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E. Voit  
R. Rahman  
E. Nilsen-Nygaard  
Cisco Systems  
A. Clemm  
Huawei  
A. Bierman  
YumaWorks  
October 19, 2018

Dynamic subscription to YANG Events and Datastores over RESTCONF  
draft-ietf-netconf-restconf-notif-09

## Abstract

This document provides a RESTCONF binding to the dynamic subscription capability of both subscribed notifications and YANG-Push.

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## Table of Contents

1. Introduction . . . . .	2
2. Terminology . . . . .	3
3. Dynamic Subscriptions . . . . .	3
3.1. Transport Connectivity . . . . .	4
3.2. Discovery . . . . .	4
3.3. RESTCONF RPCs and HTTP Status Codes . . . . .	4
3.4. Call Flow for Server-Sent Events (SSE) . . . . .	6
4. QoS Treatment . . . . .	8
5. Notification Messages . . . . .	8
6. YANG Tree . . . . .	8
7. YANG module . . . . .	8
8. IANA Considerations . . . . .	10
9. Security Considerations . . . . .	11
10. Acknowledgments . . . . .	11
11. References . . . . .	11
11.1. Normative References . . . . .	11
11.2. Informative References . . . . .	13
Appendix A. Examples . . . . .	14
A.1. Dynamic Subscriptions . . . . .	14
A.1.1. Establishing Dynamic Subscriptions . . . . .	14
A.1.2. Modifying Dynamic Subscriptions . . . . .	17
A.1.3. Deleting Dynamic Subscriptions . . . . .	18
A.2. Subscription State Notifications . . . . .	19
A.2.1. subscription-modified . . . . .	19
A.2.2. subscription-completed, subscription-resumed, and replay-complete . . . . .	20
A.2.3. subscription-terminated and subscription-suspended . . . . .	20
A.3. Filter Example . . . . .	21
Appendix B. Changes between revisions . . . . .	22
Authors' Addresses . . . . .	24

## 1. Introduction

Mechanisms to support event subscription and push are defined in [I-D.draft-ietf-netconf-subscribed-notifications]. Enhancements to [I-D.draft-ietf-netconf-subscribed-notifications] which enable YANG datastore subscription and push are defined in [I-D.ietf-netconf-yang-push]. This document provides a transport specification for dynamic subscriptions over RESTCONF [RFC8040]. Driving these requirements is [RFC7923].

The streaming of notifications encapsulating the resulting information push is done via the mechanism described in section 6.3 of [RFC8040].

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

The following terms use the definitions from [I-D.draft-ietf-netconf-subscribed-notifications]: dynamic subscription, event stream, notification message, publisher, receiver, subscriber, and subscription.

Other terms reused include datastore, which is defined in [RFC8342], and HTTP2 stream which maps to the definition of "stream" within [RFC7540], Section 2.

[ note to the RFC Editor - please replace XXXX within this document with the number of this document ]

## 3. Dynamic Subscriptions

This section provides specifics on how to establish and maintain dynamic subscriptions over RESTCONF [RFC8040]. Subscribing to event streams is accomplished in this way via RPCs defined within [I-D.draft-ietf-netconf-subscribed-notifications] Section 2.4, the RPCs are done via RESTCONF POSTs. YANG datastore subscription is accomplished via augmentations to [I-D.draft-ietf-netconf-subscribed-notifications] as described within [I-D.ietf-netconf-yang-push] Section 4.4.

As described in [RFC8040] Section 6.3, a GET needs to be made against a specific URI on the publisher. Subscribers cannot pre-determine the URI against which a subscription might exist on a publisher, as the URI will only exist after the "establish-subscription" RPC has been accepted. Therefore, the POST for the "establish-subscription" RPC replaces the GET request for the "location" leaf which is used in [RFC8040] to obtain the URI. The subscription URI will be determined and sent as part of the response to the "establish-subscription" RPC, and a subsequent GET to this URI will be done in order to start the flow of notification messages back to the subscriber. A subscription does not move to the active state as per Section 2.4.1. of [I-D.draft-ietf-netconf-subscribed-notifications] until the GET is received.

