

DOTS
Internet-Draft
Intended status: Standards Track
Expires: October 4, 2019

K. Nishizuka
NTT Communications
M. Boucadair
Orange
T. Reddy
McAfee
T. Nagata
Lepidum
April 2, 2019

Controlling Filtering Rules Using Distributed Denial-of-Service Open
Threat Signaling (DOTS) Signal Channel
draft-nishizuka-dots-signal-control-filtering-06

Abstract

This document specifies an extension to the DOTS signal channel so that DOTS clients can control their filtering rules when an attack mitigation is active.

Particularly, this extension allows a DOTS client to activate or deactivate existing filtering rules during a DDoS attack. The characterization of these filtering rules is supposed to be conveyed by a DOTS client during an idle time by means of the DOTS data channel protocol.

Editorial Note (To be removed by RFC Editor)

Please update these statements within the document with the RFC number to be assigned to this document:

- o "This version of this YANG module is part of RFC XXXX;"
- o "RFC XXXX: Controlling Filtering Rules Using Distributed Denial-of-Service Open Threat Signaling (DOTS) Signal Channel";
- o reference: RFC XXXX
- o [RFCXXXX]

Please update these statements with the RFC number to be assigned to the following documents:

- o "RFC SSSS: Distributed Denial-of-Service Open Threat Signaling (DOTS) Signal Channel Specification" (used to be [I-D.ietf-dots-signal-channel])

- o "RFC DDDD: Distributed Denial-of-Service Open Threat Signaling (DOTS) Data Channel Specification" (used to be [I-D.ietf-dots-data-channel])

Please update the "revision" date of the YANG module.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <https://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on October 4, 2019.

Copyright Notice

Copyright (c) 2019 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

1. Introduction	3
1.1. The Problem	3
1.2. The Solution	4
2. Notational Conventions and Terminology	5
3. Controlling Filtering Rules of a DOTS Client	5
3.1. Binding the Data and Signal Channels	5
3.2. DOTS Signal Channel Extension	6
3.2.1. Parameters & Behaviors	6

3.2.2. DOTS Signal Filtering Control Module	8
3.2.2.1. Tree Structure	8
3.2.2.2. YANG Module	8
4. Sample Examples	11
4.1. Conflict Handling	11
4.2. On-Demand Activation of an Accept-List Filter	15
4.3. DOTS Servers/Mitigators Lacking Capacity	17
5. IANA Considerations	20
5.1. DOTS Signal Channel CBOR Mappings Registry	20
5.2. DOTS Signal Filtering Control YANG Module	21
6. Security Considerations	21
7. Acknowledgements	22
8. References	22
8.1. Normative References	22
8.2. Informative References	22
Authors' Addresses	23

1. Introduction

1.1. The Problem

The DOTS data channel protocol [I-D.ietf-dots-data-channel] is used for bulk data exchange between DOTS agents to improve the coordination of all the parties involved in the response to the DDoS attack. Filter management is one of its tasks which enables a DOTS client to retrieve the filtering capabilities of a DOTS server and to manage filtering rules. These Filtering rules are used for dropping or rate-limiting unwanted traffic, and permitting accept-listed traffic.

Unlike the DOTS signal channel [I-D.ietf-dots-signal-channel], the DOTS data channel is not expected to deal with attack conditions. As such, an issue that might be encountered in some deployments is when filters installed by means of DOTS data channel protocol may not function as expected during DDoS attacks or exacerbate an ongoing DDoS attack. The DOTS data channel cannot be used then to change these filters, which may complicate DDoS mitigation operations [Interop].

A typical case is a DOTS client which configures during 'idle' time (i.e., no mitigation is active) some filtering rules using DOTS data channel to permit traffic from accept-listed sources, but during a volumetric DDoS attack the DDoS mitigator identifies the source addresses/prefixes in the accept-listed filtering rules are attacking the target. For example, an attacker can spoof the IP addresses of accept-listed sources to generate attack traffic or the attacker can compromise the accept-listed sources and program them to launch a DDoS attack.

