

# Updates on Thor, AV1 and CDEF

**High Efficiency, Moderate Complexity**

**Video Codec using only RF IPR**

(<https://datatracker.ietf.org/ipr/2636/>)

**draft-fuldseth-netvc-thor-03**

**draft-midtskogen-netvc-cdef-00**

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**IETF 100 – Singapore, SG – November 2017**

# Thor status

- Since IETF99 support for CDEF has been added
- The single-pass version of CDEF was adopted in AV1, and Thor only supports that version
- Thor uses a faster and simpler RDO for CDEF
  - Can be improved further for a better compression/complexity trade-off for real-time encoders
  - Thor is much faster than the AV1 reference codec, so CDEF experimentation is more convenient in Thor, and improvements can easily be backported to AV1
- Support for Daala EC not yet completed

# Some minor CDEF changes

- New skip block test
  - **Before:** No filtering only if all coding blocks within the 64x64 filter block were “skip” (i.e. no coded residual)
  - The CDEF preset had to be signalled at the end of the superblock since the test needed to know about partitioning
  - **Now:** No filtering if the coding block size is 64x64 (i.e. no partitioning) and the coding block is “skip”.
  - This allows the CDEF preset to be signalled right after the first skip bit, making it possible to apply the filter once a coding block has been decoded
  - Adds a slight coding overhead (fewer blocks are implicitly not filtered), and a slight complexity increase (but not for the worst case). But the loss is  $< 0.1\%$ .

# Some minor CDEF changes

- Adaptation for 128x128 superblocks
  - AV1 now supports 128x128 superblocks with the EXT\_PARTITION experiment
  - CDEF still needs to signal at 64x64 resolution
  - So for 128x128 up to four CDEF presets must be signalled
  - The details not yet decided
  - Investigating possible compression impact

# CDEF in Thor

- Running CDEF instead of CLPF gives objective gains
  - 0.5 – 2.2% – full results will follow on a separate slide
  - Fairly large gains for chroma (up to 4%)
- CDEF adds complexity, though
- Running CLPF on top of CDEF gives little gain
  - If the CDEF RDO is greatly simplified, CLPF does give gains
  - Adds a risk of over-filtering despite objective results
  - Adds buffering requirements
- Is CLPF still attractive for fast real-time encoders?
  - May be hard to make the CDEF RDO as fast as CLPF RDO, but it should be possible to come close.

# Loop filter integration in AV1

- Three loop filters in AV1 applied in cascade (in this order):
  - Deblocking
  - CDEF
  - Loop restoration
- Without integration this cascade requires a line buffer of **30 lines** (for filtering a frame in a single pass). This is a significant hardware cost.
- Proposal from ARM (with contributions from Intel, Google and Mozilla) can reduce this to **16 lines**

# Loop filter integration in AV1

- Basic ideas:
  - Outside the superblock, loop restoration (LR) will read the deblocked output rather than the CDEF output
  - Shift the CDEF/LR filtering to align with the output from the deblock filter
- Requires no normative changes to CDEF
- No impact on AWCY results (-0.01 – 0.03%)
- Makes the line buffer requirements for AV1 the same as for VP9.
- Will probably be adopted along with LR

# CDEF encoder complexity

- CDEF works well even with greatly simplified rate-distortion optimisation (RDO)
- Even restricting the filter to do no block level signalling gives similar objective gains as CLPF
  - The encoder must still select the optimal CDEF parameters for every frame, but the search space becomes small
- Simplifications that work well:
  - Damping selected can be based on frame QP
  - The number of bits to signal per block can be selected based on frame type and bitrate
- Still many ways to improve the CDEF RDO

# CDEF gains in Thor (AWCY)

Gains for deblocking + CDEF ← deblocking only:

- Low complexity, low delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-6.1689 | -10.4772 | -11.2394 | -4.1280 | -7.6027 | -6.1057 | -10.3280
- Low complexity, high delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-4.0168 | -6.3353 | -6.6232 | -1.6408 | -5.3347 | -2.9643 | -6.3557
- Medium complexity, low delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-4.8637 | -7.8556 | -8.0799 | -2.6514 | -5.5668 | -4.0526 | -7.6489
- Medium complexity, high delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-3.9115 | -5.1303 | -4.9574 | -1.6244 | -5.1654 | -2.9807 | -5.3456
- High efficiency, low delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-3.1898 | -5.2852 | -5.4605 | -1.3447 | -3.3103 | -2.2294 | -5.1828
- High efficiency, high delay:  
PSNR | PSNR Cb | PSNR Cr | PSNR HVS | SSIM | MS SSIM | CIEDE 2000  
-2.2629 | -2.7290 | -2.5596 | -0.4865 | -2.7491 | -1.3874 | -3.1324

# CDEF gains in Thor (AWCY)

## Gains for replacing CLPF with CDEF:

- Low complexity, low delay:  

PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.8304	-4.0167	-3.6906	-0.7987	-1.3478	-1.1405	-2.1609
- Low complexity, high delay:  

PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.9475	-2.8048	-2.4094	-0.7117	-0.9714	-0.7862	-1.8283
- Medium complexity, low delay:  

PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.8560	-3.5034	-3.1839	-0.7653	-1.2580	-1.0508	-1.9946
- Medium complexity, high delay:  

PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.7082	-2.5633	-2.3938	-0.7030	-1.0557	-0.9237	-1.4765
- High efficiency, low delay:  

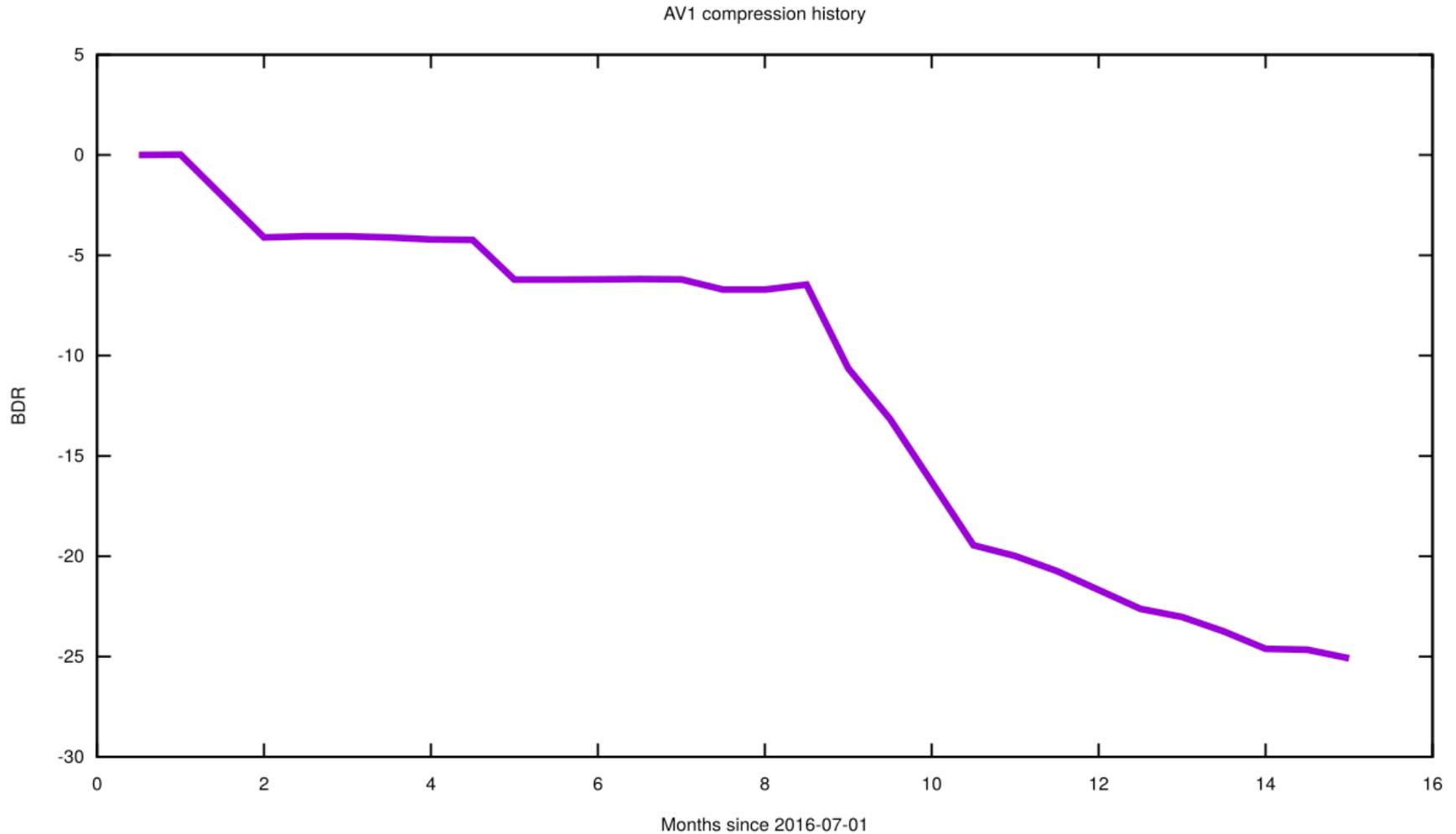
PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.5777	-2.6286	-2.3601	-0.5300	-1.0664	-0.8435	-1.5601
- High efficiency, high delay:  

PSNR	PSNR Cb	PSNR Cr	PSNR HVS	SSIM	MS SSIM	CIEDE 2000
-0.4942	-1.6534	-1.5278	-0.4858	-0.9091	-0.7584	-1.0541

# AV1 compression history

- Compression/speed relationships measured using AWCY
  - Mixed content: objective-1-fast
  - About 5% improvement since IETF99 and 2x complexity
- Low delay configuration
- BDR anchor is AV1 in July 2016, roughly equivalent to VP9
- Note that the speed axis is logarithmic

# AV1 compression history



# AV1 complexity history

