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Multi-homing Deployment Considerations for Distributed-Denial-of-Service  
Open Threat Signaling (DOTS)  
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Abstract

This document discusses multi-homing considerations for Distributed-Denial-of-Service Open Threat Signaling (DOTS). The goal is to provide some guidance for DOTS clients/gateways when multihomed.

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## 1. Introduction

In many deployments, it may not be possible for a network to determine the cause of a distributed Denial-of-Service (DoS) attack [RFC4732]. Rather, the network may just realize that some resources seem to be under attack. To improve such situation, the IETF is specifying the DDoS Open Threat Signaling (DOTS) [I-D.ietf-dots-architecture] architecture, where a DOTS client can inform a DOTS server that the network is under a potential attack and that appropriate mitigation actions are required. Indeed, because the lack of a common method to coordinate a real-time response among involved actors and network domains jeopardizes the efficiency of DDoS attack mitigation actions, the DOTS protocol is meant to carry requests for DDoS attack mitigation, thereby reducing the impact of an attack and leading to more efficient responsive actions. [I-D.ietf-dots-use-cases] identifies a set of scenarios for DOTS; most of these scenarios involve a Customer Premises Equipment (CPE).

The high-level DOTS architecture is illustrated in Figure 1 ([I-D.ietf-dots-architecture]):

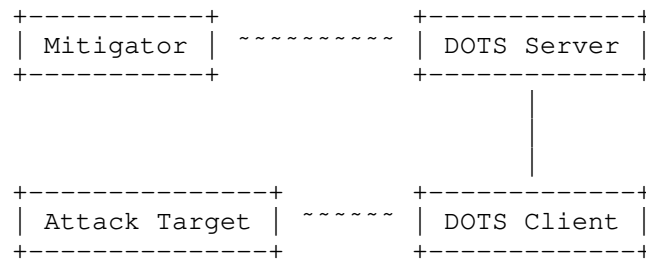


Figure 1: Basic DOTS Architecture

[I-D.ietf-dots-architecture] specifies that the DOTS client may be provided with a list of DOTS servers; each of these servers is associated with one or more IP addresses. These addresses may or may not be of the same address family. The DOTS client establishes one or more DOTS sessions by connecting to the provided DOTS server(s) addresses.

DOTS may be deployed within networks that are connected to one single upstream provider. It can also be enabled within networks that are multi-homed. The reader may refer to [RFC3582] for an overview of multi-homing goals and motivations. This document discusses DOTS multi-homing considerations. Specifically, the document aims to:

1. Complete the base DOTS architecture with multi-homing specifics. Those specifics need to be taken into account because:
  - \* Send a DOTS mitigation request to an arbitrary DOTS server won't help mitigating a DDoS attack.
  - \* Blindly forking all DOTS mitigation requests among all available DOTS servers is suboptimal.
  - \* Sequentially contacting DOTS servers may increase the delay before a mitigation plan is enforced.
2. Identify DOTS deployment schemes in a multi-homing context, where DOTS services can be offered by all or a subset of upstream providers.
3. Sketch guidelines and recommendations for placing DOTS requests in multi-homed networks, e.g.,:
  - \* Select the appropriate DOTS server(s).
  - \* Identify cases where anycast is not recommended.

This document adopts the following methodology:

- o Identify and extract viable deployment candidates from [I-D.ietf-dots-use-cases].
- o Augment the description with multi-homing technicalities, e.g.,
  - \* One vs. multiple upstream network providers
  - \* One vs. multiple interconnect routers
  - \* Provider-Independent (PI) vs. Provider-Aggregatable (PA) IP addresses
- o Describe the recommended behavior of DOTS clients and gateways for each case.

Multi-homed DOTS agents are assumed to make use of the protocols defined in [I-D.ietf-dots-signal-channel] and [I-D.ietf-dots-data-channel]; no specific extension is required to the base DOTS protocols for deploying DOTS in a multi-homed context.

## 2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119][RFC8174] when, and only when, they appear in all capitals, as shown here.