[I-D.ietf-dots-signal-channel] is designed so that the DDoS server notifies the conflict to the DOTS client (that is, 'conflict-cause' parameter set to 2 (Conflicts with an existing accept list)), but the DOTS client may not be able to withdraw the accept-list rules during the attack period due to the high-volume attack traffic saturating the inbound link. In other words, the DOTS client cannot use the DOTS data channel to withdraw the accept-list filters when the DDoS attack is in progress. This assumes that this DOTS client is the owner of the filtering rule.

1.2. The Solution

This specification addresses the problems discussed in Section 1.1 by adding the capability of managing filtering rules using the DOTS signal channel, which enables a DOTS client to request the activation or deactivation of filtering rules during a DDoS attack.

The DOTS signal channel protocol [I-D.ietf-dots-signal-channel] is designed to enable a DOTS client to contact a DOTS server for help even under severe network congestion conditions. Therefore, extending the DOTS signal channel protocol to manage the filtering rules during an attack will enhance the protection capability offered by DOTS protocols.

Note: The experiment at the IETF103 hackathon [Interop] showed that even when the incoming link is saturated by DDoS attack traffic, the DOTS client can signal mitigation requests using the DOTS signal channel over the saturated link.

Conflicts that are induced by filters installed by other DOTS clients of the same domain are not discussed in this specification.

Sample examples are provided in Section 4, in particular:

- o Section 4.1 illustrates how the filter control extension is used when conflicts with ACLs are detected by a DOTS server.
- o Section 4.2 shows how a DOTS client can instruct a DOTS server to safely forward some specific traffic in 'attack' time.
- o Section 4.3 shows how a DOTS client can react if DDoS traffic is still being forwarded to the DOTS client domain even if mitigation requests were sent to a DOTS server.

2. Notational Conventions and Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

The reader should be familiar with the terms defined in [I-D.ietf-dots-requirements].

The meaning of the symbols in tree diagrams is defined in [RFC8340].

3. Controlling Filtering Rules of a DOTS Client

3.1. Binding the Data and Signal Channels

The filtering rules eventually managed using the DOTS signal channel are created a priori by the same DOTS client using the DOTS data channel. Managing conflicts with filters installed by other DOTS clients of the same domain is out of scope.

As discussed in Section 4.4.1 of [I-D.ietf-dots-signal-channel], a DOTS client must use the same 'cuid' for both the signal and data channels. This requirement is meant to facilitate binding DOTS channels used by the same DOTS client.

The DOTS signal and data channels from a DOTS client may or may not use the same DOTS server. Nevertheless, the scope of the mitigation request, alias, and filtering rules are not restricted to the DOTS server but to the DOTS server domain. To that aim, DOTS servers within a domain are assumed to have a mechanism to coordinate the mitigation requests, aliases, and filtering rules to coordinate their decisions for better mitigation operation efficiency. The exact details about such mechanism is out of scope of this document.

A filtering rule controlled by the DOTS signal channel is identified by its Access Control List (ACL) name (Section 7.2 of [I-D.ietf-dots-data-channel]). Note that an ACL name unambiguously identifies an ACL bound to a DOTS client, but the same name may be used by distinct DOTS clients.

The activation or deactivation of an ACL by the signal channel overrides the 'activation-type' (defined in Section 7.2 of [I-D.ietf-dots-data-channel]) a priori conveyed with the filtering rules using the DOTS data channel.

3.2. DOTS Signal Channel Extension

3.2.1. Parameters & Behaviors

This specification extends the mitigation request defined in Section 4.4.1 of [I-D.ietf-dots-signal-channel] to convey the intended control of the configured filtering rules. Concretely, the DOTS client conveys the following parameters in the CBOR body of a mitigation request:

acl-name: A name of an access list defined using the DOTS data channel (Section 7.2 of [I-D.ietf-dots-data-channel]).

As a reminder, an ACL is an ordered list of Access Control Entries (ACE). Each Access Control Entry has a list of match criteria and a list of actions [I-D.ietf-dots-data-channel]. The list of configured ACLs can be retrieved using the DOTS data channel during 'idle' time.

This is an optional attribute.

activation-type: Indicates the activation type of an ACL overriding the existing 'activation-type' installed by the DOTS client using the DOTS data channel.

This attribute can be set to 'deactivate', 'immediate', or 'activate-when-mitigating' defined [I-D.ietf-dots-data-channel].

