
<td>2</td>
<td>group * group</td>
<td>4</td>
</tr>
<tr>
<td>N*M</td>
<td>1</td>
<td>N*M group</td>
<td>4</td>
</tr>
<tr>
<td>+</td>
<td>1</td>
<td>+ group</td>
<td>4</td>
</tr>
<tr>
<td>?</td>
<td>1</td>
<td>? group</td>
<td>4</td>
</tr>
<tr>
<td>=&gt;</td>
<td>2</td>
<td>type =&gt; type</td>
<td>5</td>
</tr>
<tr>
<td>:</td>
<td>2</td>
<td>name : type</td>
<td>5</td>
</tr>
<tr>
<td>/</td>
<td>2</td>
<td>type / type</td>
<td>6</td>
</tr>
<tr>
<td>&amp;</td>
<td>1</td>
<td>&amp; group</td>
<td>6</td>
</tr>
<tr>
<td>..</td>
<td>2</td>
<td>type .. type</td>
<td>7</td>
</tr>
<tr>
<td>...</td>
<td>2</td>
<td>type ... type</td>
<td>7</td>
</tr>
<tr>
<td>.anno</td>
<td>2</td>
<td>type .anno type</td>
<td>7</td>
</tr>
</tbody>
</table>

Table 1: Summary of operator precedences

4. Making Use of CDDL

In this section, we discuss several potential ways to employ CDDL.

4.1. As a guide to a human user

CDDL can be used to efficiently define the layout of CBOR data, such that a human implementer can easily see how data is supposed to be encoded.

Since CDDL maps parts of the CBOR data to human readable names, tools could be built that use CDDL to provide a human friendly representation of the CBOR data, and allow them to edit such data while remaining compliant to its CDDL definition.

4.2. For automated checking of CBOR data structure

CDDL has been specified such that a machine can handle the CDDL definition and related CBOR data (and, thus, also JSON data). For example, a machine could use CDDL to check whether or not CBOR data is compliant to its definition.
The need for thoroughness of such compliance checking depends on the application. For example, an application may decide not to check the data structure at all, and use the CDDL definition solely as a means to indicate the structure of the data to the programmer.

On the other end, the application may also implement a checking mechanism that goes as far as checking that all mandatory map members are available.

The matter in how far the data description must be enforced by an application is left to the designers and implementers of that application, keeping in mind related security considerations.

In no case the intention is that a CDDL tool would be "writing code" for an implementation.

4.3. For data analysis tools

In the long run, it can be expected that more and more data will be stored using the CBOR data format.

Where there is data, there is data analysis and the need to process such data automatically. CDDL can be used for such automated data processing, allowing tools to verify data, clean it, and extract particular parts of interest from it.

Since CBOR is designed with constrained devices in mind, a likely use of it would be small sensors. An interesting use would thus be automated analysis of sensor data.

5. Security considerations

This document presents a content rules language for expressing CBOR data structures. As such, it does not bring any security issues on itself, although specification of protocols that use CBOR naturally need security analysis when defined.

Topics that could be considered in a security considerations section that uses CDDL to define CBOR structures include the following:

- Where could the language maybe cause confusion in a way that will enable security issues?

6. IANA considerations

This document does not require any IANA registrations.
7. Acknowledgements

CDDL was originally conceived by Bert Greevenbosch, who also wrote the original five versions of this document.

Inspiration was taken from the C and Pascal languages, MPEG’s conventions for describing structures in the ISO base media file format, Relax-NG and its compact syntax [RELAXNG], and in particular from Andrew Lee Newton’s "JSON Content Rules" [I-D.newton-json-content-rules].

Useful feedback came from Joe Hildebrand, Sean Leonard and Jim Schaad.

The CDDL tool was written by Carsten Bormann, building on previous work by Troy Heninger and Tom Lord.

8. References

8.1. Normative References


8.2. Informative References

[I-D.ietf-anima-grasp]

[I-D.ietf-core-senml]

[I-D.newton-json-content-rules]


Appendix A. Cemetery

The following ideas have been buried in the discussions leading up to the present specification:

- `<...>` as syntax for enumerations. We view values to be just another type (a very specific type with just one member), so that an enumeration can be denoted as a choice using "/" as the delimiter of choices. Because of this, no evidence is present that a separate syntax for enumerations is needed.
A.1. Resolved Issues

- The key/value pairs in maps have no fixed ordering. One could imagine situations where fixing the ordering may be of use. For example, a decoder could look for values related with integer keys 1, 3 and 7. If the order were fixed and the decoder encounters the key 4 without having encountered key 3, it could conclude that key 3 is not available without doing more complicated bookkeeping. Unfortunately, neither JSON nor CBOR support this, so no attempt was made to support this in CDDL either.

- CDDL distinguishes the various CBOR number types, but there is only one number type in JSON. There is no effect in specifying a precision (float16/float32/float64) when using CDDL for specifying JSON data structures. (The current validator implementation Appendix F does not handle this very well, either.)

Appendix B. (Not used.)

