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SLAP quadrant selection options for DHCPv6  
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Abstract

The IEEE originally structured the 48-bit MAC address space in such a way that half of it was reserved for local use. Recently, the IEEE has been working on a new specification (IEEE 802c) which defines a new "optional Structured Local Address Plan" (SLAP) that specifies different assignment approaches in four specified regions of the local MAC address space.

The IEEE is working on mechanisms to allocate addresses in the one of these quadrants (IEEE 802.1CQ). There is work also in the IETF on specifying a new mechanism that extends DHCPv6 operation to handle the local MAC address assignments. In this document, we complement this ongoing IETF work by defining a mechanism to allow choosing the SLAP quadrant to use in the allocation of the MAC address to the requesting device/client.

This document proposes extensions to DHCPv6 protocols to enable a DHCPv6 client or a DHCPv6 relay to indicate a preferred SLAP quadrant to the server, so that the server allocates the MAC address to the given client out of the quadrant requested by relay or client.

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## 1. Introduction

The IEEE originally structured the 48-bit MAC address space in such a way that half of it was reserved for local use (where the U/L bit is set to 1). Recently, the IEEE has been working on a new specification (IEEE 802c [IEEEStd802c-2017]) which defines a new "optional Structured Local Address Plan" (SLAP) that specifies different assignment approaches in four specified regions of the local MAC address space. These four regions, called SLAP quadrants, are briefly described below (see Figure 1 and Figure 2 for details):

- o Quadrant "Extended Local Identifier" (ELI) MAC addresses are assigned based on a Company ID (CID), which takes 24-bits, leaving the remaining 24-bits for the locally assigned address for each CID for unicast (M-bit = 0) and also for multicast (M-bit = 1). The CID is assigned by the IEEE Registration Authority (RA).
- o Quadrant "Standard Assigned Identifier" (SAI) MAC addresses are assigned based on a protocol specified in an IEEE 802 standard. For 48-bit MAC addresses, 44 bits are available. Multiple protocols for assigning SAIs may be specified in IEEE standards. Coexistence of multiple protocols may be supported by limiting the subspace available for assignment by each protocol.
- o Quadrant "Administratively Assigned Identifier" (AAI) MAC addresses are assigned locally by an administrator. Multicast IPv6 packets use a destination address starting in 33-33 and this falls within this space and therefore should not be used to avoid conflict with IPv6 multicast addresses. For 48-bit MAC addresses, 44 bits are available.
- o Quadrant "Reserved for future use" where MAC addresses may be assigned using new methods yet to be defined, or by an administrator like in the AAI quadrant.

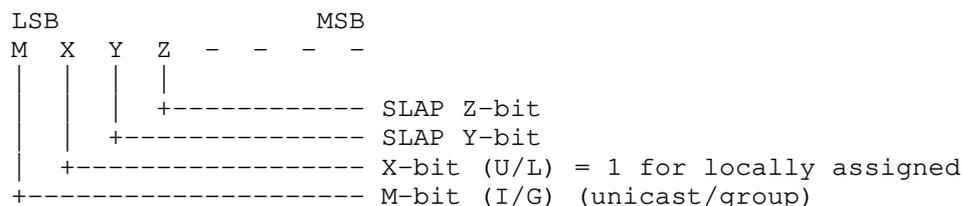


Figure 1: IEEE 48-bit MAC address structure

Quadrant	Y-bit	Z-bit	Local Identifier Type	Local Identifier
01	0	1	Extended Local	ELI
11	1	1	Standard Assigned	SAI
00	0	0	Administratively Assigned	AAI
10	1	0	Reserved	Reserved

Figure 2: SLAP quadrants

### 1.1. Problem statement

The IEEE is working on mechanisms to allocate addresses in the SAI quadrant (IEEE 802.1CQ project). There is also ongoing work in the IETF [I-D.bvtm-dhc-mac-assign] specifying a new mechanism that extends DHCPv6 operation to handle the local MAC address assignments. In this document, we complement ongoing IETF work with mechanisms to allow choosing the SLAP quadrant to use in the allocation of the MAC address to the requesting device/client. This document proposes extensions to DHCPv6 protocols to enable a DHCPv6 client or a DHCPv6 relay to indicate a preferred SLAP quadrant to the server, so that the server allocates the MAC address to the given client out of the quadrant requested by relay or client.