Note that both 'immediate' and 'activate-when-mitigating' have an immediate effect when a mitigation request is being processed by the DOTS server.

If this attribute is not provided, the DOTS server MUST use 'activate-when-mitigating' as the default value.

This is an optional attribute.

The JSON/YANG mapping to CBOR for 'activation-type' is shown in Table 1.

Parameter Name	YANG Type	CBOR Key	CBOR Major Type & Information	JSON Type
activation-type	enumeration	0x0031 (TBD1)	0 unsigned	String

Table 1: JSON/YANG mapping to CBOR for 'activation-type'

A DOTS client may include `acl-*` attributes in a mitigation request having a new or an existing 'mid'. When `acl-*` attributes are to be included in a mitigation request with an existing 'mid', the DOTS client MUST repeat all the other parameters as sent in the original mitigation request (i.e., having that 'mid') apart from a possible change to the lifetime parameter value.

It is RECOMMENDED for a DOTS client to subscribe to asynchronous notifications of the attack mitigation, as detailed in Section 4.4.2.1 of [I-D.ietf-dots-signal-channel]. If not, the polling mechanism in Section 4.4.2.2 of [I-D.ietf-dots-signal-channel] has to be followed by the DOTS client.

A DOTS client MUST NOT use the filtering control over DOTS signal channel in 'idle' time; such requests MUST be discarded by the DOTS server with 4.00 (Bad Request). By default, ACL-related operations are achieved using the DOTS data channel [I-D.ietf-dots-data-channel] when no attack is ongoing.

A DOTS client relies on the information received from the DOTS server and/or local information to the DOTS client domain to trigger a filter control request. Only filters that are pertinent for an ongoing mitigation should be controlled by a DOTS client using the DOTS signal channel.

If the DOTS server does not find the ACL name conveyed in the mitigation request in its configuration data for this DOTS client, it MUST respond with a "4.04 (Not Found)" error response code.

If the DOTS server finds the ACL name for this DOTS client, and assuming the request passed the validation checks in [I-D.ietf-dots-signal-channel], the DOTS server MUST proceed with the 'activation-type' update. The update is immediately enforced by the DOTS server and will be maintained as the new activation type for the ACL name even after the termination of the mitigation request. In addition, the DOTS server MUST update the lifetime of the

corresponding ACL similar to the update when a refresh request is received using the DOTS data channel.

If, during an active mitigation, the 'activation-type' is changed at the DOTS server (e.g., as a result of an external action) for an ACL bound to a DOTS client, the DOTS server notifies that DOTS client with the change by including the corresponding acl-* parameters in an asynchronous notification (the DOTS client is observing the active mitigation) or in a response to a polling request (Section 4.4.2.2 of [I-D.ietf-dots-signal-channel]).

This specification does not require any modification to the efficacy update and the withdrawal procedures defined in [I-D.ietf-dots-signal-channel]. In particular, ACL-related clauses are not included in a PUT request used to send an efficacy update and DELETE requests.

3.2.2. DOTS Signal Filtering Control Module

3.2.2.1. Tree Structure

This document augments the "ietf-dots-signal-channel" DOTS signal YANG module defined in [I-D.ietf-dots-signal-channel] for managing filtering rules.

This document defines the YANG module "ietf-dots-signal-control", which has the following tree structure:

```
module: ietf-dots-signal-control
  augment /ietf-signal:dots-signal/ietf-signal:message-type
    /ietf-signal:mitigation-scope/ietf-signal:scope:
      +--rw acl-list* [acl-name] {control-filtering}?
        +--rw acl-name
        |   -> /ietf-data:dots-data/dots-client/acls/acl/name
        +--rw activation-type? ietf-data:activation-type
```