### 3.1. Transport Connectivity

For a dynamic subscription, where a RESTCONF session doesn't already exist, a new RESTCONF session is initiated from the subscriber.

As stated in Section 2.1 of [RFC8040], a subscriber MUST establish the HTTP session over TLS [RFC5246] in order to secure the content in transit.

Without the involvement of additional protocols, HTTP sessions by themselves do not allow for a quick recognition of when the communication path has been lost with the publisher. Where quick recognition of the loss of a publisher is required, a subscriber SHOULD use a TLS heartbeat [RFC6520], just from receiver to publisher, to track HTTP session continuity.

Loss of the heartbeat MUST result in any subscription related TCP sessions between those endpoints being torn down. A subscriber can then attempt to re-establish the dynamic subscription by using the procedure described in Section 3.

### 3.2. Discovery

Subscribers can learn what event streams a RESTCONF server supports by querying the "streams" container of ietf-subscribed-notification.yang in [I-D.draft-ietf-netconf-subscribed-notifications]. Support for the "streams" container of ietf-restconf-monitoring.yang in [RFC8040] is not required.

Subscribers can learn what datastores a RESTCONF server supports by following [I-D.draft-ietf-netconf-nmda-restconf].

### 3.3. RESTCONF RPCs and HTTP Status Codes

Specific HTTP responses codes as defined in [RFC7231] section 6 will indicate the result of RESTCONF RPC requests with publisher. An HTTP status code of 200 is the proper response to any successful RPC defined within [I-D.draft-ietf-netconf-subscribed-notifications] or [I-D.ietf-netconf-yang-push].

If a publisher fails to serve the RPC request for one of the reasons indicated in [I-D.draft-ietf-netconf-subscribed-notifications] Section 2.4.6 or [I-D.ietf-netconf-yang-push] Appendix A, this will be indicated by "406" status code transported in the HTTP response.

When a "406" status code is returned, the RPC reply MUST include an "rpc-error" element per [RFC8040] Section 7.1 with the following parameter values:

- o an "error-type" node of "application".
- o an "error-tag" node of "operation-failed".
- o an "error-app-tag" node with the value being a string that corresponds to an identity associated with the error, as defined in [I-D.draft-ietf-netconf-subscribed-notifications] section 2.4.6 for general subscriptions, and [I-D.ietf-netconf-yang-push] Appendix A.1, for datastore subscriptions. The tag to use depends on the RPC for which the error occurred. Viable errors for different RPCs are as follows:

RPC	select an identity with a base
-----	-----
establish-subscription	establish-subscription-error
modify-subscription	modify-subscription-error
delete-subscription	delete-subscription-error
kill-subscription	kill-subscription-error
resynch-subscription	resynch-subscription-error

Each error identity will be inserted as the "error-app-tag" using JSON encoding following the form <modulename>:<identityname>. An example of such as valid encoding would be "ietf-subscribed-notifications:no-such-subscription".

In case of error responses to an "establish-subscription" or "modify-subscription" request there is the option of including an "error-info" node. This node may contain hints for parameter settings that might lead to successful RPC requests in the future. Following are the yang-data structures which may be returned:

establish-subscription	returns hints in yang-data structure
-----	-----
target: event stream	establish-subscription-stream-error-info
target: datastore	establish-subscription-datastore-error-info
modify-subscription	returns hints in yang-data structure
-----	-----
target: event stream	modify-subscription-stream-error-info
target: datastore	modify-subscription-datastore-error-info

The yang-data included within "error-info" SHOULD NOT include the optional leaf "error-reason", as such a leaf would be redundant with information that is already placed within the "error-app-tag".

In case of an rpc error as a result of a "delete-subscription", a "kill-subscription", or a "resynch-subscription" request, no "error-info" needs to be included, as the "subscription-id" is the only RPC input parameter and no hints regarding this RPC input parameters need to be provided.

Note that "error-path" [RFC8040] does not need to be included with the "rpc-error" element, as subscription errors are generally associated with the choice of RPC input parameters.