## 3. Terminology

This document makes use of the terms defined in [I-D.ietf-dots-architecture] and [RFC4116].

IP indifferently refers to IPv4 or IPv6.

## 4. Multi-Homing Scenarios

This section describes some multi-homing scenarios that are relevant to DOTS. In the following sub-sections, only the connections of border routers are shown; internal network topologies are not elaborated.

This section distinguishes between residential CPEs vs. enterprise CPEs because PI addresses may be used for enterprises while this is not the current practice for residential CPEs.

#### 4.1. Residential Single CPE

The scenario shown in Figure 2 is characterized as follows:

- o The home network is connected to the Internet using one single CPE (Customer Premises Equipment).
- o The CPE is connected to multiple provisioning domains (i.e., both fixed and mobile networks). Provisioning domain (PvD) is explained in [RFC7556].
- o Each of these provisioning domains assigns IP addresses/prefixes to the CPE and provides additional configuration information such as a list of DNS servers, DNS suffixes associated with the network, default gateway address, and DOTS server's name [I-D.boucadair-dots-server-discovery]. These addresses/prefixes are assumed to be Provider-Aggregatable (PA).
- o Because of ingress filtering, packets forwarded by the CPE towards a given provisioning domain must be sent with a source IP address that was assigned by that domain [RFC8043].

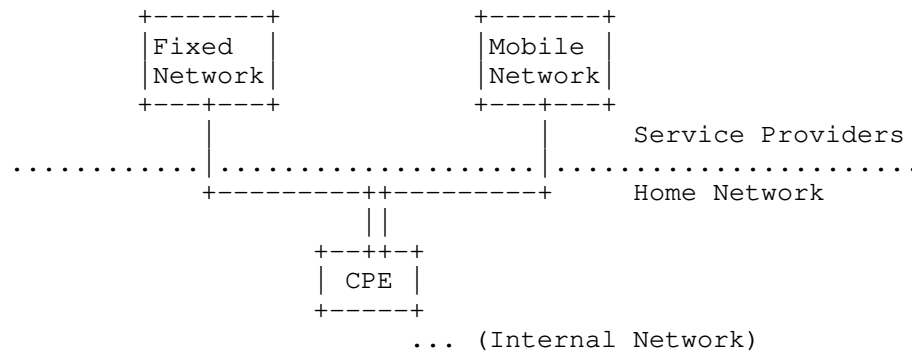


Figure 2: Typical Multi-homed Residential CPE

#### 4.2. Multi-Homed Enterprise: Single CPE, Multiple Upstream ISPs

The scenario shown in Figure 3 is characterized as follows:

- o The enterprise network is connected to the Internet using one single router.
- o That router is connected to multiple provisioning domains (i.e., managed by distinct administrative entities).

Unlike the previous scenario, two sub-cases can be considered for an enterprise network with regards to assigned addresses:

1. PI addresses/prefixes: The enterprise is the owner of the IP addresses/prefixes; the same address/prefix is then used when establishing communications over any of the provisioning domains.
2. PA addresses/prefixes: each of the provisioning domains assigns IP addresses/prefixes to the enterprise network.

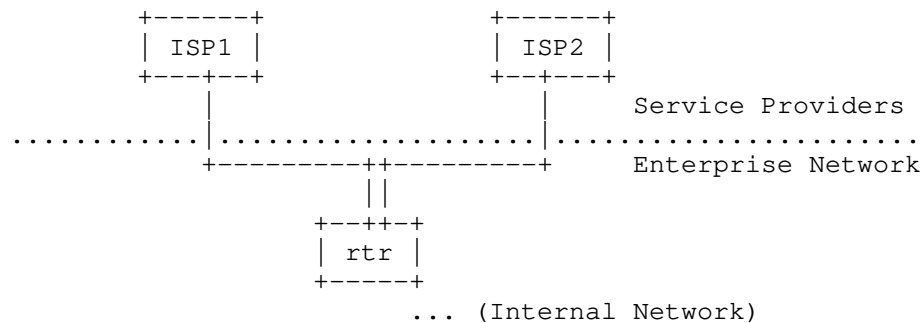


Figure 3: Multi-homed Enterprise Network (Single CPE connected to Multiple Networks)

#### 4.3. Multi-homed Enterprise: Multiple CPEs, Multiple Upstream ISPs

This scenario is similar to the one described in Section 4.2; the main difference is that dedicated routers are used to connect to each provisioning domain.

