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Native Short Addressing for Low power and Lossy Networks Expansion
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Abstract

This document specifies mechanisms of NSA (Native Short Address) that enables IP packet transmission over links where the transmission of a full length address may not be desirable. This document focuses on carrying IP packets across a LLN (Low power and Lossy Network), in which the nodes' location is fixed and changes in the logical topology are caused only by unstable radio connectivity (not physical mobility). The specifications include NSA allocation, routing mechanisms, header format design including length-variable fields, and IPv6 interconnection support.

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1. Introduction

There is an ongoing massive expansion of the network edge that is driven by the "Internet of Things" (IoT) technology, especially over low-power links which often, in the past, did not support IP packet transmission.

Particularly driven by the requirements stemming from Industry 4.0 and Smart City deployments, more and more devices/things are connected to the Internet. Sensors in plants/parking bays/mines, temperature/humidity/flash sensors in museums, normally are located in a fixed position and are networked by low power and lossy links. Comparing with traditional scenarios, scalability of the (edge) network along with lower power consumption are key technical requirements. Moreover, large-scale Low power Lossy Networks (LLNs)

are expected to be able to carry IPv6 packets over their links, together with an efficient access to native IPv6 domains. These kind of networks, do not necessarily imply nodes mobility [RFC5673], whilst some topology changes may still happen because of fluctuating radio link quality.

The work in [SIXLOWPAN]/[SIXLO]/[LPWAN] Working Groups address many fundamental issues for those type of deployments. Those deployments can be considered an instantiation of what [RFC8799] defines as "limited domains". For instance, the 6lowpan compression technology ([RFC4944] and [RFC6282]) addresses the problem of IPv6 transmission over LLNs, making it possible to interconnect IPv6-based IoT networks and the Internet. [RFC8138] introduces a framework for implementing multi-hop routing on an LLN using a compressed routing header, which works also with RPL (Routing Protocol for LLNs [RFC6550]). This technique enables the ability to forward IPv6 packets within the domain without the need of decompression. In addition, SCHC (Generic Framework for Static Context Header Compression and Fragmentation [RFC8724]) enables even more compression by using a common static context.

The specifications in this document leverage on previous work, namely using the dispatch type field ([RFC4944], [RFC8025]) that allows to accommodate the proposed address format. This means that except the addresses (source and destination) the other fields of the header will be compressed mostly according to LPWAN_IPHC. The proposed addressing is independent of Unique Local Addresses [RFC4193], which has a dependency on specific link-layer conventions [RFC6282]. It is also different from stateful address allocation that requires all nodes to obtain addresses from a centralized DHCP server, which leads to long network startup time and consumption of extra bandwidth. Compared to RPL-based routing [RFC6550], NSA avoids the extra overhead of address assignment by integrating address assignment and tree forming together. Furthermore, NSA provides shorter packet header than unstoring-mode RPL and much smaller routing table size than storing-mode RPL.

2. Requirements Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] and [RFC8174] when, and only when, they appear in all capitals, as shown here.

3. Overview

Native Short Address (NSA) is an efficient topology-based network layer address assignment mechanism that is performed in a decentralized fashion. The NSA nodes are aware of its own IPv6 address constructed by IPv6 prefix (by configuration) and NSA (see Section 5.2). Inside the NSA domain, nodes communicate with each other using only NSA addresses. It is a smaller address space compared to the huge IPv6 addressing space. The NSA enables stateless forwarding in most cases. When IPv6 communication occurs between nodes inside the NSA domain and external IPv6 nodes, the border router, which plays as well the role of "root" in the addressing tree, performs network layer translation (as per Section 5.2 and [RFC6282]). The architecture of NSA network is showed in Figure 1.

