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Announcing Supported Authentication Methods in IKEv2  
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## Abstract

This specification defines a mechanism that allows the Internet Key Exchange version 2 (IKEv2) implementations to indicate the list of supported authentication methods to their peers while establishing IKEv2 Security Association (SA). This mechanism improves interoperability when IKEv2 partners are configured with multiple different credentials to authenticate each other.

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## 1. Introduction

The Internet Key Exchange version 2 (IKEv2) protocol, defined in [RFC7296], performs authenticated key exchange in IPsec. IKEv2, unlike its predecessor IKEv1, defined in [RFC2409], doesn't include a mechanism to negotiate an authentication method that the peers would use to authenticate each other. It is assumed that each peer selects whatever authentication method it thinks is appropriate, depending on authentication credentials it has.

This approach generally works well when there is no ambiguity in selecting authentication credentials. The problem may arise when there are several credentials of different type configured on one peer, while only some of them are supported on the other peer. Another problem situation is when a single credential may be used to produce different types of authentication tokens (e.g. signatures of different formats). Emerging post-quantum signature algorithms may bring additional challenges for implementations, especially if so called hybrid schemes are used (e.g. see [I-D.ounsworth-pq-composite-sigs]).

This specification defines an extension to the IKEv2 protocol that allows peers to announce their supported authentication methods, thus decreasing risks of SA establishment failure in situations when there are several ways for the peers to authenticate themselves.

## 2. Terminology and Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

## 3. Protocol Details

The idea is that each party sends a list of authentication methods it supports to its peer. In addition, the sending party may optionally specify that some of the authentication methods are only to be used with particular trust anchors. Upon receiving this information the peer may take it into account while selecting an algorithm for its authentication if several methods are available.

### 3.1. Exchanges

If the responder is willing to use this extension, it includes a new notification SUPPORTED\_AUTH\_METHODS in a response message of the IKE\_SA\_INIT exchange. This notification contains a list of authentication methods supported by the responder.

Initiator	Responder
-----	-----
HDR, SAi1, KEi, Ni -->	
	<-- HDR, SAR1, KEr, Nr, [CERTREQ,] [N(SUPPORTED_AUTH_METHODS)]

Figure 1: IKE\_SA\_INIT Exchange

If the initiator doesn't support this extension, it will ignore the received notification as an unknown status notify. Otherwise, it MAY send the SUPPORTED\_AUTH\_METHODS notification in the IKE\_AUTH request message, with a list of authentication methods supported by the initiator.

Initiator	Responder
-----	-----
HDR, SK {IDi, [CERT,] [CERTREQ,] [IDr,] AUTH, SAi2, TSi, TSr, [N(SUPPORTED_AUTH_METHODS)] } -->	
	<-- HDR, SK {IDr, [CERT,] AUTH, SAR2, TSi, TSr }

Figure 2: IKE\_AUTH Exchange

Since the responder sends the SUPPORTED\_AUTH\_METHODS notification in the IKE\_SA\_INIT exchange, it must take care that the size of the response message wouldn't grow too much so that IP fragmentation takes place. If the following conditions are met:

- o the SUPPORTED\_AUTH\_METHODS notification to be included is so large, that the responder suspects that IP fragmentation of the resulting IKE\_SA\_INIT response message may happen;
- o both peers support the IKE\_INTERMEDIATE exchange, defined in [I-D.ietf-ipsecme-ikev2-intermediate] (i.e. the responder has received and is going to send the INTERMEDIATE\_EXCHANGE\_SUPPORTED notification);

then the responder may choose not to send actual list of the supported authentication methods in the IKE\_SA\_INIT exchange and instead ask the initiator to start the IKE\_INTERMEDIATE exchange for the list to be sent in. In this case the responder includes SUPPORTED\_AUTH\_METHODS notification containing no data in the IKE\_SA\_INIT response.

