A Profile for Autonomous System Provider Authorization
draft-ietf-sidrops-aspa-profile-00

Abstract

This document defines a standard profile for Autonomous System Provider Authorization in the Resource Public Key Infrastructure. An Autonomous System Provider Authorization is a digitally signed object that provides a means of verifying that a Customer Autonomous System holder has authorized a Provider Autonomous System to be its upstream provider and for the Provider to send prefixes received from the Customer Autonomous System in all directions including providers and peers.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

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1. Introduction

The primary purpose of the Resource Public Key Infrastructure (RPKI) is to improve routing security. (See [RFC6480] for more information.) As part of this infrastructure, a mechanism is needed to verify that a Provider AS (PAS) has permission from a Customer AS (CAS) holder to send routes in all directions. The digitally signed
Autonomous System Provider Authorization (ASPA) object provides this verification mechanism.

The ASPA uses the template for RPKI digitally signed objects [RFC6488], which defines a Cryptographic Message Syntax (CMS) [RFC5652] wrapper for the ASPA content as well as a generic validation procedure for RPKI signed objects. As ASPAs need to be validated with RPKI certificates issued by the current infrastructure, we assume the mandatory-to-implement algorithms in [RFC6485], or its successor.

To complete the specification of the ASPA (see Section 4 of [RFC6488]), this document defines:

1. The object identifier (OID) that identifies the ASPA signed object. This OID appears in the eContentType field of the encapContentInfo object as well as the content-type signed attribute within the signerInfo structure.

2. The ASN.1 syntax for the ASPA content, which is the payload signed by the CAS. The ASPA content is encoded using the ASN.1 [X680] Distinguished Encoding Rules (DER) [X690].

3. The steps required to validate an ASPA beyond the validation steps specified in [RFC6488]).

2. The ASPA Content Type

The content-type for an ASPA is defined as id-cct-ASPA, which has the numerical value of 1.2.840.113549.1.9.16.1.TBD. This OID MUST appear both within the eContentType in the encapContentInfo structure as well as the content-type signed attribute within the signerInfo structure (see [RFC6488]).

3. The ASPA eContent

The content of an ASPA identifies the Customer AS (CAS) as well as the Provider AS (PAS) that is authorized to further propagate announcements received from the customer. If customer has multiple providers, it issues multiple ASPAs, one for each provider AS. An ASPA is formally defined as:
ct-ASPA CONTENT-TYPE ::= 
    { ASProviderAttestation IDENTIFIED BY id-ct-ASPA }

id-ct-ASPA OBJECT IDENTIFIER ::= { id-ct TBD }

ASProviderAttestation ::= SEQUENCE {
    version [0] ASPAVersion DEFAULT v0,
    AFI AddressFamilyIdentifier,
    customerASID ASID,
    providerASID ASID }

ASPAVersion ::= INTEGER { v0(0) }

AddressFamilyIdentifier ::= INTEGER

ASID ::= INTEGER

Note that this content appears as the eContent within the encapContentInfo as specified in [RFC6488].

3.1. version

The version number of the ASProviderAttestation MUST be v0.

3.2. AFI

The AFI field contains Address Family Identifier for which the relation between customer and provider ASes is authorized. Presently defined values for the Address Family Identifier field are specified in the IANA’s Address Family Numbers registry [IANA-AF].

3.3. customerASID

The customerASID field contains the AS number of the Autonomous System that authorizes an upstream provider (listed in the providerASId) to propagate prefixes in the specified address family other ASes.

3.4. providerASID

The providerASID contains the AS number that is authorized to further propagate announcements in the specified address family received from the customer.
4. ASPA Validation

Before a relying party can use an ASPA to validate a routing announcement, the relying party MUST first validate the ASPA object itself. To validate an ASPA, the relying party MUST perform all the validation checks specified in [RFC6488] as well as the following additional ASPA-specific validation step.

- The autonomous system identifier delegation extension [RFC3779] is present in the end-entity (EE) certificate (contained within the ASPA), and the customer AS number in the ASPA is contained within the set of AS numbers specified by the EE certificate’s autonomous system identifier delegation extension.