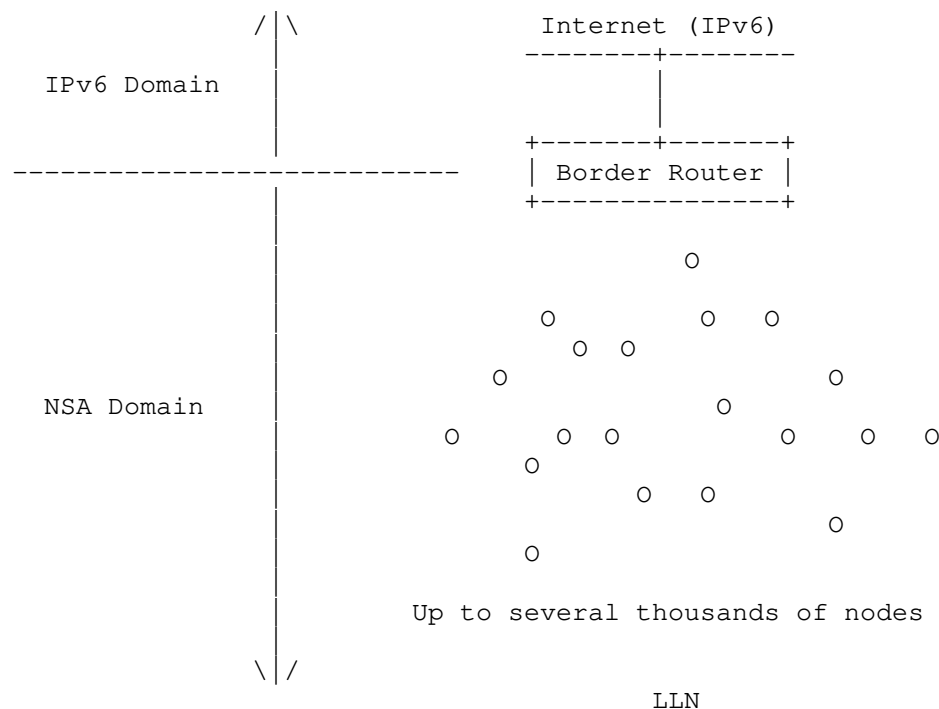


Figure 1: The architecture of general NSA networks.

The overall design objective is centered on how to minimize the packet overhead and reduce the size of routing table to save communication energy in a large-scale IoT LLN network. NSA eliminates compression/decompression of address and also reduces the amount of information synchronization messages, so it actually

reduces computation complexity during packets parsing and forwarding. To this end, NSA uses a context-independent address encoding mechanism. It does not carry any field about address context in the packet. It carries source and destination addresses by variable length fields whose size can be reduced to one octet each in the best case. This allows the NSA packet header to be smaller than LOWPAN_IPHC's 7 octets (see Figure 2), down to 4 octets, representing around 40% reduction in the header size. Considering that devices in the target limited domain are strongly constrained in resources, while still requiring to use a global unicast IPv6 address to identify them, 7 octets is the smallest size that LOWPAN_IPHC can achieve in a multi-hop environment, higher than the 2-3 octets necessary in a link-local communication [RFC6282].

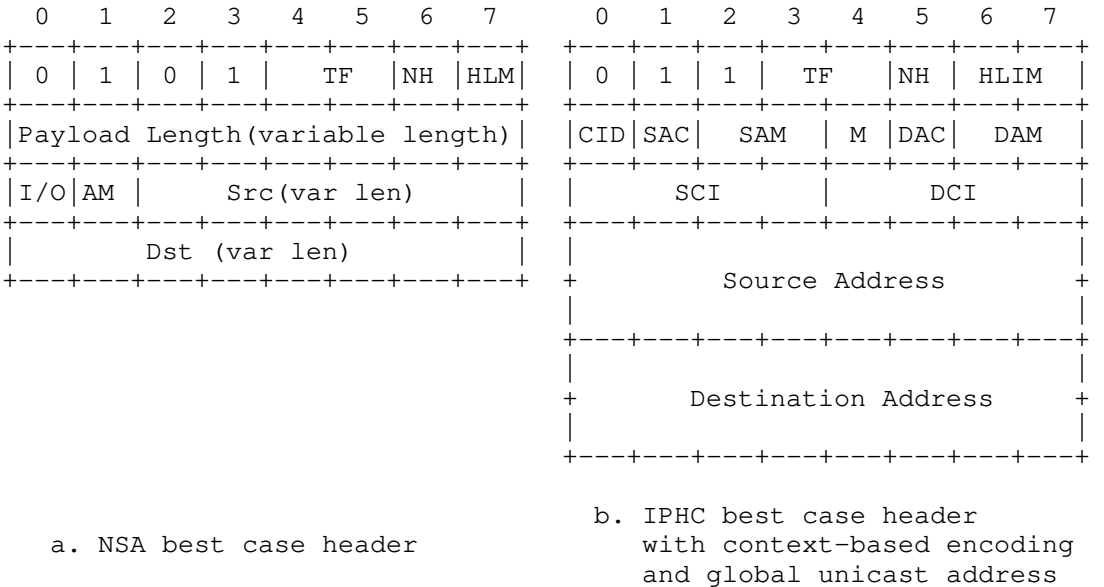


Figure 2: Best case of NSA and LOWPAN_IPHC packet header.