3.2.2.2. YANG Module

<CODE BEGINS> file "ietf-dots-signal-control@2019-04-01.yang"

```
module ietf-dots-signal-control {
  yang-version 1.1;
  namespace
    "urn:ietf:params:xml:ns:yang:ietf-dots-signal-control";
  prefix signal-control;

  import ietf-dots-signal-channel {
```



```
prefix ietf-signal;
reference
  "RFC SSSS: Distributed Denial-of-Service Open Threat
    Signaling (DOTS) Signal Channel Specification";
}
import ietf-dots-data-channel {
  prefix ietf-data;
  reference
    "RFC DDDD: Distributed Denial-of-Service Open Threat
      Signaling (DOTS) Data Channel Specification";
}

organization
  "IETF DDoS Open Threat Signaling (DOTS) Working Group";
contact
  "WG Web:  <https://datatracker.ietf.org/wg/dots/>
  WG List:  <mailto:dots@ietf.org>

  Author:   Konda, Tirumaleswar Reddy
            <mailto:TirumaleswarReddy_Konda@McAfee.com>

  Author:   Mohamed Boucadair
            <mailto:mohamed.boucadair@orange.com>

  Author:   Kaname Nishizuka
            <mailto:kaname@nttv6.jp>

  Author:   Takahiko Nagata
            <mailto:nagata@lepidum.co.jp>";

description
  "This module contains YANG definition for the signaling
  messages exchanged between a DOTS client and a DOTS server
  to control, by means of the DOTS signal channel, filtering
  rules configured using the DOTS data channel.

  Copyright (c) 2019 IETF Trust and the persons identified as
  authors of the code.  All rights reserved.

  Redistribution and use in source and binary forms, with or
  without modification, is permitted pursuant to, and subject
  to the license terms contained in, the Simplified BSD License
  set forth in Section 4.c of the IETF Trust's Legal Provisions
  Relating to IETF Documents
  (http://trustee.ietf.org/license-info).

  This version of this YANG module is part of RFC XXXX; see
  the RFC itself for full legal notices.";
```

```
revision 2019-04-01 {
  description
    "Initial revision.";
  reference
    "RFC XXXX: Controlling Filtering Rules Using Distributed
      Denial-of-Service Open Threat Signaling (DOTS)
      Signal Channel";
}

feature control-filtering {
  description
    "This feature means that the DOTS signal channel is able
      to manage the filtering rules created by the same DOTS
      client using the DOTS data channel.";
}

augment "/ietf-signal:dots-signal/ietf-signal:message-type"
  + "/ietf-signal:mitigation-scope/ietf-signal:scope" {
  if-feature control-filtering;

  description "ACL name and activation type.";

  list acl-list {
    key "acl-name";
    description
      "List of ACLs as defined in the DOTS data
        channel. These ACLs are uniquely defined by
        cuid and name.";
    leaf acl-name {
      type leafref {
        path "/ietf-data:dots-data/ietf-data:dots-client"
          + "/ietf-data:acls/ietf-data:acl/ietf-data:name";
      }
      description
        "Reference to the ACL name bound to a DOTS client.";
    }
    leaf activation-type {
      type ietf-data:activation-type;
      default "activate-when-mitigating";
      description
        "Set the activation type of an ACL.";
    }
  }
}
}
<CODE ENDS>
```

4. Sample Examples

This section provides sample examples to illustrate the behavior specified in Section 3.2.1. These examples are provided for illustration purposes; they should not be considered as deployment recommendations.

4.1. Conflict Handling

Let's consider a DOTS client which contacts its DOTS server during 'idle' time to install an accept-list allowing for UDP traffic issued from 2001:db8:1234::/48 with a destination port number 443 to be forwarded to 2001:db8:6401::2/127. It does so by sending, for example, a PUT request shown in Figure 1.

```

PUT /restconf/data/ietf-dots-data-channel:dots-data\
  /dots-client=paL8p4Zqo4SLv64TLPXrxA/acls\
  /acl=an-accept-list HTTP/1.1
Host: {host}:{port}
Content-Type: application/yang-data+json
{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "an-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "activate-when-mitigating",
        "aces": {
          "ace": [
            {
              "name": "test-ace-ipv6-udp",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48"
                },
                "udp": {
                  "destination-port": {
                    "operator": "eq",
                    "port": 443
                  }
                }
              },
              "actions": {
                "forwarding": "accept"
              }
            }
          ]
        }
      }
    ]
  }
}

```

Figure 1: DOTS Data Channel Request to Create a Filtering

Some time later, consider that a DDoS attack is detected by the DOTS client on 2001:db8:6401::2/127. Consequently, the DOTS client sends a mitigation request to its DOTS server as shown in Figure 2.

```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=paL8p4Zqo4SLv64TLPXrxA"
Uri-Path: "mid=123"
Content-Format: "application/dots+cbor"
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:6401::2/127"
        ],
        "target-protocol": [
          17
        ],
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 2: DOTS Signal Channel Mitigation Request

The DOTS server accepts immediately the request by replying with 2.01 (Created) (Figure 3).