5. ASN.1 Module for the ASPA Content Type
RPKI-ASPA-2018
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
     pkcs-9(9) smime(16) modules(0) id-mod-rpki-aspa-2018(TBD2) }
DEFINITIONS IMPLICIT TAGS ::= BEGIN
IMPORTS
IMPORTS
CONTENT-TYPE
FROM CryptographicMessageSyntax-2010 -- RFC 6268
   { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1)
     pkcs-9(9) smime(16) modules(0) id-mod-cms-2009(58) } ;

ContentSet CONTENT-TYPE ::= { ct-ASPA, ... }

--
-- ASPA Content Type
--

id-smime OBJECT IDENTIFIER ::= { iso(1) member-body(2)
                   us(840) rsadsi(113549) pkcs(1) pkcs-9(9) 16 }

id-ct OBJECT IDENTIFIER ::= { id-smime 1 }

id-ct-ASPA OBJECT IDENTIFIER ::= { id-ct TBD }

ct-ASPA CONTENT-TYPE ::= 
   { TYPE ASProviderAttestation IDENTIFIED BY id-ct-ASPA }

ASProviderAttestation ::= SEQUENCE {
   version [0] ASPAVersion DEFAULT v0,
   AFI AddressFamilyIdentifier,
   customerASID ASID,
   providerASID ASID }

ASPAVersion ::= INTEGER { v0(0) }

AddressFamilyIdentifier ::= INTEGER

ASID ::= INTEGER

END

6.  IANA Considerations

Please add the id-mod-rpki-aspa-2018 to the SMI Security for S/MIME Module Identifier (1.2.840.113549.1.9.16.0) registry
(https://www.iana.org/assignments/smi-numbers/smi-numbers.xml#security-smime-0) as follows:
Please add the ASPA to the S/MIME CMS Content Type (1.2.840.113549.1.9.16.1) registry (https://www.iana.org/assignments/smi-numbers/smi-numbers.xml#security-smime-1) as follows:

<table>
<thead>
<tr>
<th>Decimal</th>
<th>Description</th>
<th>Specification</th>
</tr>
</thead>
<tbody>
<tr>
<td>TBD</td>
<td>id-ct-ASPA</td>
<td>[ThisRFC]</td>
</tr>
</tbody>
</table>

Please add the ASPA to the RPKI Signed Object registry (https://www.iana.org/assignments/rpki/rpki.xhtml#signed-objects) as follows:

<table>
<thead>
<tr>
<th>Name</th>
<th>OID</th>
<th>Specification</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASPA</td>
<td>1.2.840.113549.1.9.16.1.TBD</td>
<td>[ThisRFC]</td>
</tr>
</tbody>
</table>

7. Security Considerations

8. Acknowledgments

9. References

9.1. Normative References


9.2. Informative References


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Verification of AS_PATH Using the Resource Certificate Public Key Infrastructure and Autonomous System Provider Authorization
draft-ietf-sidrops-aspa-verification-01

Abstract

This document defines the semantics of an Autonomous System Provider Authorization object in the Resource Public Key Infrastructure to verify the AS_PATH attribute of routes advertised in the Border Gateway Protocol.

Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

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This Internet-Draft will expire on January 9, 2020.
1. Introduction

The Border Gateway Protocol (BGP) was designed without mechanisms to validate BGP attributes. Two consequences are BGP Hijacks and BGP Route Leaks [RFC7908]. BGP extensions are able to partially solve these problems. For example, ROA-based Origin Validation [RFC6483] can be used to detect and filter accidental mis-originations, and [I-D.ietf-grow-route-leak-detection-mitigation] can be used to detect accidental route leaks. While these upgrades to BGP are quite useful, they still rely on transitive BGP attributes, i.e. AS_PATH, that can be manipulated by attackers.

BGPSec [RFC8205] was designed to solve the problem of AS_PATH validation. Unfortunately, strict cryptographic validation brought
expensive computational overhead for BGP routers. BGPSec also proved vulnerable to downgrade attacks that nullify the benefits of AS_PATH signing. As a result, to abuse the AS_PATH or any other signed transit attribute, an attacker merely needs to downgrade to ‘old’ BGP-4.

An alternative approach was introduced with soBGP [I-D.white-sobgp-architecture]. Instead of strong cryptographic AS_PATH validation, it created an AS_PATH security function based on a shared database of ASN adjacencies. While such an approach has reasonable computational cost, the two side adjacencies don’t provide a way to automate anomaly detection without high adoption rate – an attacker can easily create a one-way adjacency. SO-BGP transported data about adjacencies in new additional BGP messages, which was recursively complex thus significantly increasing adoption complexity and risk. In addition, the general goal to verify all AS_PATHs was not achievable given the indirect adjacencies at internet exchange points.

Instead of checking AS_PATH correctness, this document focuses on solving real-world operational problems - automatic detection of malicious hijacks and route leaks. To achieve this a new AS_PATH verification procedure is defined which is able to automatically detect invalid (malformed) AS_PATHs in announcements that are received from customers and peers. This procedure uses a shared signed database of customer-to-provider relationships using a new RPKI object - Autonomous System Provider Authorization (ASPA). This technique provides benefits for participants even during early and incremental adoption.