There are three distinct NSA features that allow NSA to be efficient, namely:

- 1. Native hort address allocation (see Section 4),
- 2. Stateless routing (see Section 5),
- 3. Compact header format design (see Section 7) that avoids context and compression.

4. NSA Allocation

In an NSA network, there are 3 types of roles, namely:

- * Root,
- * Forwarder,
- * Leaf.

The basic rules of allocation include:

- * Each node's address is prefixed by their parent's address.
- * The forwarder runs an AF (Allocation Function) to generate its children's addresses.
- * All nodes run the same AF in the same network instance.

Normally, the root role is assigned to the border router when the LLN bootstraps. An example of a possible result of an NSA deployment is shown in Figure 3.

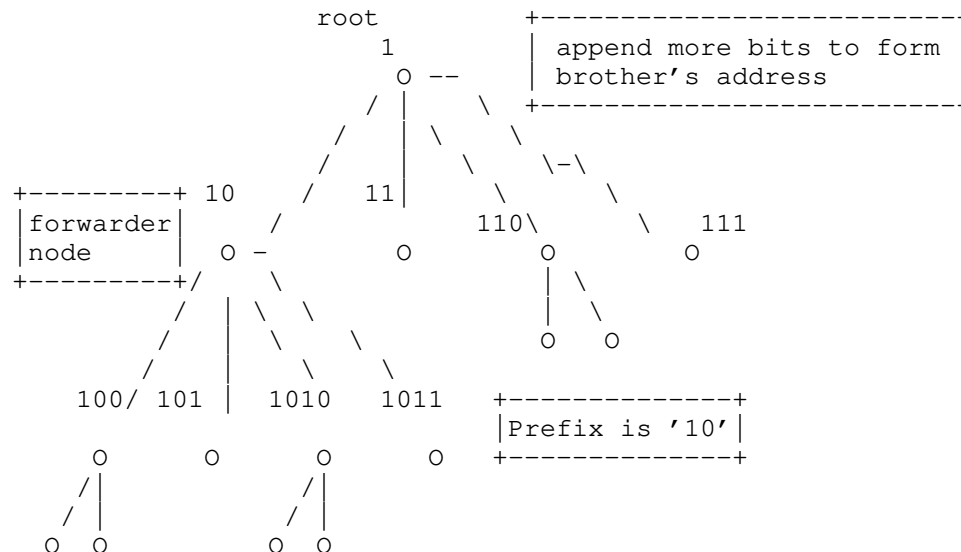


Figure 3: An example of NSA addresses allocation.

Each node acquiring a native short address needs to send an Address Request (AR) message to its link layer neighbors and wait for the response. In the AR message, the node needs to designate a 'role'

value (forwarder or leaf) and the 'nodeid'. Forwarder and Leaf roles can be assigned similarly to IEEE 802.15.4, which distinguishes between Full-Function Devices (FFD) and reduced function devices (RFD) (cf., [ZigBee]). If a neighbor is neither a forwarder nor the root, it will drop the message silently. Otherwise, the neighbor should calculate an address based on parameters in the AR message. After the neighbor node assigned an address for node, it stores the suffix of that address as the interface ID towards the node. Then, it generates and sends Address Assignment (AA) message back. Once a node receives a valid AA response, it uses that assigned address as its own network layer address, thus becomes a child of the address assigner. It will then ignore replies from other neighbors. If a node does not receive any response after an pre-defined interval, it will send the AR message again. It is RECOMMENDED that nodes re-send the AR message up to 3 times, if no answer is received they SHOULD stop.

The allocation function AF(role,i) used in this document is defined in Figure 4. Where every forwarder node should store and maintain two indexes, one for the children that are forwarders and one for the children that are leaves (starting at 0 for the first child in each role). Let's call the first index 'f', as of forwarder, and the second 'l' as for leaves. The '+' symbol indicates a concatenation operation; the b() operation indicates the binary string conversion operation with leading zeros trimmed (note that in this case b(0) is an empty string).

```
AF(role, f, l) = 'address of the node performing the function'
                + (role == leaf? b(l++):b(f++))
                + (role == leaf?'1':'0'),
in which, f and l are the indexes of respectively the forwarders
and the leaves at this layer (starting at 0).
```

Figure 4: Definition of the Allocation Function (AF) of forwarder/root nodes.

Taking the example of the topology in Figure 3, the proposed AF works as follows.