### 3.4. Call Flow for Server-Sent Events (SSE)

The call flow is defined in Figure 1. The logical connections denoted by (a) and (b) can be a TCP connection or an HTTP2 stream (multiple HTTP2 streams can be carried in one TCP connection). Requests to [I-D.draft-ietf-netconf-subscribed-notifications] or [I-D.ietf-netconf-yang-push] augmented RPCs are sent on a connection indicated by (a). A successful "establish-subscription" will result in an RPC response returned with both a subscription identifier which uniquely identifies a subscription, as well as a URI which uniquely identifies the location of subscription on the publisher (b). This URI is defined via the "uri" leaf the Data Model in Section 7.

An HTTP GET is then sent on a separate logical connection (b) to the URI on the publisher. This initiates the publisher to initiate the flow of notification messages which are sent in SSE [W3C-20150203] as a response to the GET.

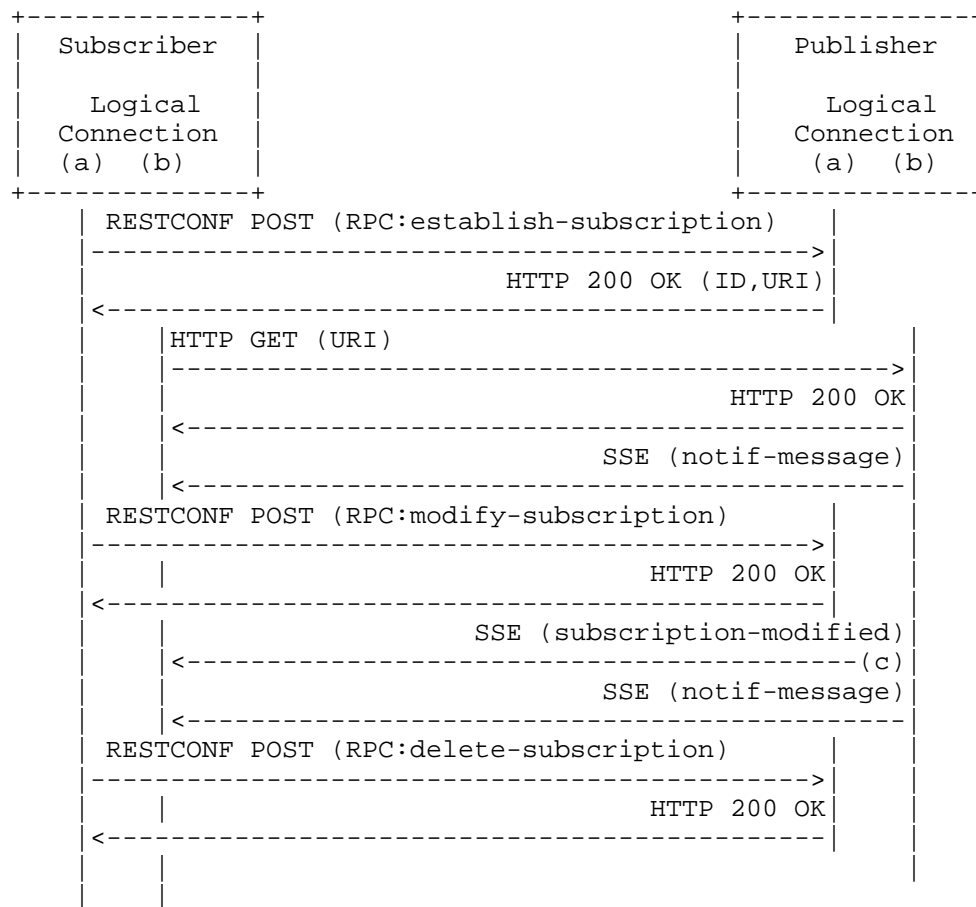


Figure 1: Dynamic with server-sent events

Additional requirements for dynamic subscriptions over SSE include:

- o All subscription state notifications from a publisher MUST be returned in a separate SSE message used by the subscription to which the state change refers.
- o Subscription RPCs MUST NOT use the connection currently providing notification messages for that subscription.
- o In addition to an RPC response for a "modify-subscription" RPC traveling over (a), a "subscription-modified" state change notification must be sent within (b). This allows the receiver to know exactly when the new terms of the subscription have been applied to the notification messages. See arrow (c).