Appendix C. Change Log

Changes from version 00 to version 01:
- Removed constants
- Updated the tag mechanism
- Extended the map structure
- Added examples

Changes from version 01 to version 02:
- Fixed example

Changes from version 02 to version 03:
- Added information about characters used in names
- Added text about an overall data structure and order of definition of fields
- Added text about encoding of keys
- Added table with keywords
- Strings and integer writing conventions
o Added ABNF

Changes from version 03 to version 04:
o Removed optional fields for non-maps
o Defined all key/value pairs in maps are considered optional from
the CDDL perspective
o Allow omission of type of keys for maps with only text string and
integer keys
o Changed order of definitions
o Updated fruit and moves examples
o Renamed the "Philosophy" section to "Using CDDL", and added more
text about CDDL usage
o Several editorials

Changes from version 04 to version 05:
o Added text about alternative datatypes and any datatype
o Fixed typos
o Restructured syntax and semantics

Changes from version 05 to version 05:
o Fixed the ABNF for choices (no longer need to write a: (b/c))
o Added group choices (//)
o Added /= and //=
o Added experimental socket/plug
o Added aliases text, bytes, null to prelude
o Documented generics
o Fixed more typos

Changes from 06 to 07:
o .cbor, .cborseq, .within, .and
Define .size on uint

Extended Diagnostic Notation

Precedence discussion and table

Remove some of the "issues" that can only be understood with historical context

Prefer "text" over "tstr" in some of the examples

Add "unsigned" to the prelude

Changes from 07 to 08:

.\lt, .\le, .eq, .ne, .gt, .ge

.default

Changes from 08 to 09:

Take annotations and socket/plug out of the nursery; they have been battle-proven enough.

Define a value notation for byte strings as well.

Removed discussion section that was no longer relevant; move "Resolved Issues" to appendix.

Changes from 09 to 10:

Remove a long but not very elucidating example. (Maybe we'll add back some shorter examples later.)

A few clarifications.

Updated author list.

Changes from 10 to 11:

Define unwrapping operator \n
Change term for annotation into "control" (but leave "annotate" for when it actually is meant in that sense)
Appendix D.  ABNF grammar

The following is a formal definition of the CDDL syntax in Augmented
Backus-Naur Form (ABNF, [RFC5234]).  [_abnftodo]

cddl = S 1*rule
rule = typename [genericparm] S assign S type S
      / groupname [genericparm] S assign S grpent S

typename = id
groupname = id

assign = "=" / "/=" / "//="

genericparm = "<" S id S *(""," S id S ) ">"
genericarg = "<" S type1 S *(""," S type1 S ) ">"

type = type1 S *("/" S type1 S)
type1 = type2 [S (rangeop / ctlop) S type2]
type2 = value
      / typename [genericarg]
      / "(" S type ")"
      / "" S groupname [genericarg]
      / "#" "8" ["." uint] "(" S type S ")" ; note no space!
      / "#" DIGIT ["." uint] ; major/ai
      / "#" ; any
      / "{" S group S "}"
      / "[" S group S "]"
      / "&" S "{" S group S "}"
      / "&" S groupname [genericarg]

rangeop = ". . ." / ". . ."

clop = "." id
group = grpchoice S *("/" S grpchoice S)
grpchoice = *grpent
grpent = [occur S] [memberkey S] type optcom
      / [occur S] groupname [genericarg] optcom ; preempted by above
      / [occur S] "(" S group S ")" optcom

memberkey = type1 S "=>"
      / bareword S ":"
      / value S ":"
bareword = id
optcom = S ["," S]

occur = [uint] "*" [uint]
        / "+"
        / "?"

uint = ["0x" / "0b"] "0"
       / DIGIT1 *DIGIT
       / "0x" 1*HEXDIG
       / "0b" 1*BINDIG

value = number
        / text
        / bytes

int = ["-" ] uint

; This is a float if it has fraction or exponent; int otherwise
number = int ["." fraction] ["e" exponent ]
fraction = 1*DIGIT
exponent = int
text = %x22 *SCHAR %x22
SCHAR = %x20-21 / %x23-5B / %x5D-10FFFD / SESC
SESC = "\" %x20-10FFFD

bytes = [bsqual] %x27 *BCHAR %x27
BCHAR = %x20-26 / %x28-5B / %x5D-10FFFD / SESC / CRLF
bsqual = %x68 ; "h"
        / %x62.36.34 ; "b64"

id = EALPHA (("-" / ".") (EALPHA / DIGIT))
ALPHA = %x41-5A / %x61-7A
EALPHA = %x41-5A / %x61-7A / "@" / "." / "+"
DIGIT = %x30-39
DIGIT1 = %x31-39
HEXDIG = DIGIT / "A" / "B" / "C" / "D" / "E" / "F"
BINDIG = %x30-31

S = *WS
WS = SP / NL
SP = %x20
NL = COMMENT / CRLF
COMMENT = ";" *PCHAR CRLF
PCHAR = %x20-10FFFD
CRLF = %x0A / %x0D.0A
Appendix E. Standard Prelude