At the top level, there are 4 children of root, two are forwarders and the other two are leaves. Starting from the left most node and moving to the right, the root node applies the AF as follows:

* For the first child, which is a forwarder:

```
- A('forwarder', 0, 0) = '1'(root address) + b(0) + '0' = '1' +
  '' + '0' = 10
```

- Index f is increased by one and is now equal 1 (f=1)
- * For the second child, which is a leaf:
 - $A('leaf', 1, 0) = '1'(\text{root address}) + b(0) + '1' = '1' + '' + '1' = 11$
 - Index l is increased by one and is now equal 1 (l=1)
- * For the third child, which is a forwarder:
 - $A('forwarder', 1, 1) = '1'(\text{root address}) + b(1) + '0' = '1' + '1' + '0' = 110$
 - Index f is increased by one and is now equal 2 (f=2)
- * For the forth child, which is a leaf:
 - $A('leaf', 2, 1) = '1'(\text{root address}) + b(1) + '1' = '1' + '1' + '1' = 111$
 - Index l is increased by one and is now equal 2 (l=2)

The first level addresses have now been assigned. Let's now have a look how the node 10 (the first forwarder child of the root) applies the same Allocation function. Note that node 10 will use its own 'f' and 'l' indexes initialized to 0. Starting again from the left most node, node 10 applies the AF as follows:

- * For the first child, which is a forwarder:
 - $A('forwarder', 0, 0) = '10'(\text{node address}) + b(0) + '0' = '10' + '' + '0' = 100$
 - Index f is increased by one and is now equal 1 (f=1)
- * For the second child, which is a leaf:
 - $A('leaf', 1, 0) = '10'(\text{node address}) + b(0) + '1' = '10' + '' + '1' = 101$
 - Index l is increased by one and is now equal 1 (l=1)
- * For the third child, which is a forwarder:
 - $A('forwarder', 1, 1) = '10'(\text{node address}) + b(1) + '0' = '10' + '1' + '0' = 1010$

- Index f is increased by one and is now equal 2 (f=2)
- * For the forth child, which is a leaf:
 - $A('leaf', 2, 1) = '10'(\text{root address}) + b(1) + '1' = '10' + '1' + '1' = 1011$
 - Index l is increased by one and is now equal 2 (l=2)

Note how the children of the same parent all have the same prefix (10 in this example).

The Allocation Function can be different from the one defined in Figure 4, but all nodes know which one to use by configuration. The use of one and only one AF is allowed in an NSA domain. It is RECOMMENDED that implementations support at least the AF proposed in this document (cf. Section 9).

4.1. NSA Addresses and IPv6 Addresses

Obtaining a full IPv6 address from a NSA address is pretty straightforward. First the NSA address is concatenated to the configured IPv6 prefix. Since the length of the NSA address is very likely smaller than 64 bits (the interface ID length in IPv6), the node needs to pad it with zeros ('0') used as most significative bits. The full IPv6 address will look like: IPv6 prefix + "000...000" + NSA (or in IPv6 notation <IPv6 Prefix>::<NSA>). The NSA is assigned by the root/forwarder as previously described.

In an IPv6 communication, the node will derive the NSA address as the short source address from its own IPv6 address by simply removing the IPv6 prefix and all leading zeros before the NSA part. The node will compare the destination IPv6 address with its own IPv6 address. If they have the same prefix, it means that the destination is in the local NSA domain and its corresponding NSA address will be extracted as the short destination address (and the I/O Flag can be set accordingly). Otherwise, it will be a communication towards the Internet. In that case, a mapping mechanism implemented on the root node will generate a short address to be mapped to the full IPv6 destination address. As previously stated, the mapping mechanism is out of the scope of this document.

Since the short mapped address is generated on the root, when the node first open the connection toward the external site, with a first packet, the destination address is set to the full, uncompressed, IPv6 address. Once the packet arrives to the root node, performing the destination address lookup the root will notice that a full IPv6 address is being used and will trigger the short address generation

mechanism and create a new mapping. Such, mapping is communicated to the source node via a new dedicated ICMP message (see Section 8). Once the node originating the communication receives such a message it MUST use the mapped short address for any further communication.

4.2. Limitation of Number of Children Node

The maximum number of child nodes is determined by the specific AF used. IEEE 802.15.5 has explored the use of a per-branch setup, which, however, incurs scalability problems [LEE10]. NSA allocation design is more flexible and extensible than the one proposed in IEEE 802.15.5. The AF used as example in this document does not need any specific setup network by network, though it is still limited by the maximum length of addresses. For the special case of the parent connecting to huge amount of children, a variant of the proposed AF can be designed to fulfill the requirement.