A publisher MUST terminate a subscription in the following cases:

- o Receipt of a "delete-subscription" or a "kill-subscription" RPC for that subscription.
- o Loss of TLS heartbeat

A publisher MAY terminate a subscription at any time as stated in [I-D.draft-ietf-netconf-subscribed-notifications] Section 1.3

#### 4. QoS Treatment

To meet subscription quality of service promises, the publisher MUST take any existing subscription "dscp" and apply it to the DSCP marking in the IP header.

In addition, where HTTP2 transport is available to a notification message queued for transport to a receiver, the publisher MUST:

- o take any existing subscription "priority", as specified by the "dscp" leaf node in [I-D.draft-ietf-netconf-subscribed-notifications], and copy it into the HTTP2 stream priority, [RFC7540] section 5.3, and
- o take any existing subscription "dependency", as specified by the "dependency" leaf node in [I-D.draft-ietf-netconf-subscribed-notifications], and use the HTTP2 stream for the parent subscription as the HTTP2 stream dependency, [RFC7540] section 5.3.1, of the dependent subscription.

#### 5. Notification Messages

Notification messages transported over RESTCONF will be encoded according to [RFC8040], section 6.4.

#### 6. YANG Tree

The YANG model defined in Section 7 has one leaf augmented into four places of [I-D.draft-ietf-netconf-subscribed-notifications], plus two identities. As the resulting full tree is large, it will only be inserted at later stages of this document.

#### 7. YANG module

This module references  
[I-D.draft-ietf-netconf-subscribed-notifications].



```
<CODE BEGINS> file "ietf-restconf-subscribed-notifications@2018-10-19.yang"
module ietf-restconf-subscribed-notifications {
  yang-version 1.1;
  namespace
    "urn:ietf:params:xml:ns:yang:ietf-restconf-subscribed-notifications";

  prefix rsn;

  import ietf-subscribed-notifications {
    prefix sn;
  }
  import ietf-inet-types {
    prefix inet;
  }

  organization "IETF NETCONF (Network Configuration) Working Group";
  contact
    "WG Web:    <http://tools.ietf.org/wg/netconf/>
     WG List:   <mailto:netconf@ietf.org>

     Editor:    Eric Voit
                <mailto:evoit@cisco.com>

     Editor:    Alexander Clemm
                <mailto:ludwig@clemm.org>

     Editor:    Reshad Rahman
                <mailto:rrahman@cisco.com>";

  description
    "Defines RESTCONF as a supported transport for subscribed
    event notifications."

  Copyright (c) 2018 IETF Trust and the persons identified as authors
  of the code.  All rights reserved.

  Redistribution and use in source and binary forms, with or without
  modification, is permitted pursuant to, and subject to the license
  terms contained in, the Simplified BSD License set forth in Section
  4.c of the IETF Trust's Legal Provisions Relating to IETF Documents
  (https://trustee.ietf.org/license-info).

  This version of this YANG module is part of RFC XXXX; see the RFC
  itself for full legal notices.";

  revision 2018-10-19 {
    description
      "Initial version";
```

```
    reference
      "RFC XXXX: RESTCONF Transport for Event Notifications";
  }

  grouping uri {
    description
      "Provides a reusable description of a URI.";
    leaf uri {
      type inet:uri;
      config false;
      description
        "Location of a subscription specific URI on the publisher.";
    }
  }

  augment "/sn:establish-subscription/sn:output" {
    description
      "This augmentation allows RESTCONF specific parameters for a
       response to a publisher's subscription request.";
    uses uri;
  }

  augment "/sn:subscriptions/sn:subscription" {
    description
      "This augmentation allows RESTCONF specific parameters to be
       exposed for a subscription.";
    uses uri;
  }

  augment "/sn:subscription-modified" {
    description
      "This augmentation allows RESTCONF specific parameters to be included
       part of the notification that a subscription has been modified.";
    uses uri;
  }
}
<CODE ENDS>
```

## 8. IANA Considerations

This document registers the following namespace URI in the "IETF XML Registry" [RFC3688]:

URI: urn:ietf:params:xml:ns:yang:ietf-restconf-subscribed-notifications

Registrant Contact: The IESG.