In the following, we describe two application scenarios where a need arises to assign local MAC addresses according to preferred SLAP quadrants.

#### 1.1.1. WiFi devices

Today, most WiFi devices come with interfaces that have a "burned in" MAC address, allocated from the universal address space using a 24-bit Organizationally Unique Identifier (OUI, assigned to IEEE 802 interface vendors). However, recently, the need to assign local (instead of universal) MAC addresses has emerged in particular in the following two scenarios:

- o IoT (Internet of Things): where there are a lot of cheap, sometimes short lived and disposable devices. Examples of this include: sensors and actuators for health or home automation applications. In this scenario, it is common that upon a first boot, the device uses a temporary MAC address, to send initial DHCP packets to available DHCP servers. IoT devices typically request a single MAC address for each available network interface. Once the server assigns a MAC address, the device abandons its temporary MAC address. This type of device is typically not moving. In general, any type of SLAP quadrant would be good for assigning addresses from, but ELI/SAI quadrants might be more suitable in some scenarios, such as if it is needed that the addresses belong to the CID assigned to the IoT communication device vendor.
- o Privacy: Today, MAC addresses allow the exposure of users' locations making it relatively easy to track users' movement. One of the mechanisms considered to mitigate this problem is the use of local random MAC addresses, changing them every time the user connects to a different network. In this scenario, devices are typically mobile. Here, AAI is probably the best SLAP quadrant to

assign addresses from, as it is the best fit for randomization of addresses, and it is not required for the addresses to survive when changing networks.

#### 1.1.2. Hypervisor: migratable vs non-migratable functions

In large scale virtualization environments, thousands of virtual machines (VMs) are active. These VMs are typically managed by a hypervisor, in charge of spawning and stopping VMs as needed. The hypervisor is also typically in charge of assigning new MAC addresses to the VMs. If a DHCP solution is in place for that, the hypervisor acts as a DHCP client and requests available DHCP servers to assign one or more MAC addresses (an address block). The hypervisor does not use those addresses for itself, but rather uses them to create new VMs with appropriate MAC addresses. If we assume very large data center environments, such as the ones that are typically used nowadays, it is expected that the data center is divided in different network regions, each one managing its own local address space. In this scenario, there are two possible situations that need to be tackled:

- o Migratable functions. If a VM (providing a given function) might need to be potentially migrated to another region of the data center (due to maintenance, resilience, end-user mobility, etc.) it is needed that this VM can keep its networking context in the new region, and this includes keeping its MAC addresses. Therefore, for this case, it is better to allocate addresses from the ELI/SAI SLAP quadrant, which can be centrally allocated by the DHCP server.
- o Non-migratable functions. If a VM will not be migrated to another region of the data center, there are no requirements associated to its MAC address, and then it is more efficient to allocate it from the AAI SLAP quadrant, which does not need to be same for all the data centers (i.e., each region can manage its own, without checking for duplicates globally).

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

The DHCPv6 terminology relevant to this specification from the DHCPv6 Protocol [RFC8415] applies here.

client	A device that is interested in obtaining link-layer addresses. It implements the basic DHCPv6 mechanisms needed by a DHCPv6 client as described in [RFC8415] and supports the new options (IA_LL and LLADDR) specified in [I-D.bvtm-dhc-mac-assign]. The client may or may not support address assignment and prefix delegation as specified in [RFC8415].
server	Software that manages link-layer address allocation and is able to respond to client queries. It implements basic DHCPv6 server functionality as described in [RFC8415] and supports the new options (IA_LL and LLADDR) specified in [I-D.bvtm-dhc-mac-assign]. The server may or may not support address assignment and prefix delegation as specified in [RFC8415].
address	Unless specified otherwise, an address means a link-layer (or MAC) address, as defined in IEEE802. The address is typically 6 bytes long, but some network architectures may use different lengths.
address block	A number of consecutive link-layer addresses. An address block is expressed as a first address plus a number that designates the number of additional (extra) addresses. A single address can be represented by the address itself and zero extra addresses.