The following prelude is automatically added to each CDDL file [tdate]. (Note that technically, it is a postlude, as it does not disturb the selection of the first rule as the root of the definition.)
any = #
uint = #0
nint = #1
int = uint / nint
bstr = #2
bytes = bstr
tstr = #3
text = tstr
tdate = #6.0(tstr)
time = #6.1(number)
number = int / float
biguint = #6.2(bstr)
bignint = #6.3(bstr)
bigint = biguint / bignint
integer = int / bigint
unsigned = uint / biguint
decfrac = #6.4([e10: int, m: integer])
bigfloat = #6.5([e2: int, m: integer])
eb64url = #6.21(any)
eb64legacy = #6.22(any)
eb16 = #6.23(any)
encoded-cbor = #6.24(bstr)
uri = #6.32(tstr)
b64url = #6.33(tstr)
b64legacy = #6.34(tstr)
regexp = #6.35(tstr)
mime-message = #6.36(tstr)
cbor-any = #6.55799(any)

float16 = #7.25
float32 = #7.26
float64 = #7.27
float16-32 = float16 / float32
float32-64 = float32 / float64
float = float16-32 / float64
false = #7.20
true = #7.21
bool = false / true
nil = #7.22
null = nil
undefined = #7.23
Note that the prelude is deemed to be fixed. This means, for instance, that additional tags beyond [RFC7049], as registered, need to be defined in each CDDL file that is using them.

A common stumbling point is that the prelude does not define a type "string". CBOR has byte strings ("bytes" in the prelude) and text strings ("text"), so a type that is simply called "string" would be ambiguous.

E.1. Use with JSON

The JSON generic data model (implicit in [RFC7159]) is a subset of the generic data model of CBOR. So one can use CDDL with JSON by limiting oneself to what can be represented in JSON. Roughly speaking, this means leaving out byte strings, tags, and simple values other than "false", "true", and "null", leading to the following limited prelude:

```
any = #
uint = #0
nint = #1
int = uint / nint
tstr = #3
text = tstr
number = int / float
float16 = #7.25
float32 = #7.26
float64 = #7.27
float16-32 = float16 / float32
float32-64 = float32 / float64
float = float16-32 / float64
false = #7.20
true = #7.21
bool = false / true
nil = #7.22
null = nil
```

Figure 13: JSON compatible subset of CDDL Prelude

(The major types given here do not have a direct meaning in JSON, but they can be interpreted as CBOR major types translated through Section 4 of [RFC7049].)
There are a few fine points in using CDDL with JSON. First, JSON does not distinguish between integers and floating point numbers; there is only one kind of number (which may happen to be integral). In this context, specifying a type as "uint", "nint" or "int" then becomes a predicate that the number be integral. As an example, this means that the following JSON numbers are all matching "uint":

10 10.0 1e1 1.0e1 100e-1

(The fact that these are all integers may be surprising to users accustomed to the long tradition in programming languages of using decimal points or exponents in a number to indicate a floating point literal.)

Fundamentally, the number system of JSON itself is based on decimal numbers and decimal fractions and does not have limits to its precision or range. In practice, JSON numbers are often parsed into a number type that is called float64 here, creating a number of limitations to the generic data model [RFC7493]. In particular, this means that integers can only be expressed with interoperable exactness when they lie in the range \([-2^{53}+1, 2^{53}-1\] -- a smaller range than that covered by CDDL "int".

JSON applications that want to stay compatible with I-JSON therefore may want to define integer types with more limited ranges, such as in Figure 14. Note that the types given here are not part of the prelude; they need to be copied into the CDDL specification if needed.

\[
\begin{align*}
ij-\text{uint} &= 0..9007199254740991 \\
ij-\text{nint} &= -9007199254740991..-1 \\
ij-\text{int} &= -9007199254740991..9007199254740991
\end{align*}
\]

Figure 14: I-JSON types for CDDL (not part of prelude)

JSON applications that do not need to stay compatible with I-JSON and that actually may need to go beyond the 64-bit unsigned and negative integers supported by "int" (= "uint"/"nint") may want to use the following additional types from the standard prelude, which are expressed in terms of tags but can straightforwardly be mapped into JSON (but not I-JSON) numbers:

\[
\begin{align*}
\text{biguint} &= \#6.2(\text{bstr}) \\
\text{bignint} &= \#6.3(\text{bstr}) \\
\text{bigint} &= \text{biguint} / \text{bignint} \\
\text{integer} &= \text{int} / \text{bigint} \\
\text{unsigned} &= \text{uint} / \text{biguint}
\end{align*}
\]

CDDL at this point does not have a way to express the unlimited floating point precision that is theoretically possible with JSON; at the time of writing, this is rarely used in protocols in practice.

Note that a data model described in CDDL is always restricted by what can be expressed in the serialization; e.g., floating point values such as NaN (not a number) and the infinities cannot be represented in JSON even if they are allowed in the CDDL generic data model.