```
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "mid": 123,
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 3: Status Response (Message Body)

Assuming the DOTS client subscribed to asynchronous notifications, when the DOTS server concludes that some of the attack sources belong to 2001:db8:1234::/48, it sends a notification message with 'status' code set to '1 (Attack mitigation is in progress)' and 'conflict-cause' set to '2' (conflict-with-acceptlist) to the DOTS client to indicate that this mitigation request is in progress, but a conflict is detected.

Upon receipt of the notification message from the DOTS server, the DOTS client sends a PUT request to deactivate the "an-accept-list" ACL as shown in Figure 4.

The DOTS client can also decide to send a PUT request to deactivate the "an-accept-list" ACL, if suspect traffic is received from an accept-listed source (2001:db8:1234::/48). The structure of that PUT is the same as the one shown in Figure 4.

```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=paL8p4Zqo4SLv64TLPXrxA"
Uri-Path: "mid=123"
Content-Format: "application/dots+cbor"
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:6401::2/127"
        ],
        "target-protocol": [
          17
        ],
        "acl-list": [
          {
            "acl-name": "an-accept-list",
            "activation-type": "deactivate"
          }
        ]
      }
    ]
  }
}
```

Figure 4: PUT for Deactivating a Conflicting Filter

Then, the DOTS server deactivates "an-accept-list" ACL and replies with 2.04 (Changed) response to the DOTS client to confirm the successful operation. The message body is similar to the one depicted in Figure 3.

Once the attack is mitigated, the DOTS client may use the data channel to retrieve its ACLs maintained by the DOTS server. As shown in Figure 5, the activation type is set to 'deactivate' as set by the

signal channel (Figure 4) instead of the type initially set using the data channel (Figure 1).

```
{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "an-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "deactivate",
        "pending-lifetime": 10021,
        "aces": {
          "ace": [
            {
              "name": "test-ace-ipv6-udp",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48"
                },
                "udp": {
                  "destination-port": {
                    "operator": "eq",
                    "port": 443
                  }
                }
              },
              "actions": {
                "forwarding": "accept"
              }
            }
          ]
        }
      }
    ]
  }
}
```

Figure 5: GET to Retrieve the Filtering (After Mitigation)

4.2. On-Demand Activation of an Accept-List Filter

Let's consider a DOTS client which contacts its DOTS server during 'idle' time to install an accept-list allowing for UDP traffic issued from 2001:db8:1234::/48 to be forwarded to 2001:db8:6401::2/127. It does so by sending, for example, a PUT request shown in Figure 6. The DOTS server installs this filter with a "deactivated" state.

```

PUT /restconf/data/ietf-dots-data-channel:dots-data\
  /dots-client=ioiuLoZqo4SLv64TLPXrxA/acls\
  /acl=my-accept-list HTTP/1.1
Host: {host}:{port}
Content-Type: application/yang-data+json
{
  "ietf-dots-data-channel:acls": {
    "acl": [
      {
        "name": "my-accept-list",
        "type": "ipv6-acl-type",
        "activation-type": "deactivate",
        "aces": {
          "ace": [
            {
              "name": "an-ace",
              "matches": {
                "ipv6": {
                  "destination-ipv6-network": "2001:db8:6401::2/127",
                  "source-ipv6-network": "2001:db8:1234::/48",
                  "protocol": 17
                }
              },
              "actions": {
                "forwarding": "accept"
              }
            }
          ]
        }
      }
    ]
  }
}