### 3. Quadrant selection mechanisms

We next describe some exemplary ways to perform SLAP quadrant selection. These are provided just as informational text to exemplify how the quadrant preference mechanisms could be used.

Let's take first an IoT scenario as an example. An IoT device might decide on its own the SLAP quadrant it wants to use to obtain a local MAC address, using the following information to take the decision:

- o Type of IoT deployment: e.g., industrial, domestic, rural, etc. For small deployments, such as domestic ones, the IoT itself can decide to use the AAI quadrant (this might not even involve the use of DHCP, by the device just configuring a random address computed by the device itself). For large deployments, such as industrial or rural ones, where thousands of devices might co-exist, the IoT can decide to use the ELI or SAI quadrants.
- o Mobility: if the IoT device can move, then it might prefer to select the SAI or AAI quadrants to minimize address collisions

when moving to another network. If the device is known to remain fixed, then the ELI is probably the most suitable one to use.

- o Managed/unmanaged: depending on whether the IoT device is managed during its lifetime or cannot be re-configured, the selected quadrant might be different. For example, it can be managed, this means that network topology changes might occur during its lifetime (e.g., due to changes on the deployment, such as extensions involving additional devices), and this might have an impact on the preferred quadrant (e.g., to avoid potential collisions in the future).
- o Operation/battery lifetime: depending on the expected lifetime of the device a different quadrant might be preferred (as before, to minimize potential address collisions in the future).

The previous are examples of parameters that an IoT device might use to select a given SLAP quadrant. IoT devices are typically very resource constrained, so it might be as well that simple decisions are just taken, for example based on pre-configured preferences.

If we now take the WiFi device scenario, considering for example that a laptop or smartphone connects to a network using its built in MAC address. Due to privacy/security concerns, the device might want to configure a local MAC address. The device might use different parameters and context information to decide, not only which SLAP quadrant to use for the local MAC address configuration, but also when to perform a change of address (e.g., it might be needed to change address several times). This information includes, but it is not limited to:

- o Type of network the device is connected: public, work, home.
- o Trusted network? Y/N.
- o First time visited network? Y/N.
- o Network geographical location.
- o Mobility? Y/N.
- o OS network profile, including security/trust related parameters. Most modern OS keep metadata associated to the networks they can attach to, as for example the level of trust the user or administrator assigns to the network. This information is used to configure how the device behaves in terms of advertising itself on the network, firewall settings, etc. But this information can

also be used to decide whether to configure a local MAC address or not, from which SLAP quadrant and how often.

- o Triggers coming from applications regarding location privacy. An app might request to the OS to maximize location privacy (due to the nature of the application) and this might mean the OS to force the use or change of a local MAC address.

This information can be used by the device to select the SLAP quadrant. For example, if the device is moving around (e.g., while connected to a public network in an airport), it is likely that it might change access point several times, and therefore it is best to minimize the chances of address collision, using the SAI or AAI quadrants. If the device is not moving and attached to a trusted network (e.g. at work), then it is probably best to select the ELI quadrant. These are just some examples of how to use this information to select the quadrant.

Additionally, the information can also be used to trigger subsequent changes of MAC address, to enhance location privacy. Besides, changing the SLAP quadrant used might also be used as an additional enhancement to make it harder to track the user location.

Last, if we consider the data center scenario, a hypervisor might request local MAC addresses to be assigned to virtual machines. As in the previous scenarios, the hypervisor might select the preferred SLAP quadrant using information provided by the cloud management system (CMS) or virtualization infrastructure manager (VIM) running on top of the hypervisor. This information might include, but is not limited to:

- o Migratable/non-migratable VM. If the function implemented by the VM is subject to be moved to another physical server or not. This has an impact on the preference for the SLAP quadrant, as some quadrants are better suited (e.g., ELI/SAI) for supporting migration in a large data center.
- o VM connectivity characteristics , e.g.,: standalone, part of a pool, part of a service graph/chain. If the connectivity characteristics of the VM are known, this can be used by the hypervisor to select the best SLAP quadrant.