2. Anomaly Propagation

Both route leaks and hijacks have similar effects on ISP operations - they redirect traffic, resulting in increased latency, packet loss, or possible MiTM attacks. But the level of risk depends significantly on the propagation of the anomalies. For example, a hijack that is propagated only to customers may concentrate traffic in a particular ISP’s customer cone; while if the anomaly is propagated through peers, upstreams, or reaches Tier-1 networks, thus distributing globally, traffic may be redirected at the level of entire countries and/or global providers.

The ability to constrain propagation of BGP anomalies to upstreams and peers, without requiring support from the source of the anomaly (which is critical if source has malicious intent), should significantly improve the security of inter-domain routing and solve the majority of problems.
3. Autonomous System Provider Authorization

As described in [RFC6480], the RPKI is based on a hierarchy of resource certificates that are aligned to the Internet Number Resource allocation structure. Resource certificates are X.509 certificates that conform to the PKIX profile [RFC5280], and to the extensions for IP addresses and AS identifiers [RFC3779]. A resource certificate is a binding by an issuer of IP address blocks and Autonomous System (AS) numbers to the subject of a certificate, identified by the unique association of the subject’s private key with the public key contained in the resource certificate. The RPKI is structured so that each current resource certificate matches a current resource allocation or assignment.

ASPAAs are digitally signed objects that bind a selected AFI Provider AS number to a Customer AS number (in terms of BGP announcements not business), and are signed by the holder of the Customer AS. An ASPA attests that a Customer AS holder (CAS) has authorized a particular Provider AS (PAS) to propagate the Customer’s IPv4/IPv6 announcements onward, e.g. to the Provider’s upstream providers or peers. The ASPA record profile is described in [I-D.ietf-sidrops-aspa-profile].

4. Customer-Provider Verification Procedure

This section describes an abstract procedure that checks that pair of ASNs (AS1, AS2) is included in the set of signed ASPAs. The semantics of its usage is defined in next section. The procedure takes (AS1, AS2, ROUTE_AFI) as input parameters and returns three types of results: "valid", "invalid" and "unknown".

A relying party (RP) must have access to a local cache of the complete set of cryptographically valid ASPAs when performing customer-provider verification procedure.

1. Retrieve all cryptographically valid ASPAs in a selected AFI with a customer value of AS1. This selection forms the set of "candidate ASPAs."

2. If the set of candidate ASPAs is empty, then the procedure exits with an outcome of "unknown."

3. If there is at least one candidate ASPA where the provider field is AS2, then the procedure exits with an outcome of "valid."

4. Otherwise, the procedure exits with an outcome of "invalid."

Since an AS1 may have different set providers in different AFI, it should also have different set of corresponding ASPAs. In this case,
the output of this procedure with input (AS1, AS2, ROUTE_AFI) may have different output for different ROUTE_AFI values.

5. AS_PATH Verification

The AS_PATH attribute identifies the autonomous systems through which an UPDATE message has passed. AS_PATH may contain two types of components: ordered AS_SEQes and unordered AS_SETs, as defined in [RFC4271].

The value of each concatenated value of AS_SEQ components can be described as set of pairs {(AS(I), prepend(I)), (AS(I-1), prepend(I-1))...}. In this case, the sequence {AS(I), AS(I-1),...} represents different ASNs, that packet should pass towards the destination.

The below procedure is applicable only for 32-bit AS number compatible BGP speakers.

5.1. Upstream Paths

When a route is received from a customer, literal peer or by RS at IX, each pair (AS(I-1), AS(I)) MUST belong to customer-provider or sibling relationship. If there are other types of relationships, it means that the route was leaked or the AS_PATH attribute was malformed. The goal of the described below procedure is to check the correctness of this statement.

If a route from ROUTE_AFI address family is received from a customer, peer ot RS-client, its AS_PATH MUST be verified as follows:

1. If the closest AS in the AS_PATH is not the receiver’s neighbor ASN then procedure halts with the outcome "invalid";

2. If there is a pair (AS(I-1), AS(I)), and customer-provider verification procedure (Section 4) with parameters (AS(I-1), AS(I), ROUTE_AFI) returns "invalid" then the procedure also halts with the outcome "invalid";

3. If the AS_PATH has at least one AS_SET segment then procedure halts with the outcome "unverifiable";

4. Otherwise, the procedure halts with an outcome of "valid".
5.2. Downstream Paths

When a route is received from a provider or RS, it may have both Upstream and Downstream paths. The first pair \((AS(I-1), AS(I))\) that has "invalid" outcome of customer-provider verification procedure indicates the end of Upstream path. All subsequent reverse pairs \((AS(J), AS(J-1))\) MUST belong to customer-provider or sibling relationship, thus can be also verified with ASPA objects. If there are other types of relationships, it means that the route was leaked.