Appendix F. The CDDL tool

A rough CDDL tool is available. For CDDL specifications, it can check the syntax, generate one or more instances (expressed in CBOR diagnostic notation or in pretty-printed JSON), and validate an existing instance against the specification:

Usage:
```
cddl spec.cddl generate [n]
cddl spec.cddl json-generate [n]
cddl spec.cddl validate instance.cbor
cddl spec.cddl validate instance.json
```

Figure 15: CDDL tool usage

Install on a system with a modern Ruby via:
```
gem install cddl
```

Figure 16: CDDL tool installation

The accompanying CBOR diagnostic tools (which are automatically installed by the above) are described in https://github.com/cabo/cbor-diag; they can be used to convert between binary CBOR, a pretty-printed form of that, CBOR diagnostic notation, JSON, and YAML.

Appendix G. Extended Diagnostic Notation

Section 6 of [RFC7049] defines a "diagnostic notation" in order to be able to converse about CBOR data items without having to resort to binary data. Diagnostic notation is based on JSON, with extensions for representing CBOR constructs such as binary data and tags.

(Standardizing this together with the actual interchange format does not serve to create another interchange format, but enables the use of a shared diagnostic notation in tools for and documents about CBOR.)
This section discusses a few extensions to the diagnostic notation that have turned out to be useful since RFC 7049 was written. We refer to the result as extended diagnostic notation (EDN).

G.1. White space in byte string notation

Examples often benefit from some white space (spaces, line breaks) in byte strings. In extended diagnostic notation, white space is ignored in prefixed byte strings; for instance, the following are equivalent:

\[ h'48656c6c6f20776f726c64' \]
\[ h'48 65 6c 6c 6f 20 77 6f 72 6c 64' \]
\[ h'4 86 56c 6c6f 20776 f726c64' \]

G.2. Text in byte string notation

Diagnostic notation notates Byte strings in one of the [RFC4648] base encodings, enclosed in single quotes, prefixed by \texttt{>h<} for base16, \texttt{>b32<} for base32, \texttt{>h32<} for base32hex, \texttt{>b64<} for base64 or base64url. Quite often, byte strings carry bytes that are meaningfully interpreted as UTF-8 text. Extended Diagnostic Notation allows the use of single quotes without a prefix to express byte strings with UTF-8 text; for instance, the following are equivalent:

\[ 'hello world' \]
\[ h'68656c6c6f20776f726c64' \]

The escaping rules of JSON strings are applied equivalently for text-based byte strings, e.g., \texttt{	extbackslash} stands for a single backslash and \texttt{'} stands for a single quote. White space is included literally, i.e., the previous section does not apply to text-based byte strings.

G.3. Embedded CBOR and CBOR sequences in byte strings

Where a byte string is to carry an embedded CBOR-encoded item, or more generally a sequence of zero or more such items, the diagnostic notation for these zero or more CBOR data items, separated by commas, can be enclosed in \texttt{<<} and \texttt{>>} to notate the byte string resulting from encoding the data items and concatenating the result. For instance, each pair of columns in the following are equivalent:

\[ <<1>> \texttt{h'01'} \]
\[ <<1, 2>>, null \texttt{h'0102'} \]
\[ "foo", null \texttt{h'63666F6FF6'} \]
\[ <<\texttt{"\textbackslash"}}, null \texttt{h'} \]

G.4. Concatenated Strings

While the ability to include white space enables line-breaking of encoded byte strings, a mechanism is needed to be able to include text strings as well as byte strings in direct UTF-8 representation into line-based documents (such as RFCs and source code).

We extend the diagnostic notation by allowing multiple text strings or multiple byte strings to be notated separated by white space, these are then concatenated into a single text or byte string, respectively. Text strings and byte strings do not mix within such a concatenation, except that byte string notation can be used inside a sequence of concatenated text string notation to encode characters that may be better represented in an encoded way. The following four values are equivalent:

"Hello world"
"Hello " "world"
"Hello" h'20' "world"
"" h'48656c66f20776f726c64' ""

Similarly, the following byte string values are equivalent


G.5. Hexadecimal, octal, and binary numbers

In addition to JSON's decimal numbers, EDN provides hexadecimal, octal and binary numbers in the usual C-language notation (octal with 0o prefix present only).

The following are equivalent:

4711
0x1267
0o11147
0b1001001100111

As are:
G.6. Comments

Longer pieces of diagnostic notation may benefit from comments. JSON famously does not provide for comments, and basic RFC 7049 diagnostic notation inherits this property.

In extended diagnostic notation, comments can be included, delimited by slashes ("/"). Any text within and including a pair of slashes is considered a comment.

Comments are considered white space. Hence, they are allowed in prefixed byte strings; for instance, the following are equivalent:

```
h'68656c6c6f20776f726c64'
h'68 65 6c /doubled l!/ 6c 6f /hello/
20 /space/
77 6f 72 6c 64' /world/
```

This can be used to annotate a CBOR structure as in:

```
/grasp-message/ [/M_DISCOVERY/ 1, /session-id/ 10584416,
   /objective/ [/objective-name/ "opsonize",
      /D, N, S/ 7, /loop-count/ 105]]
```

(There are currently no end-of-line comments. If we want to add them, "/" sounds like a reasonable delimiter given that we already use slashes for comments, but we also could go e.g. for "/".)