```

Figure 6: DOTS Data Channel Request to Create an Accep-List Filter

Sometime later, consider that a UDP DDoS attack is detected by the DOTS client on 2001:db8:6401::2/127 but the DOTS client wants to let the traffic from 2001:db8:1234::/48 to be accept-listed to the DOTS client domain. Consequently, the DOTS client sends a mitigation request to its DOTS server as shown in Figure 7.


```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=ioiuLoZqo4SLv64TLPXrxA"
Uri-Path: "mid=4879"
Content-Format: "application/dots+cbor"
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:6401::2/127"
        ],
        "target-protocol": [
          17
        ],
        "acl-list": [
          {
            "acl-name": "my-accept-list",
            "activation-type": "immediate"
          }
        ]
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 7: DOTS Signal Channel Mitigation Request with a Filter Control

The DOTS server activates "my-accept-list" ACL and replies with 2.01 (Created) response to the DOTS client to confirm the successful operation.

4.3. DOTS Servers/Mitigators Lacking Capacity

This section describes a scenario in which a DOTS client activates a drop-list or a rate-limit filter during an attack.

Consider a DOTS client that contacts its DOTS server during 'idle' time to install an accept-list that rate-limits all (or a part thereof) traffic to be forwarded to 2001:db8:123::/48 as a last resort countermeasure whenever required. It does so by sending, for example, a PUT request shown in Figure 8. The DOTS server installs this filter with a "deactivated" state.

```
PUT /restconf/data/ietf-dots-data-channel:dots-data\  
  /dots-client=OopPisZqo4SLv64TLPXrxA/acls\  
  /acl=my-ratelimit-list HTTP/1.1  
Host: {host}:{port}  
Content-Type: application/yang-data+json  
{  
  "ietf-dots-data-channel:acls": {  
    "acl": [  
      {  
        "name": "my-ratelimit-list",  
        "type": "ipv6-acl-type",  
        "activation-type": "deactivate",  
        "aces": {  
          "ace": [  
            {  
              "name": "my-ace",  
              "matches": {  
                "ipv6": {  
                  "destination-ipv6-network": "2001:db8:123::/48"  
                }  
              },  
              "actions": {  
                "forwarding": "accept",  
                "rate-limit": "20.00"  
              }  
            }  
          ]  
        }  
      ]  
    }  
  }  
}
```

Figure 8: DOTS Data Channel Request to Create a Rate-Limit Filter

Consider now that a DDoS attack is detected by the DOTS client on 2001:db8:123::/48. Consequently, the DOTS client sends a mitigation request to its DOTS server (Figure 9).

```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=OopPisZqo4SLv64TLPXrxA"
Uri-Path: "mid=85"
Content-Format: "application/dots+cbor"
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:123::/48"
        ],
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 9: DOTS Signal Channel Mitigation Request to Activate a Rate-Limit Filter

For some reason (e.g., the DOTS server, or the mitigator, is lacking a capability or capacity), the DOTS client is still receiving the attack traffic which saturates available links. To soften the problem, the DOTS client decides to activate the filter that rate-limits the traffic destined to the DOTS client domain. To that aim, the DOTS client sends the mitigation request to its DOTS server shown in Figure 10.

```
Header: PUT (Code=0.03)
Uri-Path: ".well-known"
Uri-Path: "dots"
Uri-Path: "mitigate"
Uri-Path: "cuid=OopPisZqo4SLv64TLPXrxA"
Uri-Path: "mid=85"
Content-Format: "application/dots+cbor"
{
  "ietf-dots-signal-channel:mitigation-scope": {
    "scope": [
      {
        "target-prefix": [
          "2001:db8:123::/48"
        ],
        "acl-list": [
          {
            "acl-name": "my-ratelimit-list",
            "activation-type": "activate"
          }
        ]
        "lifetime": 3600
      }
    ]
  }
}
```

Figure 10: DOTS Signal Channel Mitigation Request to Activate a Rate-Limit Filter

Then, the DOTS server activates "my-ratelimit-list" ACL and replies with 2.04 (Changed) response to the DOTS client to confirm the successful operation.

5. IANA Considerations

5.1. DOTS Signal Channel CBOR Mappings Registry

This specification registers the 'activation-type' parameter in the IANA "DOTS Signal Channel CBOR Key Values" registry established by [I-D.ietf-dots-signal-channel].

The 'activation-type' is a comprehension-required parameter. The 'acl-list' and 'acl-name' parameters are defined as comprehension-required parameters in Table 6 in [I-D.ietf-dots-signal-channel]. Following the rules in [I-D.ietf-dots-signal-channel], if the DOTS server does not understand the 'acl-list' or 'acl-name' or 'activation-type' attributes, it responds with a "4.00 (Bad Request)" error response code.