XML: N/A; the requested URI is an XML namespace.

This document registers the following YANG module in the "YANG Module Names" registry [RFC6020]:

Name: ietf-restconf-subscribed-notifications  
Namespace: urn:ietf:params:xml:ns:yang:ietf-restconf-subscribed-notifications  
Prefix: rsn  
Reference: RFC XXXX: RESTCONF Transport for Event Notifications

## 9. Security Considerations

The YANG module specified in this document defines a schema for data that is designed to be accessed via network management transports such as NETCONF [RFC6241] or RESTCONF [RFC8040]. The lowest NETCONF layer is the secure transport layer, and the mandatory-to-implement secure transport is Secure Shell (SSH) [RFC6242]. The lowest RESTCONF layer is HTTPS, and the mandatory-to-implement secure transport is TLS [RFC5246].

The one new data node introduced in this YANG module may be considered sensitive or vulnerable in some network environments. It is thus important to control read access (e.g., via get, get-config, or notification) to this data nodes. These are the subtrees and data nodes and their sensitivity/vulnerability:

Container: "/subscriptions"

- o "uri": leaf will show where subscribed resources might be located on a publisher. Access control must be set so that only someone with proper access permissions, and perhaps even HTTP session has the ability to access this resource.

## 10. Acknowledgments

We wish to acknowledge the helpful contributions, comments, and suggestions that were received from: Ambika Prasad Tripathy, Alberto Gonzalez Prieto, Susan Hares, Tim Jenkins, Balazs Lengyel, Kent Watsen, Michael Scharf, Guangying Zheng, Martin Bjorklund and Qin Wu.

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## Appendix A. Examples

This section is non-normative. To allow easy comparison, this section mirrors the functional examples shown with NETCONF over XML within [I-D.draft-ietf-netconf-netconf-event-notifications]. In addition, HTTP2 vs HTTP1.1 headers are not shown as the contents of the JSON encoded objects are identical within.

### A.1. Dynamic Subscriptions

#### A.1.1. Establishing Dynamic Subscriptions

The following figure shows two successful "establish-subscription" RPC requests as per [I-D.draft-ietf-netconf-subscribed-notifications]. The first request is given a subscription identifier of 22, the second, an identifier of 23.

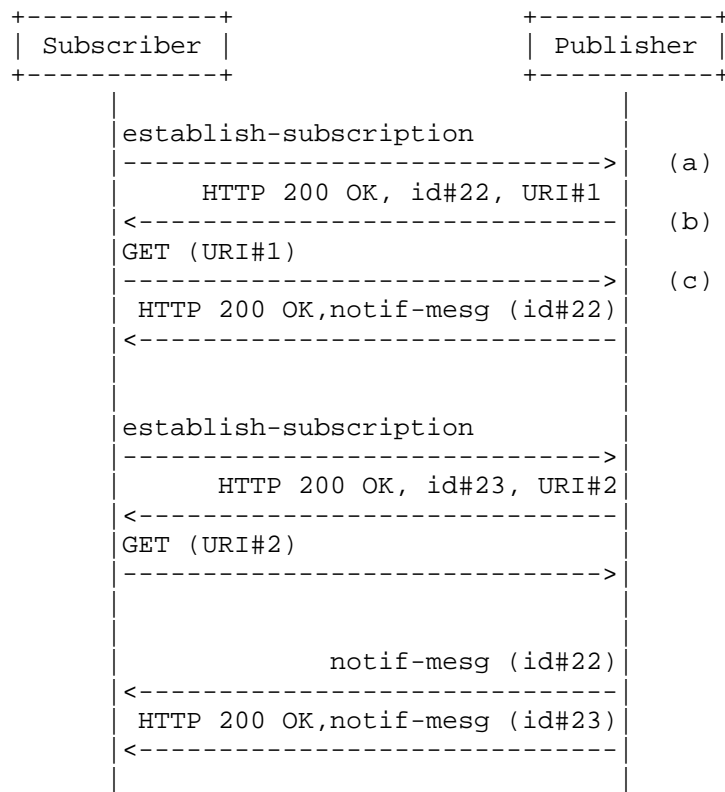


Figure 2: Multiple subscriptions over RESTCONF/HTTP

To provide examples of the information being transported, example messages for interactions in Figure 2 are detailed below:

POST /restconf/operations/ietf-subscribed-notifications:establish-subscription

```
{
  "ietf-subscribed-notifications:input": {
    "stream": "NETCONF",
    "stream-xpath-filter": "/example-module:foo/",
    "dscp": "10"
  }
}
```

Figure 3: establish-subscription request (a)

As publisher was able to fully satisfy the request, the publisher sends the subscription identifier of the accepted subscription, and the URI:

HTTP status code - 200

```
{
  "id": "22",
  "uri": "https://example.com/restconf/subscriptions/22"
}
```

Figure 4: establish-subscription success (b)

Upon receipt of the successful response, the subscriber does a GET the provided URI to start the flow of notification messages. When the publisher receives this, the subscription is moved to the active state (c).

GET /restconf/subscriptions/22

Figure 5: establish-subscription subsequent POST

While not shown in Figure 2, if the publisher had not been able to fully satisfy the request, or subscriber has no authorization to establish the subscription, the publisher would have sent an RPC error response. For instance, if the "dscp" value of 10 asserted by the subscriber in Figure 3 proved unacceptable, the publisher may have returned:

HTTP status code - 406

```
{ "ietf-restconf:errors" : {
  "error" : [
    {
      "error-type": "application",
      "error-tag": "operation-failed",
      "error-severity": "error",
      "error-app-tag":
        "ietf-subscribed-notifications:dscp-unavailable"
    }
  ]
}
```

Figure 6: an unsuccessful establish subscription

The subscriber can use this information in future attempts to establish a subscription.



## A.1.2. Modifying Dynamic Subscriptions

An existing subscription may be modified. The following exchange shows a negotiation of such a modification via several exchanges between a subscriber and a publisher. This negotiation consists of a failed RPC modification request/response, followed by a successful one.

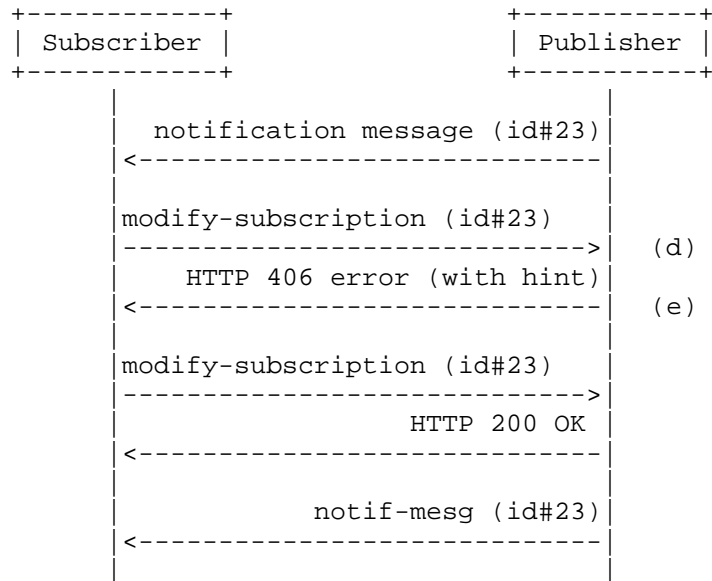


Figure 7: Interaction model for successful subscription modification

If the subscription being modified in Figure 7 is a datastore subscription as per [I-D.ietf-netconf-yang-push], the modification request made in (d) may look like that shown in Figure 8. As can be seen, the modifications being attempted are the application of a new xpath filter as well as the setting of a new periodic time interval.

```

POST /restconf/operations/ietf-subscribed-notifications:modify-subscription

{
  "ietf-subscribed-notifications:input": {
    "id": "23",
    "ietf-yang-push:datastore-xpath-filter": "/example-module:foo/example-module
:bar",
    "ietf-yang-push:periodic": {
      "ietf-yang-push:period": "500"
    }
  }
}