If the initiator receives the empty SUPPORTED\_AUTH\_METHODS notification in the IKE\_SA\_INIT exchange, it means that the responder is going to send the list of the supported authentication methods in the IKE\_INTERMEDIATE exchange. If this exchange is to be initiated anyway for some other reason, then the responder MUST use it to send the SUPPORTED\_AUTH\_METHODS notification. Otherwise, the initiator MAY start the IKE\_INTERMEDIATE exchange just for this sole purpose by sending an empty request message.

Initiator	Responder
-----	-----
HDR, SK {...} -->	<-- HDR, SK {...
	[N(SUPPORTED_AUTH_METHODS)] }

Figure 3: IKE\_INTERMEDIATE Exchange

Note, that sending the SUPPORTED\_AUTH\_METHODS notification and using information obtained from it is optional for both the initiator and the responder.

### 3.2. SUPPORTED\_AUTH\_METHODS Notify

The format of the SUPPORTED\_AUTH\_METHODS notification is shown below.

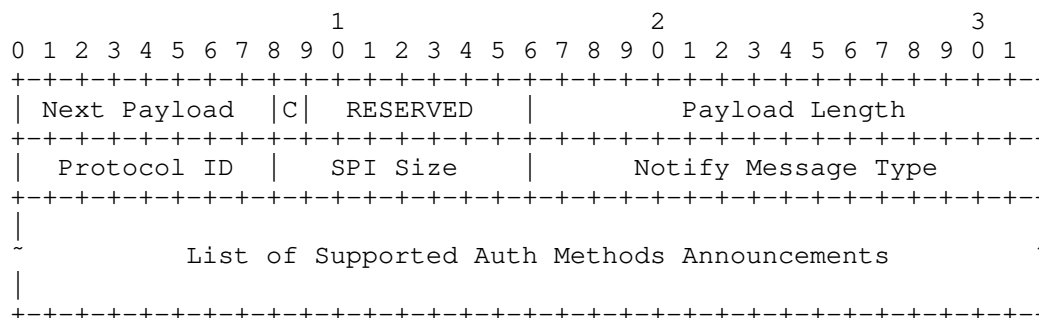


Figure 4: SUPPORTED\_AUTH\_METHODS Notify

The Notify payload format is defined in Section 3.10 of [RFC7296]. When a Notify payload of type SUPPORTED\_AUTH\_METHODS is sent, the Protocol ID field is set to 0, the SPI Size is set to 0, meaning there is no SPI field, and the Notify Message Type is set to <TBA by IANA>.

The Notification Data field contains the list of supported authentication methods announcements. Each individual announcement is a variable-size data blob, which format depends on the announced authentication method. The blob always starts with an octet containing the length of the blob followed by an octet containing the authentication method. Authentication methods are represented as values from the "IKEv2 Authentication Method" registry defined in [IKEV2-IANA]. The meaning of the remaining octets of the blob, if any, depends on the authentication method and is defined below. Note, that for the currently defined authentication methods the length octet fully defines both the format and the semantics of the blob.

If more authentication methods are defined in future, the corresponding documents must describe the semantics of the announcements for these methods. Implementations MUST skip announcements which semantics they don't understand.

### 3.2.1. 2-octet Announcement

If the announcement contains an authentication method that is not concerned with public key cryptography, then the following format is used.

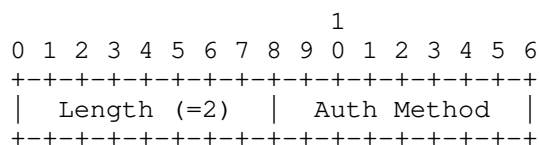


Figure 5: Supported Authentication Method

- o Length - Length of the blob, must be 2 for this case.
- o Auth Method - Announced authentication method.

This format is applicable for the authentication methods "Shared Key Message Integrity Code" (2) and "NULL Authentication" (13). Note, that authentication method "Generic Secure Password Authentication Method" (12) would also fall in this category, however it is negotiated separately (see [RFC6467] and for this reason there is no point to announce it via this mechanism.