Additional caution should be done while processing prefixes that are received from transparent IXes since they don’t add their ASN in the AS_PATH.

If a route from ROUTE_AFI address family is received from a customer or RS, its AS_PATH MUST be verified as follows:

1. If the route is received from the provider and the closest AS in the AS_PATH is not the receiver’s neighbor ASN then the procedure halts with the outcome "invalid";

2. If there are two pairs \((AS(I-1), AS(I)), (AS(J-1), AS(J))\) where \(J > I\), and customer-provider verification procedure (Section 4) returns "invalid" for both \((AS(I-1), AS(I), ROUTE_AFI)\) and \((AS(J), AS(J-1), ROUTE_AFI)\), then the procedure also halts with the outcome "invalid";

3. If the AS_PATH has at least one AS_SET segment then the procedure halts with the outcome "unverifiable";

4. Otherwise, the procedure halts with an outcome of "valid".

5.3. Mitigation

If the output of the AS_PATH verification procedure is "invalid" the route MUST be rejected.

If the output of the AS_PATH verification procedure is ‘unverifiable’ it means that AS_PATH can’t be fully checked. Such routes should be treated with caution and SHOULD be processed the same way as "invalid" routes. This policy goes with full correspondence to [I-D.kumari-deprecate-as-set-confed-set].

The above AS_PATH verification procedure is able to check routes received from customers and peers. The ASPA mechanism combined with BGP Roles [I-D.ietf-idr-bgp-open-policy] and ROA-based Origin Validation [RFC6483] provide a fully automated solution to detect and filter hijacks and route leaks, including malicious ones.
6. Disavowal of Provider Authorization

An ASPA is a positive attestation that an AS holder has authorized its provider to redistribute received routes to the provider’s providers and peers. This does not preclude the provider AS from redistribution to its other customers. By creating an ASPA where the provider AS is 0, the customer indicates that no provider should further announce its routes. Specifically, AS 0 is reserved to identify provider-free networks, Internet exchange meshes, etc.

An ASPA with a provider AS of 0 is a statement by the customer AS that its routes should not be received by any relying party AS from any of its customers or peers.

By convention, an ASPA with a provider AS of 0 should be the only ASPA issued by a given AS holder; although this is not a strict requirement. A provider 0 ASPA may coexist with ASPAs that have different provider AS values; though in such cases, the presence or absence of the provider AS 0 ASPA does not alter the AS_PATH verification procedure.

7. Siblings (Complex Relations)

There are peering relationships which can not be described as strictly simple peer-peer or customer-provider; e.g. when both parties are intentionally sending prefixes received from each other to their peers and/or upstreams.

In this case, two symmetric ASPAs records {(AS1, AS2), (AS2, AS1)} must be created by AS1 and AS2 respectively.

8. Security Considerations

The proposed mechanism is compatible only with BGP implementations that can process 32-bit ASNs in the AS_PATH. This limitation should not have a real effect on operations - such legacy BGP routers are rare and it’s highly unlikely that they do support integration with RPKI.

ASPA issuers should be aware of the verification implication in issuing an ASPA – an ASPA implicitly invalidates all routes passed to upstream providers other than the provider ASs listed in the collection of ASPAs. It is the Customer AS’s duty to maintain a correct set of ASPAs.

While the ASPA is capable to detect both mistake and malicious activity for routes received from customers, RS-clients or peers, it provides only detection of mistakes for routes that are received from upstream providers and RS(s).
Since upstream provider becomes a trusted point, it will be able to send hijacked prefixes of its customers or send hijacked prefixes with malformed AS_PATHs back. While it may happen in theory, it’s doesn’t seem to be a real scenario: normally customer and provider have a signed agreement and such policy violation should have legal consequences or customer can just drop relation with such provider and remove corresponding ASPA record.

9. Acknowledgments

The authors wish to thank authors of [RFC6483] since its text was used as an example while writing this document. The authors also wish to thank Iljitsch van Beijnum for giving a hint about Downstream paths.

10. References

10.1. Normative References


10.2. Informative References


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Abstract

Trust Anchor Locators (TALs) [I-D.ietf-sidrops-https-tal] are used by Relying Parties in the RPKI to locate and validate Trust Anchor certificates used in RPKI validation. This document defines an RPKI signed object for Trust Anchor Keys (TAK), that can be used by Trust Anchors to signal their set of current keys and the location(s) of the accompanying CA certificates to Relying Parties, as well as changes to this set in the form of revoked keys and new keys, in order to support both planned and unplanned key rolls without impacting RPKI validation.