5. Routing for a NSA Network

Internal and external communication in an NSA network works slightly different. For internal communications, among NSA endpoints, packets carry native short addresses and no special operation is needed. For external communications, the root is responsible to perform the translation between native short addresses and IPv6 addresses. For instance, for a packet entering into the NSA domain, the root will extract the native short address of the destination from the suffix of the IPv6 address, by removing all leading '0's. It will also map the source IPv6 address to a mapped native short address, in order to make it more efficient for communication inside the NSA domain.

The root has to store the mapping between external IPv6 addresses and their assigned mapped Native Short Addresses. The method of generating those mapping is out of scope of this document, however, the addressing space for the external NSA has to be maintained separate from the internal NSA address space. Overlap are allowed since the two addressing space are distinguishable in the packets by the use of the I/O field, as explained later on.

The following paragraphs will detail the routing operations for both internal and external communication. The intra-network routing procedure depends on the specific AF used. Here we will use the AF previously introduced (see Figure 4) to illustrate the routing procedure.

5.1. Routing toward an NSA endpoint

To perform forwarding operations, NSA nodes access the I/O field in the NSA header (see Section 7). When its value is 1, the packet is destined to a internal NSA node, so it is an inner-domain packet. Otherwise, the packet is destined to an external IPv6 node, so it is called an outer-domain packet. Intra-domain packets carry a native short addresses in the source and the destination address fields. More specifically the destination address field is the address of another node in the same NSA domain. As such an NSA node performs the following sequence of actions, also see Figure 5:

1. Get destination address from packet (abbreviated to DA) and the current node's address (abbreviated to CA). Go to step 2.
2. If length of DA is smaller than length of CA, send the packet to parent node, exit. Otherwise, go to step 3.
3. If length of DA equals to length of CA, go to step 4. Otherwise, go to step 5.
4. If DA and CA are the same, the packet arrived at destination, exit. Otherwise, send the packet to parent node, exit.
5. Check whether CA is equal to the prefix of DA. If yes, go to step 6. Otherwise, send the packet to parent node, exit.
6. Calculate which child is the next hop address and forward packet to it. With the AF propose in this document such operation reduces to reading the DA's bits starting from the position equals to the length of CA, then skip all '1' until the first '0' or the last bit of DA. The sub-string obtained in such a way is the address of direct child of current node.
7. If any exception happens in the above steps, drop the packet and send error notification.