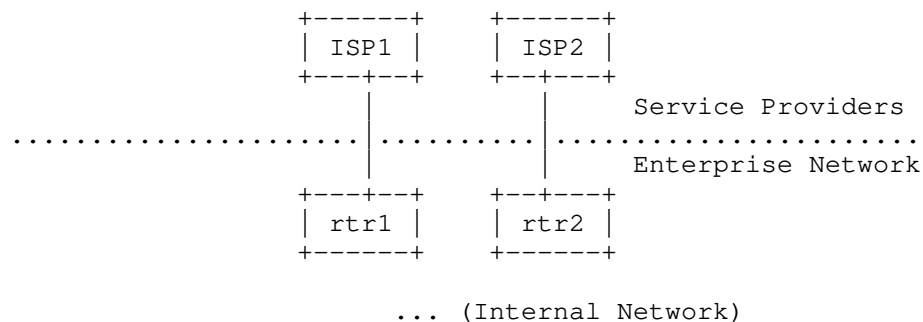


Figure 4: Multi-homed Enterprise Network (Multiple CPEs, Multiple ISPs)

#### 4.4. Multi-homed Enterprise with the Same ISP

This scenario is a variant of Section 4.2 and Section 4.3 in which multi-homing is supported by the same ISP (i.e., same provisioning domain).

Editor's Note: The use of anycast addresses is to be consistently discussed.

### 5. DOTS Deployment Considerations

Table 1 provides some sample, non-exhaustive, deployment schemes to illustrate how DOTS agents may be deployed for each of the scenarios introduced in Section 4.

Scenario	DOTS client	DOTS gateway
Residential CPE	CPE	N/A
Single CPE, Multiple provisioning domains	internal hosts or CPE	CPE
Multiple CPEs, Multiple provisioning domains	internal hosts or all CPEs (rtr1 and rtr2)	CPEs (rtr1 and rtr2)
Multi-homed enterprise, Single provisioning domain	internal hosts or all CPEs (rtr1 and rtr2)	CPEs (rtr1 and rtr2)

Table 1: Sample Deployment Cases

These deployment schemes are further discussed in the following subsections.

#### 5.1. Residential CPE

Figure 5 depicts DOTS sessions that need to be established between a DOTS client (C) and two DOTS servers (S1, S2) within the context of the scenario described in Section 4.1.

For each provisioning domain, the DOTS client MUST resolve the DOTS server's name provided by a provisioning domain ([I-D.boucadair-dots-server-discovery]) using the DNS servers learned from the respective provisioning domain. The DOTS client MUST use the source address selection algorithm defined in [RFC6724] to select

the candidate source addresses to contact each of these DOTS servers. DOTS sessions must be established and maintained with each of the DOTS servers because the mitigation scope of these servers is restricted. The DOTS client SHOULD use the certificate provisioned by a provisioning domain to authenticate itself to the DOTS server provided by the same provisioning domain.

When conveying a mitigation request to protect the attack target(s), the DOTS client among the DOTS servers available MUST select a DOTS server whose network has assigned the prefixes from which target prefixes and target IP addresses are derived. This implies that if no appropriate DOTS server is found, the DOTS client must not send the mitigation request to any DOTS server.

For example, a mitigation request to protect target resources bound to a PA IP address/prefix cannot be satisfied by a provisioning domain another domain than the one that owns those addresses/prefixes. Consequently, if a CPE detects a DDoS attack that spreads over all its network attachments, it must contact both DOTS servers for mitigation purposes. Nevertheless, if the DDoS attack is received from one single network, then only the DOTS server of that network must be contacted.