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1. Requirements notation

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2. Overview

Trust Anchor Locators (TALs) [I-D.ietf-sidrops-https-tal] are used by Relying Parties in the RPKI to locate and validate Trust Anchor (TA) certificates used in RPKI validation. However, until now there has been no formal way of notifying Relying Parties (RP) of updates to a TAL. Such updates may be needed in particular in case a Trust Anchor needs to perform a planned, or unplanned, key roll.

This document defines a new RPKI signed object that can be used to document the current set of keys and the location(s) of the accompanying CA certificates, as well as any changes to this set. This allows RPs to be notified automatically of such changes, and enables Trust Anchors to pre-stage a number of operational keys so that planned and unplanned key rolls can be performed without risking the invalidation of the RPKI tree under the TA. We call this object the Trust Anchor Keys (TAK) object.

When Relying Parties (RPs) are first bootstrapped, they use any current TAL to discover a key and location(s) of the TA certificate(s) for a TA. The RP can then retrieve and validating the TA certificate, and subsequently validate the manifest [RFC6486] and CRL [section 5 of @!RFC6487]. However, before processing any other objects it will then first validate the TAK object, if present. All enumerated new keys (and locations) are then added to a new list of current TA keys for this TA. The RP will then recursively fetch and validate the TA certificates, manifest, CRL and TAK objects for each of these keys. As a part of this process the RP will also compile a list of revoked keys enumerated by any of the validly signed TAK objects. As the final step the RP will then filter out any revoked TA keys from its new set. This new set now replaces the previous set.

This process allows Trust Anchors to operate a set of N current keys, where any key can effectively revoke any or all of the other keys to perform either a planned, or an unplanned, key roll. This also allows Trust Anchors to produce long lived TAK objects as forward pointers to RPs, and retire its old key when doing a key roll. While the generic process is quite involved, the amount of work needed to support an envisioned normal key roll is fairly limited. Under normal circumstances a TA will typically have two current keys, so that is can perform an emergency roll over in case one of the keys is lost. This means that the RP will need to validate one additional CA certificate, a CRL, a manifest and two TAK objects.

When a key roll is executed a TA will remove one old key, and introduce one new (back-up) key. The RP will remove the old key from
its set, and it will not be queried again, and it will add the new key and its TA certificate location(s).

Only in a situation where an RP is very outdated can it be expected that the RP will have to discover several chained TAK object. But, since it will remove the outdated TALs in this process, this presents a one time cost only.

3. TAK Object definition

The TAK object makes use of the template for RPKI digitally signed objects [RFC6488], which defines a Cryptopgraphic Message Syntax (CMS) [RFC5652] wrapper for the Signed TALs content as well as a generic validation procedure for RPKI signed objects. Therefore, to complete the specification of the TAK object (see Section 4 of [RFC6488]), this document defines:

- The OID defined in Section 3.1 that identifies the signed object as being a TAK. (This OID appears within the eContentType in the encapContentInfo object as well as the content-type signed attribute in the signerInfo object).
- The ASN.1 syntax for the TAK eContent defined in Section 3.2.
- Additional steps to the validation steps specified in [RFC6488] required to validate the TAK, defined in Section 3.3.

3.1. The TAK Object Content Type

This document requests an OID for TAK objects as follows:

```
signed-Tal OBJECT IDENTIFIER ::= { iso(1) member-body(2) us(840) rsadsi(113549) pkcs(1) pkcs9(9) 16 id-smime (1) TBD }
```

This OID MUST appear both within the eContentType in the encapContentInfo object as well as the content-type signed attribute in the signerInfo object (see [RFC6488])

3.2. The TAK Object eContent

The content of a TAK object is ASN.1 encoded using the Distinguished Encoding Rules (DER) [X.690], and is defined as follows:
TAK ::= SEQUENCE {
    version INTEGER DEFAULT 0,
    current ::= SEQUENCE SIZE (1..MAX) OF CurrentKey,
    revoked ::= SEQUENCE OF SubjectPublicKeyInfo
}

CurrentKey ::= SEQUENCE {
    certificateURIs SEQUENCE SIZE (1..MAX) OF CertificateURI,
    subjectPublicKeyInfo SubjectPublicKeyInfo
}

CertificateURI ::= IA5String

SubjectPublicKeyInfo ::= SEQUENCE {
    algorithm AlgorithmIdentifier,
    subjectPublicKey BIT STRING
}

3.2.1. version

The version number of the TAK object MUST be 0.

3.2.2. current

This field defines the set of current keys (CurrentKey) according to the signer of this Signed TALs object.

3.2.2.1. CurrentKey

This field defines a current TA Key, equivalent to [I-D.ietf-sidrops-https-tal]. This structure contains a sequence of one or more URIs and a SubjectPublicKeyInfo.