Appendix H. Examples

This section contains various examples of structures defined using CDDL.

The theme for the first example is taken from [RFC7071], which defines certain JSON structures in English. For a similar example, it may also be of interest to examine Appendix A of [RFC8007], which contains a CDDL definition for a JSON structure defined in the main body of the RFC.

The second subsection in this appendix translates examples from [I-D.newton-json-content-rules] into CDDL.

These examples all happen to describe data that is interchanged in JSON. Examples for CDDL definitions of data that is interchanged in
CBOR can be found in [RFC8152], [I-D.ietf-anima-grasp], or [I-D.ietf-core-senml].

H.1. RFC 7071

[RFC7071] defines the Reputon structure for JSON using somewhat formalized English text. Here is a (somewhat verbose) equivalent definition using the same terms, but notated in CDDL:

reputation-object = {
    
    reputation-context,
    repton-list

    }

reputation-context = {
    
    application: text

    }

repton-list = {
    
    repton: repton-array

    }

repton-array = [ * repton ]

repton = {
    
    rater-value,
    assertion-value,
    rated-value,
    rating-value,
    ? conf-value,
    ? normal-value,
    ? sample-value,
    ? gen-value,
    ? expire-value,
    * ext-value,

    }

rater-value = ( rater: text )
assertion-value = ( assertion: text )
rated-value = ( rated: text )
rating-value = ( rating: float16 )
conf-value = ( confidence: float16 )
normal-value = ( normal-rating: float16 )
sample-value = ( sample-size: uint )
gen-value = ( generated: uint )
expire-value = ( expires: uint )
ext-value = ( text => any )

An equivalent, more compact form of this example would be:

```cddl
reputation-object = {
  application: text
  reputons: [* reputon]
}
```
```
reputon = {
  rater: text
  assertion: text
  rated: text
  rating: float16
  ? confidence: float16
  ? normal-rating: float16
  ? sample-size: uint
  ? generated: uint
  ? expires: uint
  * text => any
}
```

Note how this rather clearly delineates the structure somewhat shrouded by so many words in section 6.2.2. of [RFC7071]. Also, this definition makes it clear that several ext-values are allowed (by definition with different member names); RFC 7071 could be read to forbid the repetition of ext-value ("A specific reputon-element MUST NOT appear more than once" is ambiguous.)

The CDDL tool (which hasn’t quite been trained for polite conversation) says:
{
    "application": "tridentiferous",
    "reputons": [
        {
            "rater": "loamily",
            "assertion": "Dasyprocta",
            "rated": "uncommensurableness",
            "rating": 0.05055809746548934,
            "confidence": 0.7484706448605812,
            "normal-rating": 0.8677887734049299,
            "sample-size": 4059,
            "expires": 3969,
            "bearer": "nitty",
            "faucal": "postulnar",
            "naturalism": "sarcotic"
        },
        {
            "rater": "precreed",
            "assertion": "xanthosis",
            "rated": "balsamy",
            "rating": 0.36091333590593955,
            "confidence": 0.3700759808403371,
            "sample-size": 3904
        },
        {
            "rater": "urinosexual",
            "assertion": "malacostracous",
            "rated": "arenariae",
            "rating": 0.9210673488013762,
            "normal-rating": 0.4778762617112776,
            "sample-size": 4428,
            "generated": 3294,
            "backfurrow": "enterable",
            "fruitgrower": "flannelflower"
        },
        {
            "rater": "pedologistically",
            "assertion": "unmetaphysical",
            "rated": "elocutionist",
            "rating": 0.42073613384304287,
            "misimagine": "retinaculum",
            "snobbish": "contradict",
            "Bosporanic": "periostotomy",
            "dayworker": "intragyral"
        }
    ]
}
H.1.1. Examples from JSON Content Rules

Although JSON Content Rules [I-D.newton-json-content-rules] seems to address a more general problem than CDDL, it is still a worthwhile resource to explore for examples (beyond all the inspiration the format itself has had for CDDL).

Figure 2 of the JCR I-D looks very similar, if slightly less noisy, in CDDL:

```cddl
class root = [2*2 {
  precision: text,
  Latitude: float,
  Longitude: float,
  Address: text,
  City: text,
  State: text,
  Zip: text,
  Country: text
}]
```

Figure 17: JCR, Figure 2, in CDDL

Apart from the lack of a need to quote the member names, text strings are called "text" or "tstr" in CDDL ("string" would be ambiguous as CBOR also provides byte strings).