The DOTS client MUST be able to associate a DOTS server with each provisioning domain. For example, if the DOTS client is provisioned with S1 using DHCP when attaching to a first network and with S2 using Protocol Configuration Option (PCO) when attaching to a second network, the DOTS client must record the interface from which a DOTS server was provisioned. DOTS signaling session to a given DOTS server must be established using the interface from which the DOTS server was provisioned.

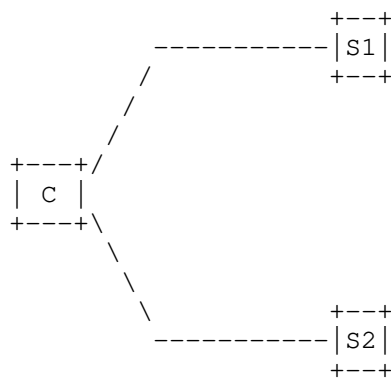


Figure 5: DOTS associations for a multihomed residential CPE



## 5.2. Multi-Homed Enterprise: Single CPE, Multiple Upstream ISPs

Figure 6 illustrates a first set of DOTS associations that can be established with a DOTS gateway, which is enabled within the context of the scenario described in Section 4.2. This deployment is characterized as follows:

- o One or more DOTS clients are enabled in hosts located in the internal network.
- o A DOTS gateway is enabled to aggregate and then relay the requests towards upstream DOTS servers.

When PA addresses/prefixes are in use, the same considerations discussed in Section 5.1 need to be followed by the DOTS gateway to contact its DOTS server(s). The DOTS gateways can be reachable from DOTS clients by using an unicast address or an anycast address.

Nevertheless, when PI addresses/prefixes are assigned, the DOTS gateway MUST send the same request to all its DOTS servers.

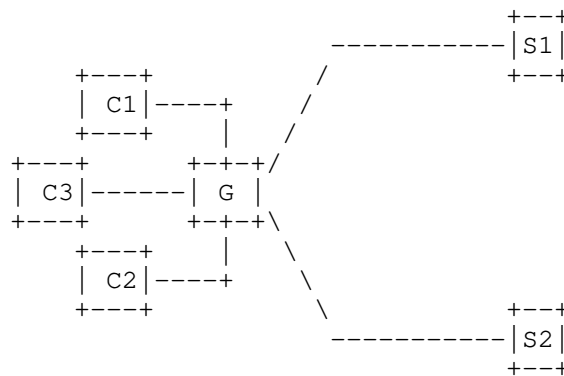


Figure 6: Multiple DOTS Clients, Single DOTS Gateway, Multiple DOTS Servers

An alternate deployment model is depicted in Figure 7. This deployment assumes that:

- o One or more DOTS clients are enabled in hosts located in the internal network. These DOTS clients may use [I-D.boucadair-dots-server-discovery] to discover their DOTS server(s).
- o These DOTS clients communicate directly with upstream DOTS servers.

If PI addresses/prefixes are in use, the DOTS client MUST send the mitigation request for all its PI addresses/prefixes to all the DOTS servers. The use of anycast addresses is NOT RECOMMENDED.

If PA addresses/prefixes are used, the same considerations discussed in Section 5.1 need to be followed by the DOTS clients. Because DOTS clients are not embedded in the CPE and multiple addresses/prefixes may not be assigned to the DOTS client (typically in an IPv4 context), some issues arise to steer traffic towards the appropriate DOTS server by using the appropriate source IP address. These complications discussed in [RFC4116] are not specific to DOTS.

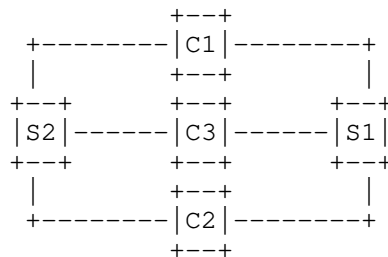


Figure 7: Multiple DOTS Clients, Multiple DOTS Servers

Another deployment approach is to enable many DOTS clients; each of them is responsible for handling communications with a specific DOTS server (see Figure 8).