#### 4. DHCPv6 extensions

#### 4.1. Address assignment from the preferred SLAP quadrant indicated by the client

We describe next the protocol operations for a client to select a preferred SLAP quadrant using the DHCPv6 signaling procedures described in [I-D.bvtm-dhc-mac-assign]. The signaling flow is shown in Figure 3.

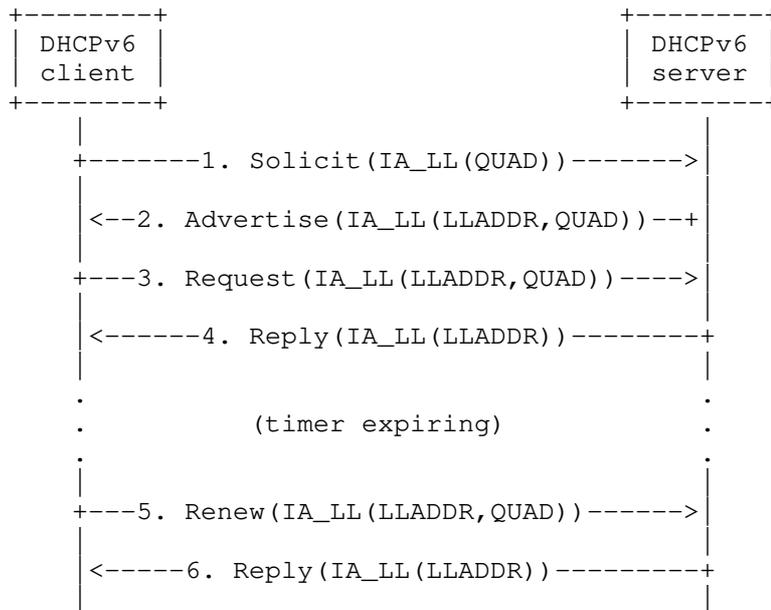


Figure 3: DHCPv6 signaling flow (client-server)

1. Link-layer addresses (i.e., MAC addresses) are assigned in blocks. The smallest block is a single address. To request an assignment, the client sends a Solicit message with a IA\_LL option in the message. The IA\_LL option MUST contain a LLADDR option. In order to indicate the preferred SLAP quadrant, the IA\_LL option includes the new OPTION\_QUAD option in the IA-LL-option field (with the LLAADR option).
2. The server, upon receiving a IA\_LL option, inspects its content and may offer an address or addresses for each LLADDR option according to its policy. The server sends back an Advertise message with an IA\_LL option containing an LLADDR option that specifies the addresses being offered. If the server supports the new QUAD IA-LL-option, and manages a block of addresses belonging to the requested quadrant, the addresses being offered SHOULD belong to the requested quadrant. If the server does not

have addresses from the requested quadrant, it MUST return the IA\_LL option containing a Status Code option with status set to NoQuadAvail.

3. The client waits for available servers to send Advertise responses and picks one server as defined in Section 18.2.9 of [RFC8415]. The client then sends a Request message that includes the IA\_LL container option with the LLADDR option copied from the Advertise message sent by the chosen server. It includes the preferred SLAP quadrant in the new QUAD IA-LL-option.
4. Upon reception of a Request message with IA\_LL container option, the server assigns requested addresses. The server MAY alter the allocation at this time. It then generates and sends a Reply message back to the client. Upon receiving a Reply message, the client parses the IA\_LL container option and may start using all provided addresses. Note that a client that has included a Rapid Commit option in the Solicit, may receive a Reply in response to the Solicit and skip the Advertise and Request steps above (following standard DHCPv6 procedures).
5. When the assigned addresses are about to expire, the client sends a Renew message. It includes the preferred SLAP quadrant in the new QUAD IA-LL-option, so in case the server is unable to extend the lifetime on the existing address(es), the preferred quadrant is known for the allocation of any "new" addresses.
6. The server responds with a Reply message, including an LLADDR option with extended lifetime.