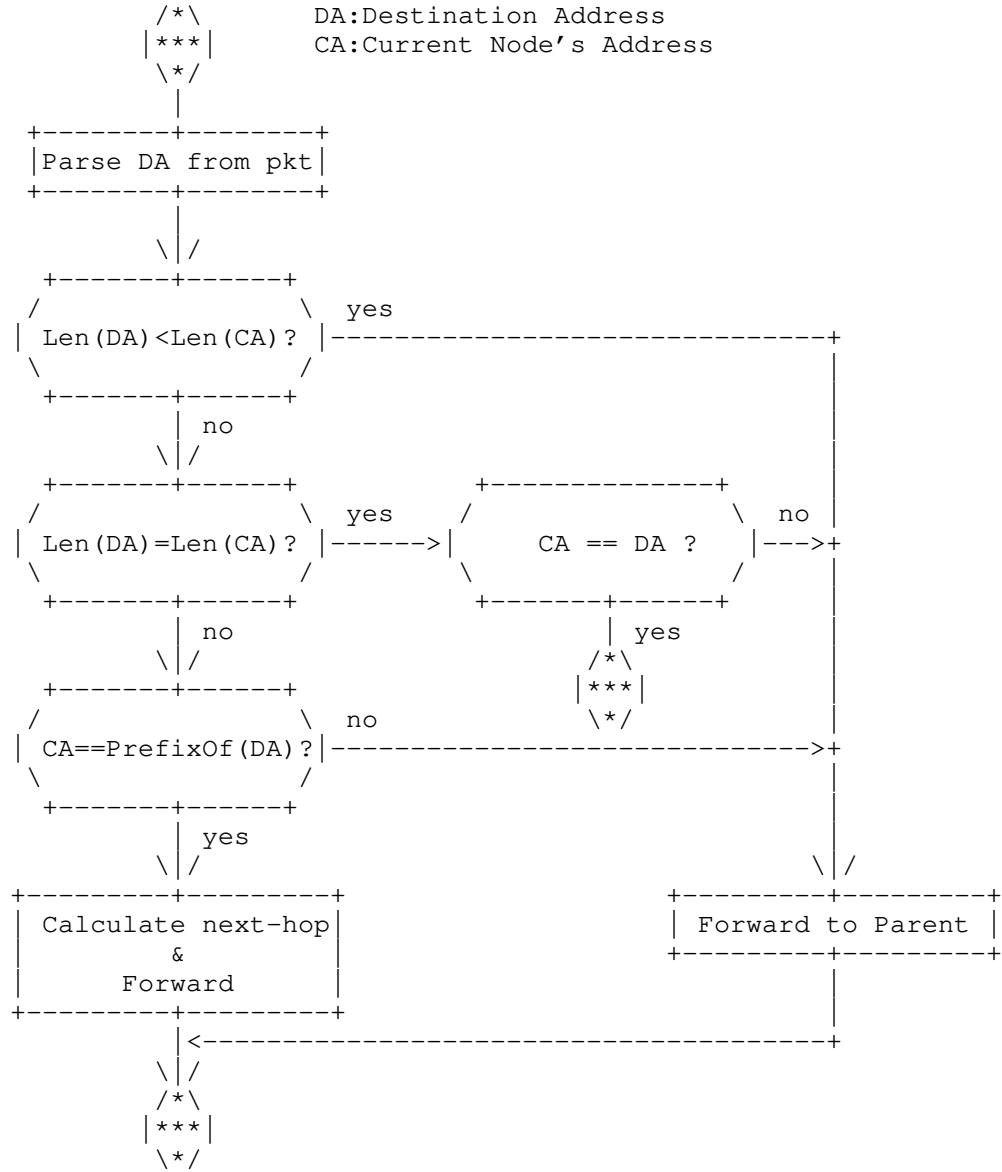


Figure 5: Flow Chart of Internal Routing Procedure

In the case of packet arriving from the Internet (external IPv6 domain toward the local NSA domain) header adaptation operation is performed by the root node. Concerning the destination address, the root builds the native short address of the destination by removing the prefix and the leading '0's of the suffix of the destination

address. Meanwhile, it checks whether it exists already a mapping between the source address and a mapped NSA address to be used as source address in the NSA packet. If not it creates one. Then the root creates the inner-domain packet. It uses the NSA address as destination setting the I/O field to 1 so to route the packet to as described above to the destination node. The mapped NSA address is used as source address and the fact that is a Mapped Address is signaled by setting to 1 the MA field.

5.2. Routing toward an external IPv6 node

In the case that the I/O field (cf. Section 7) is set to 0, the packet is destined to an external IPv6 node, it is an outer-domain packet. As such the destination address is either a full IPv6 address (for the first packet of a communication) or a mapped short address generated by the root node and not belonging to any node inside the NSA domain.

All NSA nodes (except root) just send packets that are destined outside the local domain (I/O field equal 0) to their parent, not even looking at the actual destination address. Eventually all packets will reach the root node, which acts as gateway. The Root node is able to map the destination NSA address to the corresponding full IPv6 address. Also, the root node is able to rebuild the full source IPv6 address by concatenating the IPv6 prefix and the NSA address as explained in Section 5.2. Other fields of the header are also decompressed as described in Section 7. A full IPv6 header replaces the original NSA header in the packet, which is then forwarded according to traditional IPv6 protocol.

6. Benefits of Native Short Addressing

The NSA use a single set of messages for address assignment and tree forming. It is not more complex than RPL tree forming. So, NSA saves the overhead of address assignment of RPL.

Comparing to RPL with storing mode (see [RFC6550]), there is no need for a NSA node to generate and store routing table entries in the normal case. One of the potential issues is the risk of renumbering of addresses when the topology changes. The topology change could happen in two different scenarios, the high mobility scenario where nodes are moving quite often or even all the time (e.g. UAV) and the unstable link connection scenario where the locations of nodes are fixed, but the connections are broken from time to time. The later is usually called "logic topology change", which covers most of IoT scenarios, see [IoTSurvey].

A "moving" node may possibly be the root of a whole sub-tree, with many children and grand-children, hence, renumbering would introduce a non-negligible cost. Instead of "renumbering", the sub-tree rooted on the "moving" node, its address and the addresses of all its children and grand-children will be kept unchanged. A specific entry in the routing tables of the original and new parent nodes will be created, in order to make the sub-tree still reachable.