The CDDL tool creates the below example instance for this:

```cddl
[{
  "precision": "pyrosphere", "Latitude": 0.5399712314350172,
  "Longitude": 0.5157523963028087, "Address": "resow",
  "City": "problemwise", "State": "martyrlike", "Zip": "preprove",
  "Country": "Pace"},
{
  "precision": "unrigging", "Latitude": 0.10422704368372193,
  "Longitude": 0.6279808663725834, "Address": "picturedom",
  "City": "decipherability", "State": "autometry", "Zip": "pout",
  "Country": "wimple"]
```

Figure 4 of the JCR I-D in CDDL:
root = { image }

image = {
  Image: {
    size,
    Title: text,
    thumbnail,
    IDs: [* int]
  }
}

size = {
  Width: 0..1280
  Height: 0..1024
}

thumbnail = {
  Thumbnail: {
    size,
    Url: "uri"
  }
}

This shows how the group concept can be used to keep related elements (here: width, height) together, and to emulate the JCR style of specification. (It also shows referencing a type by unwrapping a tag from the prelude, "uri" - this could be done differently.) The more compact form of Figure 5 of the JCR I-D could be emulated like this:

root = {
  Image: {
    size, Title: text,
    Thumbnail: { size, Url: "uri" },
    IDs: [* int]
  }
}

size = {
  Width: 0..1280,
  Height: 0..1024,
}

The CDDL tool creates the below example instance for this:

{"Image": {"Width": 566, "Height": 516, "Title": "leisterer",
  "Thumbnail": {"Width": 1111, "Height": 176, "Url": 32("scrog"),
  "IDs": []}}

Editorial Comments

[_format] So far, the ability to restrict format choices have not been needed beyond the floating point formats. Those can be applied to ranges using the new .and control now. It is not clear we want to add more format control before we have a use case.

[_range] TO DO: define this precisely. This clearly includes integers and floats. Strings - as in "a".."z" - could be added if desired, but this would require adopting a definition of string ordering and possibly a successor function so "a".."z" does not include "bb".

[_strings] TO DO: This still needs to be fully realized in the ABNF and in the CDDL tool.

[_bitsendian] How useful would it be to have another variant that counts bits like in RFC box notation? (Or at least per-byte? 32-bit words don’t always perfectly mesh with byte strings.)

[unflex] A comment has been that this is counter-intuitive. One solution would be to simply disallow unparenthesized usage of occurrence indicators in front of type choices unless a member key is also present like in group2 above.

[_abnftodo] Potential improvements: the prefixed byte strings are more liberally specified than they actually are. [^_abnfdontdo]: representation indicators are not supported. - and this will stay so.

[tdate] The prelude as included here does not yet have a .regexp control on tdate, but we probably do want to have one.

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Abstract

The Concise Binary Object Representation (CBOR, RFC 7049) is a data format whose design goals include the possibility of extremely small code size, fairly small message size, and extensibility without the need for version negotiation.

The present document makes use of this extensibility to define a number of CBOR tags for typed arrays of numeric data, as well as two additional tags for multi-dimensional and homogeneous arrays. It is intended as the reference document for the IANA registration of the CBOR tags defined.

Status of This Memo

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1. Introduction

The Concise Binary Object Representation (CBOR, [RFC7049]) provides for the interchange of structured data without a requirement for a pre-agreed schema. RFC 7049 defines a basic set of data types, as well as a tagging mechanism that enables extending the set of data types supported via an IANA registry.

Recently, a simple form of typed arrays of numeric data have received interest both in the Web graphics community [TypedArray] and in the JavaScript specification [TypedArrayES6], as well as in corresponding implementations [ArrayBuffer].

Since these typed arrays may carry significant amounts of data, there is interest in interchanging them in CBOR without the need of lengthy conversion of each number in the array.

This document defines a number of interrelated CBOR tags that cover these typed arrays, as well as two additional tags for multi-dimensional and homogeneous arrays. It is intended as the reference document for the IANA registration of the tags defined.
1.1. Terminology

The term "byte" is used in its now customary sense as a synonym for "octet". Where bit arithmetic is explained, this document uses the notation familiar from the programming language C (including C++14’s 0bnnn binary literals), except that the operator "***" stands for exponentiation.

2. Typed Arrays

Typed arrays are homogeneous arrays of numbers, all of which are encoded in a single form of binary representation. The concatenation of these representations is encoded as a single CBOR byte string (major type 2), enclosed by a single tag indicating the type and encoding of all the numbers represented in the byte string.

2.1. Types of numbers

Three classes of numbers are of interest: unsigned integers (uint), signed integers (two’s complement, sint), and IEEE 754 binary floating point numbers (which are always signed). For each of these classes, there are multiple representation lengths in active use:

<table>
<thead>
<tr>
<th>Length</th>
<th>uint</th>
<th>sint</th>
<th>float</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>uint8</td>
<td>sint8</td>
<td>binary16</td>
</tr>
<tr>
<td>1</td>
<td>uint16</td>
<td>sint16</td>
<td>binary32</td>
</tr>
<tr>
<td>2</td>
<td>uint32</td>
<td>sint32</td>
<td>binary64</td>
</tr>
<tr>
<td>3</td>
<td>uint64</td>
<td>sint64</td>
<td>binary128</td>
</tr>
</tbody>
</table>

Table 1: Length values

Here, sintN stands for a signed integer of exactly N bits (for instance, sint16), and uintN stands for an unsigned integer of exactly N bits (for instance, uint32). The name binaryN stands for the number form of the same name defined in IEEE 754.