```

Figure 8: Subscription modification request (c)

If the publisher can satisfy both changes, the publisher sends a positive result for the RPC. If the publisher cannot satisfy either of the proposed changes, the publisher sends an RPC error response (e). The following is an example RPC error response for (e) which includes a hint. This hint is an alternative time period value which might have resulted in a successful modification:

HTTP status code - 406

```

{ "ietf-restconf:errors" : {
  "error" : [
    "error-type": "application",
    "error-tag": "operation-failed",
    "error-severity": "error",
    "error-app-tag": "ietf-yang-push:period-unsupported",
    "error-info": {
      "ietf-yang-push":
        "modify-subscription-datastore-error-info": {
          "period-hint": "3000"
        }
    }
  ]
}
}

```

Figure 9: Modify subscription failure with Hint (e)

#### A.1.3. Deleting Dynamic Subscriptions

The following demonstrates deleting a subscription. This subscription may have been to either a stream or a datastore.

POST /restconf/operations/ietf-subscribed-notifications:delete-subscription

```
{
  "delete-subscription": {
    "id": "22"
  }
}
```

Figure 10: Delete subscription

If the publisher can satisfy the request, the publisher replies with success to the RPC request.

If the publisher cannot satisfy the request, the publisher sends an error-rpc element indicating the modification didn't work. Figure 11 shows a valid response for existing valid subscription identifier, but that subscription identifier was created on a different transport session:

HTTP status code - 406

```
{
  "ietf-restconf:errors" : {
    "error" : [
      "error-type": "application",
      "error-tag": "operation-failed",
      "error-severity": "error",
      "error-app-tag":
        "ietf-subscribed-notifications:no-such-subscription"
    ]
  }
}
```

Figure 11: Unsuccessful delete subscription

## A.2. Subscription State Notifications

A publisher will send subscription state notifications according to the definitions within [I-D.draft-ietf-netconf-subscribed-notifications]).

### A.2.1. subscription-modified

A "subscription-modified" encoded in JSON would look like:

```
{
  "ietf-restconf:notification" : {
    "eventTime": "2007-09-01T10:00:00Z",
    "ietf-subscribed-notifications:subscription-modified": {
      "id": "39",
      "uri": "https://example.com/restconf/subscriptions/22"
      "stream-xpath-filter": "/example-module:foo",
      "stream": {
        "ietf-netconf-subscribed-notifications" : "NETCONF"
      }
    }
  }
}
```

Figure 12: subscription-modified subscription state notification

#### A.2.2. subscription-completed, subscription-resumed, and replay-complete

A "subscription-completed" would look like:

```
{
  "ietf-restconf:notification" : {
    "eventTime": "2007-09-01T10:00:00Z",
    "ietf-subscribed-notifications:subscription-completed": {
      "id": "39",
    }
  }
}
```

Figure 13: subscription-completed notification in JSON

The "subscription-resumed" and "replay-complete" are virtually identical, with "subscription-completed" simply being replaced by "subscription-resumed" and "replay-complete".

#### A.2.3. subscription-terminated and subscription-suspended

A "subscription-terminated" would look like:

```
{
  "ietf-restconf:notification" : {
    "eventTime": "2007-09-01T10:00:00Z",
    "ietf-subscribed-notifications:subscription-terminated": {
      "id": "39",
      "error-id": "suspension-timeout"
    }
  }
}
```

Figure 14: subscription-terminated subscription state notification

The "subscription-suspended" is virtually identical, with "subscription-terminated" simply being replaced by "subscription-suspended".