Herewith one example, also depicted in Figure Figure 6, node A with the address of 1000 somehow moves from node B (original parent) to node C (new parent). In this case, the routing tables in B, C and their parents' nodes should be updated by adding a new route to "1000", the address of node A. Meanwhile, the original parent (node B) should keep its original address assignment. Comparing with renumbering the addresses of node A and its children, the cost of adding one new route to their parent nodes is much lower, although in this case, the NSA does not implement complete stateless routing.

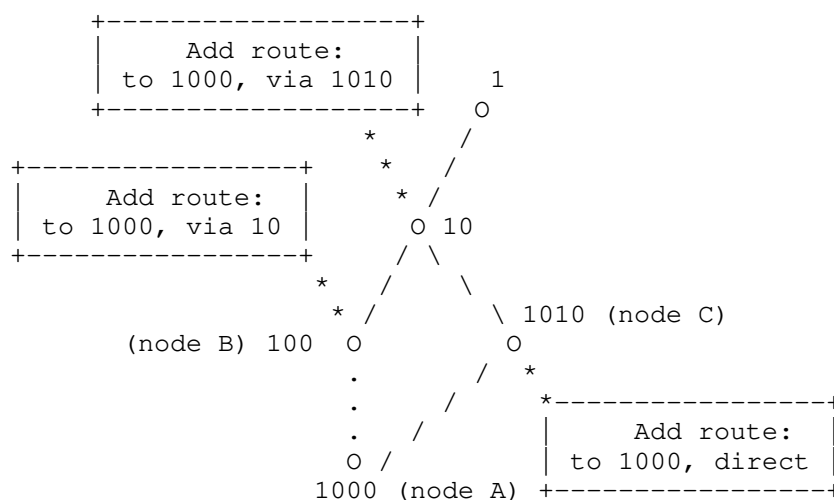


Figure 6: Add extra routes for "logic topology change"

7. NSA Header Format

As explained in Section 4, the addresses in NSA are of variable length, in this section, we outline the design of the header format partially based on the format of 6lowpan, accommodating the variable length property in the packet. The header format is shown in Figure 7.

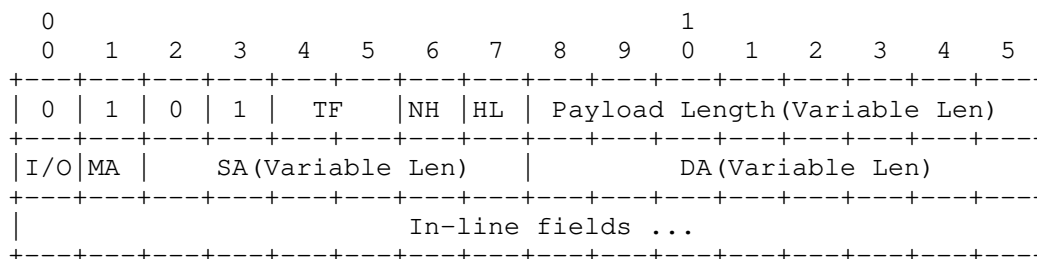


Figure 7: Header format of NSA packets

The first 4 bits are new dispatch types that will be introduced in Section 9.

- * TF: The same definition as in [RFC6282] Section 3.1.1.
- * NH: The same definition as in [RFC6282] Section 3.1.1.
- * HL: This field indicates the hop limit. When HL is 0, a hop limit field defined in [RFC2460] locates in in-line fields, while HL is 1 means no hop limit header in packet.
- * Payload length is a variable length field. It normally occupies an octet assuming most packets are smaller than 252 bytes. For larger packets, payload length may expand to 2 to 3 octets. The encoding method is defined as follows. When the first octet has value of:
 - 0~252: Indicates how many octets the payload consist of.
 - 253: Indicates that there is an extra octet for payload length, with the actual length value equal to the last byte value plus 252.
 - 254: Indicates that there is an extra two octets for payload length, with the actual length value equal to the value of the second byte multiple 256 plus value of the last byte plus 252.
 - 255: Reserved.
- * I/O: Indicates whether this packet is destined to a inner-domain node (value '1') or an outer-domain node (value '0'), where the former means from an NSA or IPv6 node to a NSA destination, while the latter means to an external IPv6 node.

- * MA: Indicates the source address is actually a Mapped Address generated by the root. When it is '1', the source address of the packet is a mapped address of an external IPv6 address, while if it is '0', the source address of the packet is an NSA address.