3.2.2.1.1. certificateURIs

This field is equivalent to the URI section in section 2.1 of [I-D.ietf-sidrops-https-tal]. It MUST contain at least one CertificateURI element. Each CertificateURI element contains the IA5String representation of either an rsync URI [RFC5781], or an HTTPS URI [RFC7230].

3.2.2.1.2. subjectPublicKeyInfo

This field contains a SubjectPublicKeyInfo [section 4.1.2.7 or @!RFC5280] in DER format [X.690].
3.2.3. revoked

This field contains the list of keys, identified by SubjectPublicKeyInfo, that are no longer to be used according to the signer of this document.

3.3. TAK Object Validation

To determine whether a TAK object is valid, the RP MUST perform the following steps in addition to those specified in [RFC6488]:

- The eContentType OID matches the OID described in Section 3.1
- The TAK object appears as the product of a Trust Anchor CA certificate.
- This Trust Anchor CA has published only one TAK object in its repository for this key, and this object appears on the Manifest as the only entry using the ".tak" extension (see [RFC6481]). In case more than one TAK object is found, all such objects MUST be considered invalid.
- The EE certificate of this TAK object describes its Internet Number Resources (INRs) using the "inherit" attribute
- The decoded TAK content conforms to the format defined in Section 3.2.

If the above procedure indicates that the manifest is invalid, then the TAK object MUST be discarded and treated as though no TAK object were present.

4. TAK Object Generation and Publication

A TA MAY choose to use TAK objects to communicate its set of current, and revoked keys. If a TA chooses to use TAK objects, then it SHOULD generate and publish TAK objects under each of its current keys. An exception to this rule exists when a TA has lost permanent access to one of its keys or the accompanying repository publication point. In such cases however, the key in question MUST be revoked as described below in Section 7.

A non-normative guideline for naming this object is that the filename chosen for the Signed TAL Object in the publication repository be a value derived from the public key part of the entity’s key pair, using the algorithm described for CRLs in section 2.2 of [RFC6481] for generation of filenames. The filename extension of ".tak" MUST
be used to denote the object as a TAK. Note that this is in-line with filename extensions defined in section 7.2 of [RFC6481]

In order to generate the TAK Objects, the TA MUST perform the following actions:

- The TA MUST generate a key pair for a "one-time-use" EE certificate to use for the TAK
- The TA MUST generate a one-time-use EE certificate for the TAK
- This EE certificate MUST have an SIA extension access description field with an accessMethod OID value of id-ad-signedobject, where the associated accessLocation references the publication point of the TAK as an object URL.
- As described in [RFC6487], an [RFC3779] extension is required in the EE certificate used for this object. However, because the resource set is irrelevant to this object type, this certificate MUST describe its Internet Number Resources (INRs) using the "inherit" attribute, rather than explicit description of a resource set.
- This EE certificate MUST have a "notBefore" time that matches, or predates the moment that the TAK will be published.
- This EE certificate MUST have a "notAfter" time that reflects the intended duration for which this TAK will be published. If the EE certificate for a Signed TAL is expired, it MUST no longer be published, but it MAY be replaced by a newly generated TAK object with equivalent content and an updated "notAfter" time.
- The same set of current keys (see Section 3.2.2) MUST be included on each TAK object for each current key.
- The TAK object MUST include all revoked keys (see Section 3.2.3) that became revoked while the key signing the TAK in question was current.

5. Relying Party Use

Relying Parties MUST keep a record of all current keys for each configured Trust Anchor, as well as the URI(s) where the CA certificate for each of these keys may be retrieved. This record MAY be bootstrapped by the use of a pre-configured (and unsigned) TAL file [I-D.ietf-sidrops-https-tal], but it MUST be updated with authoritative signed information found in valid TAK objects found in subsequent validation runs.
When performing top-down validation RPs MUST first validate and process any TAK objects for each of its known current keys for a TA by performing the following steps:

- A CA certificate is retrieved and validated from the known URIs as described in sections 3 and 4 of [I-D.ietf-sidrops-https-tal].
- The manifest and CRL for this certificate are then validated first as described in [RFC6487] and [RFC6486].
- The TAK file, if present, is validated as described in Section 3.3.

For each valid TAK file thus found all current keys, i.e. SubjectPublicKeyInfo and URIs, are kept. If any previously unknown keys are added to the set of current keys, then they MUST also be processed as described above.

Once the TAK objects for all keys are processed the set of current keys and URIs for the TA is updated as follows: * All new current keys found on any valid TAK object are added to the set of current keys. * The set of URIs for each current key is replaced by the union of all URIs for this key found on all valid TAK objects. * Finally, any current key that matches any revoked key on any valid TAK object is removed from the set of current keys.