### 3.2.2. 3-octet Announcement

If the announcement contains an authentication method that is concerned with public key cryptography, then the following format is used. This format allows to link the announcement with a particular trust anchor from the Certificate Request payload.

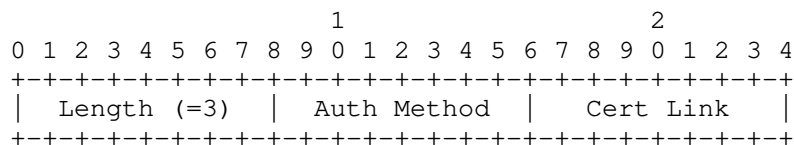


Figure 6: Supported Authentication Method

- o Length - Length of the blob, must be 3 for this case.
- o Auth Method - Announced authentication method.
- o Cert Link - Link this announcement to a particular CA.

If the Cert Link field contains non-zero value N, it means that the announced authentication method is intended to be used only with the N-th trust anchor (CA certificate) from the Certificate Request payload(s) sent by this peer. If it is zero, then this authentication method may be used with any of CAs, that are not linked to any other announcement. If multiple CERTREQ payloads were sent, the CAs from all of them are treated as a single list for the purpose of the linking. If no Certificate Request payload were

receives, the content of this field MUST be ignored and treated as zero.

This format is applicable for the authentication methods "RSA Digital Signature" (1), "DSS Digital Signature" (3), "ECDSA with SHA-256 on the P-256 curve" (9), "ECDSA with SHA-384 on the P-384 curve" (10) and "ECDSA with SHA-512 on the P-512 curve" (11). Note however, that these authentication methods are currently superseded by the "Digital Signature" (14) authentication method, which has a different announcement format, described below.

### 3.2.3. Multi-octet Announcement

The following format is currently used only with the "Digital Signature" (14) authentication method.

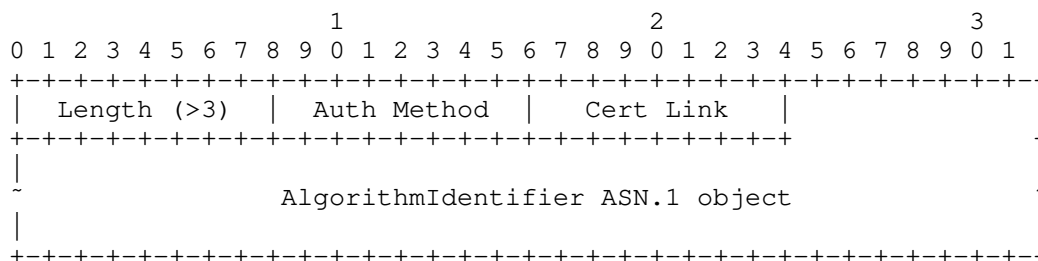


Figure 7: Supported Authentication Method

- o Length - Length of the blob, must be greater than 3 for this case.
- o Auth Method - Announced authentication method, currently may only be 14 ("Digital Signature").
- o Cert Link - Link this announcement to a particular CA; see Section 3.2.2 for details.
- o AlgorithmIdentifier ASN.1 object - DER-encoded ASN.1 object AlgorithmIdentifier.

The "Digital Signature" authentication method, defined in [RFC7427], supersedes previously defined signature authentication methods. In this case the real authentication algorithm is identified via AlgorithmIdentifier ASN.1 object. Appendix A in [RFC7427] contains examples of Commonly Used ASN.1 Objects.

#### 4. Security Considerations

Security considerations for IKEv2 protocol are discussed in [RFC7296]. It is assumed that this extension of the IKEv2 doesn't add new vulnerabilities to the protocol.

#### 5. IANA Considerations

This document defines a new Notify Message Types in the "Notify Message Types - Status Types" registry:

<TBA> SUPPORTED\_AUTH\_METHODS

#### 6. References

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## 6.2. Informative References

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