#### 4.2. Address assignment from the SLAP quadrant indicated by the relay

We describe next the protocol operations for a relay to select a preferred SLAP quadrant using the DHCPv6 signaling procedures described in [I-D.bvtm-dhc-mac-assign]. This is useful when a DHCPv6 server is operating over a large infrastructure split in different network regions, where each region might have different requirements. The signaling flow is shown in Figure 4.

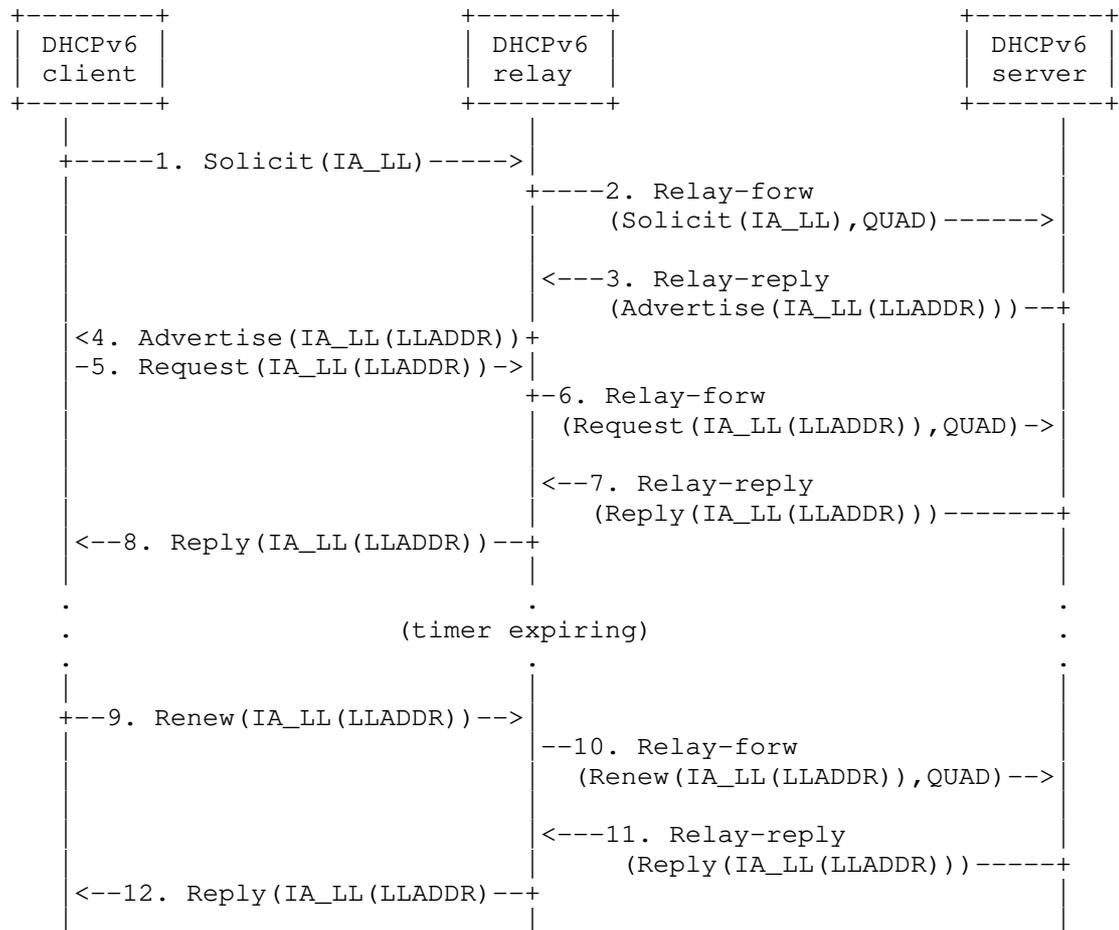


Figure 4: DHCPv6 signaling flow (client-relay-server)

1. Link-layer addresses (i.e., MAC addresses) are assigned in blocks. The smallest block is a single address. To request an assignment, the client sends a Solicit message with a IA\_LL option in the message. The IA\_LL option MUST contain a LLADDR option.
2. The DHCP relay receives the Solicit message and encapsulates it in a Relay-forw message. The relay, based on local knowledge and policies, includes in the Relay-Forw message the QUAD option with the preferred quadrant. The relay might know which quadrant to request based on local configuration (e.g., the served network contains IoT devices only, thus requiring ELI/

SAI) or other means such as based on analyzing the Solicit message from the client.