Note that if a current key does not occur on any valid TAK object, but it is not revoked either, then it and any previously known URIs for it are kept. Also note that if an RP was bootstrapped using a TAL file [I-D.ietf-sidrops-https-tal], the keys and URIs will now have been replaced by values found on TAK objects.

After this the RP can choose any one of the valid CA certificates for any key that is still in the set of current keys for this TA, in order to continue the top-down validation of object for this TA as described in [RFC6487].

6. Maintaining multiple TA keys

If a TA operates multiple keys, then the signed material for these keys MUST be published under different directories in the context of the ‘id-ad-caRepository’ and ‘id-ad-rpkiManifest’ Subject Information Access descriptions contained on the CA certificates [RFC6487]. Publishing objects under the same space would lead to confusion at best, and in case of file name collisions of objects invalidity.

However, the CA certificates for each key, and the contents published by each key MUST be equivalent. In other words it MUST not make a
difference which of the keys is used as a starting point for top-down validation by RP software.

This means that the IP and AS resources contained on all current CA certificates for the current TA keys MUST be the same. Furthermore for any delegation of IP and AS resources to a child, the TA MUST have an equivalent CA certificate published under each of its keys. Any updates in delegations MUST be reflected under each of its keys. A TA SHOULD NOT publish any other objects besides a CRL, a Manifest, a single TAK object, and any number of CA certificates for delegation to child Certification Authorities.

If a TA uses a single remote publication server for its keys using the RPKI publication protocol [RFC8181], then it MUST include all <publish/> and <withdraw/> PDUs for the products of each of its keys in a single query in order to ensure that they will reflect the same content at all times.

If a TA uses multiple publication servers then it is by definition inevitable that the content of different keys will be out of sync at times. In such cases the TA SHOULD ensure that the duration of these moments are limited to the shortest possible time. Furthermore the following should be observed:

- In cases where a CA certificate is revoked completely, or replaced by a certificate with a reduced set of resources, these changes will not take effect fully until all the TA keys repository publication points have been updated. Given that TA key operations are normally performed infrequently we don’t expect that this is a problem. I.e. if the revocation or shrinking of an issued CA certificate is staged for days, or weeks anyway, then experiencing a delay of several minutes for the repository publication points to all be updated is fairly insignificant.

- In cases where a CA certificate is replaced by a certificate with an extend set of resources the TA MUST inform the receiving CA only after all its repository publication points have been updated. This ensures that the receiving CA will not issue any products that could be invalid if an RP uses a TA key just before the CA certificate was due to be updated.

Finally, note that the publication locations of CA certificates for delegations to child CAs under each key will be different, and therefore the Authority Information Access ‘id-ad-caIssuers’ value on certificates issued by the child CAs may not match (section 4.8.7 of [RFC6487]). However, this information is not considered critical for validation of these objects and provided as hints to RP software.
only. Therefore RP software MUST NOT reject these certificates based on a mismatch of this value.

7. Performing TA Key Rolls

In this section we will describe how present day RPKI TAs that use only one key pair, and that do not use TAK objects, can change to having two current keys at all times allowing them to perform both planned and unplanned key rolls.

7.1. Phase 1: Add a TAK for Key ‘A’

Before adding any new keys a Trust Anchor may want to build up operational experience in maintaining a TAK object that describes its current key only. We will call refer to this key as key ‘A’ throughout this section.

The TA will have a TAL file [I-D.ietf-sidrops-https-tal] that contains one or more URIs where the (equivalent) CA certificates for this key ‘A’ can be retrieved. The TA can now generate a TAK objects that includes key ‘A’ only in its sequence of ‘CurrentKey’ values.

The TA SHOULD publish the CA certificate for key ‘A’ at one or more new locations not used in the TAL file, and use these new URIs in the TAK object. The TA is free to choose any naming strategy for these locations. As a non-normative suggestion, one such approach could be to use the date that this phase was started as part of the file name or a directory where the CA certificate is published.

The TA can now monitor the retrieval of its CA certificates from the URI(s) in the newly published TAK object, relative to the retrieval from the URI(s) listed in its TAL file, to learn the proportion of RPs that can successfully validate and use the TAK object.

7.2. Phase 2: Add a Key ‘B’

The TA can now generate a new key pair, key ‘B’. This key MUST now be used to create a new CA certificate for this key, and issue equivalent CA certificates for delegations to child CAs, as described in Section 6.

At this point, the TA can also issue a new TAL file [I-D.ietf-sidrops-https-tal] for key ‘B’, and test locally that the validation outcome for the new key is indeed equivalent to the other current key(s).
When the TA is certain that both keys are equivalent, it MUST issue a new TAK object under each of its current keys, and include both the old key ‘A’ and this new key ‘B’ in the set of current keys.