Since one objective of these tags is to be able to directly ship the ArrayBuffers underlying the Typed Arrays without re-encoding them, and these may be either in big endian (network byte order) or in little endian form, we need to define tags for both variants.

In total, this leads to 24 variants. In the tag, we need to express the choice between integer and floating point, the signedness (for integers), the endianness, and one of the four length values.
In order to simplify implementation, a range of tags is being allocated that allows retrieving all this information from the bits of the tag: Tag values from 64 to 87 (0x40 to 0x57).

The value is split up into 5 bit fields: 0b010_f_s_e_ll, as detailed in Table 2.

<table>
<thead>
<tr>
<th>Field</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>0b010</td>
<td>a constant ‘010’</td>
</tr>
<tr>
<td>f</td>
<td>0 for integer, 1 for float</td>
</tr>
<tr>
<td>s</td>
<td>0 for unsigned integer or float, 1 for signed integer</td>
</tr>
<tr>
<td>e</td>
<td>0 for big endian, 1 for little endian</td>
</tr>
<tr>
<td>ll</td>
<td>A number for the length (Table 1).</td>
</tr>
</tbody>
</table>

Table 2: Bit fields in the low 8 bits of the tag

The number of bytes in each array element can then be calculated by "2**(f + ll)" (or "1 << (f + ll)" in a typical programming language). (Notice that f and ll are the lsb of each nibble (4bit) in the byte.)

In the CBOR representation, the total number of elements in the array is not expressed explicitly, but implied from the length of the byte string and the length of each representation. It can be computed inversely to the previous formula: "bytelength >> (f + ll)".

For the uint8/sint8 values, the endianness is redundant. Only the big endian variant is used. As a special case, what would be the little endian variant of uint8 is used to signify that the numbers in the array are using clamped conversion from integers, as described in more detail in Section 7.1 of [TypedArrayUpdate].

3. Additional Array Tags

This specification defines two additional array tags. The Multi-dimensional Array tag can be combined with classical CBOR arrays as well as with Typed Arrays in order to build multi-dimensional arrays with constant numbers of elements in the sub-arrays. The Homogeneous Array tag can be used to facilitate the ingestion of homogeneous classical CBOR arrays, providing performance advantages even when a Typed Array does not apply.
3.1. Multi-dimensional Array

Tag: TBD40

Data Item: array (major type 4) of two arrays, one array (major type 4) of dimensions, and one array (major type 4, a Typed Array, or a Homogeneous Array) of elements

A multi-dimensional array is represented as a tagged array that contains two (one-dimensional) arrays. The first array defines the dimensions of the multi-dimensional array (in the sequence of outer dimensions towards inner dimensions) while the second array represents the contents of the multi-dimensional array. If the second array is itself tagged as a Typed Array then the element type of the multi-dimensional array is known to be the same type as that of the Typed Array. Data in the Typed Array byte string consists of consecutive values where the last dimension is considered contiguous (row-major order).

```c
uint16_t a[2][3] = {
  {0, 1, 2},   /* row 0 */
  {3, 4, 5},
};
```

```
<Tag TBD40> # multi-dimensional array tag
  82       # array(2)
  82      # array(2)
  02     # unsigned(2) 1st Dimension
  03     # unsigned(3) 2nd Dimension
  d8 41   # uint16 array
  4a     # byte string(12)
    00 00 # unsigned(0)
    00 01 # unsigned(1)
    00 02 # unsigned(2)
    00 03 # unsigned(3)
    00 04 # unsigned(4)
    00 05 # unsigned(5)
```

Figure 1: Multi-dimensional array in C and CBOR

3.2. Homogeneous Array

Tag: TBD41

Data Item: array (major type 4)

This tag provides a hint to decoders that the array tagged by it has elements that are all of the same application type. The element type
of the array is thus determined by the application type of the first array element. This can be used by implementations in strongly typed languages while decoding to create native homogeneous arrays of specific types instead of ordered lists.

Which CBOR data items constitute elements of the same application type is specific to the application. However, type systems of programming languages have enough commonality that an application should be able to create portable homogeneous arrays.

```c
bool boolArray[2] = { true, false };
```

Figure 2: Homogeneous array in C and CBOR

4. Discussion

Support for both little- and big-endian representation may seem out of character with CBOR, which is otherwise fully big endian. This support is in line with the intended use of the typed arrays and the objective not to require conversion of each array element.

This specification allocates a sizable chunk out of the single-byte tag space. This use of code point space is justified by the wide use of typed arrays in data interchange.