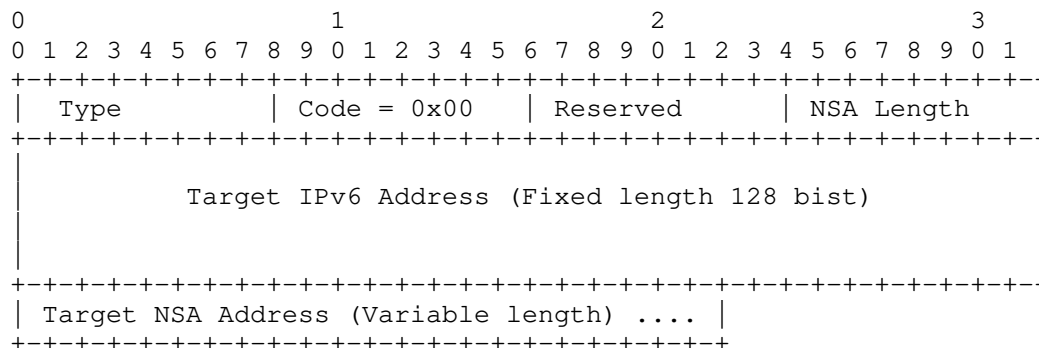
For length variable native short address encoding, for both Source Address (SA) and Destination Address (DA), the definition is:

- * 0~252: if the address value locates in this interval, one octet is used to encode the value
- * 253: indicates that the address is encoded in 2 octets.
- * 254: indicates that the following 4 octets encode the address.
- * 255: indicates that the following octet defines the length of address in octets, followed by the address value octets.

The sequence of in-line fields is as per [RFC8200] section 3.

8. NSA Control Message

This documents specifies only one NSA Control Message, namely the NSA Mapped Address Advertisement described in Section 4. The purpose of such a message is advertise the mapping of an IPv6 address into a NSA address. The map is performed by the root node and sent to the node originating the communication. The root keeps a copy of the mapping to be used for future packets. The format is as follows:



- * Type: Type value identifying NSA Control Message. Value to be assigned by IANA (cf. Section 9)
- * Code: This field identifies the specific control message. In this case it is set to the value 0x00 "NSA Mapped Address for External IPv6 Address".

- * Reserved: Set as 0 on transmission and ignore on reception.
- * NSA Length: This field indicates the length of the Target NSA Address at the end of the message, expressed in octets.

The "NSA Mapped Address for External IPv6 Address" is a variable length message, however, the first five fields of the message, namely Type, Codem Reserved, NSA Length, and Target IPv6 address, have a fixed length of 160 bits (20 octets), hence the length of the NSA address is sufficient to calculate the length of the entire packet: 20 octets + "NSA length".

9. IANA Considerations

9.1. Dispatch Type Field

This document requires IANA to assign the range 01010000 to 01011111 in page 10 of the "Dispatch Type Field" registry as follows:

Bit Pattern	Page	Header Type	Reference
0101TTNH	10	LOWPAN NSA IP (LOWPAN_NIP)	[This Document]

Figure 8: LOWPAN Dispatch Type Field requested allocation

9.2. Allocation Function Registry

This section provides guidance to the Internet Assigned Numbers Authority (IANA) regarding registration of values related to the NSA specification, in accordance with BCP 26 [RFC8126].

IANA is asked to create a registry named "Native Short Addresses (NSA) Parameters".

Such registry should be populated with a one octet sub registry named "Allocation Function" and used to identify the AF used in a NSA deployment. The sub registry is populated as follows:

Value	AF Name	Reference
0x00	Native Allocation Function	[This Document]
0x01-0xFF	Un-assigned	

Values can be assigned by IANA on a "First Come, First Served" basis according to [RFC8126].

9.3. ICMP NSA Control Message

IANA is requested to allocate an ICMPv6 type value from the "ICMPv6 Parameters" registry to be used by "NSA Control Message".

Also IANA is requested to create an "NSA Control Codes" sub registry, for the Code field of the ICMPv6 NSA Control Message.

New codes may be allocated through the "Specification Required" procedure as defined in [RFC8126]. The following code is currently defined (the others are to be marked as un-assigned):

Code	Description	Reference
0x00	NSA Mapped Address for External IPv6 Address	[This Document]

10. Security Considerations

An extended security analysis will be provided in future revision of this document. As of this point we consider that the security considerations of [RFC4944], [RFC6282] apply.

11. References

11.1. Normative References

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