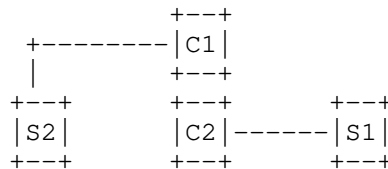


Figure 8: Single Homed DOTS Clients

Each DOTS client is provided with policies (e.g., prefix filter) that will trigger DOTS communications with the DOTS servers. Such policies will help the DOTS client to select the appropriate destination IP address.

The CPE MUST select the appropriate source IP address when forwarding DOTS messages received from an internal DOTS client. If anycast addresses are used to reach DOTS servers, the CPE may not be able to select the appropriate provisioning domain to which the mitigation

request should be forwarded. As a consequence, the request may not be forwarded to the appropriate DOTS server.

### 5.3. Multi-Homed Enterprise: Multiple CPEs, Multiple Upstream ISPs

The deployments depicted in Figures 7 and 8 also apply to the scenario described in Section 4.3. One specific problem for this scenario is to select the appropriate exit router when contacting a given DOTS server.

An alternative deployment scheme is shown in Figure 9:

- o DOTS clients are enabled in hosts located in the internal network.
- o A DOTS gateway is enabled in each CPE (rtr1, rtr2).
- o Each of these DOTS gateways communicates with the DOTS server of the provisioning domain.

When PI addresses/prefixes are used, DOTS clients MUST contact all the DOTS gateways to send a DOTS message. DOTS gateways will then relay the request to the DOTS server. Note that the use of anycast addresses is NOT RECOMMENDED to establish DOTS sessions between DOTS clients and DOTS gateways.

When PA addresses/prefixes are used, but no filter rules are provided to DOTS clients, the latter MUST contact all DOTS gateways simultaneously to send a DOTS message. Upon receipt of a request by a DOTS gateway, it MUST check whether the request is to be forwarded upstream (if the target IP prefix is managed by the upstream server) or rejected.

When PA addresses/prefixes are used, but specific filter rules are provided to DOTS clients using some means that are out of scope of this document, the clients MUST select the appropriate DOTS gateway to reach. The use of anycast addresses is NOT RECOMMENDED to reach DOTS gateways.

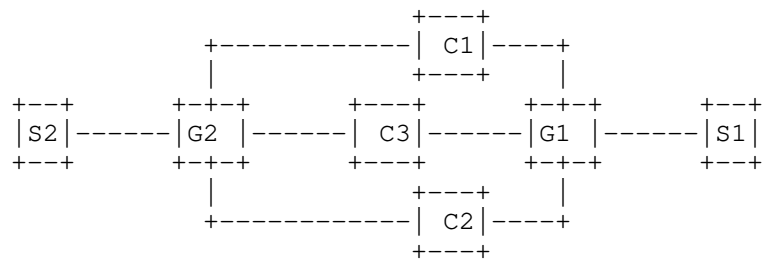


Figure 9: Multiple DOTS Clients, Multiple DOTS Gateways, Multiple DOTS Servers

#### 5.4. Multi-Homed Enterprise: Single ISP

The key difference of the scenario described in Section 4.4 compared to the other scenarios is that multi-homing is provided by the same ISP. Concretely, that ISP can decide to provision the enterprise network with:

1. The same DOTS server for all network attachments.
2. Distinct DOTS servers for each network attachment. These DOTS servers need to coordinate when a mitigation action is received from the enterprise network.

In both cases, DOTS agents enabled within the enterprise network MAY decide to select one or all network attachments to send DOTS mitigation requests.

#### 6. Security Considerations

DOTS-related security considerations are discussed in Section 4 of [I-D.ietf-dots-architecture].

TBD: In Home networks, if EST is used then how will the DOTS gateway (EST client) be provisioned with credentials for initial enrolment (see Section 2.2 in RFC 7030).

#### 7. IANA Considerations

This document does not require any action from IANA.

#### 8. Acknowledgements

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Thanks to Kirill Kasavchenko for the comments.

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