Applying a Homogeneous Array tag to a Typed Array would be redundant and is therefore not provided by the present specification.
5. CDDL typenames

For the use with CDDL [I-D.ietf-cbor-cddl], the typenames defined in Figure 3 are recommended:

- `ta-uint8` = #6.64(bstr)
- `ta-uint16be` = #6.65(bstr)
- `ta-uint32be` = #6.66(bstr)
- `ta-uint64be` = #6.67(bstr)
- `ta-uint8-clamped` = #6.68(bstr)
- `ta-uint16le` = #6.69(bstr)
- `ta-uint32le` = #6.70(bstr)
- `ta-uint64le` = #6.71(bstr)
- `ta-sint0` = #6.72(bstr)
- `ta-sint16be` = #6.73(bstr)
- `ta-sint32be` = #6.74(bstr)
- `ta-sint64be` = #6.75(bstr)
- `reserved: #6.76(bstr)`
- `ta-sint16le` = #6.77(bstr)
- `ta-sint32le` = #6.78(bstr)
- `ta-sint64le` = #6.79(bstr)
- `ta-float16be` = #6.80(bstr)
- `ta-float32be` = #6.81(bstr)
- `ta-float64be` = #6.82(bstr)
- `ta-float128be` = #6.83(bstr)
- `ta-float16le` = #6.84(bstr)
- `ta-float32le` = #6.85(bstr)
- `ta-float64le` = #6.86(bstr)
- `ta-float128le` = #6.87(bstr)
- `homogeneous<array>` = #6.TBD41(array)
- `multi-dim<dim, array>` = #6.TBD40([dim, array])

Figure 3: Recommended typenames for CDDL
6. IANA Considerations

IANA is requested to allocate the tags in Table 3, with the present document as the specification reference.

<table>
<thead>
<tr>
<th>Tag</th>
<th>Data Item</th>
<th>Semantics</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
<td>byte string</td>
<td>uint8 Typed Array</td>
</tr>
<tr>
<td>65</td>
<td>byte string</td>
<td>uint16, big endian, Typed Array</td>
</tr>
<tr>
<td>66</td>
<td>byte string</td>
<td>uint32, big endian, Typed Array</td>
</tr>
<tr>
<td>67</td>
<td>byte string</td>
<td>uint64, big endian, Typed Array</td>
</tr>
<tr>
<td>68</td>
<td>byte string</td>
<td>uint8 Typed Array, clamped arithmetic</td>
</tr>
<tr>
<td>69</td>
<td>byte string</td>
<td>uint16, little endian, Typed Array</td>
</tr>
<tr>
<td>70</td>
<td>byte string</td>
<td>uint32, little endian, Typed Array</td>
</tr>
<tr>
<td>71</td>
<td>byte string</td>
<td>uint64, little endian, Typed Array</td>
</tr>
<tr>
<td>72</td>
<td>byte string</td>
<td>sint8 Typed Array</td>
</tr>
<tr>
<td>73</td>
<td>byte string</td>
<td>sint16, big endian, Typed Array</td>
</tr>
<tr>
<td>74</td>
<td>byte string</td>
<td>sint32, big endian, Typed Array</td>
</tr>
<tr>
<td>75</td>
<td>byte string</td>
<td>sint64, big endian, Typed Array</td>
</tr>
<tr>
<td>76</td>
<td>byte string</td>
<td>(reserved)</td>
</tr>
<tr>
<td>77</td>
<td>byte string</td>
<td>sint16, little endian, Typed Array</td>
</tr>
<tr>
<td>78</td>
<td>byte string</td>
<td>sint32, little endian, Typed Array</td>
</tr>
<tr>
<td>79</td>
<td>byte string</td>
<td>sint64, little endian, Typed Array</td>
</tr>
<tr>
<td>80</td>
<td>byte string</td>
<td>IEEE 754 binary16, big endian, Typed Array</td>
</tr>
<tr>
<td>81</td>
<td>byte string</td>
<td>IEEE 754 binary32, big endian, Typed Array</td>
</tr>
<tr>
<td>82</td>
<td>byte string</td>
<td>IEEE 754 binary64, big endian, Typed Array</td>
</tr>
<tr>
<td>83</td>
<td>byte string</td>
<td>IEEE 754 binary128, big endian, Typed Array</td>
</tr>
<tr>
<td>84</td>
<td>byte string</td>
<td>IEEE 754 binary16, little endian, Typed Array</td>
</tr>
<tr>
<td>85</td>
<td>byte string</td>
<td>IEEE 754 binary32, little endian, Typed Array</td>
</tr>
<tr>
<td>86</td>
<td>byte string</td>
<td>IEEE 754 binary64, little endian, Typed Array</td>
</tr>
<tr>
<td>87</td>
<td>byte string</td>
<td>IEEE 754 binary128, little endian, Typed Array</td>
</tr>
<tr>
<td>TBD40</td>
<td>array of two arrays*</td>
<td>Multi-dimensional Array</td>
</tr>
<tr>
<td>TBD41</td>
<td>array</td>
<td>Homogeneous Array</td>
</tr>
</tbody>
</table>

Table 3: Values for Tags
*) TBD40 data item: second element of outer array in data item is native CBOR array (major type 4) or Typed Array (one of Tag 64..87)

RFC editor note: Please replace TBD40 and TBD41 by the tag numbers allocated by IANA throughout the document and delete this note.

7. Security Considerations

The security considerations of RFC 7049 apply; the tags introduced here are not expected to raise security considerations beyond those.
8. References

8.1. Normative References


8.2. Informative References


Contributors

Glenn Engel suggested the tags for multi-dimensional arrays and homogeneous arrays.

Acknowledgements

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