- o Note to the RFC Editor: Please delete (TBD1) once the CBOR key is assigned from the (0x0001 - 0x3FFF) range.

Parameter Name	CBOR Key Value	CBOR Major Type	Change Controller	Specification Document (s)
activation-type	0x0031 (TBD1)	0	IESG	[RFCXXXX]

5.2. DOTS Signal Filtering Control YANG Module

This document requests IANA to register the following URI in the "IETF XML Registry" [RFC3688]:

URI: urn:ietf:params:xml:ns:yang:ietf-dots-signal-control
Registrant Contact: The IESG.
XML: N/A; the requested URI is an XML namespace.

This document requests IANA to register the following YANG module in the "YANG Module Names" registry [RFC7950].

Name: ietf-dots-signal-control
Namespace: urn:ietf:params:xml:ns:yang:ietf-dots-signal-control
Maintained by IANA: N
Prefix: signal-control
Reference: RFC XXXX

6. Security Considerations

The security considerations discussed in [I-D.ietf-dots-signal-channel] and [I-D.ietf-dots-data-channel] need to be taken into account.

A compromised DOTS client can use the filtering control capability to exacerbate an ongoing attack. Likewise, such compromised DOTS client may abstain from reacting to an ACL conflict notification received from the DOTS server during attacks. These are not new attack vectors, but variations of threats discussed in [I-D.ietf-dots-signal-channel] and [I-D.ietf-dots-data-channel]. DOTS operators should carefully monitor and audit DOTS agents to detect misbehavior and to deter misuse.

7. Acknowledgements

Thank you to Takahiko Nagata, Wei Pan, Xia Liang, and Jon Shollow for the comments.

8. References

8.1. Normative References

- [I-D.ietf-dots-data-channel]
Boucadair, M. and R. K, "Distributed Denial-of-Service Open Threat Signaling (DOTS) Data Channel Specification", draft-ietf-dots-data-channel-27 (work in progress), February 2019.
- [I-D.ietf-dots-signal-channel]
K, R., Boucadair, M., Patil, P., Mortensen, A., and N. Teague, "Distributed Denial-of-Service Open Threat Signaling (DOTS) Signal Channel Specification", draft-ietf-dots-signal-channel-30 (work in progress), March 2019.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC3688] Mealling, M., "The IETF XML Registry", BCP 81, RFC 3688, DOI 10.17487/RFC3688, January 2004, <<https://www.rfc-editor.org/info/rfc3688>>.
- [RFC7950] Bjorklund, M., Ed., "The YANG 1.1 Data Modeling Language", RFC 7950, DOI 10.17487/RFC7950, August 2016, <<https://www.rfc-editor.org/info/rfc7950>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.

8.2. Informative References

- [I-D.ietf-dots-requirements]
Mortensen, A., K, R., and R. Moskowitz, "Distributed Denial of Service (DDoS) Open Threat Signaling Requirements", draft-ietf-dots-requirements-22 (work in progress), March 2019.

- [Interop] Nishizuka, K., Shallow, J., and L. Xia , "DOTS Interop test report, IETF 103 Hackathon", November 2018, <<https://datatracker.ietf.org/meeting/103/materials/slides-103-dots-interop-report-from-ietf-103-hackathon-00>>.
- [RFC8340] Bjorklund, M. and L. Berger, Ed., "YANG Tree Diagrams", BCP 215, RFC 8340, DOI 10.17487/RFC8340, March 2018, <<https://www.rfc-editor.org/info/rfc8340>>.

Authors' Addresses

Kaname Nishizuka
NTT Communications
GranPark 16F 3-4-1 Shibaura, Minato-ku
Tokyo 108-8118
Japan

Email: kaname@nttv6.jp

Mohamed Boucadair
Orange
Rennes 35000
France

Email: mohamed.boucadair@orange.com

Tirumaleswar Reddy
McAfee, Inc.
Embassy Golf Link Business Park
Bangalore, Karnataka 560071
India

Email: kondtir@gmail.com

Takahiko Nagata
Lepidum
Japan

Email: nagata@lepidum.co.jp