### A.3. Filter Example

This section provides an example which illustrate the method of filtering event record contents. The example is based on the YANG notification "vrrp-protocol-error-event" as defined per the ietf-vrrp.yang module within [RFC8347]. Event records based on this specification which are generated by the publisher might appear as:

```
data: {
data:   "ietf-restconf:notification" : {
data:     "eventTime" : "2018-09-14T08:22:33.44Z",
data:     "ietf-vrrp:vrrp-protocol-error-event" : {
data:       "protocol-error-reason" : "checksum-error"
data:     }
data:   }
data: }
```

Figure 15: RFC 8347 (VRRP) - Example Notification

Suppose a subscriber wanted to establish a subscription which only passes instances of event records where there is a "checksum-error" as part of a VRRP protocol event. Also assume the publisher places such event records into the NETCONF stream. To get a continuous series of matching event records, the subscriber might request the application of an XPath filter against the NETCONF stream. An "establish-subscription" RPC to meet this objective might be:

```
POST /restconf/operations/ietf-subscribed-notifications:establish-subscription
{
  "ietf-subscribed-notifications:input": {
    "stream": "NETCONF",
    "stream-xpath-filter": "/ietf-vrrp:vrrp-protocol-error-event[protocol-erro
r-reason='checksum-error']/",
  }
}
```

Figure 16: Establishing a subscription error reason via XPath

For more examples of XPath filters, see [XPATH].

Suppose the "establish-subscription" in Figure 16 was accepted. And suppose later a subscriber decided they wanted to broaden this subscription cover to all VRRP protocol events (i.e., not just those with a "checksum error"). The subscriber might attempt to modify the subscription in a way which replaces the XPath filter with a subtree filter which sends all VRRP protocol events to a subscriber. Such a "modify-subscription" RPC might look like:

```
POST /restconf/operations/ietf-subscribed-notifications:modify-subscription
{
  "ietf-subscribed-notifications:input": {
    "stream": "NETCONF",
    "stream-subtree-filter": {
      "/ietf-vrrp:vrrp-protocol-error-event" : {}
    }
  }
}
```

Figure 17

For more examples of subtree filters, see [RFC6241], section 6.4.

## Appendix B. Changes between revisions

(To be removed by RFC editor prior to publication)

v08 - v09

- o Addressed comments received during WGLC.

v07 - v08

- o Aligned with RESTCONF mechanism.
- o YANG model: removed augment of subscription-started, added restconf transport.

- o Tweaked Appendix A.1 to match draft-ietf-netconf-netconf-event-notifications-13.

- o Added Appendix A.3 for filter example.

v06 - v07

- o Removed configured subscriptions.
- o Subscription identifier renamed to id.

v05 - v06

- o JSON examples updated by Reshad.

v04 - v05

- o Error mechanisms updated to match embedded RESTCONF mechanisms
- o Restructured format and sections of document.
- o Added a YANG data model for HTTP specific parameters.
- o Mirrored the examples from the NETCONF transport draft to allow easy comparison.

v03 - v04

- o Draft not fully synched to new version of subscribed-notifications yet.
- o References updated

v02 - v03

- o Event notification reframed to notification message.
- o Tweaks to wording/capitalization/format.

v01 - v02

- o Removed sections now redundant with [I-D.draft-ietf-netconf-subscribed-notifications] and [I-D.ietf-netconf-yang-push] such as: mechanisms for subscription maintenance, terminology definitions, stream discovery.
- o 3rd party subscriptions are out-of-scope.

- o SSE only used with RESTCONF and HTTP1.1 dynamic subscriptions
- o Timeframes for event tagging are self-defined.
- o Clean-up of wording, references to terminology, section numbers.

v00 - v01

- o Removed the ability for more than one subscription to go to a single HTTP2 stream.
- o Updated call flows. Extensively.
- o SSE only used with RESTCONF and HTTP1.1 dynamic subscriptions
- o HTTP is not used to determine that a receiver has gone silent and is not Receiving Event Notifications
- o Many clean-ups of wording and terminology

#### Authors' Addresses

Eric Voit  
Cisco Systems  
Email: [evoit@cisco.com](mailto:evoit@cisco.com)

Reshad Rahman  
Cisco Systems  
Email: [rrahman@cisco.com](mailto:rrahman@cisco.com)

Einar Nilsen-Nygaard  
Cisco Systems  
Email: [einarnn@cisco.com](mailto:einarnn@cisco.com)

Alexander Clemm  
Huawei  
Email: [ludwig@clemm.org](mailto:ludwig@clemm.org)



Andy Bierman  
YumaWorks

Email: [andy@yumaworks.com](mailto:andy@yumaworks.com)