The TA SHOULD now also release a new TAL file for this new key ‘B’ as the intended new key to be used by RP software. However, as described above, it SHOULD use a different set of URIs in the TAL compared to the TAK file, so that it can learn the proportion of RPs that can successfully validate and use the updated TAK objects.

7.3. Phase 3: Roll to Key ‘C’

In this phase a new key, key ‘C’ is generated as described above in Section 7.2. And one of the previous keys is revoked.

7.3.1. Planned Direction Roll

If the key roll is planned, and the TA has access to all its keys ‘A’, ‘B’ and ‘C’, and the publication servers for each of the keys, then a new TAK object is generated for each of these keys listing keys ‘B’ and ‘C’ as current, and key ‘A’ as revoked.

The TA SHOULD now publish a long-lived TAK file, CRL and Manifest under key ‘A’, remove all other content, and destroy key ‘A’. This way RP software that uses a TAL for key ‘A’ can still successfully find keys ‘B’ and ‘C’, and in future ‘D’, ‘E’, etc.

If access to key ‘A’ was lost, then the process is slightly different. The TAK object for key ‘A’ cannot be updated and will therefore still refer to keys ‘A’ and ‘B’ as the current keys, and include no revocations. However, an updated TAK object listing keys ‘B’ and ‘C’ as current, and listing key ‘A’ as revoked can still be issued and published under keys ‘B’ and ‘C’. As described in Section 5 RPs will then discover that key ‘A’ is revoked, and continue to use keys ‘B’ and ‘C’.

7.3.2. Unplanned Direction Roll

If key ‘B’ is compromised, the process is similar to above, except of course that now keys ‘A’ and ‘C’ are included in the set of current keys, and key ‘B’ is in the set of revoked keys. If the TA still has access to key ‘B’, then it SHOULD publish a long-lived TAK file, CRL and manifest for key ‘B’ and remove all other content for it. If it cannot perform this action then simply marking key ‘B’ as revoked will still notify RPs to disregard it.
7.4. Phase X: Roll to Key ‘D’, ‘E’, ..

Further key rolls are essentially no different the roll to key ‘C’ described in Section 7.3, except that there is no need to still include key ‘A’ in the list of revoked keys when the the roll to key ‘D’ is performed. RPs will already have learned to that key ‘A’ is revoked, before they learn about key ‘D’.

8. Deployment Considerations

Including Signed TAL objects while RP tools do not support this standard will result in these RPs rejecting these objects. It is not expected that this will result in the invalidation of any other object under a Trust Anchor.

That said, the flagging mechanism introduced here can only be relied on once a majority of RPs support it. Defining when that moment arrives is by definition something that cannot be established at the time of writing this document. The use of unique URIs in TAK objects compared to their equivalent TAL files should help operators understand which proportion of RPs support this mechanism.

9. Security Considerations

It should be noted that because any key can revoke the other key(s), a risk introduced: if an adversary can gain access to one of the keys, and publication servers for it, then they can essentially take over a TA. It should also be noted that a TA can revoke all of its keys by accident and make itself obsolete.

However, these risks can be mitigated greatly by the use of Hardware Security Modules (HSM) by TAs, which will guard against theft of a private key, and operational processes to guard against (accidental) mis-use of the keys in an HSM by operators.

Although HSMs can help against key theft, the risk of key loss is still very applicable. In some ways more so, because back-ups are hard by design. Key loss can easily happen for example when an operator card set that is used to authorise use of a key in an HSM can no longer be used, e.g. because cards are broken or lost, or a persons who holds a card is sadly no longer with us, or passwords are forgotten, etc.

In such cases the ability to perform an unplanned roll as described in this document will be very useful, provided that access to the both keys is arranged differently, and the issues affecting one key, do not necessarily affect the other key.
An example where the planned rolls are useful is when a TA is using an HSM from vendor X, and they want to migrate to an HSM from vendor Y.

10. IANA Considerations

10.1. OID

IANA is to add the following to the "RPKI Signed Objects" registry:

<table>
<thead>
<tr>
<th>Decimal</th>
<th>Description</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>TBD</td>
<td>Trust Anchor Keys</td>
<td>[section 3.1]</td>
</tr>
</tbody>
</table>

10.2. File Extension

IANA is to add an item for the Signed TAL file extension to the "RPKI Repository Name Scheme" created by [RFC6481] as follows:

<table>
<thead>
<tr>
<th>Extension</th>
<th>RPKI Object</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>.tak</td>
<td>Trust Anchor Keys</td>
<td>[this document]</td>
</tr>
</tbody>
</table>

11. Security Considerations

TBD

12. Acknowledgements

The authors wish to thank Martin Hoffmann for a thorough review of this document.

13. References

13.1. Normative References

[I-D.ietf-sidrops-https-tal]


13.2. Informative References


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