3. The server, upon receiving the forwarded Solicit message including a IA\_LL option, inspects its content and decide may offer an address or addresses for each LLADDR option according to its policy. The server sends back an Advertise message with an IA\_LL option containing an LLADDR option that specifies the addresses being offered. This message is sent to the Relay in a Relay-reply message. If the server supports the semantics of the preferred quadrant included in the QUAD option, and manages a block of addresses belonging to the requested quadrant, then the addresses being offered SHOULD belong to the requested quadrant.
4. The relay sends the received Advertise message to the client.
5. The client waits for available servers to send Advertise responses and picks one server as defined in Section 18.2.9 of [RFC8415]. The client then sends a Request message that includes the IA\_LL container option with the LLADDR option copied from the Advertise message sent by the chosen server.
6. The relay forwards the received Request in a Relay-forw message. It adds in the Relay-forw a QUAD IA-LL-option with the preferred quadrant.
7. Upon reception of the forwarded Request message with IA\_LL container option, the server assigns requested addresses. The server MAY alter the allocation at this time. It then generates and sends a Reply message, in a Relay-reply back to the relay.
8. Upon receiving a Reply message, the client parses the IA\_LL container option and may start using all provided addresses.
9. When the assigned addresses are about to expire, the client sends a Renew message.
10. This message is forwarded by the Relay in a Relay-forw message, including a QUAD IA-LL-option with the preferred quadrant.
11. The server responds with a Reply message, including an LLADDR option with extended lifetime. This message is sent in a Relay-Reply message.
12. The relay sends the Reply message back to the client.

5. DHCPv6 options definitions

5.1. Quad (IA-LL) option

The QUAD option is used to specify the preferences for the selected quadrants within an IA\_LL. The option must either be encapsulated in the IA-LL-options field of an IA\_LL option or in a Relay-Forw message in the options field. It MAY also be in a Relay-Reply if the QUAD option code was specified in a ERO option [RFC4994].

The format of the QUAD option is:

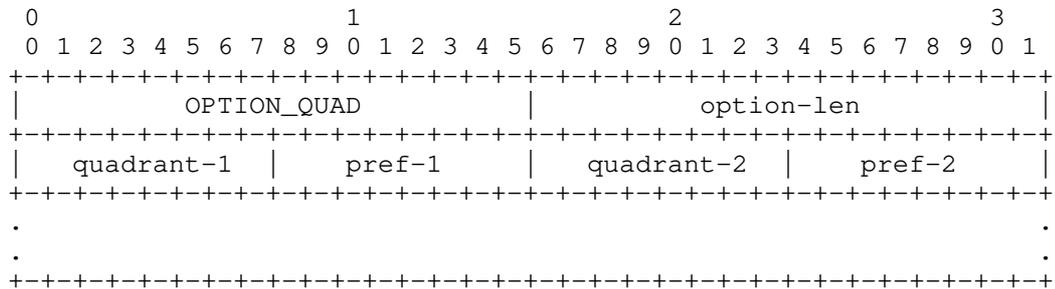


Figure 5: Quad Option Format

- option-code      OPTION\_QUAD (value to be assigned by IANA).
- option-len      2 \* number of included (quadrant, preference).
- quadrant-n      Identifier of the quadrant (0: AAI, 1: ELI: 2, SAI: 3, 4: reserved).
- pref-n          Preference associated to quadrant-n.

6. IANA Considerations

TBD.

7. Security Considerations

TBD.

8. Acknowledgments

The authors would like to thank Bernie Volz for his very valuable comments on this document.

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